

# Cherenkov and Transition Radiation Detectors

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CERN

Acknowledgement: I make use of a lot of material provided by

- Peter Krizan, JSI, Ljubljana (Cherenkov)
- Christoph Rembser, CERN (Transition Radiation)

Thanks!

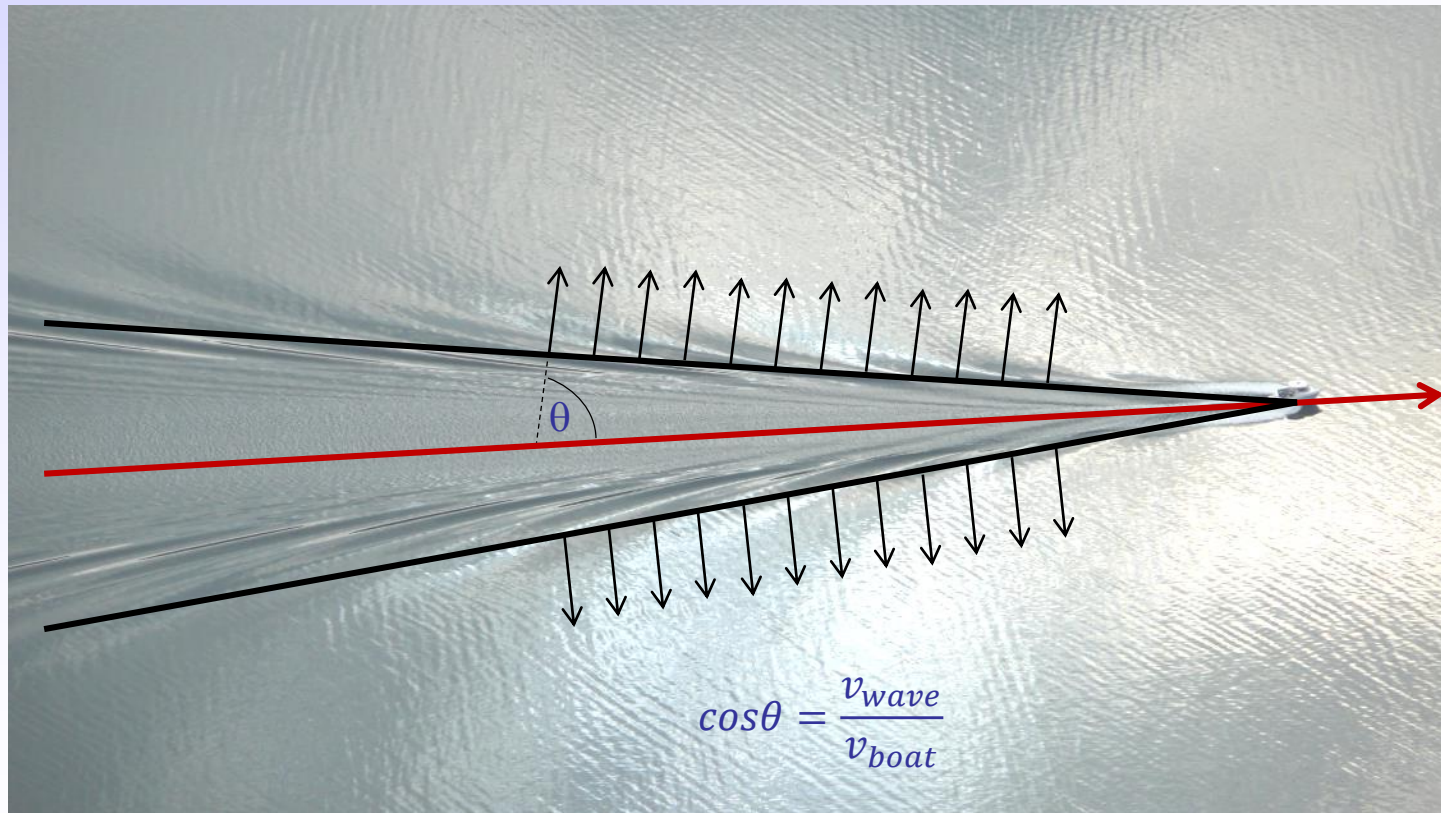
## Outline

- Cherenkov radiation
- Short introduction to photodetectors
- Cherenkov detectors
- Transition radiation
- Transition radiation detectors

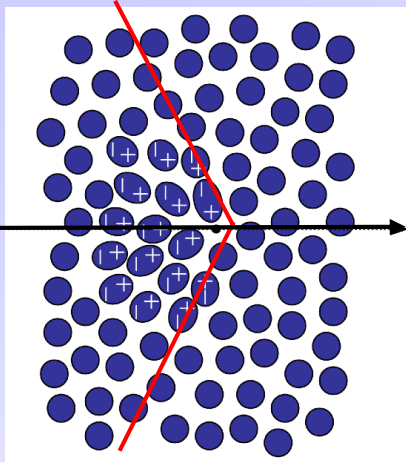
# Cherenkov Radiation

A charged particle, moving through a medium at a speed which is larger than the speed of light in the medium, produces Cherenkov light.

Classical analogue: fast boat on water



... back to Cherenkov radiation



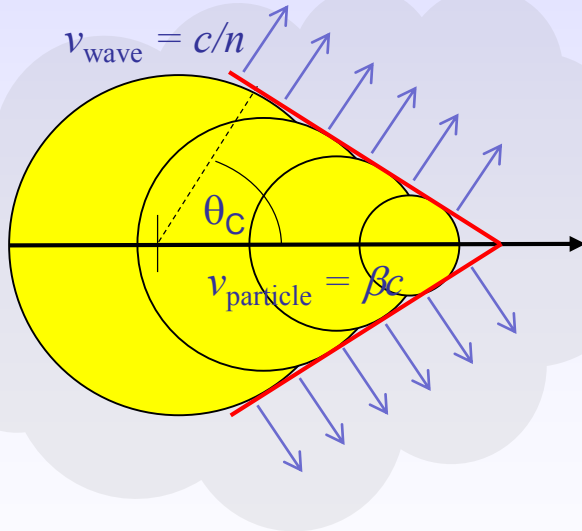
The dielectric medium is polarized by the passing particle.

↓  
“the radiator”

A coherent wave front forms if

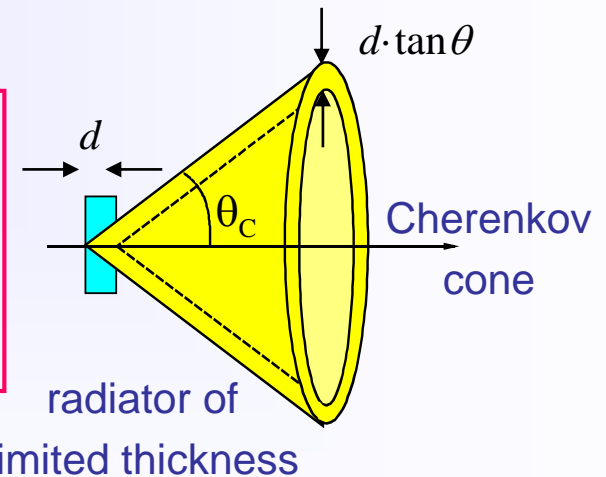
$$v_{\text{particle}} \geq c_{\text{medium}} = \frac{c}{n}$$

$$\beta_{\text{particle}} \geq \frac{1}{n} \quad (n = \text{refr. index})$$



$$\cos \theta_C = \frac{v_{\text{wave}}}{v_{\text{particle}}} = \frac{1}{n\beta}$$

with  $n = n(\lambda) \geq 1$

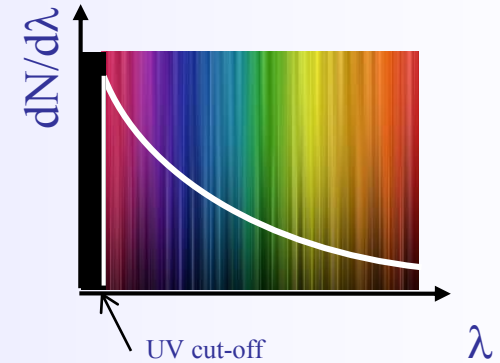


$$\beta_{\text{thr}} = \frac{1}{n} \rightarrow \theta_C \approx 0 \quad \text{Cherenkov threshold}$$

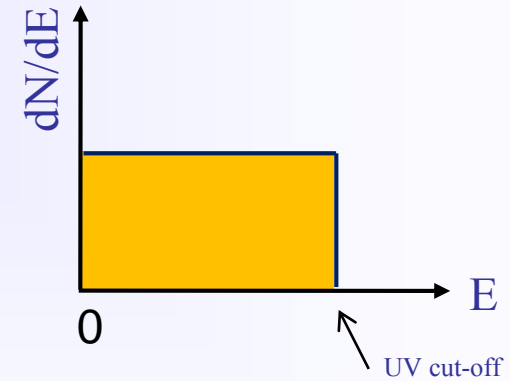
$$\theta_{\text{max}} = \arccos \frac{1}{n} \quad \text{'saturated' angle } (\beta=1)$$

Number of emitted photons per unit length and unit wavelength/energy interval

$$\frac{d^2 N}{dx d\lambda} = \frac{2\pi z^2 \alpha}{\lambda^2} \left(1 - \frac{1}{\beta^2 n^2}\right) = \frac{2\pi z^2 \alpha}{\lambda^2} \sin^2 \theta_c$$



$$\frac{d^2 N}{dx d\lambda} \propto \frac{1}{\lambda^2} \quad \text{with} \quad \lambda = \frac{c}{f} = \frac{hc}{E} \quad \frac{d^2 N}{dx dE} = \text{const.}$$



$$\frac{dN_\gamma}{dx} = \frac{\alpha}{\hbar c} \sin^2 \theta \cdot \Delta E = \frac{370}{eV \cdot cm} \sin^2 \theta \cdot \Delta E$$

Cherenkov effect is a weak light source.

There are only a few tens or hundreds of photons produced per cm.

medium	n	$\theta_{\max}$ (deg.)	$N_{\text{ph}}$ (eV <sup>-1</sup> cm <sup>-1</sup> )
air*	1.000283	1.36	0.208
isobutane*	1.00127	2.89	0.941
water	1.33	41.2	160.8
quartz	1.46	46.7	196.4
aerogel	1.03	13.86	0.12

- Energy loss by Cherenkov radiation small compared to ionization ( $\approx 0.1\%$ )
- Cherenkov effect is a very weak light source
- need highly sensitive photodetectors

\*NTP

Number of **detected** photo electrons  $N_{p.e.} = L \sin^2 \theta \underbrace{\frac{\alpha}{\hbar c} \int_{E_1}^{E_2} \varepsilon_Q(E) \prod_i \varepsilon_i(E) dE}_{N_0 = 370 \cdot eV^{-1} \cdot cm^{-1} \langle \varepsilon_{total} \rangle \Delta E}$

$\Delta E = E_2 - E_1$  is the width of the sensitive range of the photodetector (photomultiplier, photosensitive gas detector...)

$N_0$  is also called **figure of merit** ( ~ performance of the photodetector)

**Example:** for a detector with  $\langle \varepsilon_{total} \rangle \cdot \Delta E = 0.2 \cdot 1 eV$   $L = 1 cm$   
 and a Cherenkov angle of  $\theta_C = 30^\circ$   
 one expects  $N_{p.e.} = 18$  photo electrons



# Photodetection

(Detection of light in the optical and UV domain)

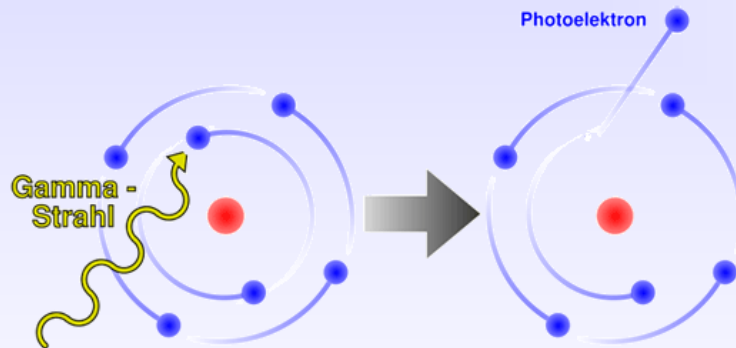
## Purpose:

Convert light into detectable electronic signal

(we are not covering photographic emulsions!)

## Principle:

Use photoelectric effect to ‘convert’ photons ( $\gamma$ ) to photoelectrons (pe)

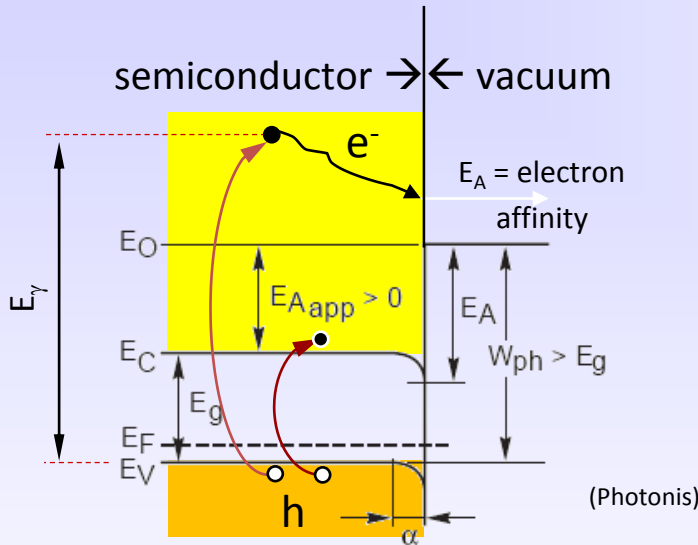


Details depend on the type of the photosensitive material (see below).

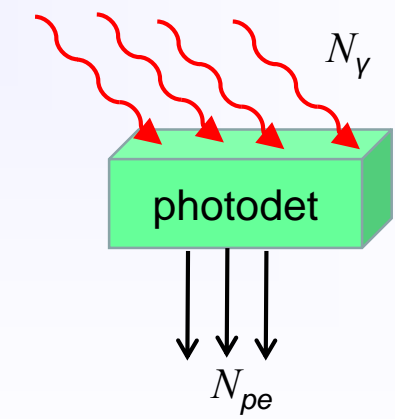
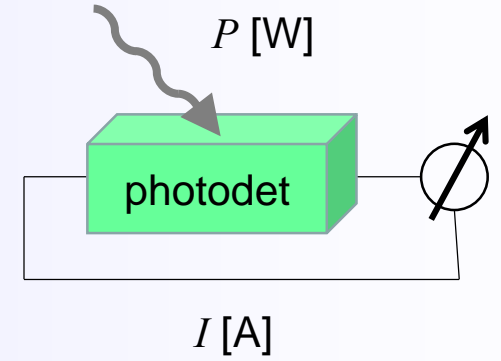
Photon detection involves often materials like K, Na, Rb, Cs (alkali metals) . They have the smallest electronegativity  $\rightarrow$  highest tendency to release electrons.



Many solid photosensitive materials are semiconductors ...  
 but photoeffect can also be observed from gases and liquids.



Internal photoeffect  
 External photoeffect



Internal photoeffect:  $E_\gamma > E_g$   
 External photoeffect:  $E_\gamma > E_g + E_A$

## Requirements on photodetectors

- High sensitivity, usually expressed as: quantum efficiency  $QE(\%) = \frac{N_{pe}}{N_\gamma}$

or radiant sensitivity  $S$  (mA/W), with  $QE(\%) \approx 124 \cdot \frac{S(mA/W)}{\lambda(nm)}$

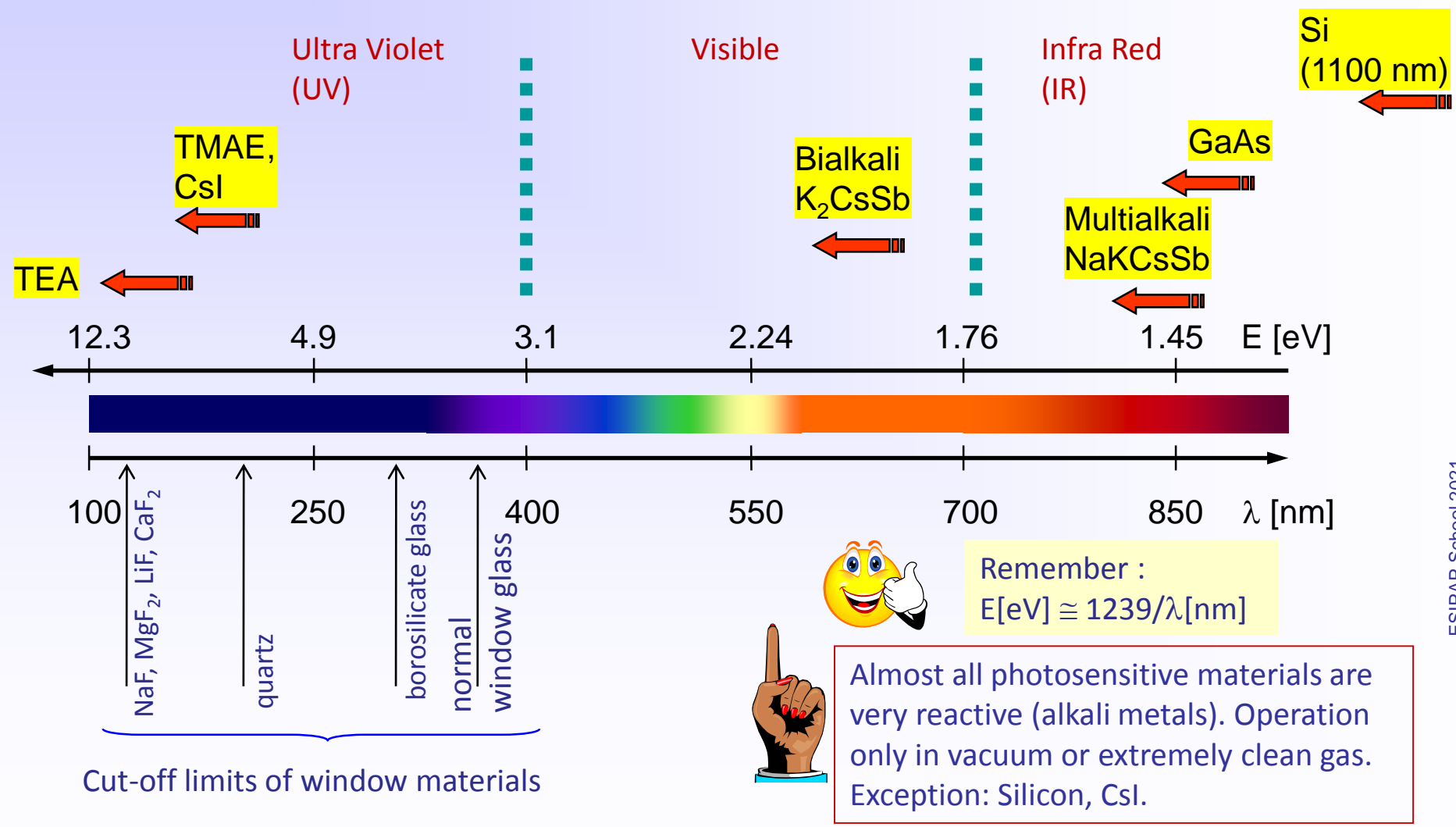


QE can be >100% (for high energetic photons) !

- Good Linearity: Output signal  $\sim$  light intensity, over a large dynamic range (critical e.g. in calorimetry (energy measurement)).
- Fast Time response: Signal is produced instantaneously (within ns), low jitter (<ns), no afterpulses
- Low intrinsic noise. A noise-free detector doesn't exist. Thermally created photoelectrons represent the lower limit for the noise rate  $\sim A_0 T^2 \exp(-eW_{ph}/kT)$ . In many detector types, noise is dominated by other sources.
- + many more (size, fill factor, radiation hardness, cost, tolerance/immunity to B-fields...)

# Frequently used photosensitive materials / photocathodes

← begin of arrow indicates threshold



## Basic principle:

Photo-emission from photo-cathode

Secondary emission from  $N$  dynodes:

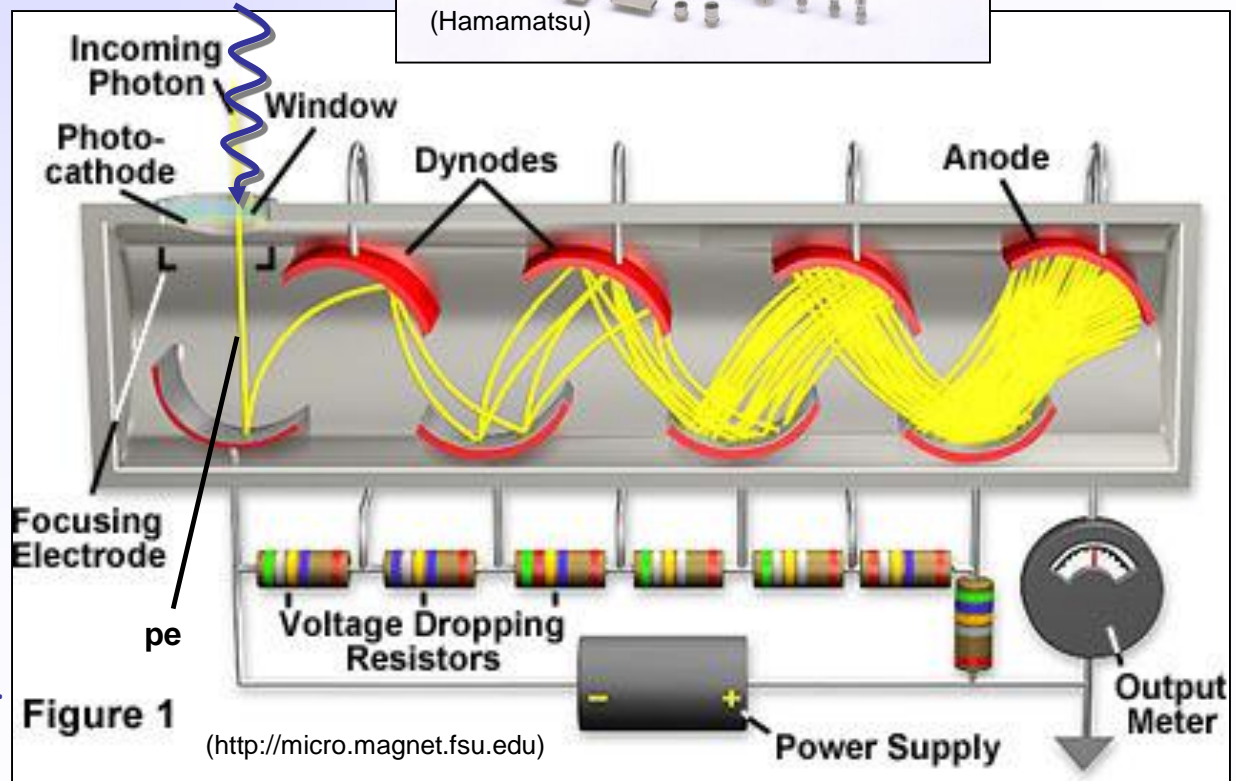
- dynode gain  $g \approx 3-50$  (function of incoming electron energy  $E$ );
- total gain  $M$ :

$$M = \prod_{i=1}^N g_i$$

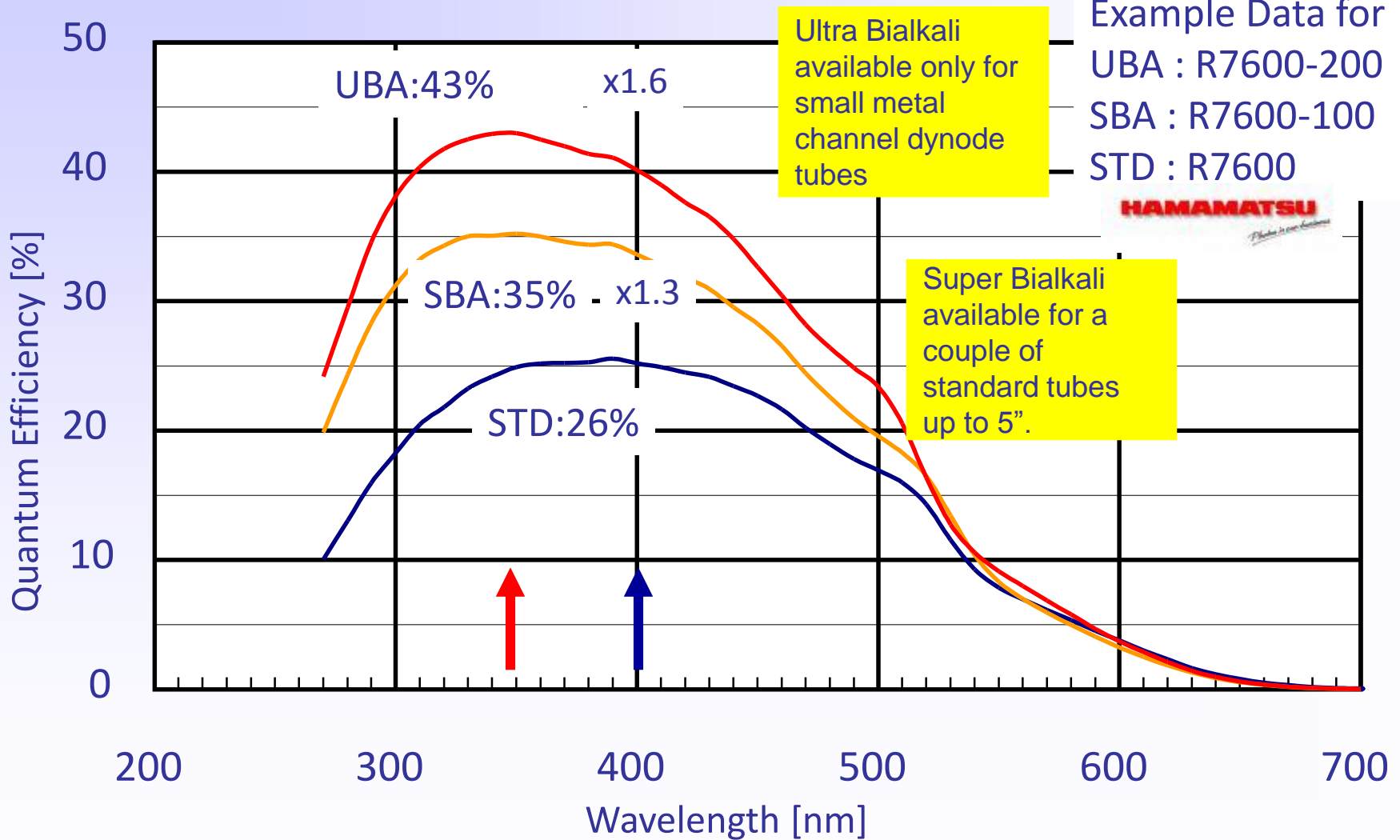
Example:

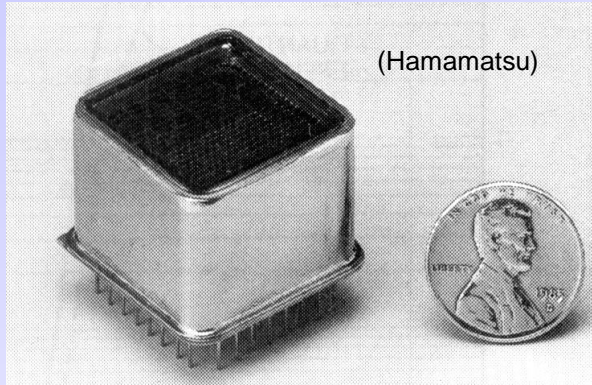
- 10 dynodes with  $g = 4$
- $M = 4^{10} \approx 10^6$

Very sensitive to magnetic fields, even to earth magnetic field ( $30-60 \mu\text{T} = 0.3-0.6$  Gauss).  
 → Shielding required (mu-metal).



QE Comparison of semitransparent bialkali QE

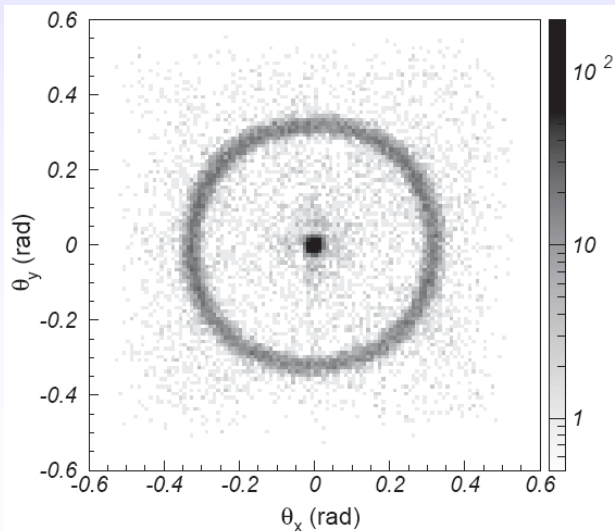




## Multi-anode PMT (Hamamatsu)

- Up to  $8 \times 8$  channels ( $2 \times 2 \text{ mm}^2$  each);
- Size:  $28 \times 28 \text{ mm}^2$ ;
- Bialkali PC:  $\text{QE} \approx 25 - 45\%$  @  $\lambda_{\text{max}} = 400 \text{ nm}$ ;
- Gain  $\approx 3 \cdot 10^5$ ;
- Gain uniformity typ. 1 : 2.5;
- Cross-talk typ. 2%

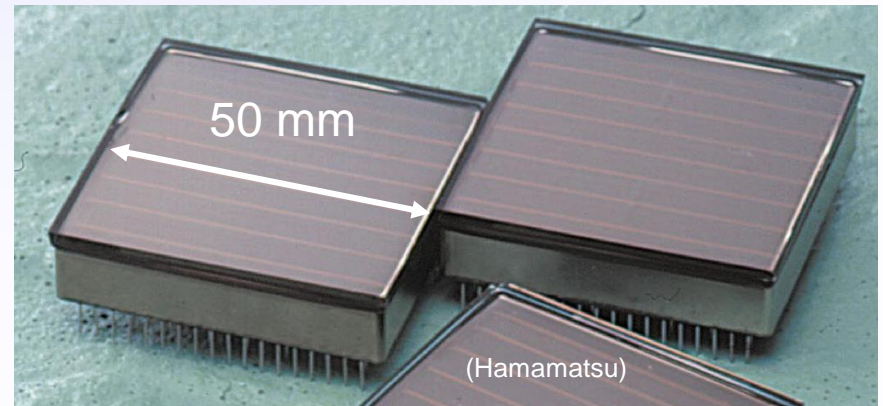
Cherenkov rings from  
3 GeV/c  $\pi^-$  through aerogel

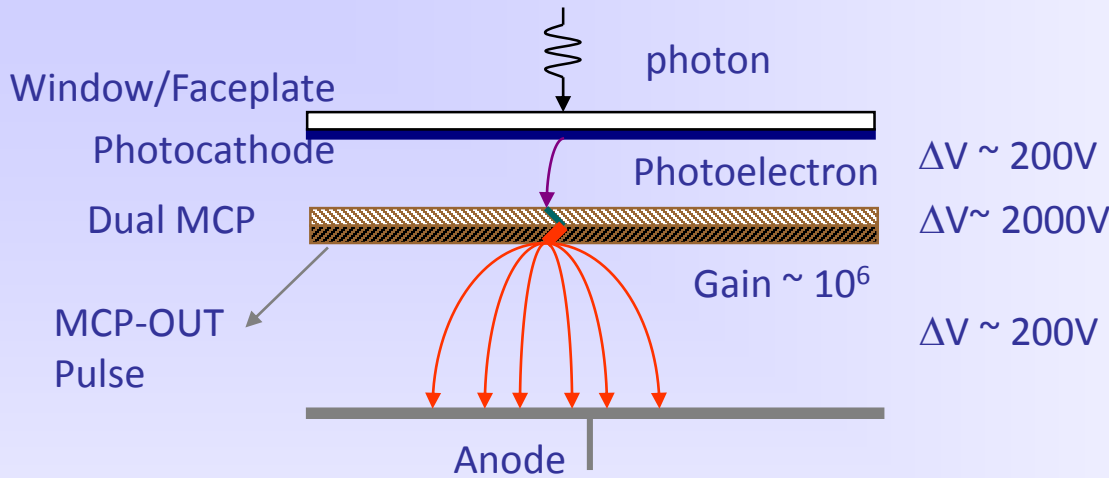


(T. Matsumoto et al., NIMA **521** (2004) 367)

## Flat-panel (Hamamatsu H8500):

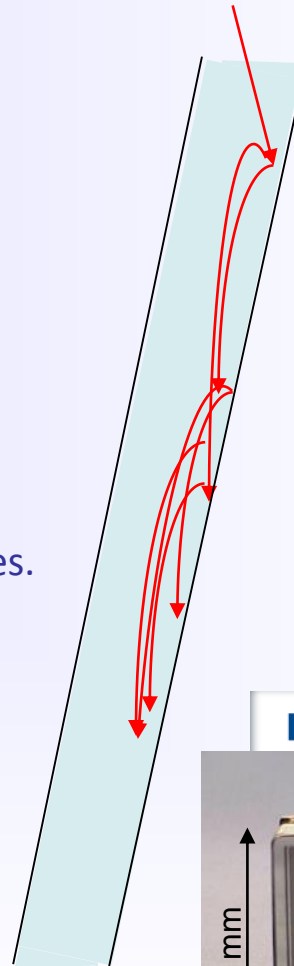
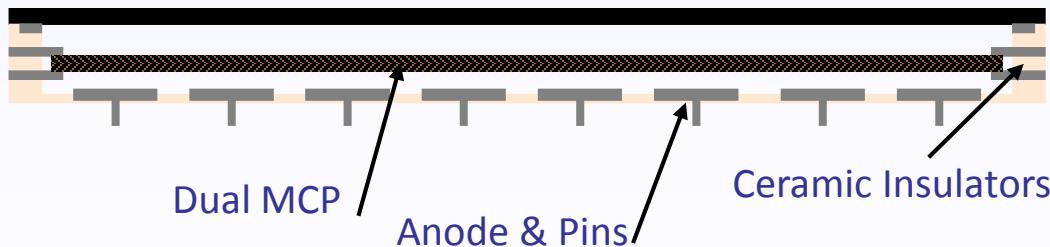
- 8 x 8 channels ( $5.8 \times 5.8 \text{ mm}^2$  each)
- Excellent surface coverage (89%)



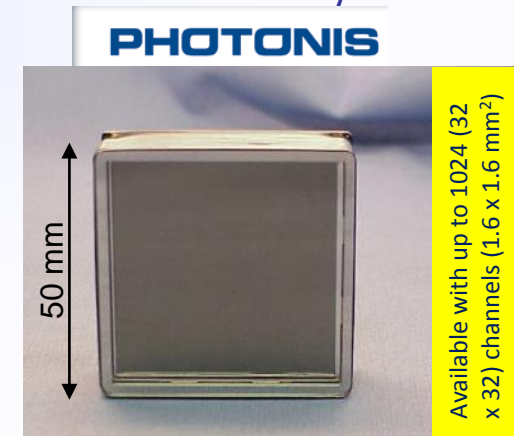


MCPs are usually based on glass disks, with lots of aligned pores. The surface of the pores are metal coated.

Gain stage and detection are decoupled → lots of potential and freedom for MA-PMTs: Anode can be easily segmented in application specific way.

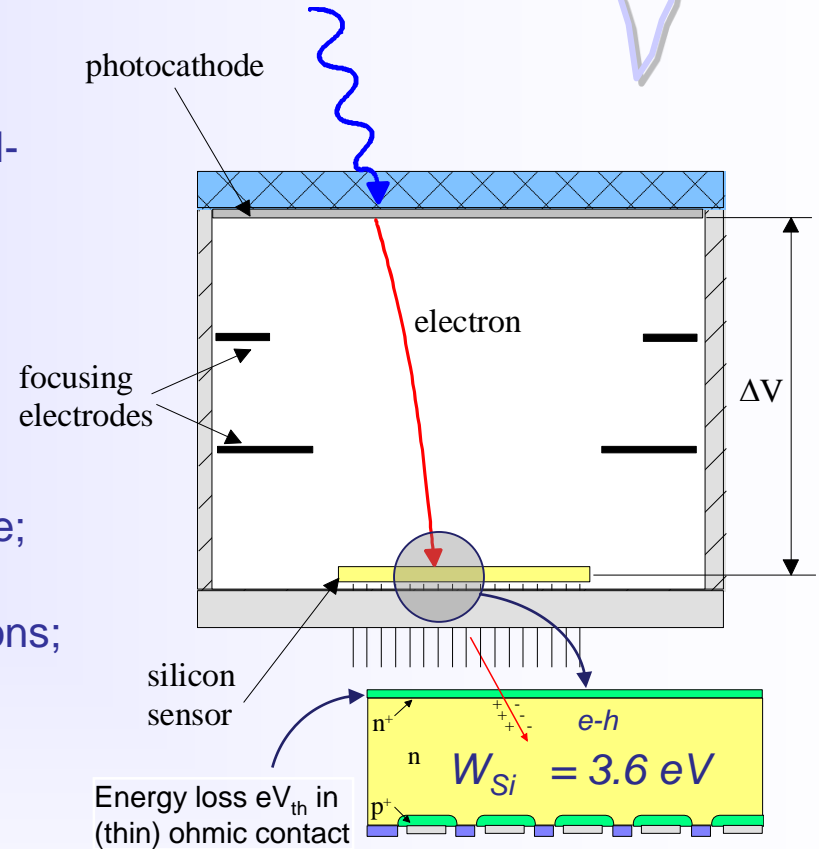


- Typical secondary yield is 2
- For 40:1 L:D there are typically 10 strikes ( $2^{10} \sim 10^3$  gain per single plate)
- Pore sizes range from  $<10$  to  $25 \mu\text{m}$ .
- Small distances → small TTS and good immunity to B-field

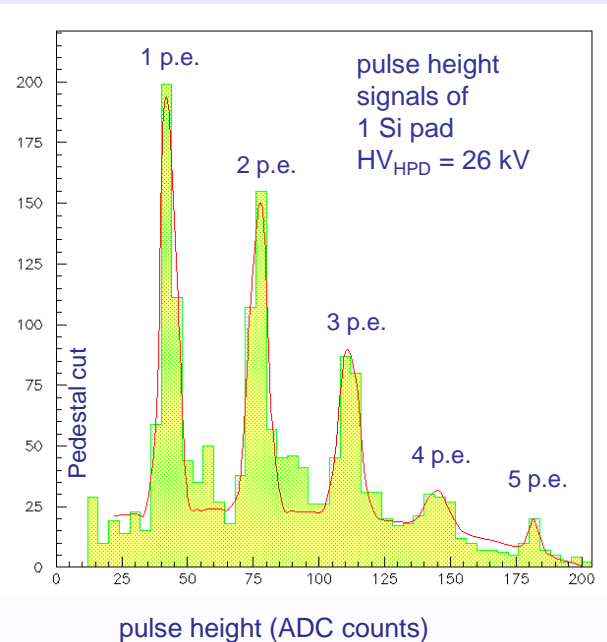


## Basic principle:

- Combination of vacuum photon detectors and solid-state technology;
- Optical window, (semitransparent) photo-cathode;
- Electron optics (optional: demagnification)
- Charge Gain: achieved *in one step* by energy dissipation of keV pe's in solid-state detector anode;



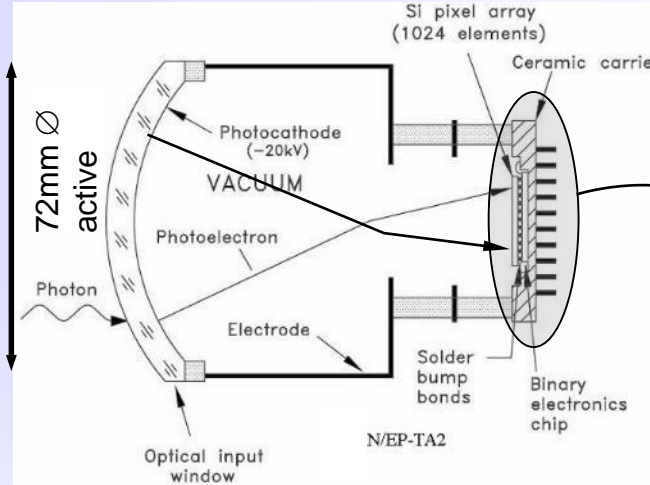
→ low gain fluctuations;



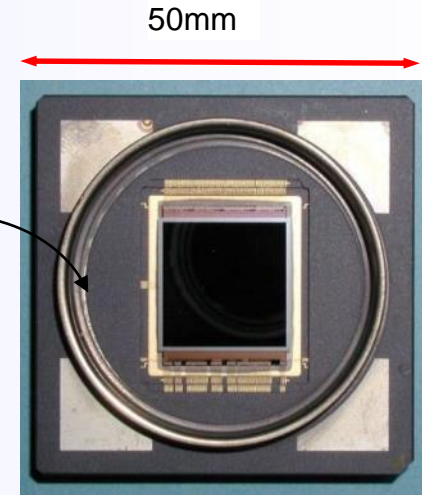
$$M = \frac{e(\Delta V - V_{th})}{W_{Si}} \quad \begin{matrix} \Delta V = 20 \text{ kV} \\ \rightarrow M \sim 5000 \end{matrix}$$

$$\sigma_M = \sqrt{F \times M} \quad \begin{matrix} F = \text{Fano factor} \\ F_{Si} \sim 0.1 \end{matrix}$$



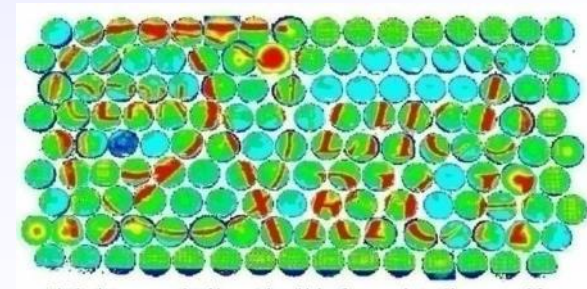


T. Gys, NIM A 567 (2006) 176-179



Pixel-HPD anode

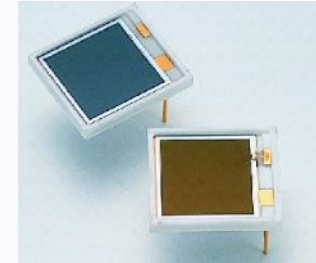
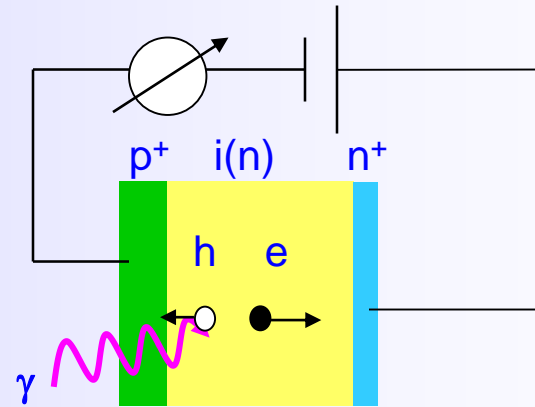
- Cross-focused electron optics
- pixel array sensor bump-bonded to binary electronic chip, developed at CERN
- 8192 pixels of  $50 \times 400 \mu\text{m}$ .
- specially developed high  $T^\circ$  bump-bonding;
- Flip-chip assembly, tube encapsulation (multi-alkali PC) performed in industry (VTT, Photonis/DEP)



During commissioning:  
illumination of 144 tubes by  
beamer. In total : 484 tubes.

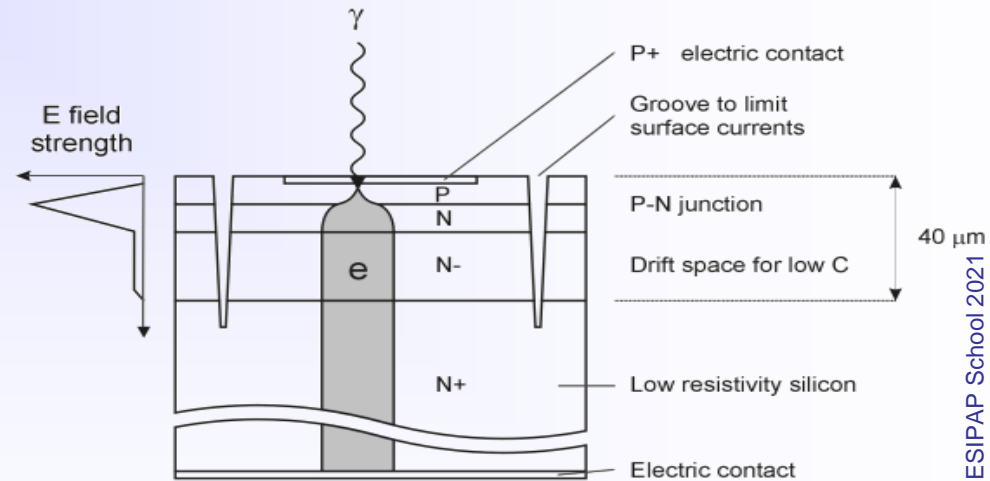
## (Si) – Photodiodes (PIN diode)

- P(I)N type
- p layer very thin ( $<1 \mu\text{m}$ ), as visible light is rapidly absorbed by silicon
- High QE (80% @  $\lambda \approx 700\text{nm}$ )
- Gain = 1



## Avalanche photodiode (APD)

- High reverse bias voltage: typ. few 100 V
- Special doping profile  $\rightarrow$  high internal field ( $>10^5 \text{ V/cm}$ )  $\rightarrow$  e and h avalanche multiplication
- Avalanche must stop due to statistical fluctuations.
- Gain: typ.  $O(100)$
- Rel. high gain fluctuations (excess noise from the avalanche). CMS ECAL APD:  $\text{ENF} = 2 @G=50$ .
- Very high sensitivity on temp. and bias voltage  $\Delta G = 3.1\%/V$  and  $-2.4 \%/K$



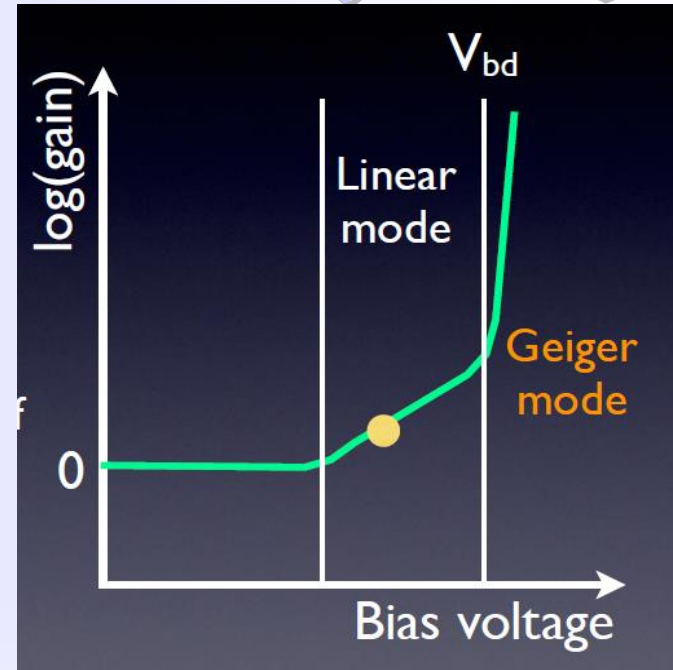
Hamamatsu S8148.  
(140.000 pieces used in CMS barrel ECAL).



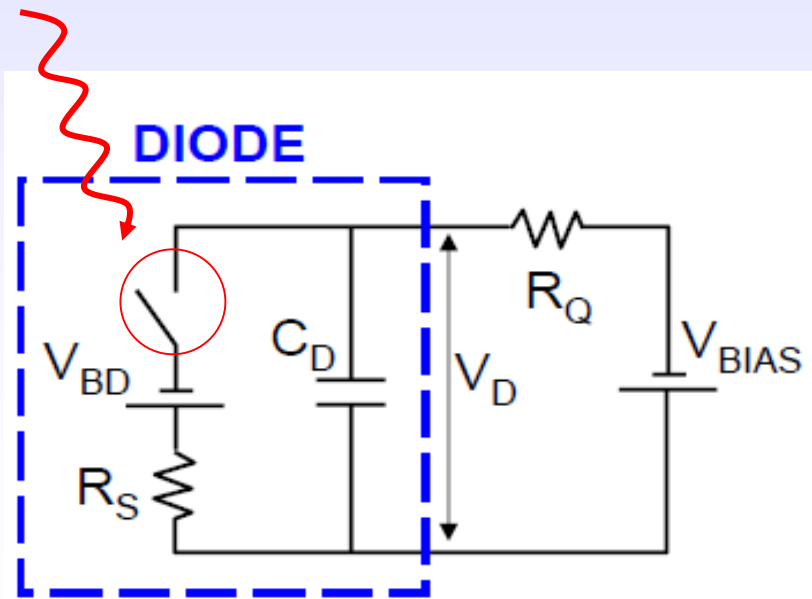
How to obtain higher gain (= single photon detection) without suffering from excessive noise ?

Operate APD cell in Geiger mode (= full discharge), however with (passive) quenching.

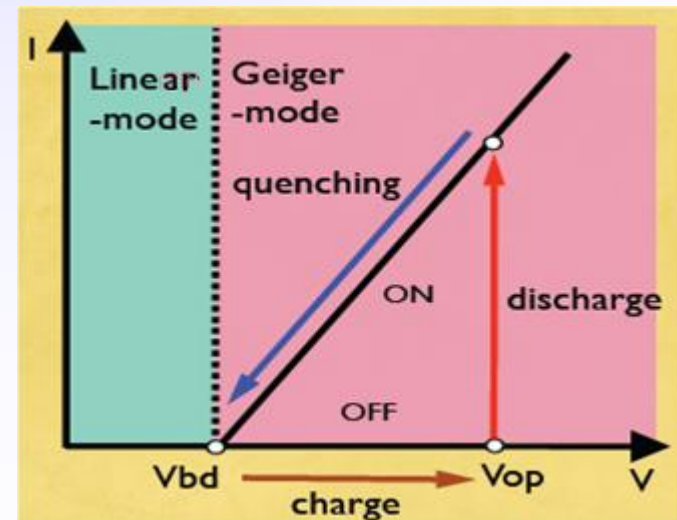
Photon conversion + avalanche short-circuits the diode.



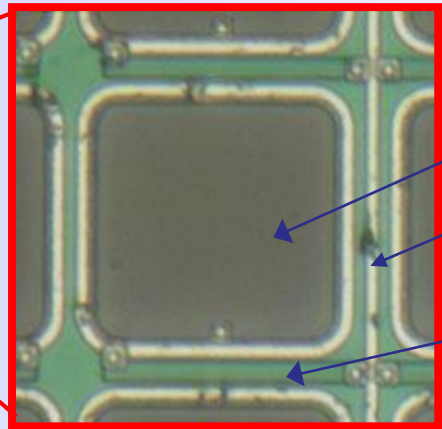
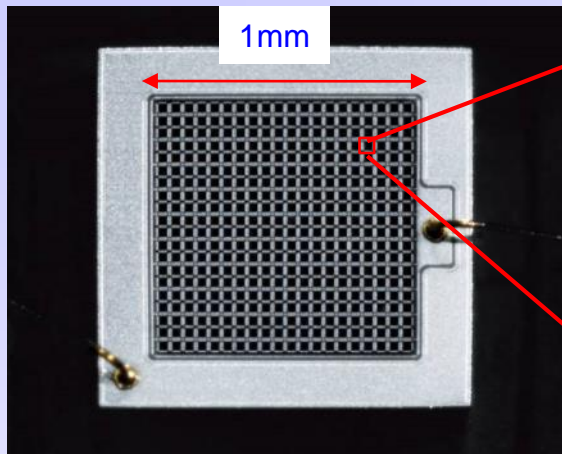
J. Haba, RICH2007



J. Haba, RICH2007



J. Haba, RICH2007



100 – several 1000 pix / mm<sup>2</sup>

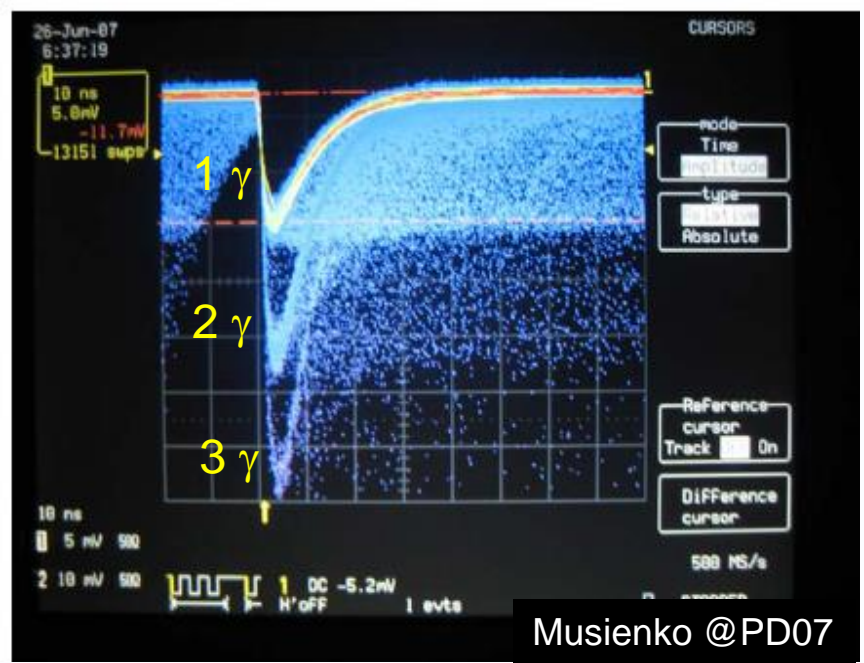
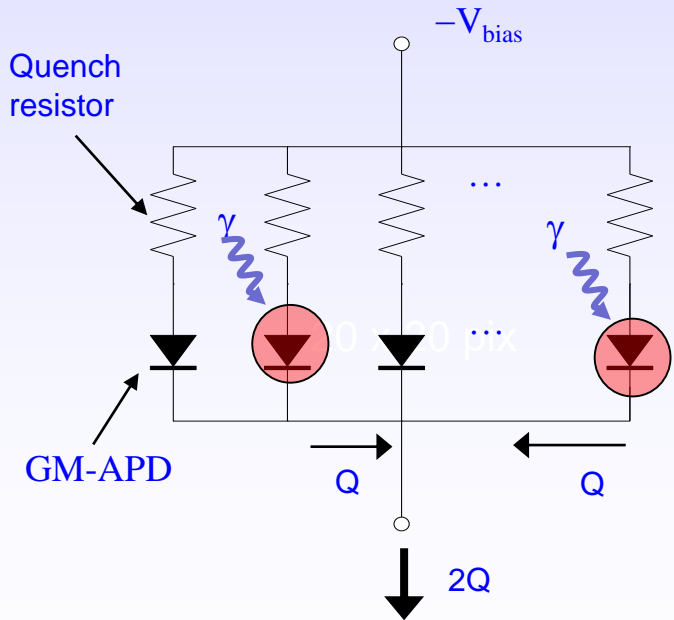
GM-APD

Bias bus

Quench resistor

*Only part of surface is photosensitive!*

Sizes up to 6x6 mm<sup>2</sup> now standard.



Musienko @PD07

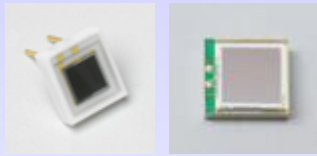
Quasi-analog detector allows photon counting with a clearly quantized signal

**Hamamatsu HPK** (<http://jp.hamamatsu.com/>)  
 25x25 $\mu\text{m}^2$ , 50x50 $\mu\text{m}^2$ , 100x100 $\mu\text{m}^2$  pixel size

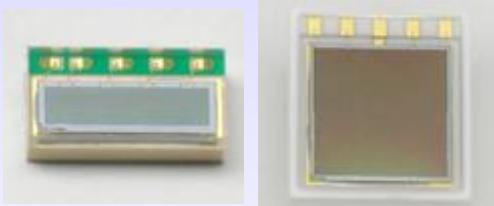
1x1mm<sup>2</sup>



3x3mm<sup>2</sup>



Arrays



1x4mm<sup>2</sup>  
1x4 channels

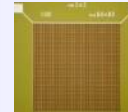
6x6 mm<sup>2</sup>  
2x2 channels

**FBK-IRST**  
 50x50 $\mu\text{m}^2$  pixel size

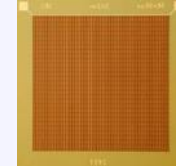
1x1mm<sup>2</sup>



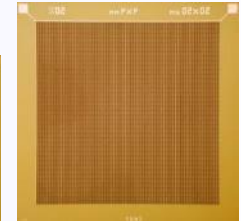
2x2mm<sup>2</sup>



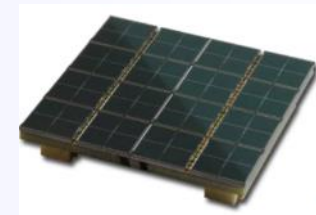
3x3mm<sup>2</sup>



4x4mm<sup>2</sup>



4x4mm<sup>2</sup>  
2x2 channels



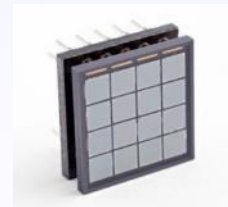
3x3 cm<sup>2</sup>  
8x8 channels

**SensL** (<http://sensl.com/>)

20x20 $\mu\text{m}^2$ , 35x35 $\mu\text{m}^2$ , 50x50 $\mu\text{m}^2$ , 100x100 $\mu\text{m}^2$  pixel size



3.16x3.16mm<sup>2</sup>  
4x4 channels



3.16x3.16mm<sup>2</sup>  
4x4 channels



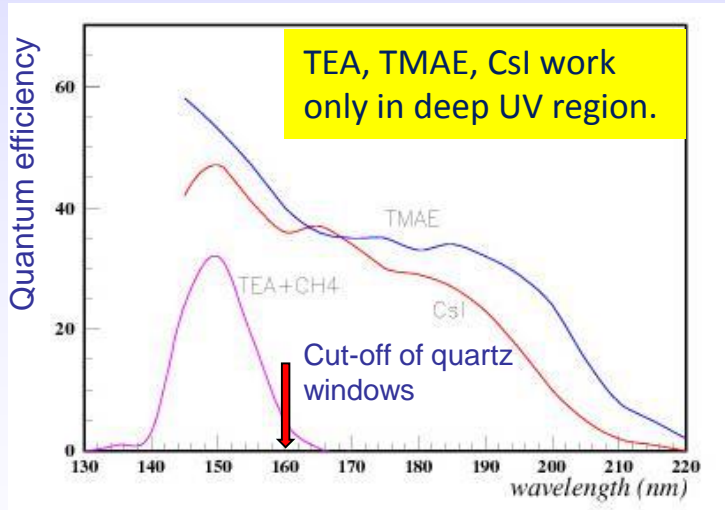
6 x 6 cm<sup>2</sup>  
16x16 channels

## Principle:

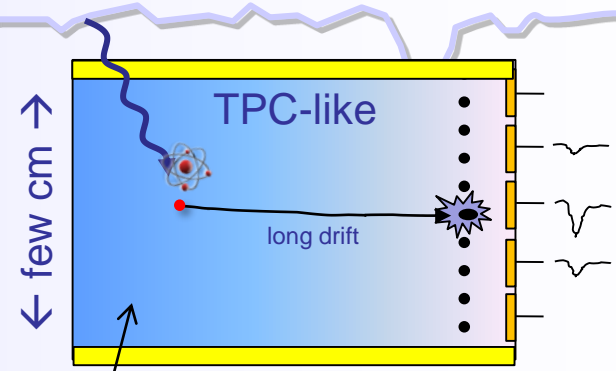
- A. Ionize photosensitive molecules, admixed to the counter gas: TMAE ( $\lambda_{\text{abs}} = \text{O}(\text{cm})$ ), TEA ( $\lambda_{\text{abs}} = \text{O}(\text{mm})$ ).
- B. release photoelectron from a solid photocathode (CsI, bialkali...).  $\lambda_{\text{abs}} = 0$ .

In both cases free photoelectrons create Townsend avalanches

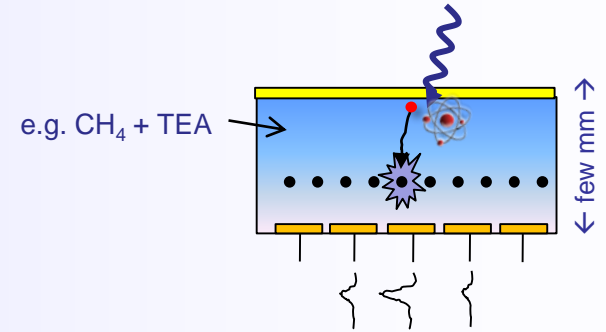
→ Gain



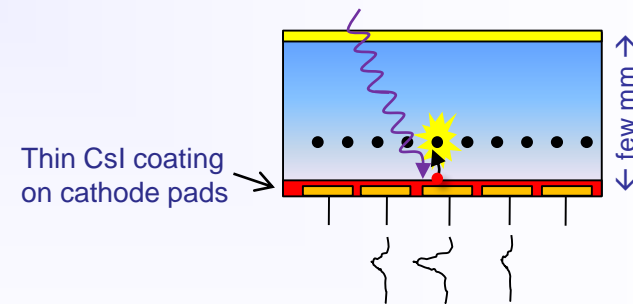
The dream: Bialkali works in visible domain, however requires VERY clean gases. Long term operation in a real detector not yet demonstrated.



e.g. CH<sub>4</sub> + TMAE



e.g. CH<sub>4</sub> + TEA

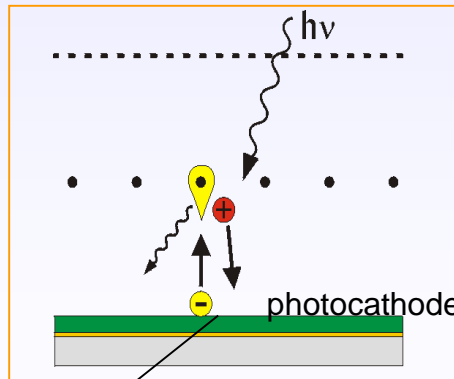
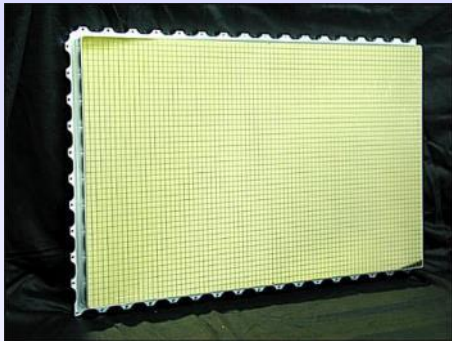


ESIPAP School 2021

- Challenges: How to achieve high gain ( $10^5$ ) ? How to control ion feedback and light emission from avalanche? How to purify gas and keep it clean? How to control aging ?

## Proven technology:

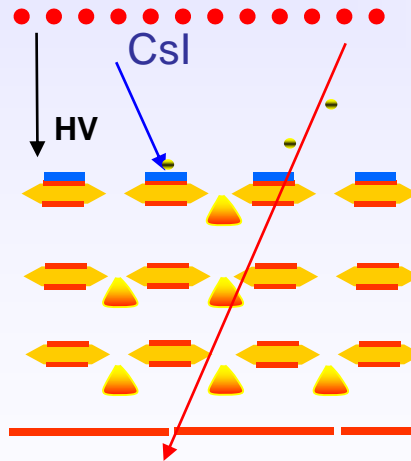
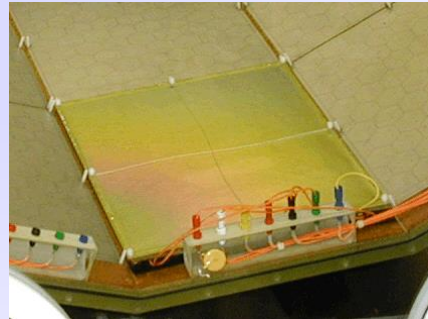
Cherenkov detectors in ALICE, HADES, COMPASS, J-LAB.... Many m<sup>2</sup> of CsI photocathodes



CsI on readout pads

## Micro Pattern Structures (GEM) + CsI

HBD (RICH) of PHENIX.

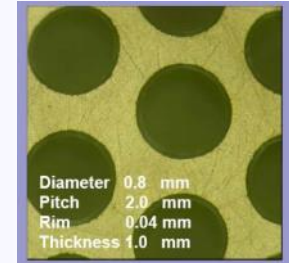
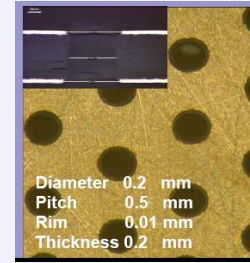


CsI on multi-GEM structure

## R&D:

Thick GEM structures  
Visible PC (bialkali)

Sealed gaseous devices



Sealed gaseous photodetector with bialkali PC. (Weizmann Inst., Israel)

## Cherenkov detectors can exploit ...

1  $N_{ph}(\beta)$  → Threshold detector. Do not measure  $\theta_C$

2  $\theta(\beta)$  → Ring Imaging Cherenkov detectors “RICH”  
→ Detection of Internally reflected Cherenkov light “DIRC”. } Measure  $\theta_C$

Knowing both the speed  $\beta = v/c$  and the momentum  $p$  of the particle allows to derive the mass of the particle and hence identify it (e.g.  $\pi$ , K, p, d,...).

Particle ID.

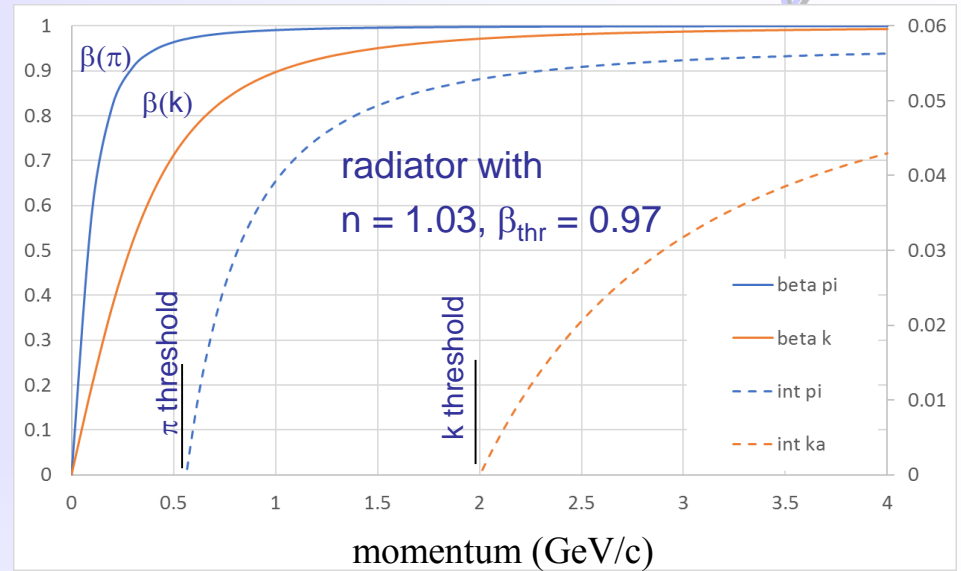
3 Prompt emission of Cherenkov light (different from scintillation) plus angular information → very fast timing detectors. TOP, TORCH



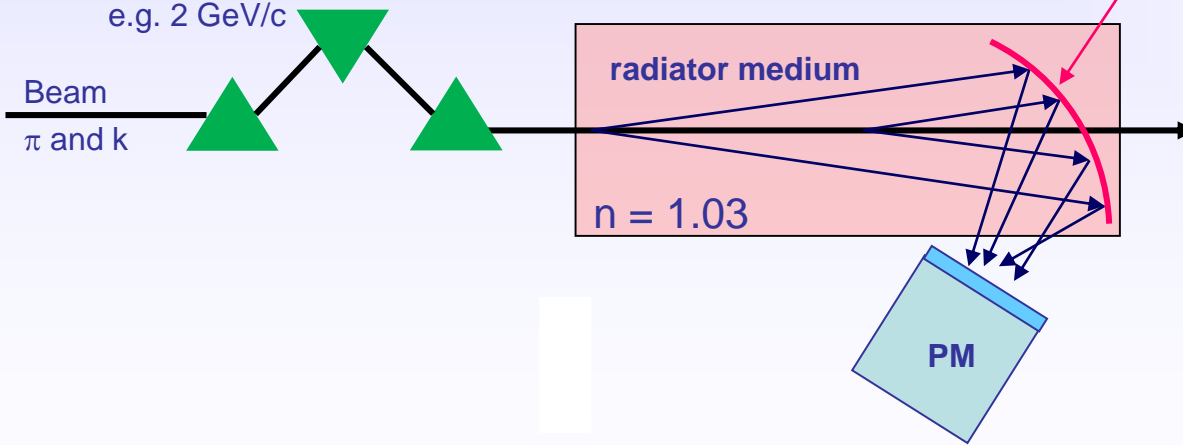
## Threshold Cherenkov detectors

$$N_{ph} \approx 1 - \frac{1}{n^2 \beta^2} = 1 - \frac{1}{n^2} \cdot \left(1 + \frac{m^2}{p^2}\right)$$

Often used in secondary beamlines (e.g. CERN PS, SPS) to tag particle type

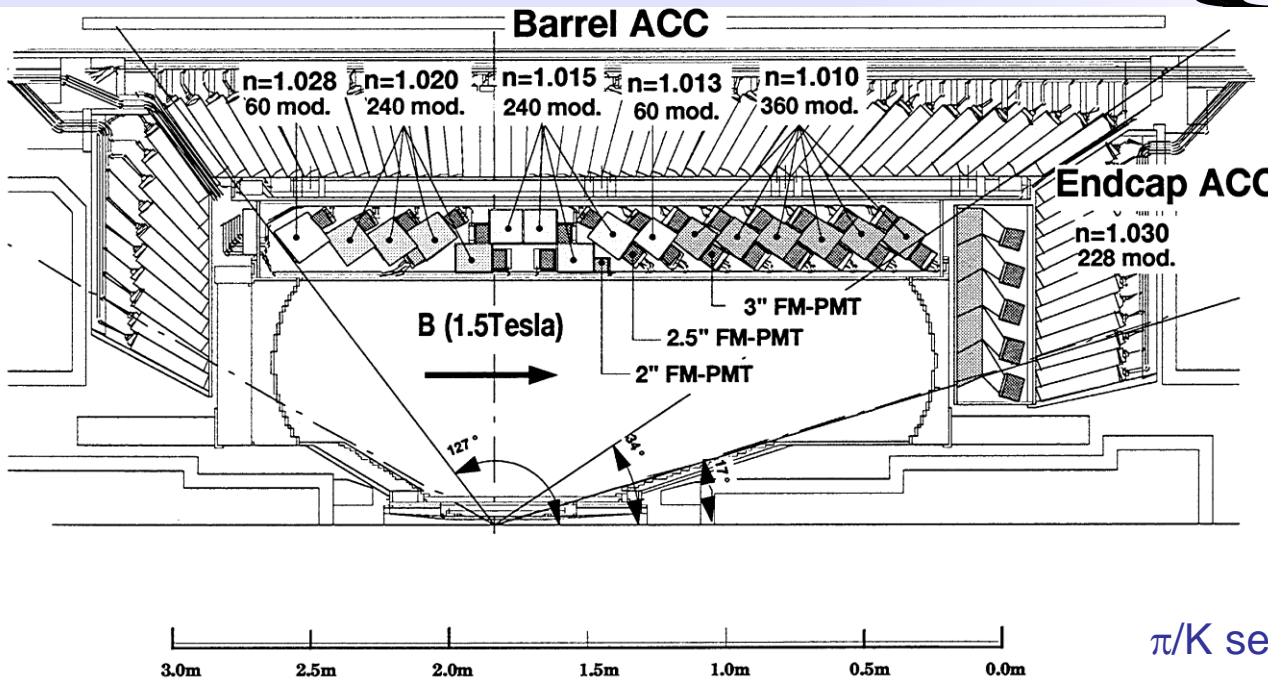
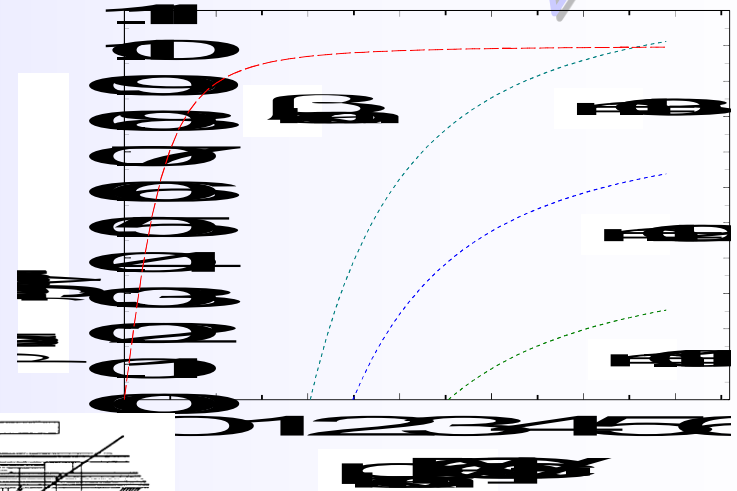
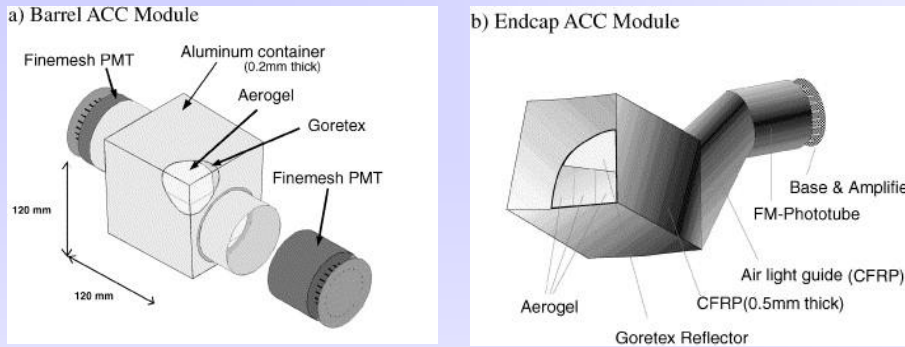


Dipole magnets select momentum, e.g. 2 GeV/c



Principle of a simple in-beam threshold Cherenkov counter

## BELLE threshold aerogel counters

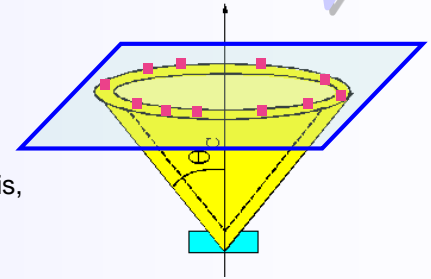


- Almost 1200 independent detector modules in total.
- 6 different aerogels.

$\pi/K$  separation up to 3.5 GeV/c

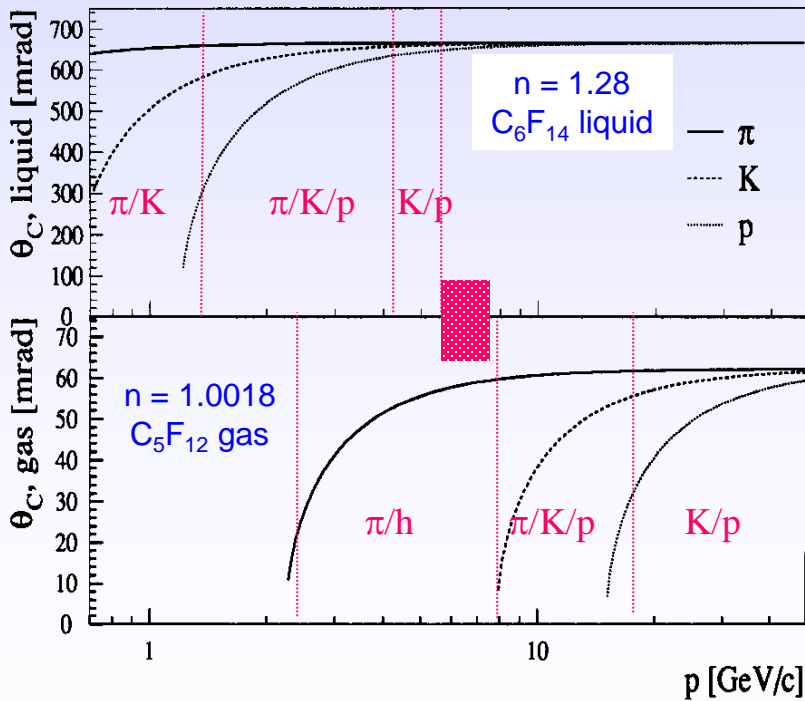
RICH detectors determine  $\theta_C$  by intersecting the Cherenkov cone with a photosensitive plane

- requires **large area photosensitive detectors**, e.g.
- wire chambers with photosensitive detector gas
- PMT arrays



(J. Seguinot, T. Ypsilantis, NIM 142 (1977) 377)

## DELPHI



$$\theta_C = \arccos\left(\frac{1}{n\beta}\right) = \arccos\left(\frac{1}{n} \cdot \frac{E}{p}\right)$$

$$= \arccos\left(\frac{1}{n} \cdot \frac{\sqrt{p^2 + m^2}}{p}\right)$$

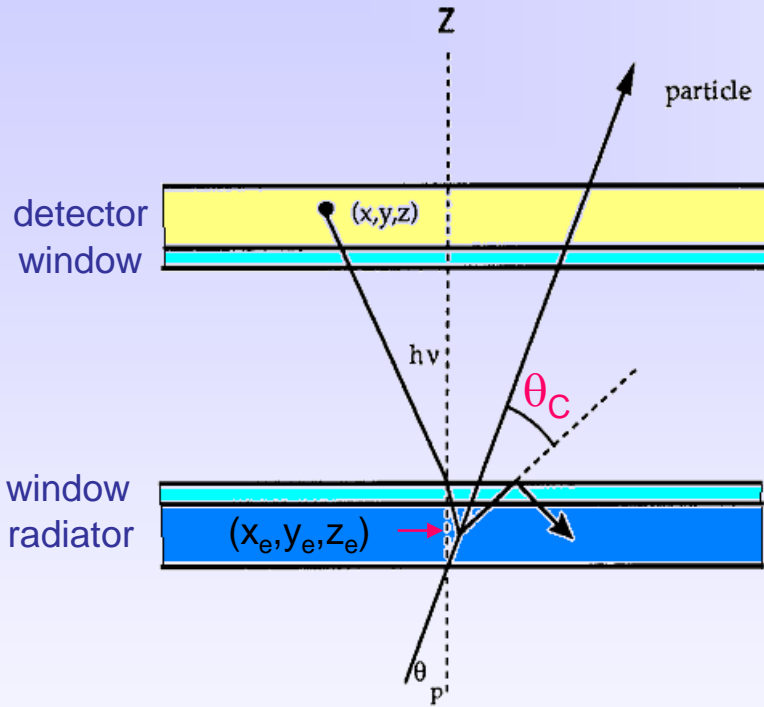
$$\cos\theta_C = \frac{1}{n\beta} \quad \rightarrow \quad \frac{\sigma_\beta}{\beta} = \tan\theta \cdot \sigma_\theta$$

Detect  $N_{p.e.}$  photons (photoelectrons) →

$$\sigma_\theta \approx \frac{\sigma_\theta^{p.e.}}{\sqrt{N_{p.e.}}} \quad \rightarrow \text{minimize } \sigma_\theta^{p.e.}$$

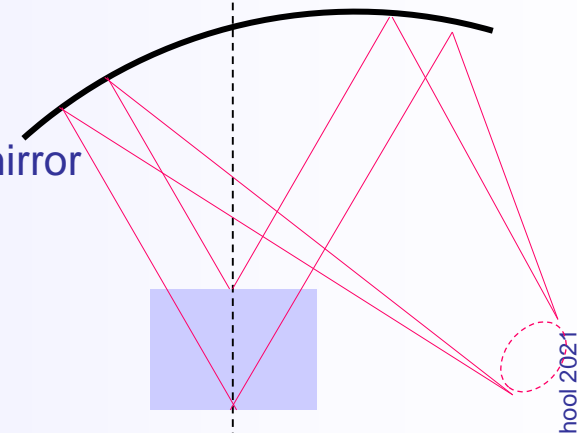
$$\rightarrow \text{maximize } N_{p.e.}$$

## Reconstruction and resolution of Cherenkov angle

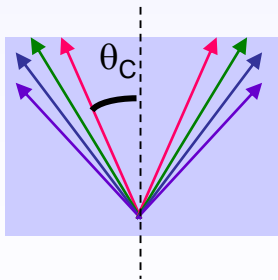


### Determination of $\theta_C$ requires:

- space point of the detected photon  $(x, y, z)$ 
  - ▶ photodetector granularity  $(\sigma_x, \sigma_y)$ , depth of interaction  $(\sigma_z)$
- emission point  $(x_e, y_e, z_e)$ 
  - ▶ keep radiator thin or use focusing mirror
- particle direction  $\theta_p, \phi_p$ 
  - ▶ RICH requires good tracker



- the chromatic error - an 'irreducible' error

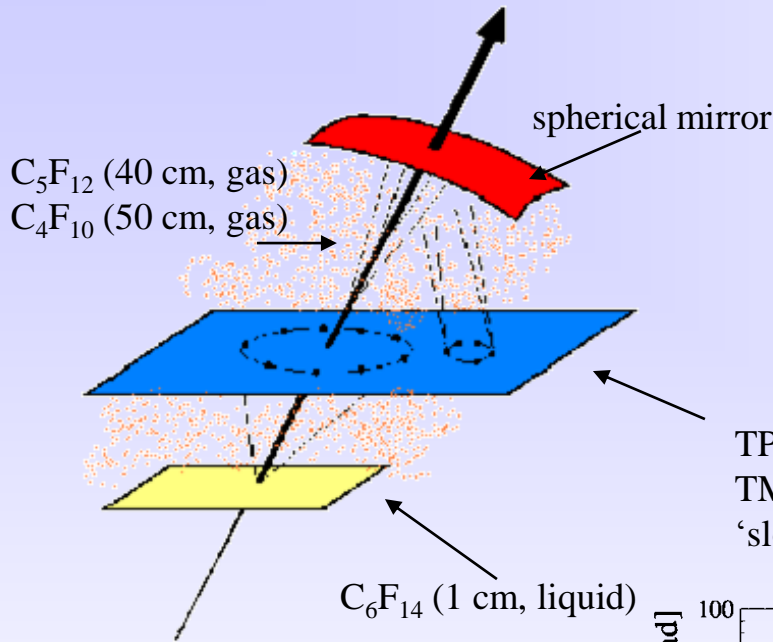


$$n_{rad} = n(E)$$

$$\sigma_{\theta}^c = \frac{1}{n \tan \theta} \sigma_n = \frac{1}{n \tan \theta} \frac{dn}{dE} \sigma_E$$

$\sigma_E$  is related to the sensitivity range of the photodetector  $\Delta E$

$\Delta E \uparrow$	$\rightarrow$	$N_{pe} \uparrow$	good	$\sigma_E \uparrow$	bad
$\Delta E \downarrow$	$\rightarrow$	$N_{pe} \downarrow$	bad	$\sigma_E \downarrow$	good



## DELPHI and SLD:

A RICH with two radiators and a common photodetector plane

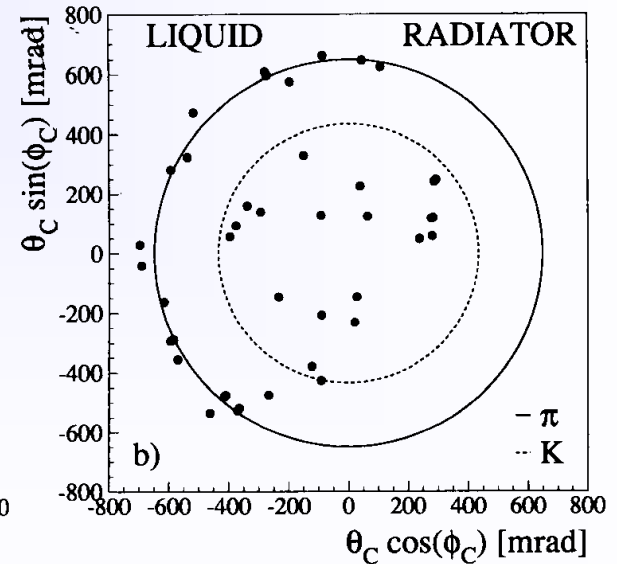
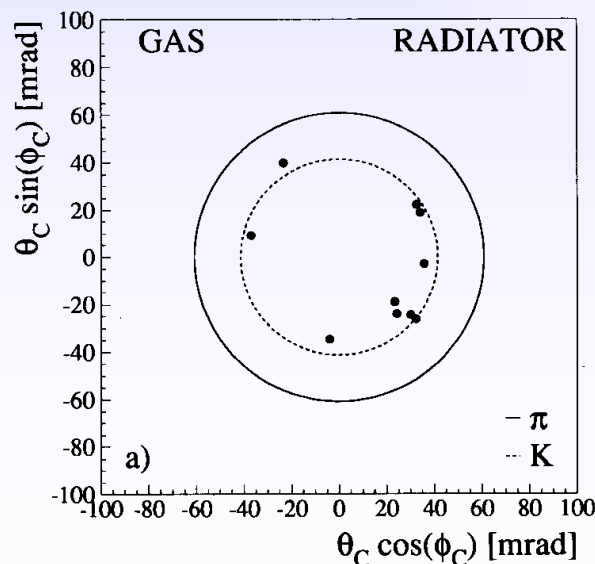
→ covers a large momentum range.

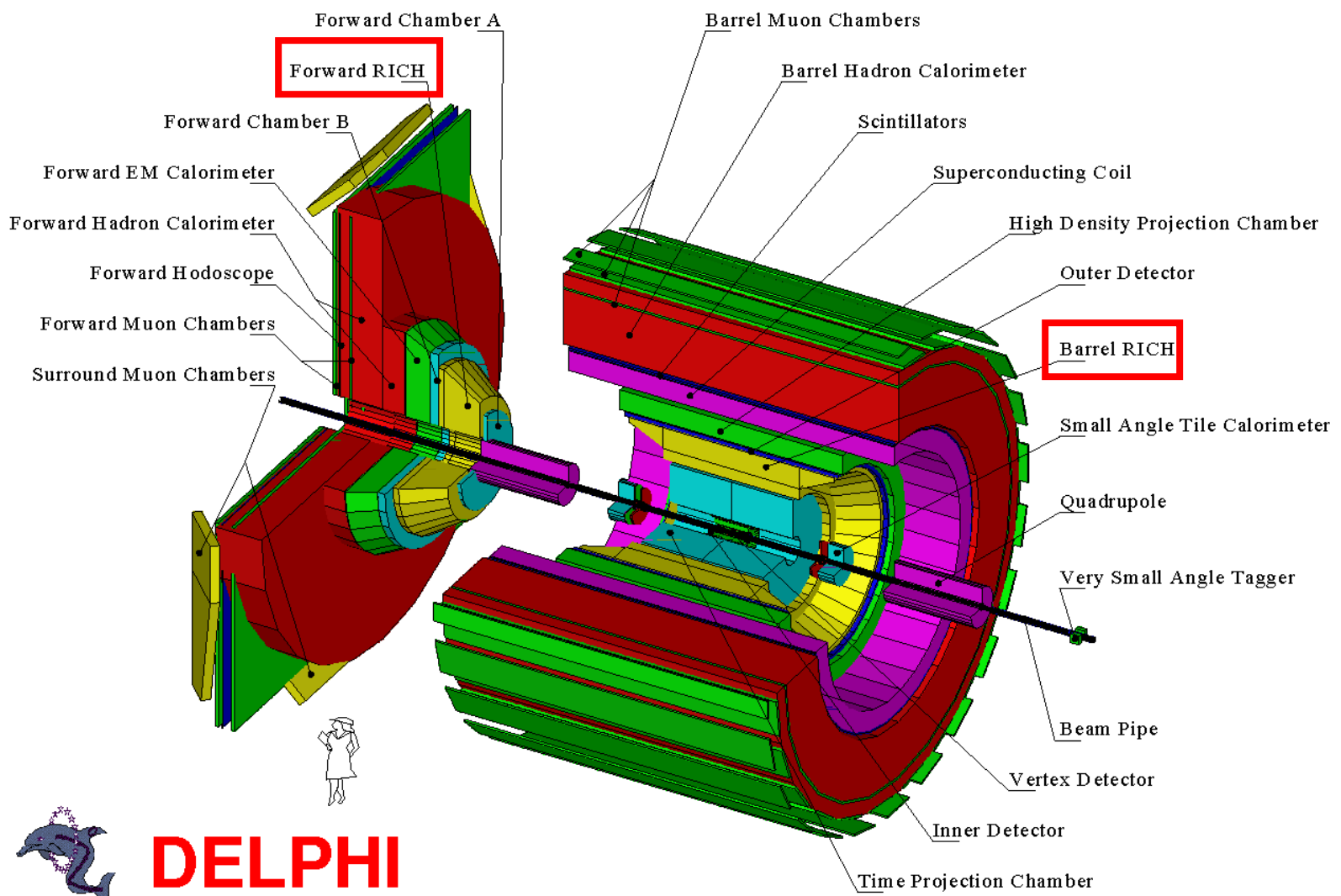
→  $\pi/K/p$  separation 0.7 - 45 GeV/c:

(W. Adam et al. NIM A 371 (1996) 240)

Generation 1

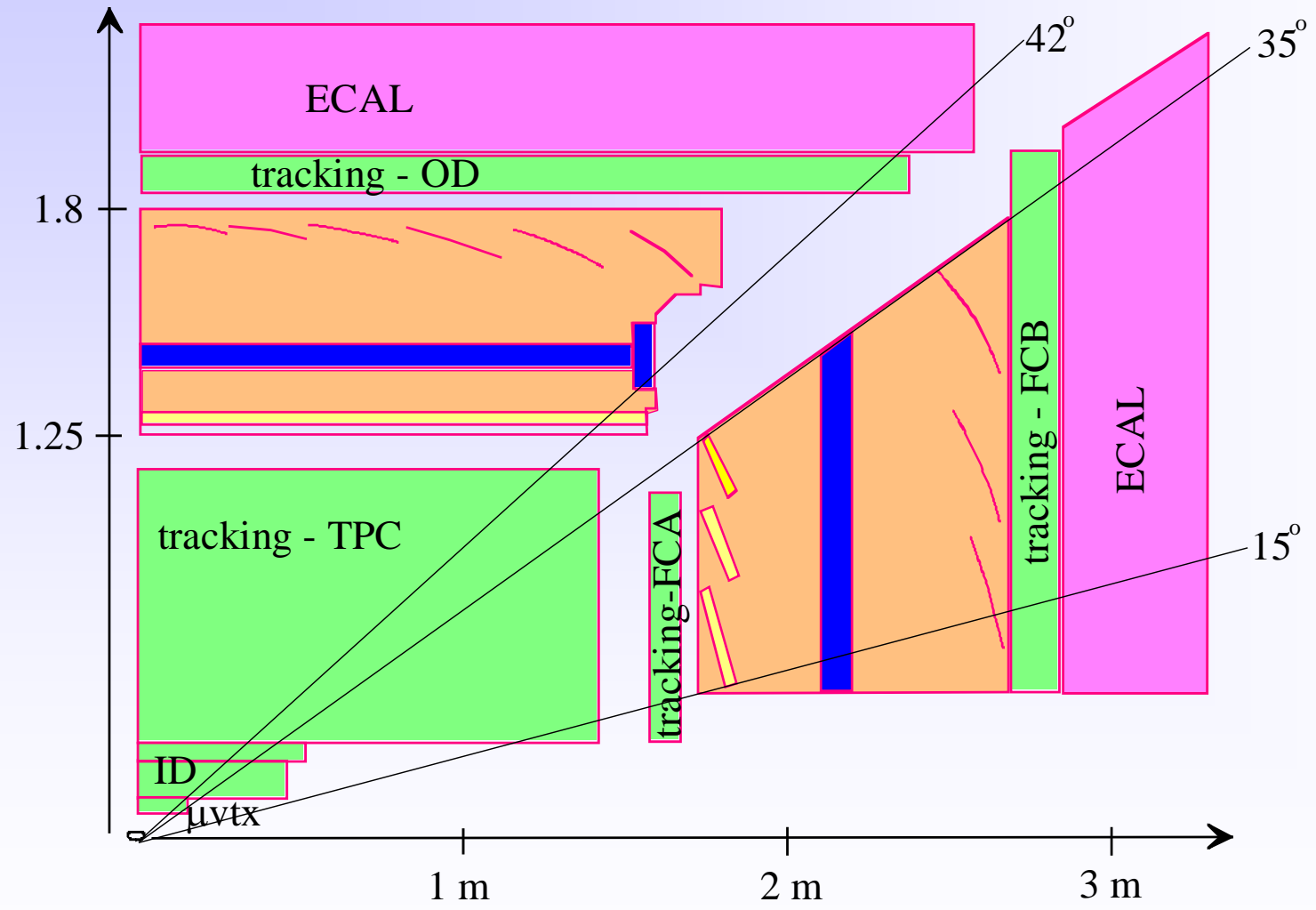
Two particles from a hadronic jet (Z-decay) in the DELPHI gas and liquid radiator. Circles show hypotheses for  $\pi$  and K





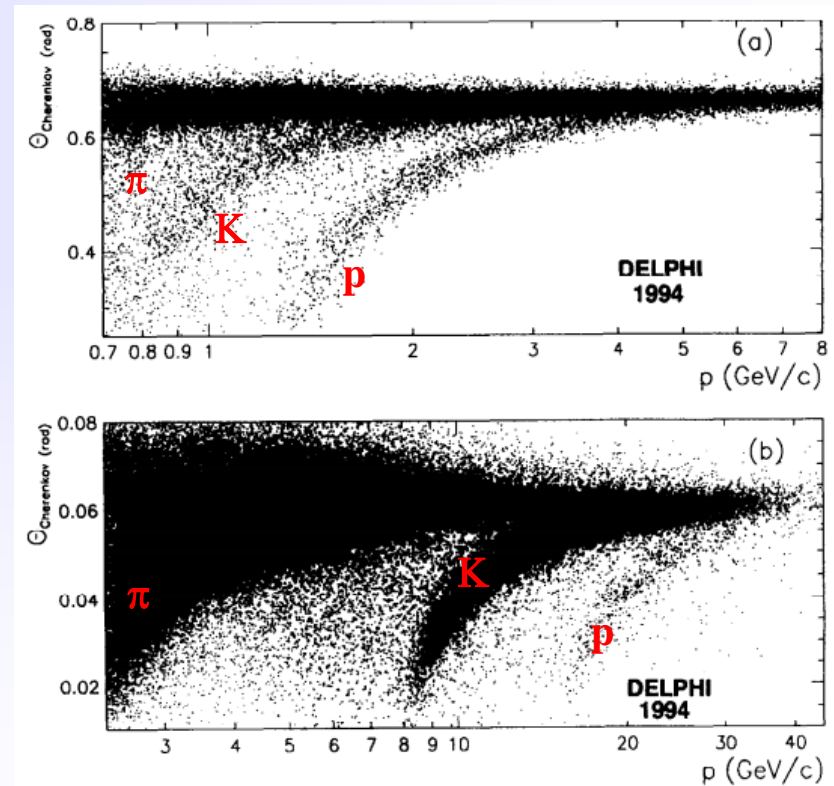
# DELPHI

The RICH detectors are sandwiched between tracking detectors which provide precise particle track extrapolation



- ◆ The DELPHI RICHes were complex and delicate, and required 24/24h care, 365 days a year. It took years to make them work and perform.
- ◆ Working in the VUV posed strict requirements on purity of all liquids and gases.
- ◆ The windows were made of quartz. The whole detector was kept at 1030 mbar, 40°C the whole year.
- ◆ Materials were attacked by  $C_xF_y$  fluids. Leaks between radiator systems, shorts in the TPC drift field and many more things had to be taken care of.

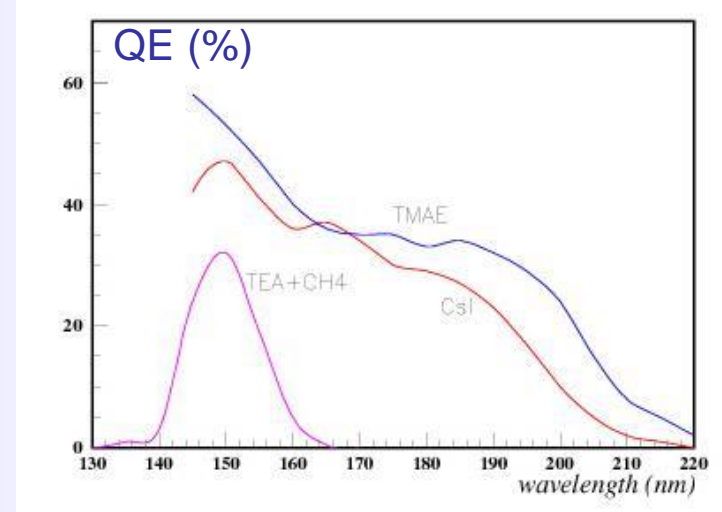
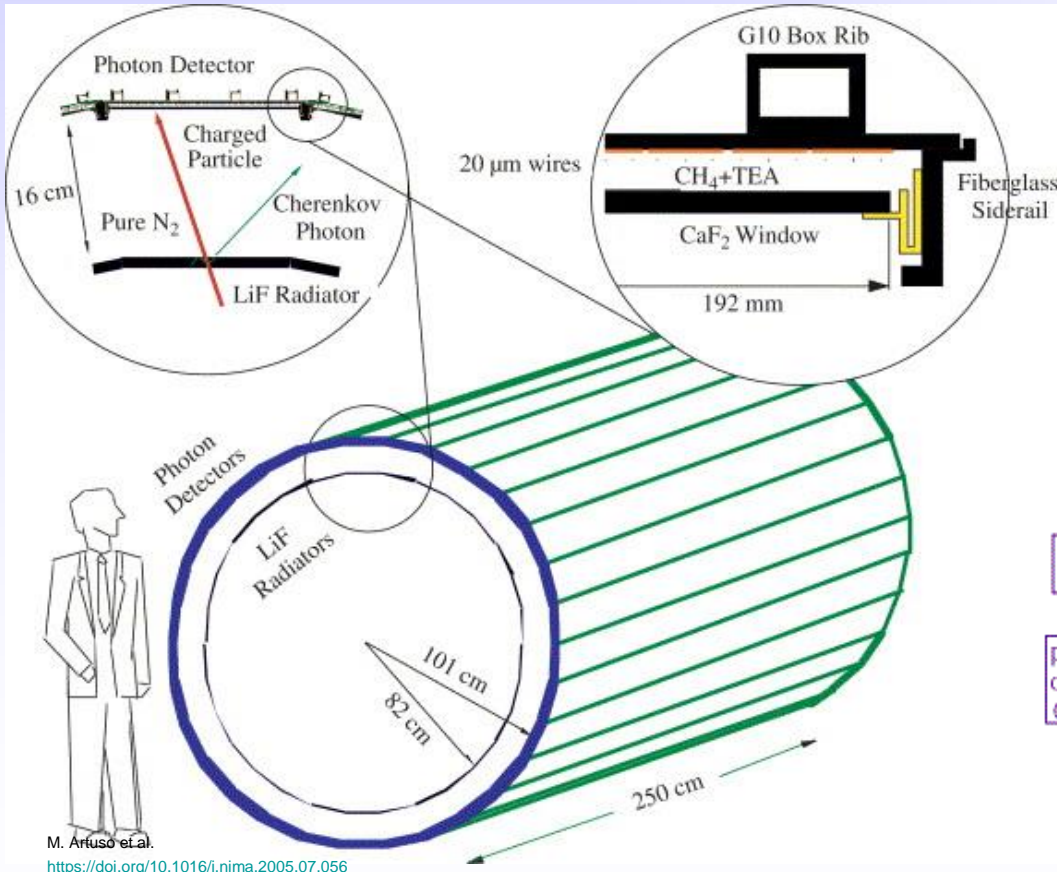
**But it finally worked!**



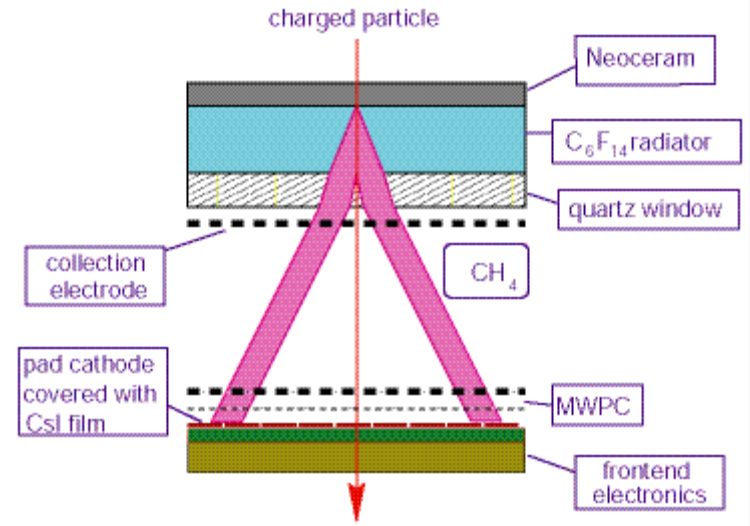


## Generation 1.5

### CLEO RICH @ CESR, Cornell

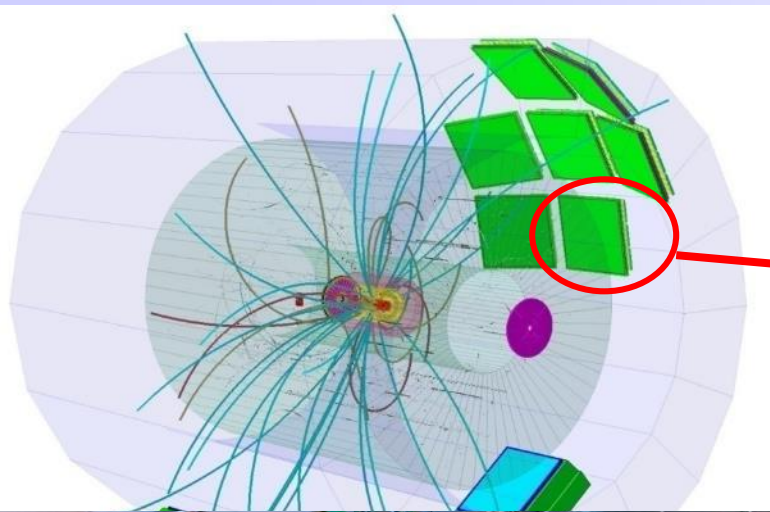


### ALICE RICH @ LHC



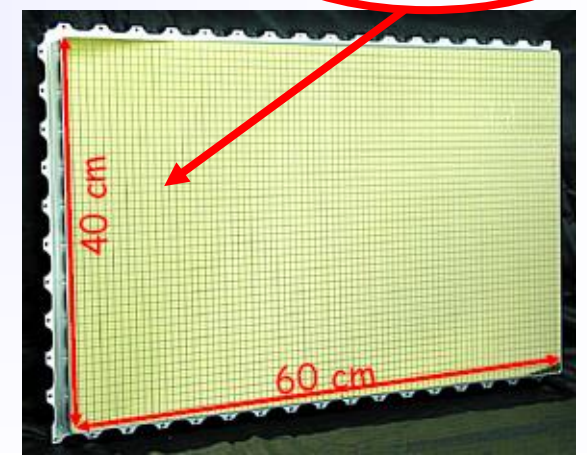
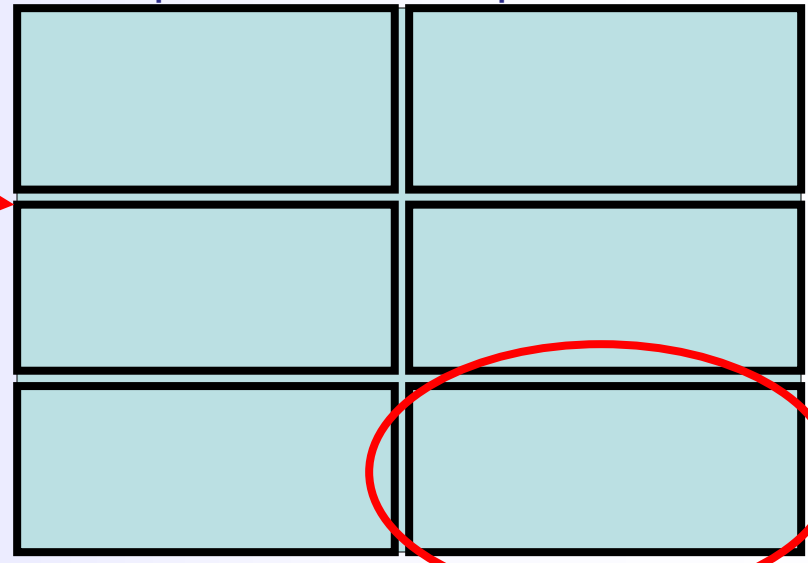
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# ALICE RICH = HMPID



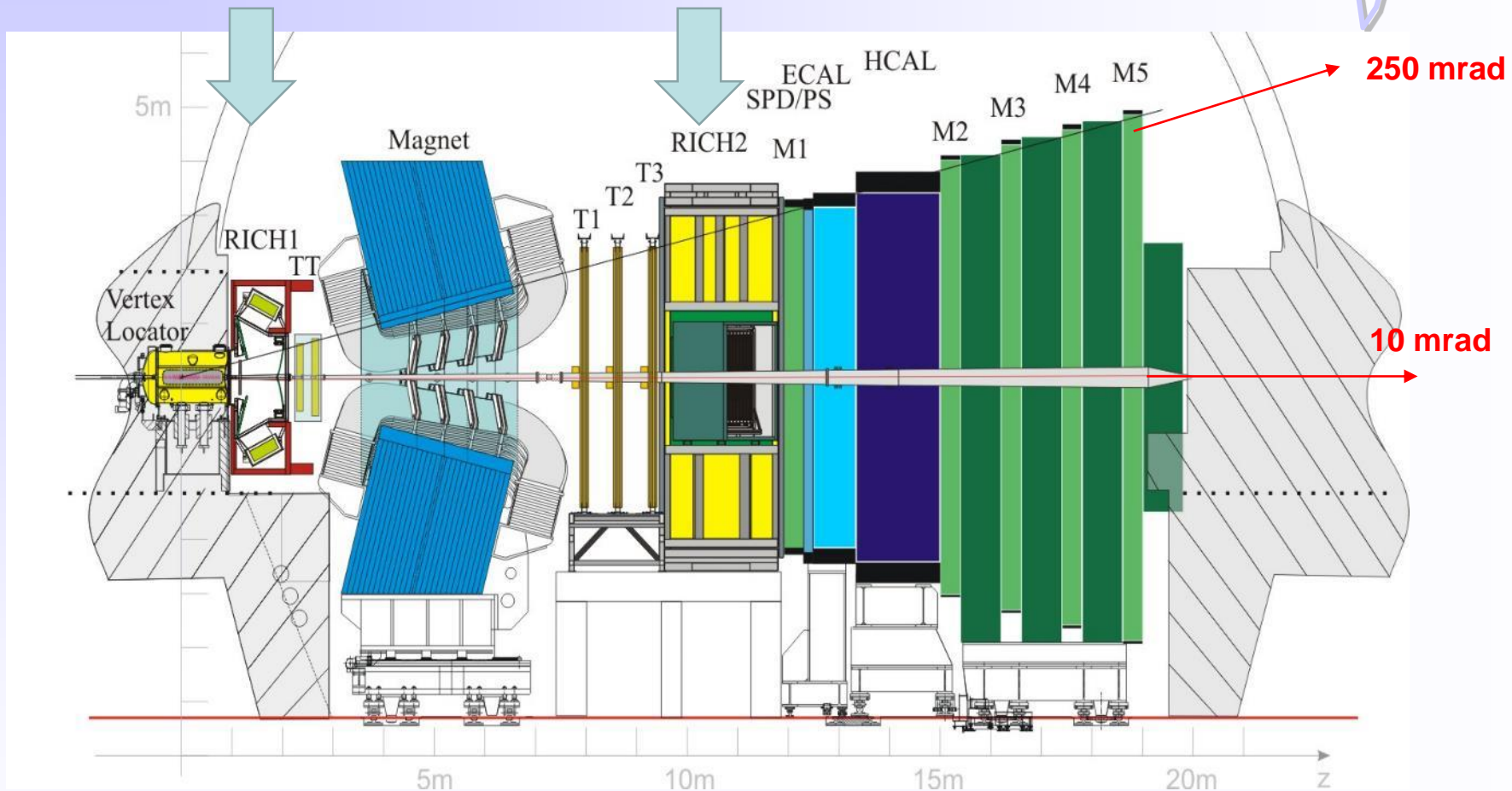
The largest scale (11 m<sup>2</sup>) application of CsI photo-cathodes in HEP!

Six photo-cathodes per module



CsI photo-cathode is segmented in **0.8x0.84 cm pads**

Generation 2



**Vertex reconstruction:**  
**VELO**

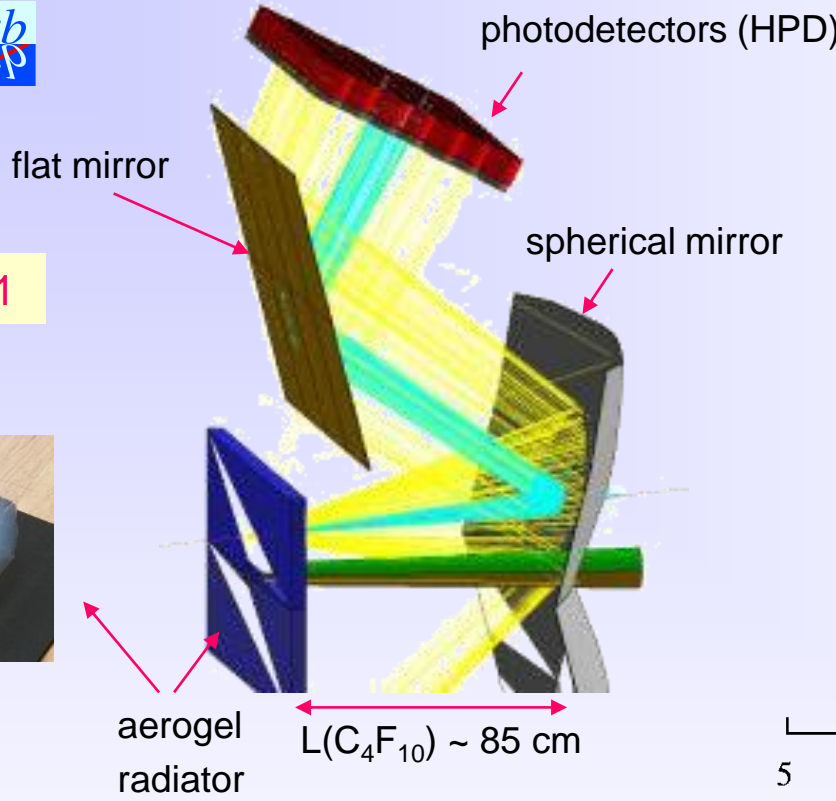
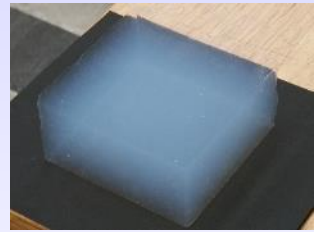
**Trigger:**  
**Muon Chambers**  
**Calorimeters**  
**Tracker**

**PID:**  
**RICHes**  
**Calorimeters**  
**Muon Chambers**

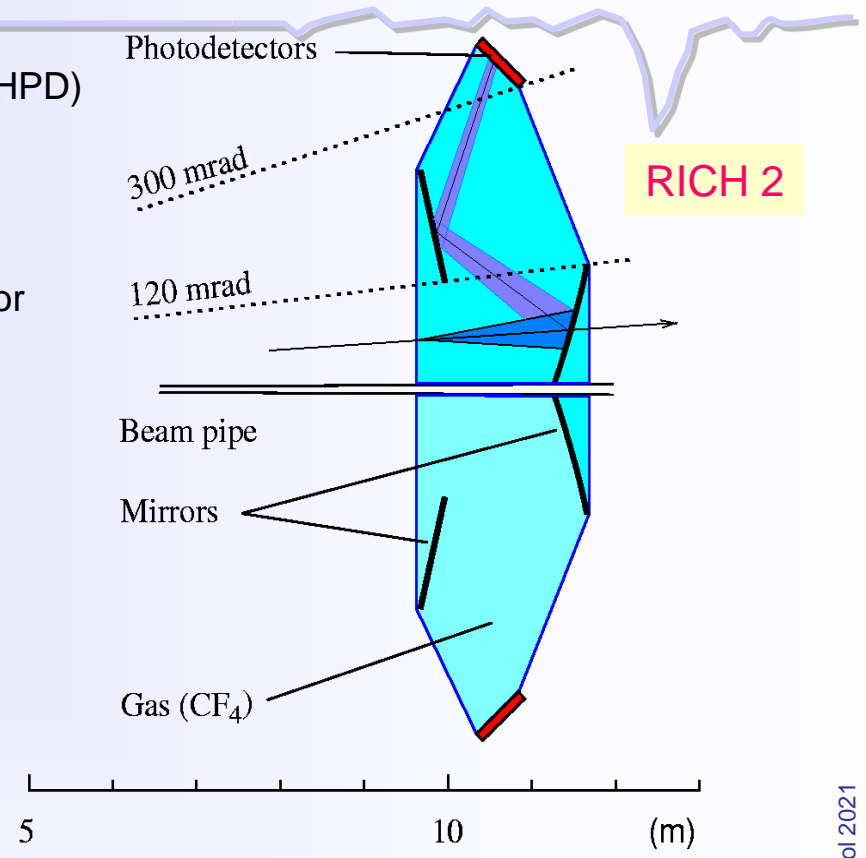
**Kinematics:**  
**Magnet**  
**Tracker**  
**Calorimeters**



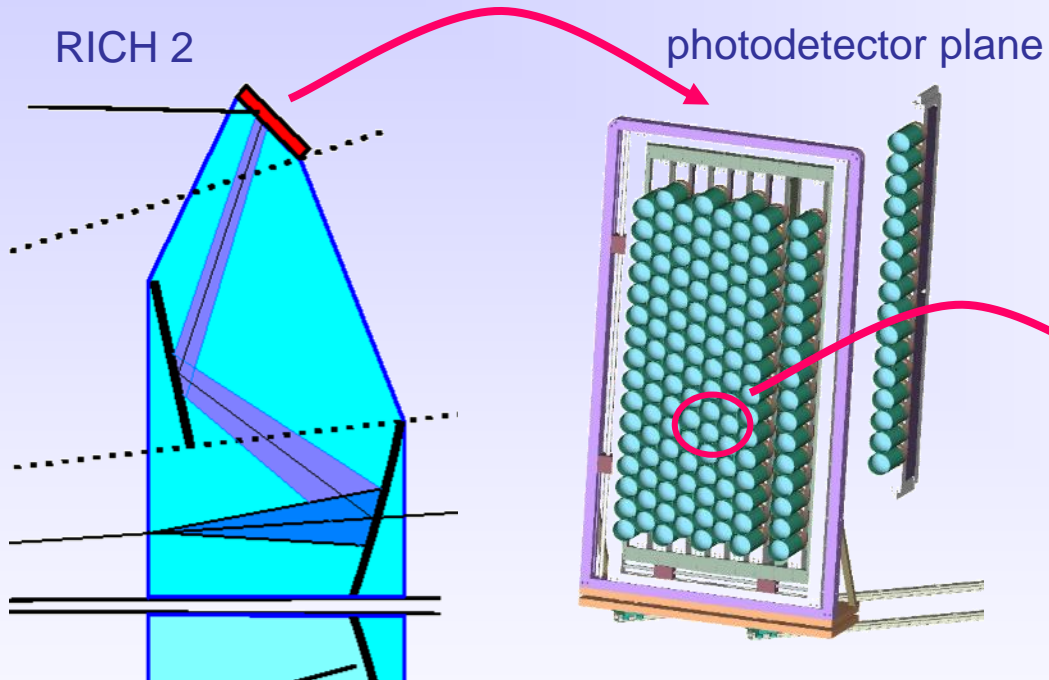
RICH 1



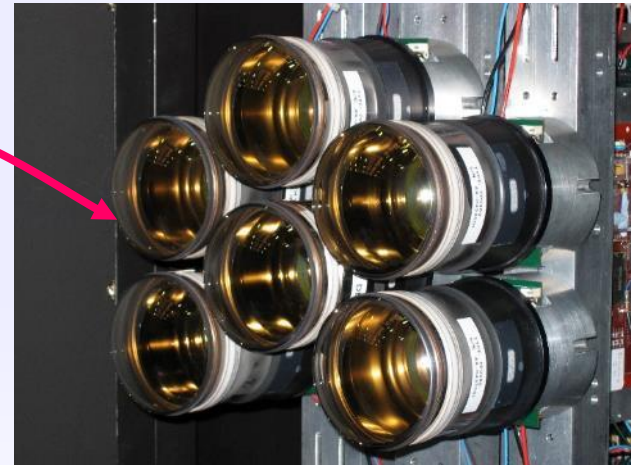
radiator	$\text{C}_4\text{F}_{10}$	aerogel
$\theta_C$	$3.03^\circ$	$13.8^\circ$
$n$	1.0014	1.03
$p_{\text{thresh}} (\pi)$	2.6	0.6 GeV/c
$N_{p.e.}$	31	6.8
$\sigma_\theta$	1.29	2.19 mrad
$p (3\sigma)$	56	13.5 GeV/c



radiator	$\text{CF}_4$
$\theta_C$	$1.8^\circ$
$n$	1.0005
$p_{\text{thresh}} (\pi)$	4.4 GeV/c
$N_{p.e.}$	23
$\sigma_\theta$	0.6 mrad
$p (3\sigma)$	98.5 GeV/c

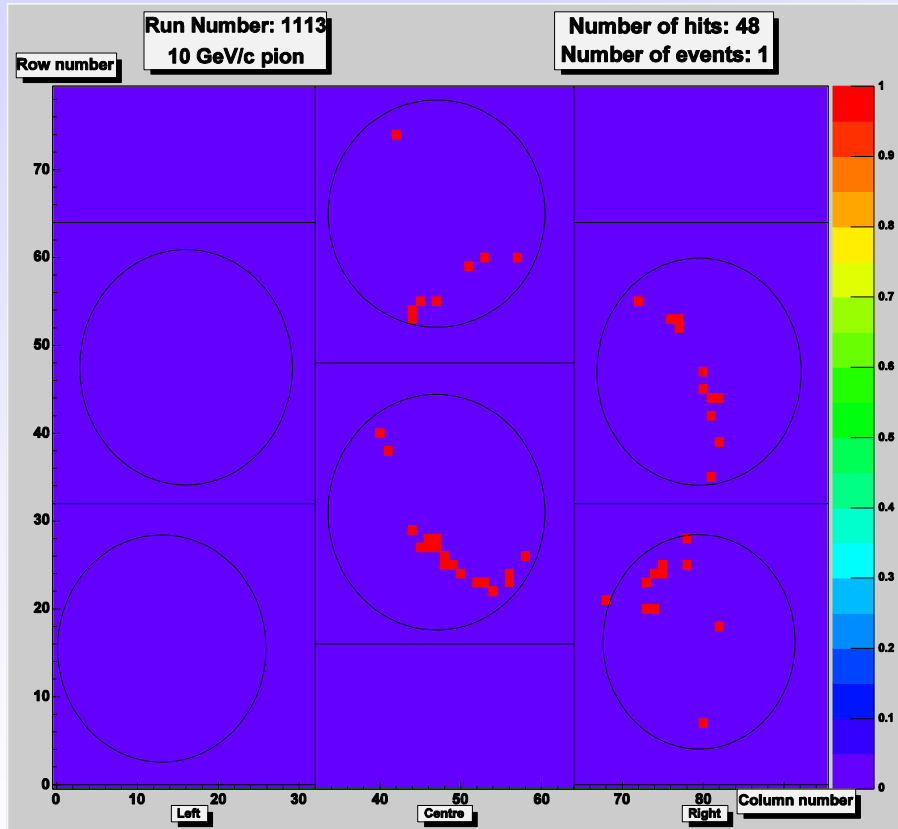


beam test in 2004 with 6 HPDs

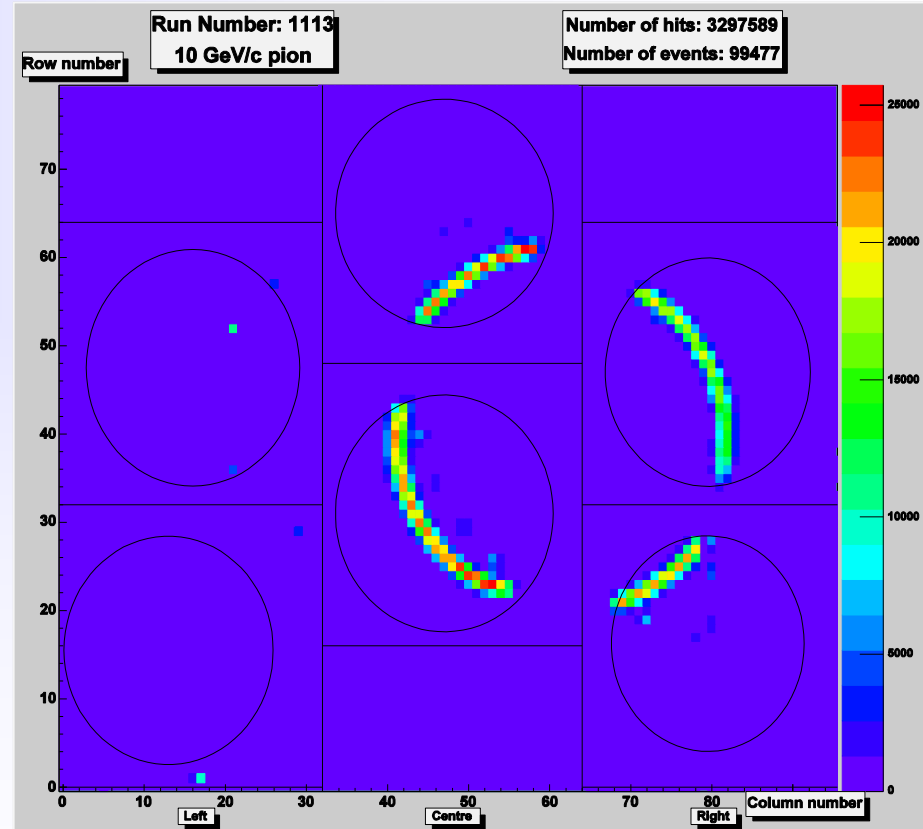


Beam test results with  $C_4F_{10}$  radiator gas (autumn 2004).

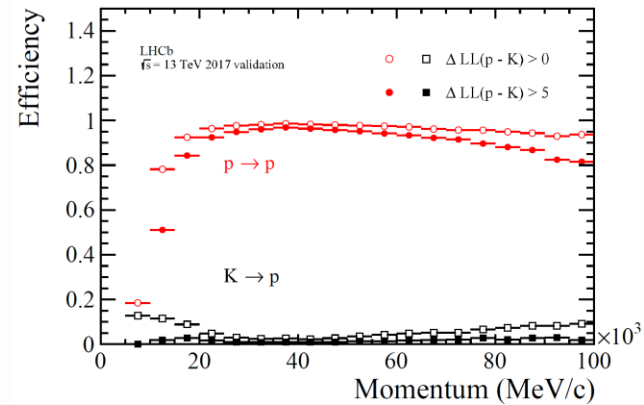
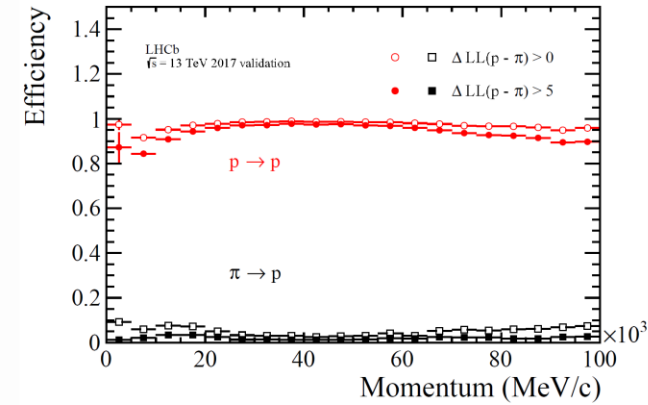
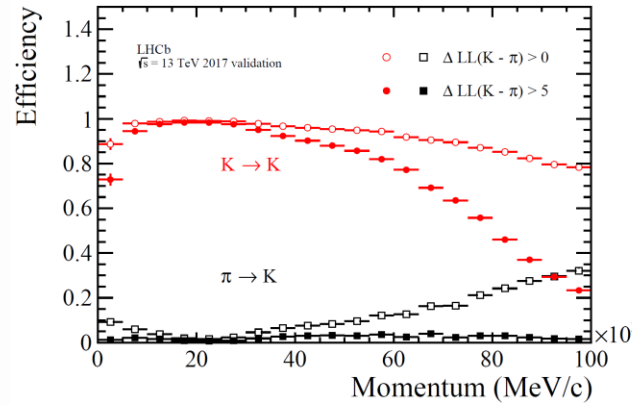
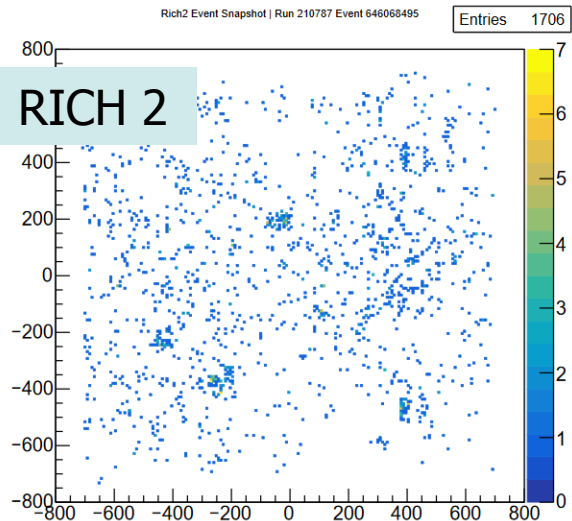
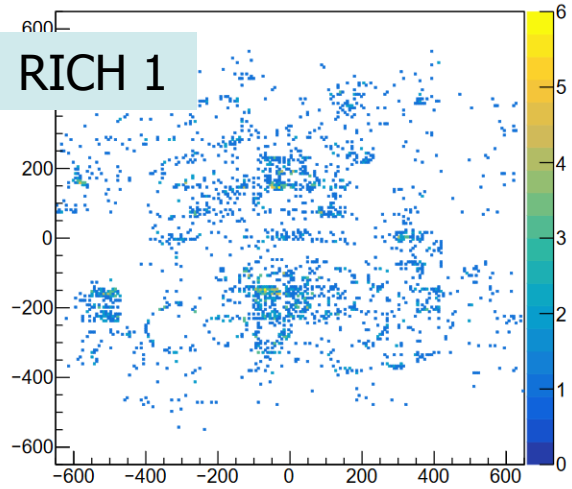
## Single pion (10 GeV/c)

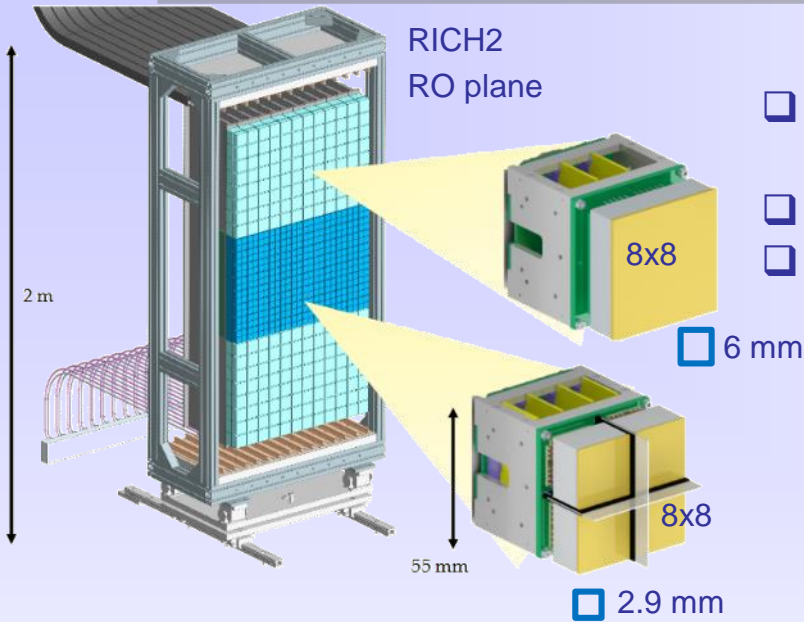


## Superimposed events (100 k pions, 10 GeV/c)

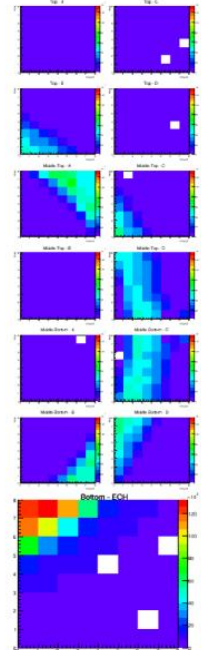
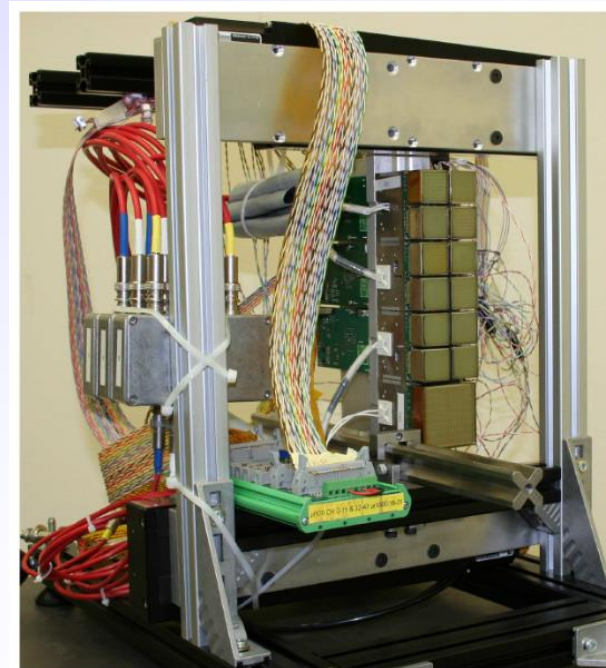
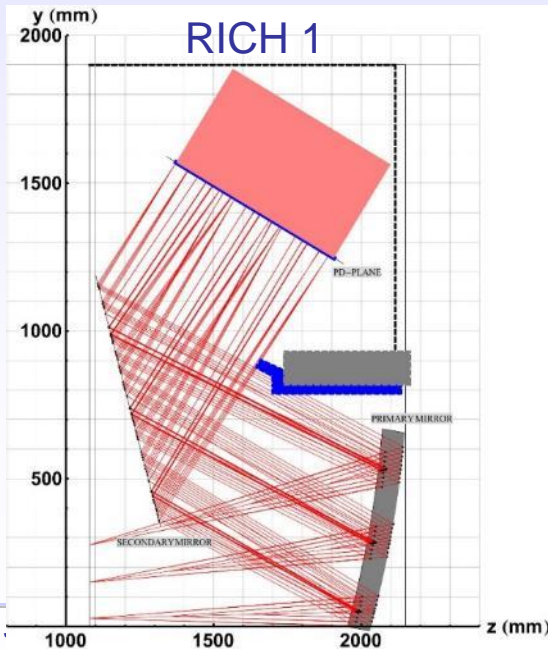


## Single event

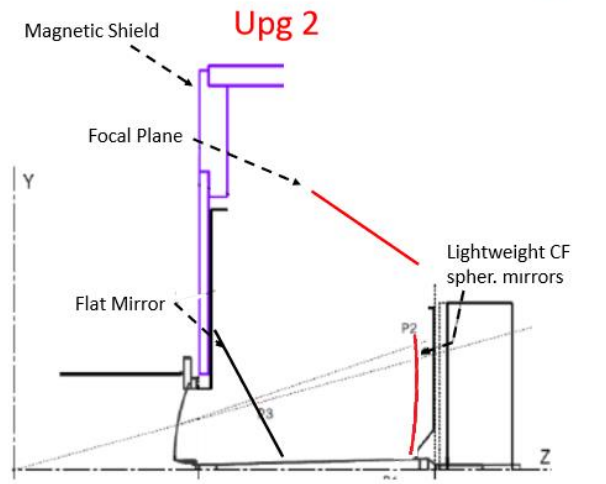
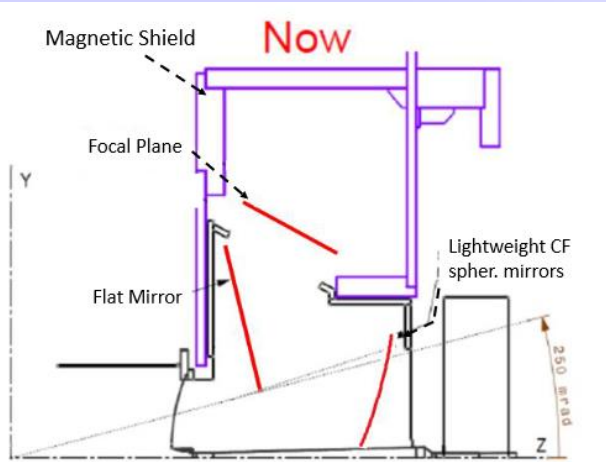




- ❑ New photon detectors: MaPMTs Hamamatsu R13743 (H12700) and R13742 (R11265)
- ❑ New electronics working at 40 MHz readout rate
- ❑ New optics layout for RICH 1 (no aerogel)







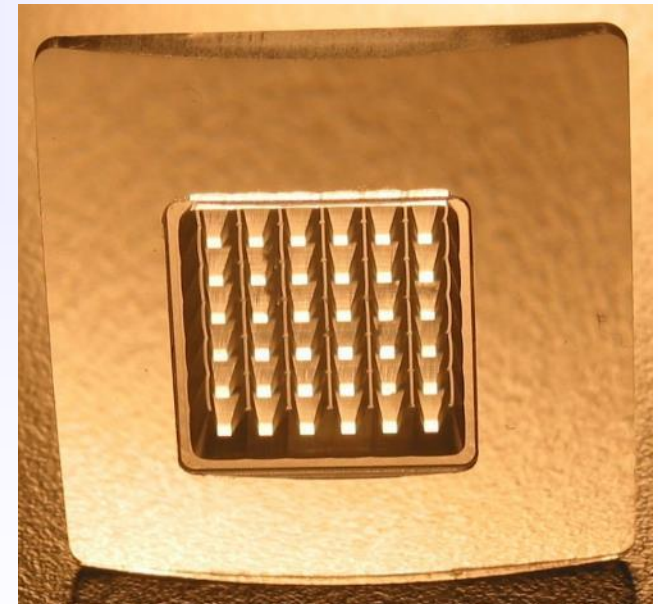
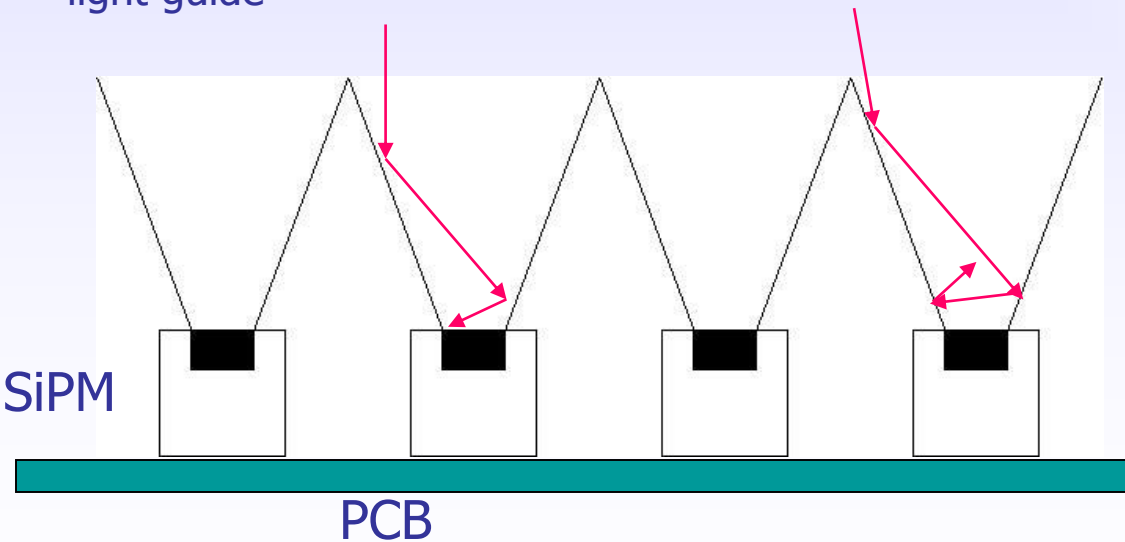
- ❑ Provide PID at p-p luminosity of  $10^{34}$  in the forward region
- ❑ Aim to use **SiPM (cryo-cooled?) photodetectors**
- ❑ Need ultralight mirrors (in active region)
- ❑ Incremental improvements in:
  - Improve Cherenkov angle resolution
    - More photons in the green → lower chromatic error
  - Reduced event complexity with timing
  - Enhanced number of photons

Radiator	C <sub>4</sub> F <sub>10</sub>			CF <sub>4</sub>	
	RICH 1 Current (HPD)	RICH 1 UPG1	RICH 1 UPG2	RICH 2 UPG1	RICH 2 UPG2
Average Photoelectron Yield	30	40	60–30	22	30
Single Photon Errors (mrad)					
Chromatic	0.84	0.58	<b>0.24–0.12</b>	0.31	<b>0.1</b>
Pixel	0.9	0.44	<b>0.15</b>	0.20	<b>0.07</b>
Emission Point	0.8	0.37	<b>0.1</b>	0.27	<b>0.05</b>
Overall	<b>1.47</b>	⇒	<b>0.82</b>	⇒	<b>0.3–0.2</b>
			New optics		New optics

Improve the signal to noise ratio:

- Reduce the noise by a narrow ( $<10\text{ns}$ ) time window (Cherenkov light is prompt!)
- Increase the number of signal hits per single sensor by using light collectors
- Reduce the noise even further by cooling the SiPMs

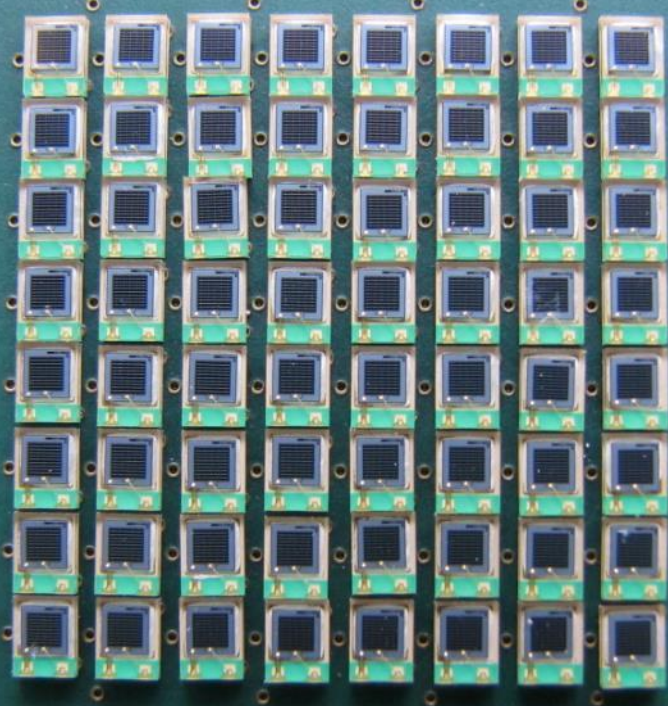
E.g. light collector with reflective walls or plastic light guide



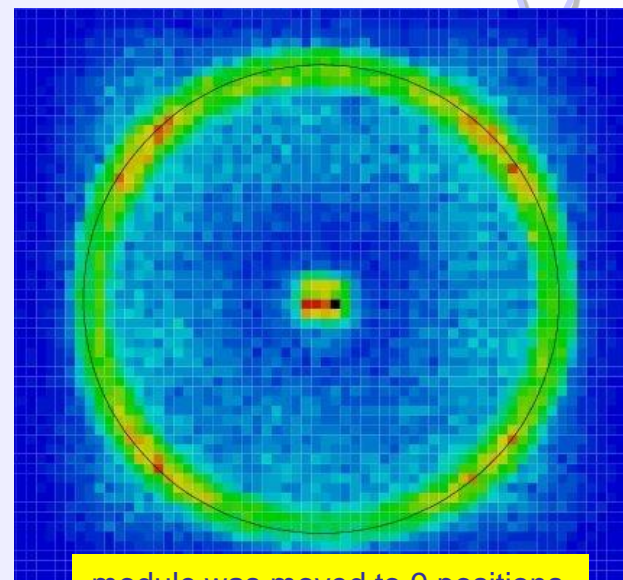
SiPMs: array of 8x8 SMD mount Hamamatsu S10362-11-100P with 0.3mm protective layer

64 SiPMs

20mm



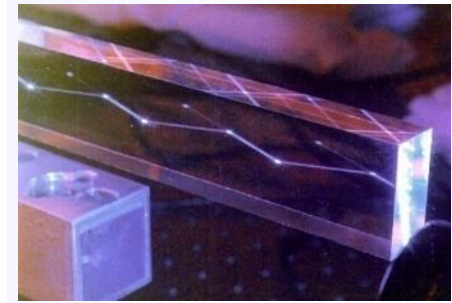
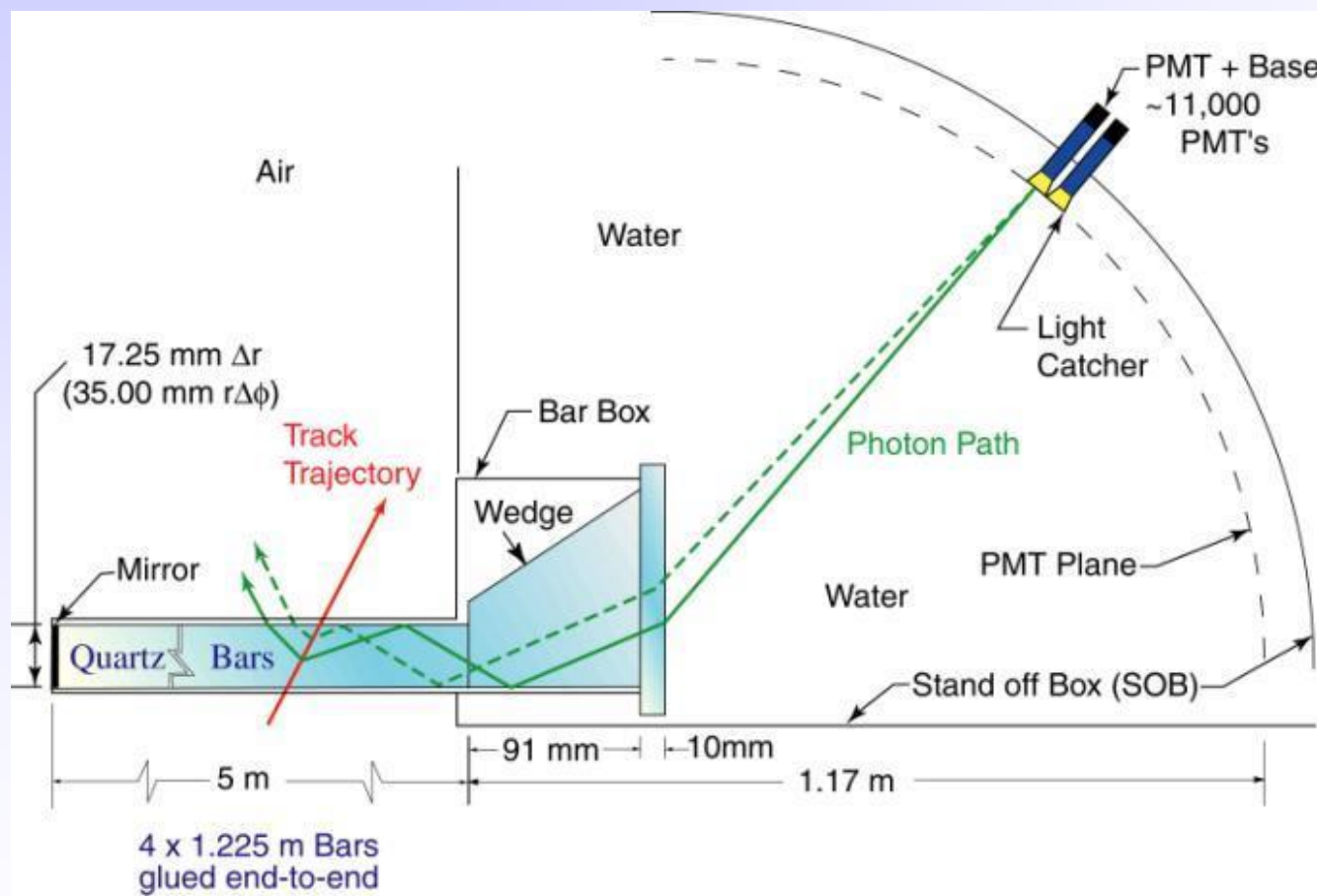
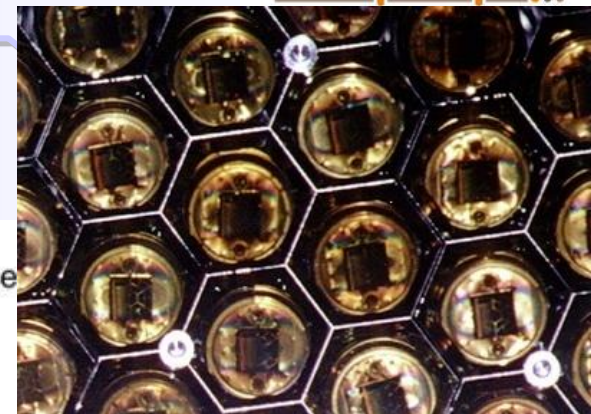
Korpar et al., NIM A594 (2008) 13; NIM A613 (2010) 195



module was moved to 9 positions to cover the ring area. Save \$\$\$ !



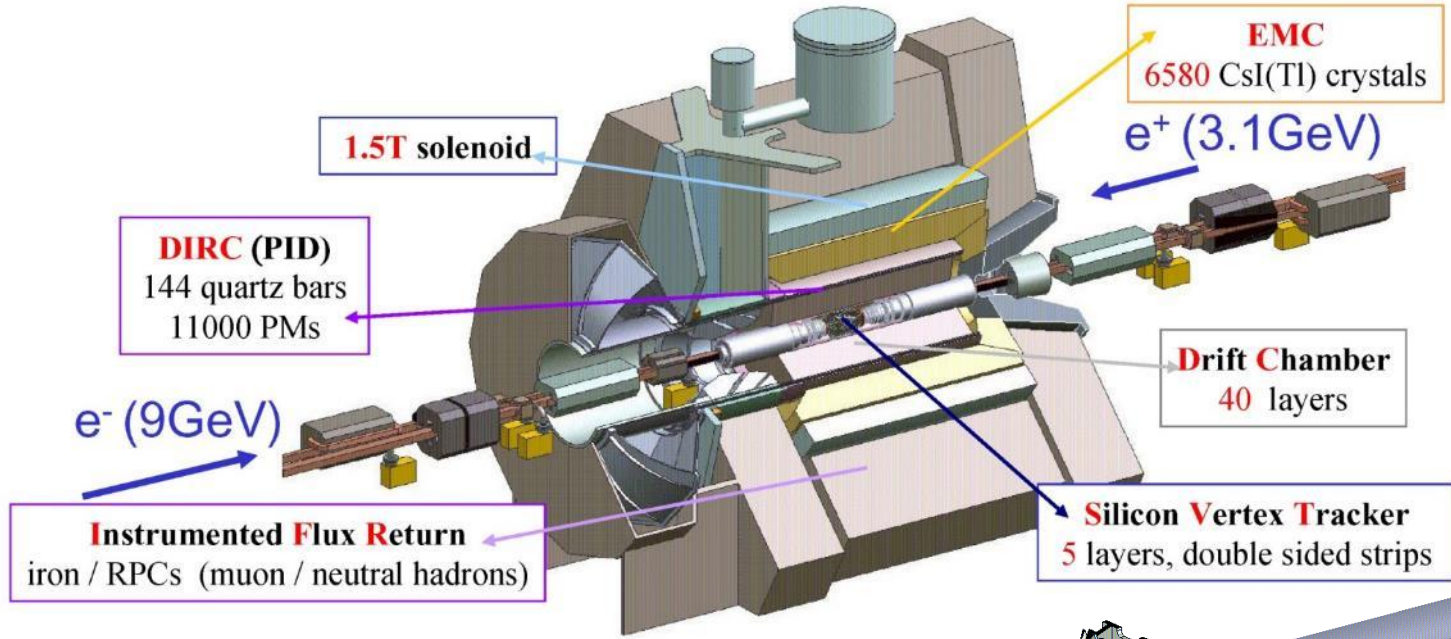
Use the internally reflected C-light, which would normally be lost!



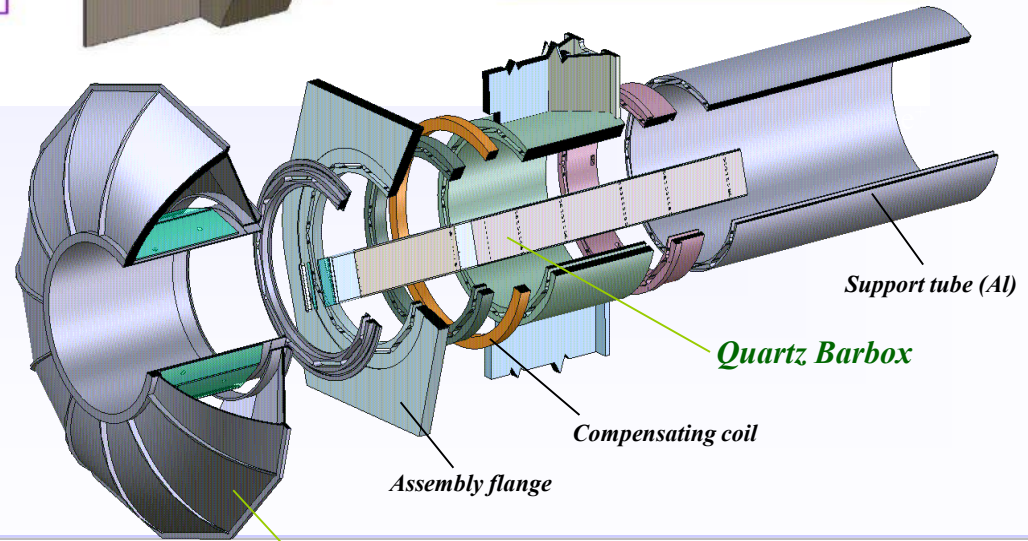
During propagation: angle of Cherenkov light is conserved, except of left/right and up/down ambiguities



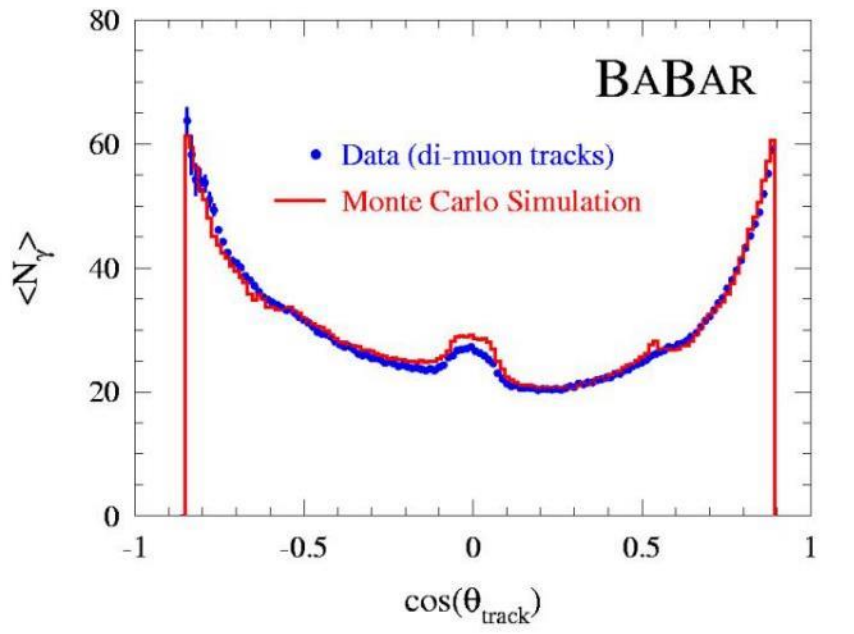
## BaBar spectrometer at PEP-II



Only radiator is in active volume of detector.  
Photodetectors & electronics do not disturb!

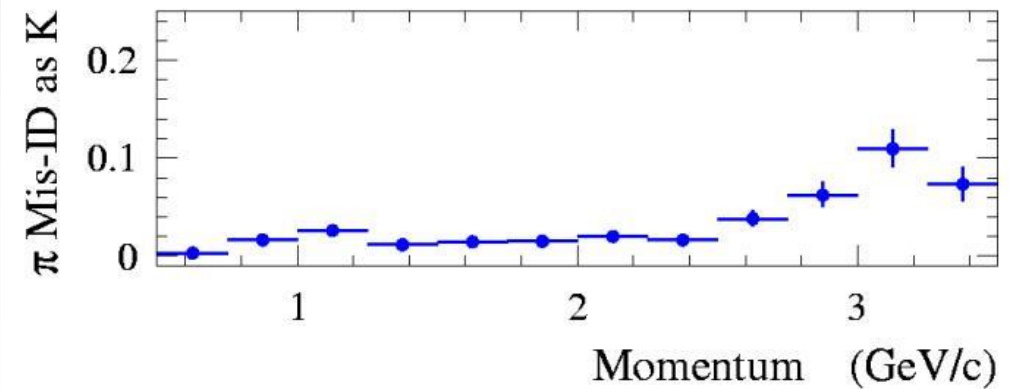
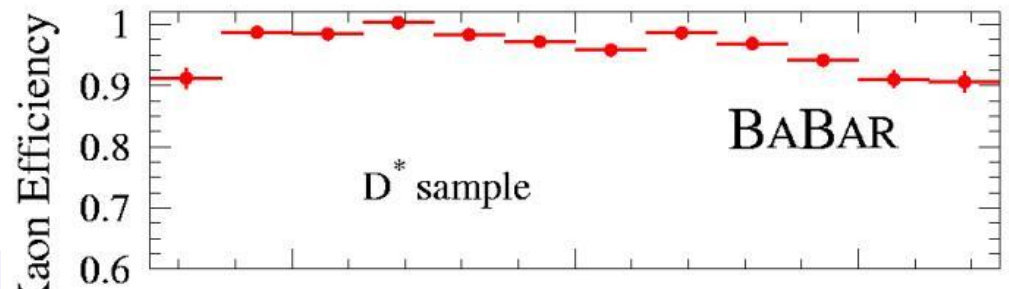


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← Lots of photons!

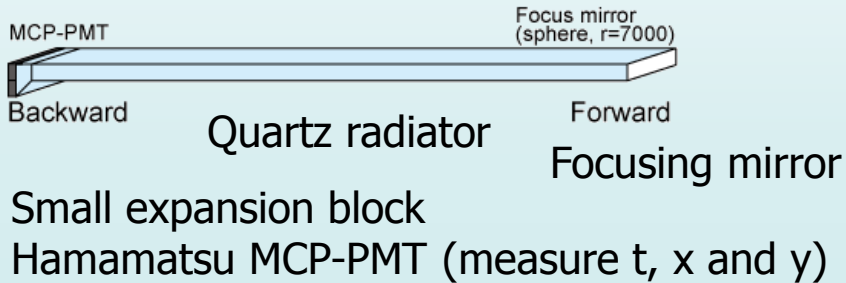
Excellent  $\pi/K$  separation



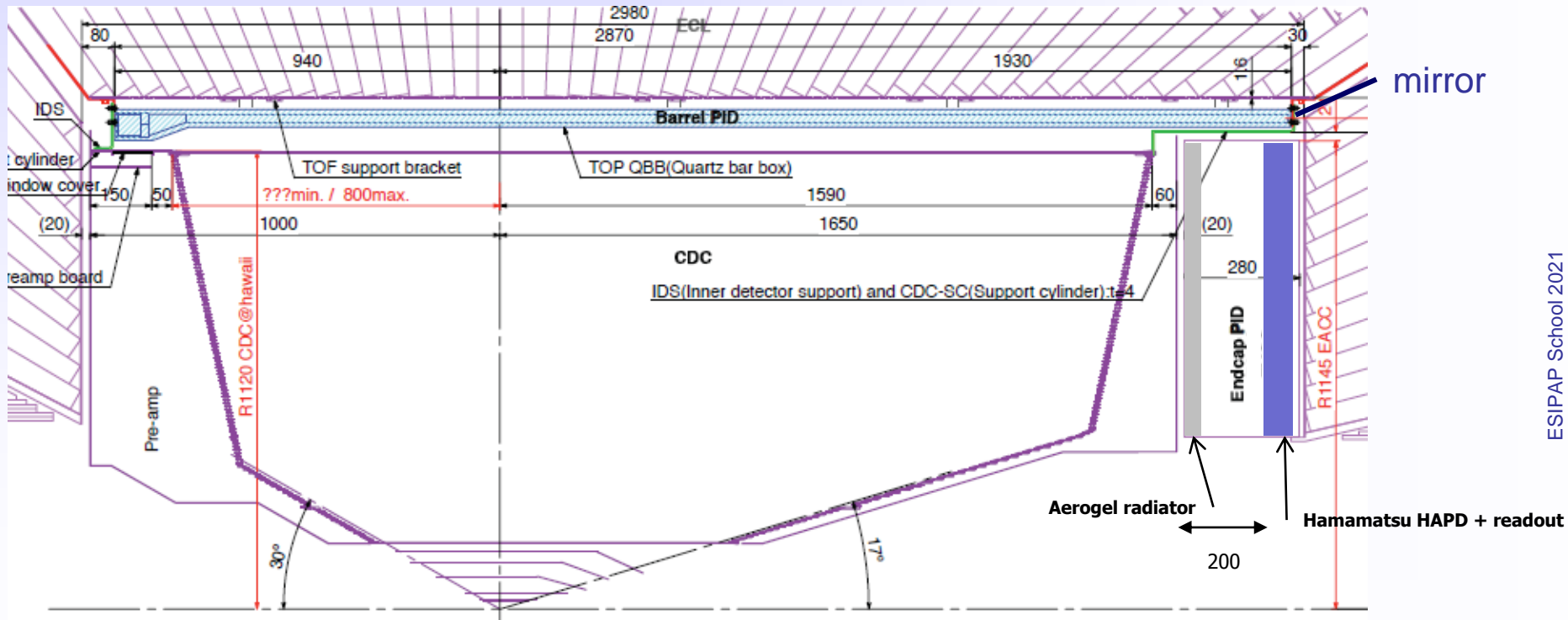
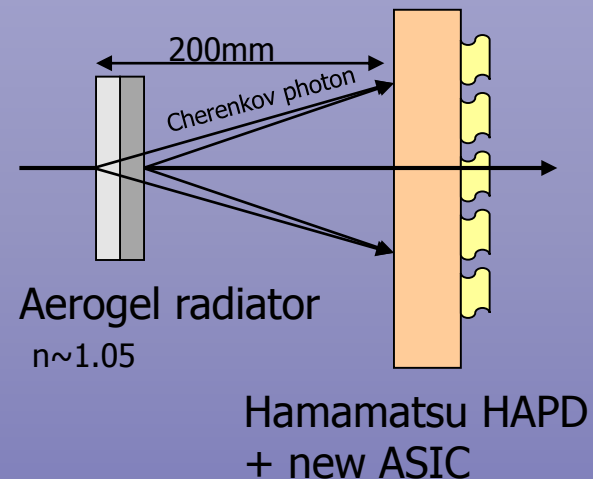


# Belle II Cherenkov detectors

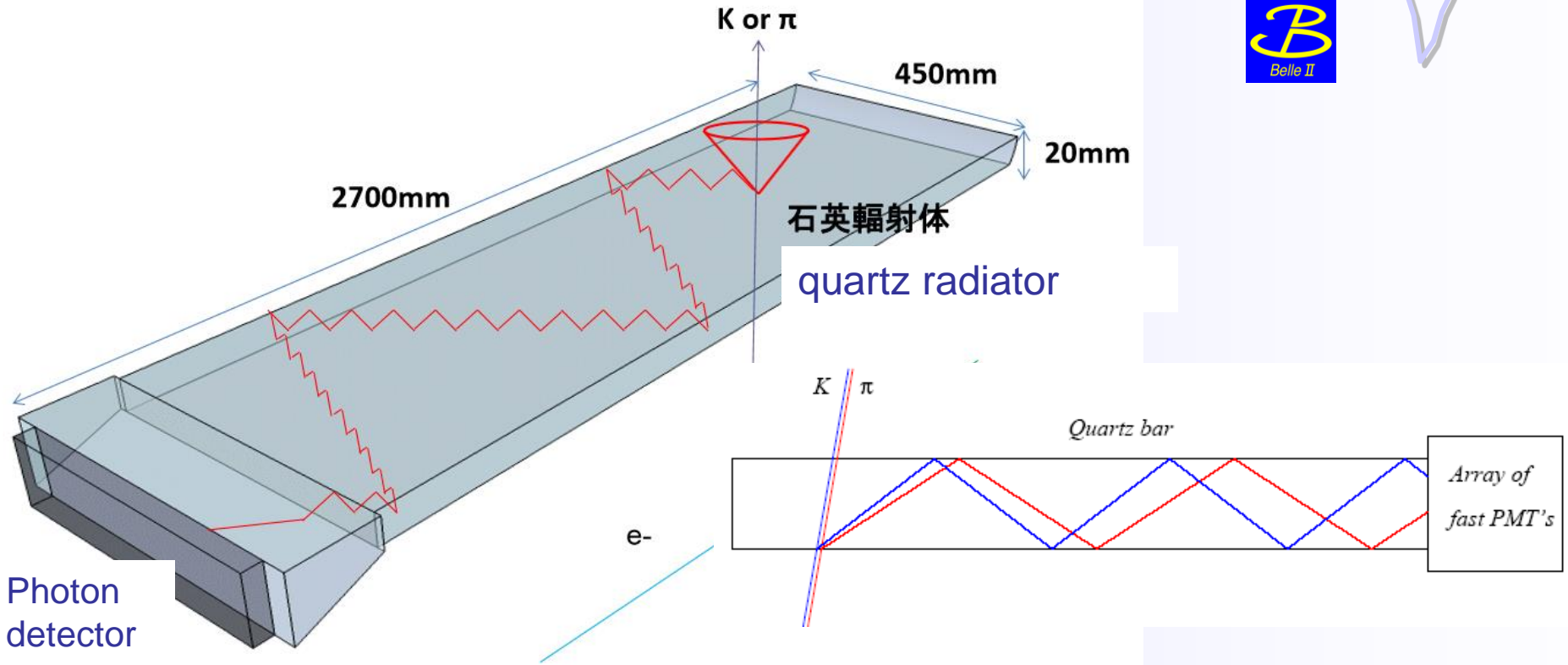
## Barrel PID: Time of Propagation Counter (TOP)



## Endcap PID: Aerogel RICH (ARICH)



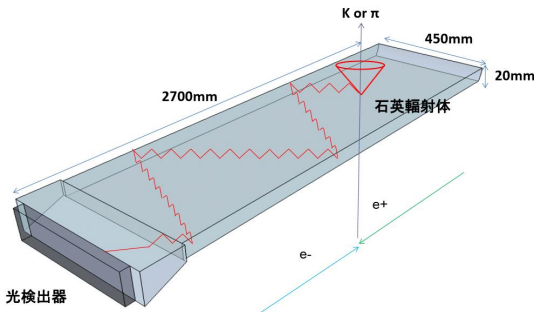
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- Similar to the DIRC, Cherenkov ring imaging with precise time measurement.
- Reconstruct Cherenkov angle from two photon hit coordinates and the time of propagation of the photon
- Photon detector (MCP-PMT), pixels of  $\sim 5 \times 5 \text{ mm}^2$ , very fast multi-GHz sampling electronics
  - Excellent time resolution  $\sim 40 \text{ ps}$
  - Single photon sensitivity at 1.5 T

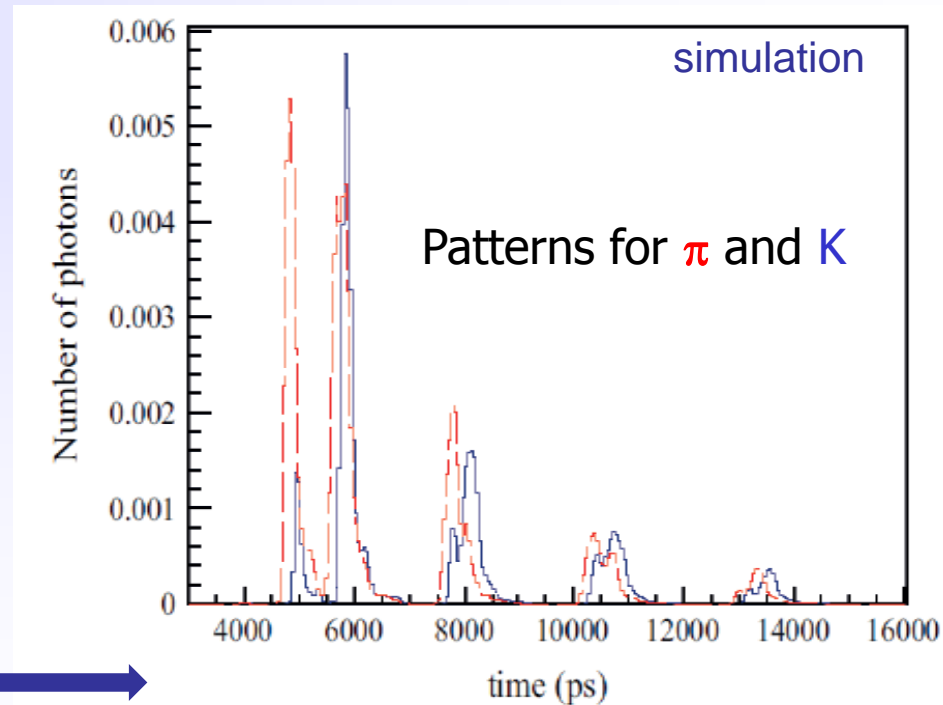
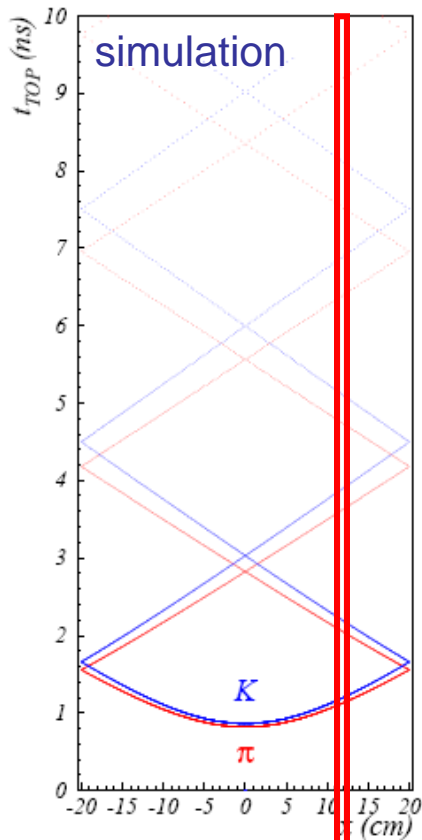


# TOP 'image' reconstruction



Pattern in the coordinate-time space ('ring') of a pion and kaon hitting a quartz bar

Time distribution of signals recorded by one of the PMT channels (slice in x): different for  $\pi$  and K ( $\sim$ shifted in time)

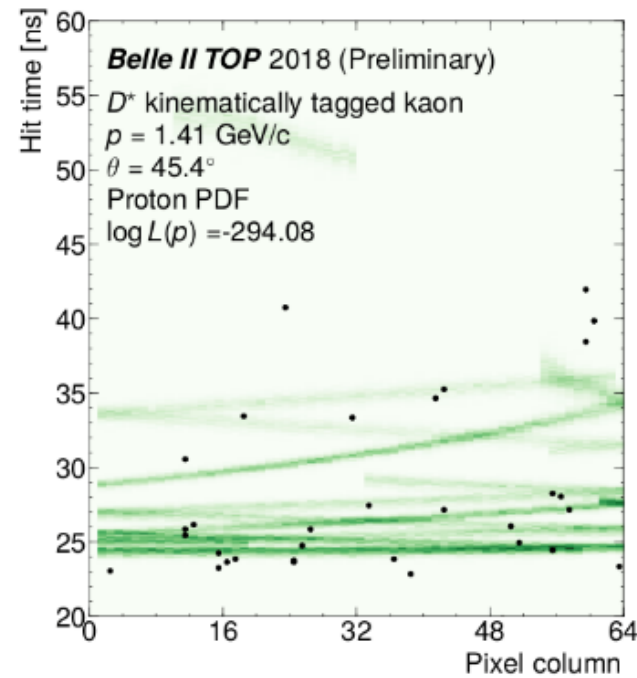
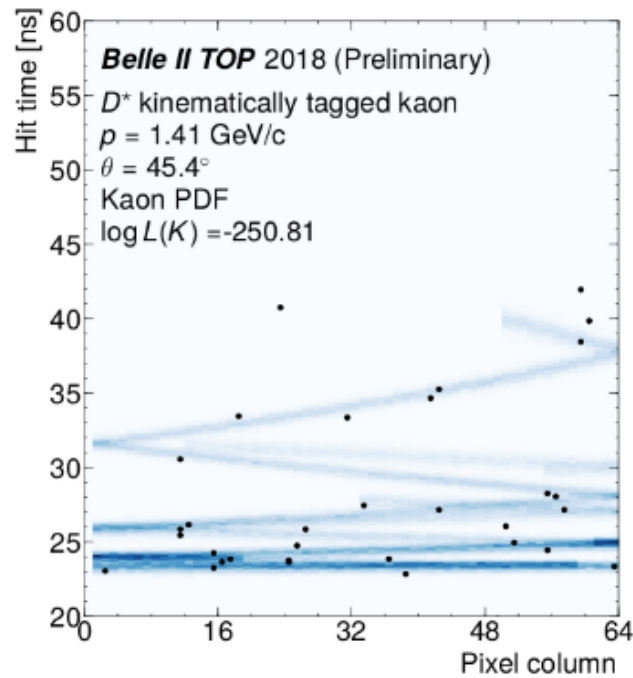
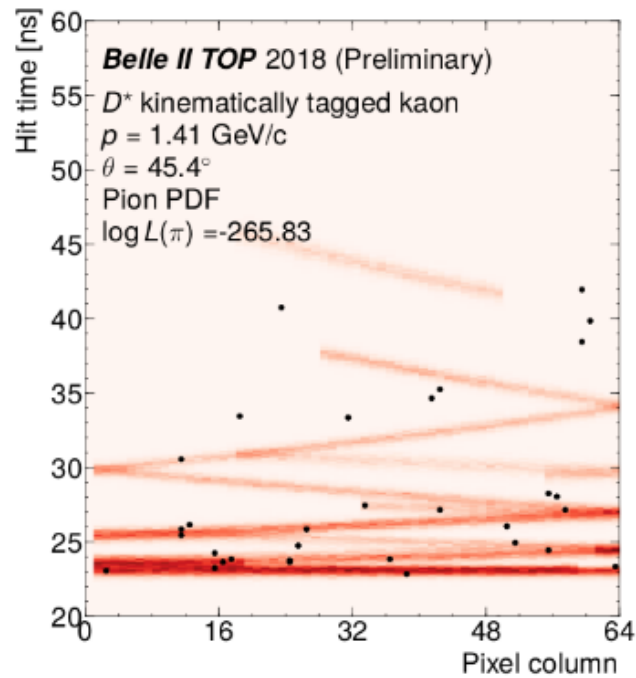


The name of the game: analytic expressions for the 2D likelihood functions

→ M. Starič et al., NIMA A595 (2008) 252-255

# TOP first events

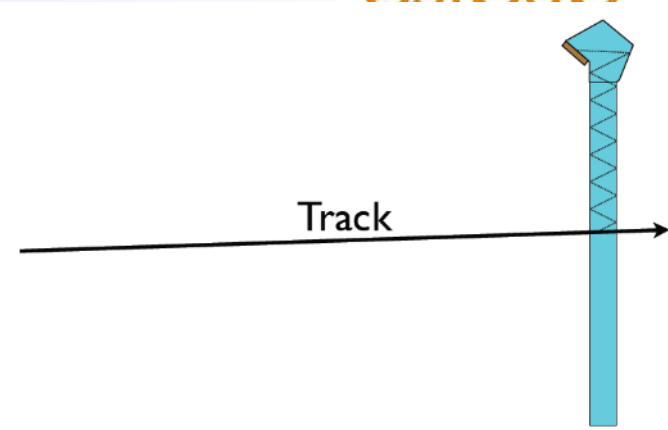
The early BELLE II data demonstrates that the TOP principle is working



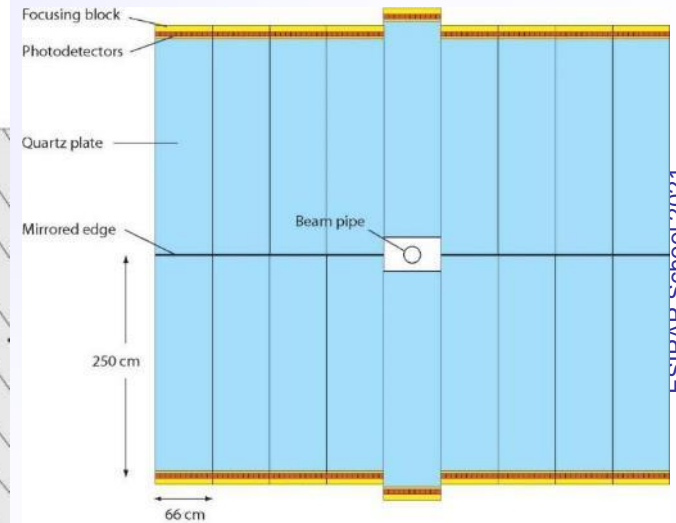
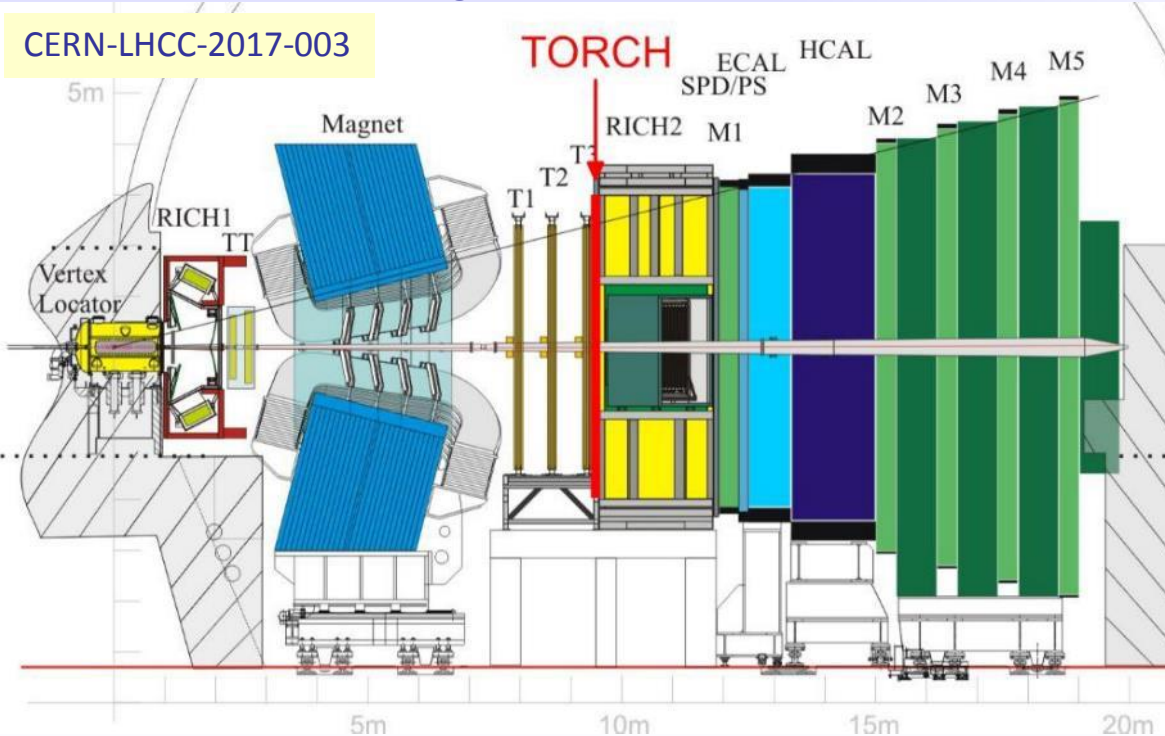
⏏

# TORCH

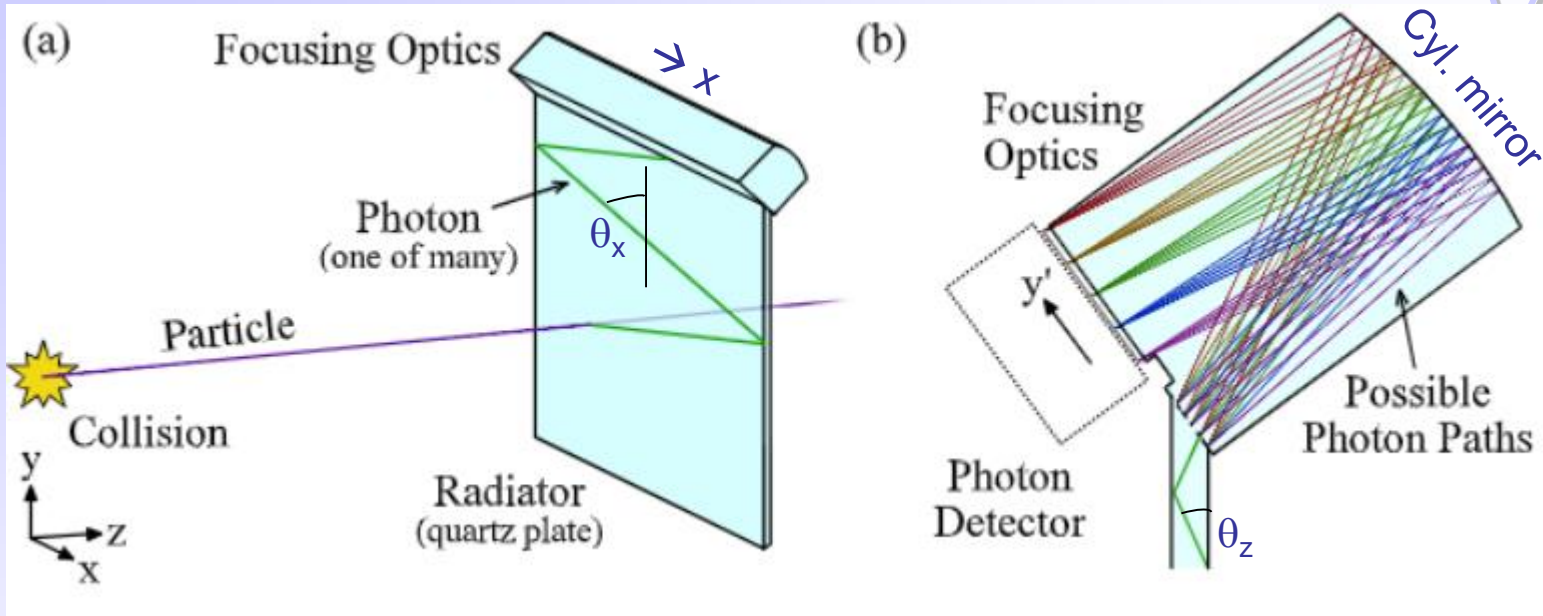
- A special type of Time-of-Propagation counter, part of a future LHCb upgrade.
- Aim for  $\pi, k, p$  separation, 2-10 GeV/c.
- At 10 GeV/c:  $\Delta t$  of  $\pi-k = 35$  ps
- Can be achieved with 30 photons and 70 ps resolution for single photons.



- TORCH area 5 x 6 m<sup>2</sup>
- 18 module system
- 11 MCPs per module



Just radiator in active volume



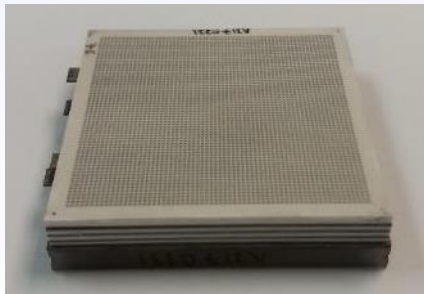
Particle impact point known  $\rightarrow \theta_x$  can be derived from measurement of coordinate  $x$  on segmented MCP photodetector.

Cylindrical mirrored surface maps the photon angle  $\theta_z$  to a  $y'$  position

C-angle known

Photon arrival time can be corrected for time of propagation

Precise TOF information



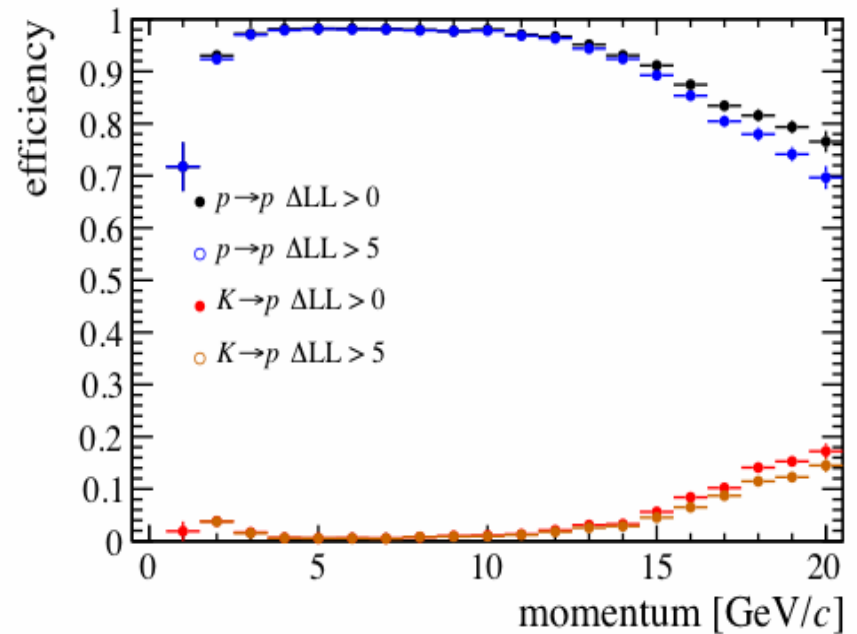
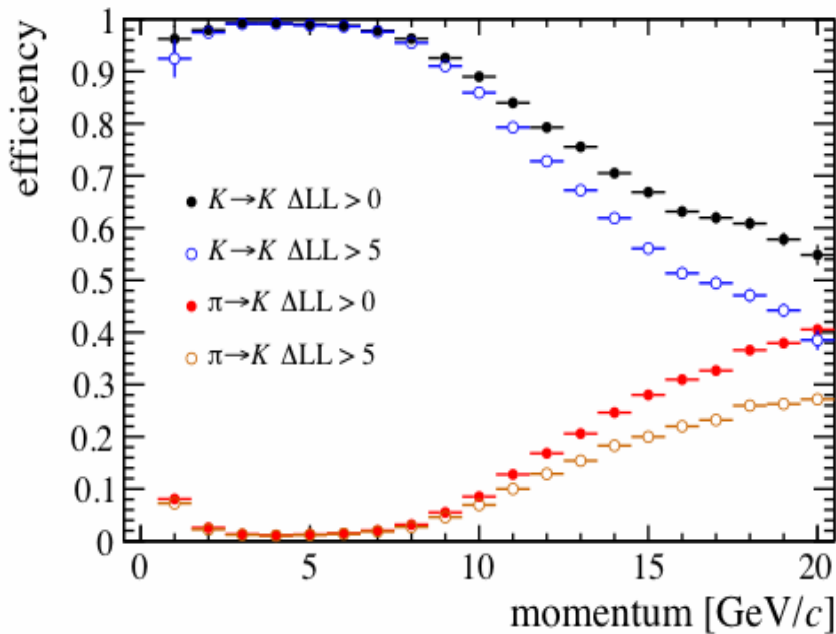
Photek, custom development (64 x 64 pixels)

## Test-beam campaigns (2017 & 2018):

- Single-photon time resolutions around 80 – 100 ps have been achieved
- Photon yields are measured to be within ~10% and ~30% of simulation, respectively

<https://doi.org/10.1016/j.nima.2020.163671>

## Expected performance (simulation)



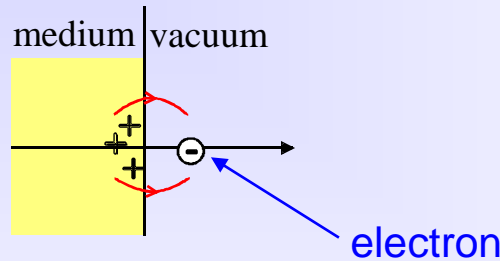
# Particle ID by Transition radiation

(there is an excellent review article by B. Dolgoshein (NIM A 326 (1993) 434))

**Transition Radiation** was predicted by Ginzburg and Franck in 1946

TR is electromagnetic radiation, emitted when a charged particle traverses a medium with a discontinuous refractive index, e.g. the boundaries between vacuum and a dielectric layer.

A (too) simple picture



A correct relativistic treatment shows that...

(G. Garibian, Sov. Phys. JETP63 (1958) 1079)

- Radiated energy per medium/vacuum boundary

$$W = \frac{1}{3} \alpha \hbar \omega_p \gamma$$

$$W \propto \gamma$$



only high energetic  $e^\pm$  emit TR of detectable intensity.  
→ particle ID

Lorentz boost

$$\gamma = \frac{1}{\sqrt{1 - \beta^2}}$$

$$\omega_p = \sqrt{\frac{N_e e^2}{\epsilon_0 m_e}}$$

( plasma frequency )

$\hbar \omega_p \approx 20\text{eV}$  (plastic radiators)

# Particle ID by Transition radiation

- Number of emitted photons / boundary is small

$$N_{ph} \approx \frac{W}{\hbar\omega} \propto \alpha \approx \frac{1}{137}$$

→ **Need many transitions** → build a stack of many thin foils with gas gaps

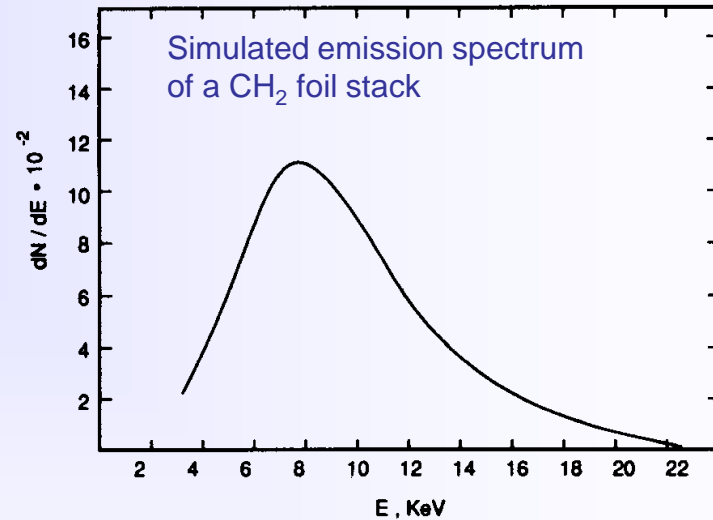
- Emission spectrum of TR = f(material,  $\gamma$ )

Typical energy:  $\hbar\omega \approx \frac{1}{4} \hbar\omega_p \gamma$

→ **photons in the keV range**

- X-rays are emitted with a sharp maximum at small angles  $\theta \propto 1/\gamma$

→ **TR stay close to track**



- Particle must traverse a minimum distance, the so-called **formation zone  $Z_f$** , in order to efficiently emit TR.

$$Z_f = \frac{2c}{\omega(\gamma^{-2} + \theta^2 + \xi^2)}, \quad \xi = \omega_p / \omega$$

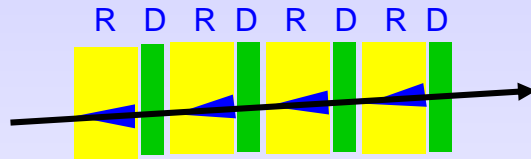
$Z_f$  depends on the material ( $\omega_p$ ), TR frequency ( $\omega$ ) and on  $\gamma$ .

$Z_f(\text{air}) \sim \text{mm}$ ,  $Z_f(\text{CH}_2) \sim 20 \mu\text{m}$  → important consequences for design of TR radiator.

# Particle ID by Transition radiation

## TR Radiators:

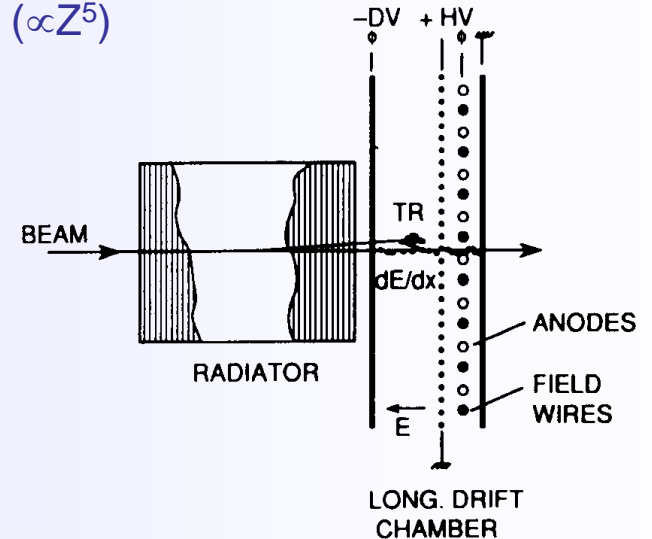
- stacks of thin foils made out of  $\text{CH}_2$  (polyethylene),  $\text{C}_5\text{H}_4\text{O}_2$  (Mylar)
- hydrocarbon foam and fiber materials
- Low Z material preferred to keep re-absorption small ( $\propto Z^5$ )



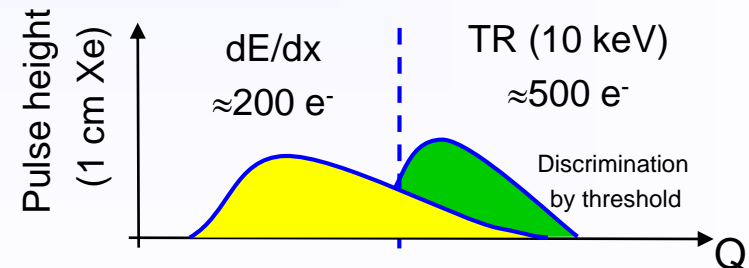
alternating arrangement of radiators stacks and detectors  
 → minimizes reabsorption

## TR X-ray detectors:

- Detector should be sensitive for  $3 \leq E_\gamma \leq 30 \text{ keV}$ .
- Mainly used: Gas detectors: MWPC, drift chamber, straw tubes...
- Detector gas:  $\sigma_{\text{photo effect}} \propto Z^5$   
 → gas with high Z required, e.g. Xenon ( $Z=54$ )
- Intrinsic problem: detector “sees” TR and  $dE/dx$



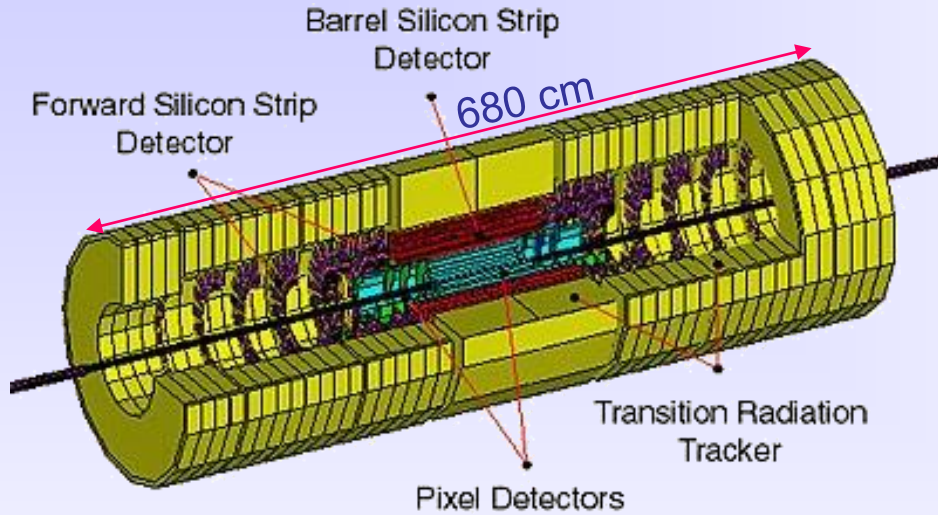
Very Schematic!



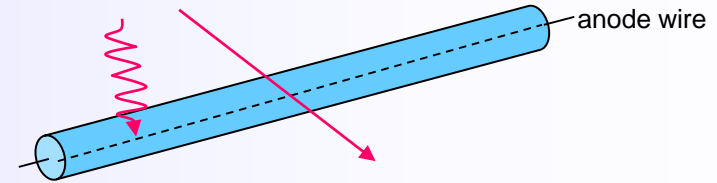


# Particle ID by Transition radiation

## The ATLAS Transition Radiation Tracker (TRT)

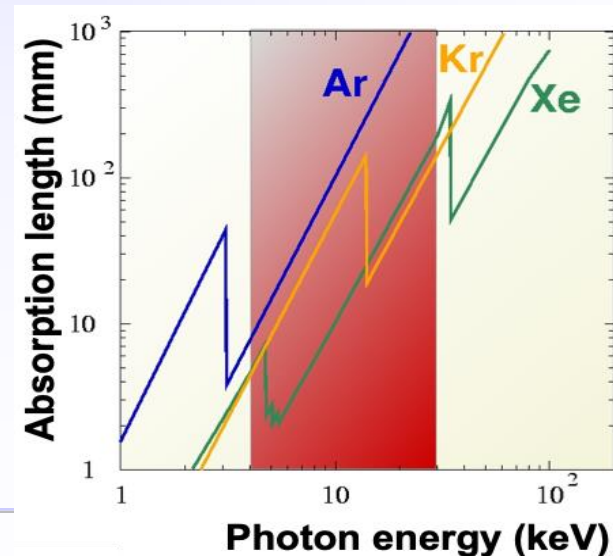


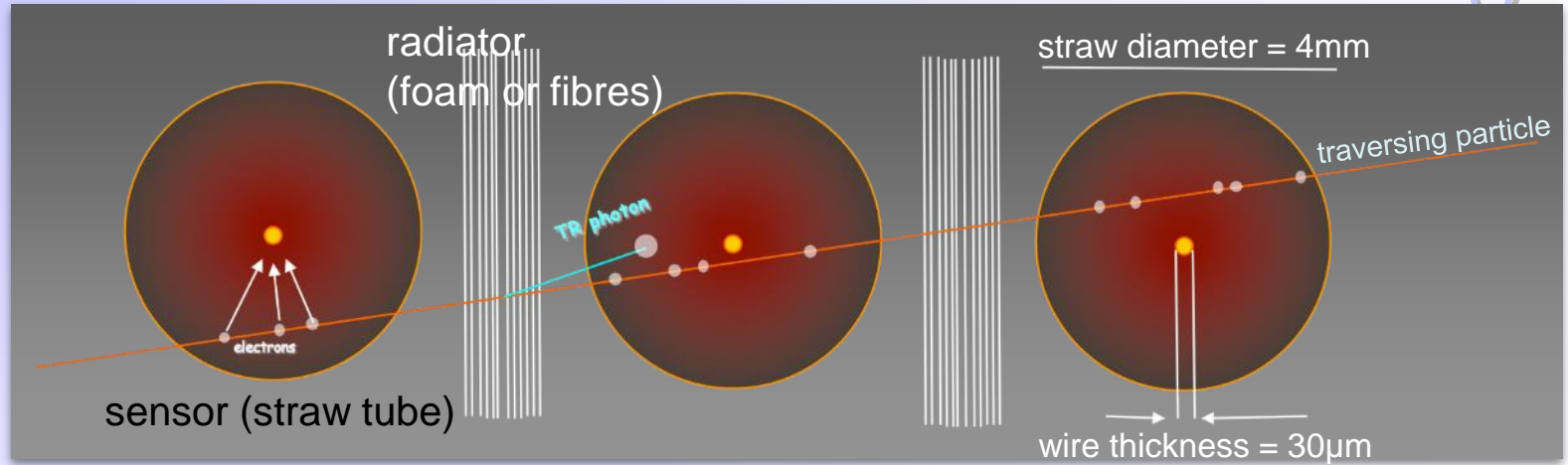
~300'000 straw tubes (d = 4mm)



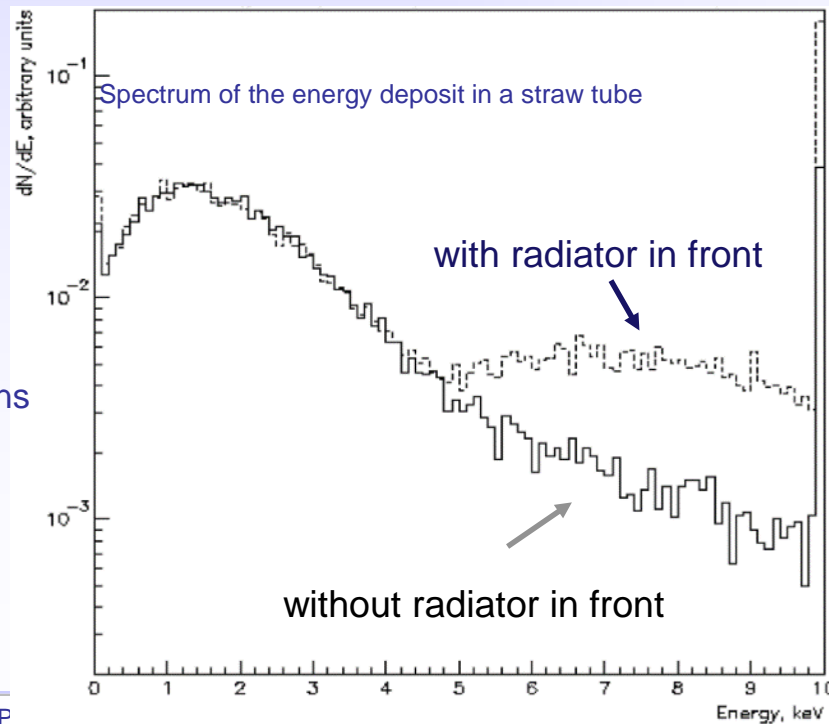
Every straw is a mini drift tube.  
Measure drift time of electrons to anode wire

Active gas is Xe/CO<sub>2</sub>/O<sub>2</sub> (70/27/3)  
operated at ~2x10<sup>4</sup> gas gain;  
drift time ~ 40ns ( fast!)





data test beam  
20GeV electrons

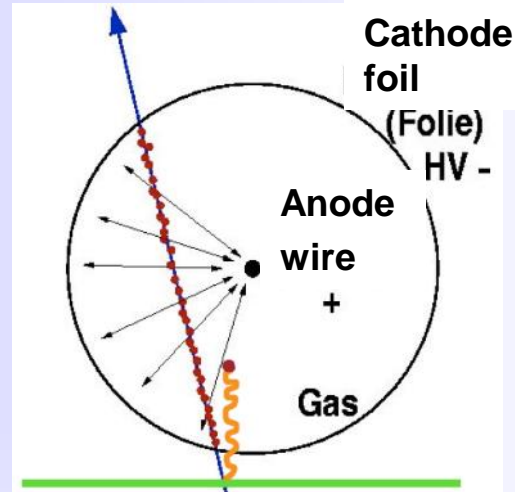


Signal in straw is  
superposition of  
dE/dx and TR

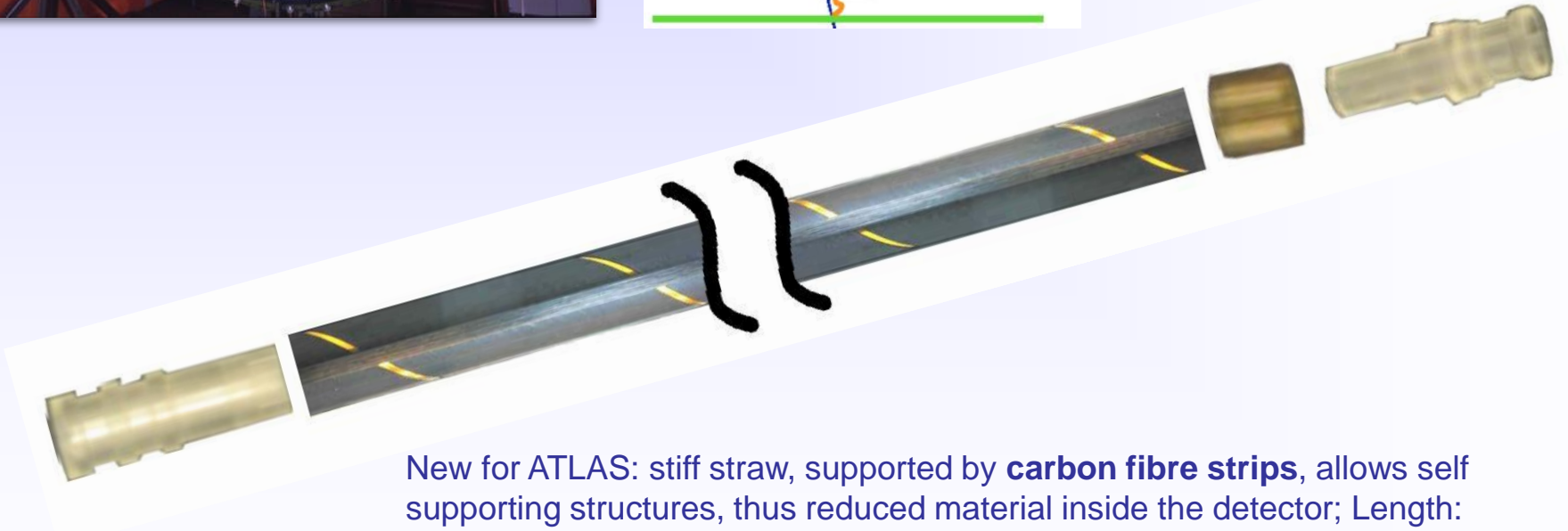
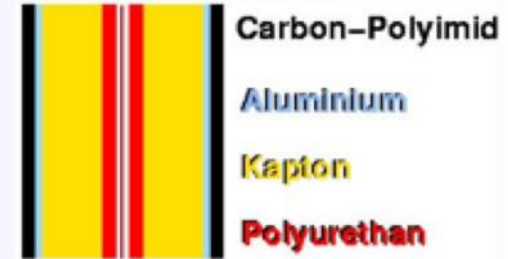
## Special challenges at the LHC

- Very high occupancy: up to 30% of straws receive a signal in a bunch crossing;
- Very high counting rate: up to 20 MHz/straw
- Short bunch crossing interval: 25 ns
- High spatial resolution needed  $\Rightarrow$  many measurement points (35/track);  
radiator  
(foam or fibres)
- Radiation environment:  $\sim 10$  MRad and  $10^{14}$  n/cm<sup>2</sup> year;
- Fast and chemically passive straw gas: otherwise ageing!
- Chemically resistant straw materials: operating straw acts like an electrochemical reactor
- Minimum amount of material ( in radiation lengths)
- Extremely precise and robust mechanical structure of  $\sim 100\mu\text{m}/\text{few m}$   
 $=\sim 10^{-5}$ ;
- Temperature stable: cooling required.

straws in an end-cap wheel

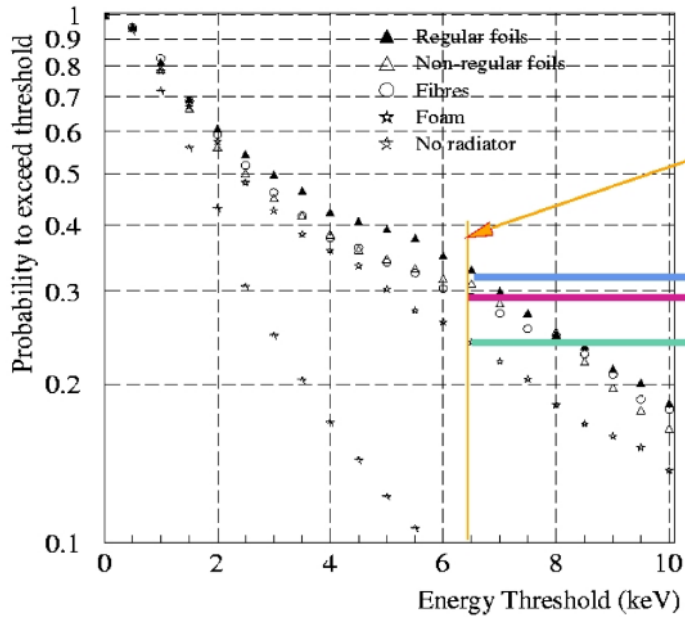


layers of a straw wall



New for ATLAS: stiff straw, supported by **carbon fibre strips**, allows self supporting structures, thus reduced material inside the detector; Length: 144 cm in barrel, 39 cm in end-cap.

~probability to produce detectable TR photon



Optimal TR threshold (~6.5 keV)

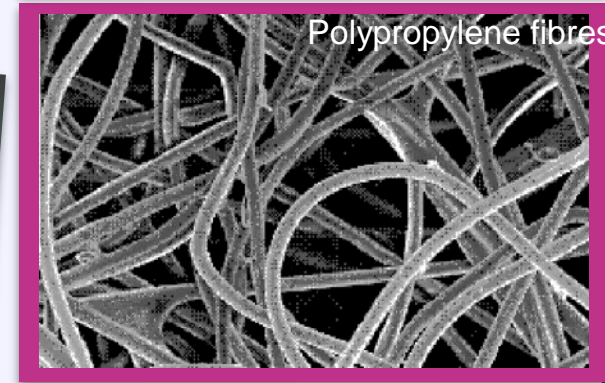
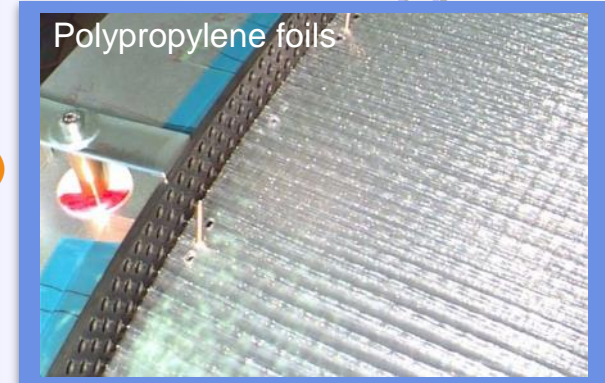
Regular foils (TRT end-caps)

Fibres (TRT barrel)

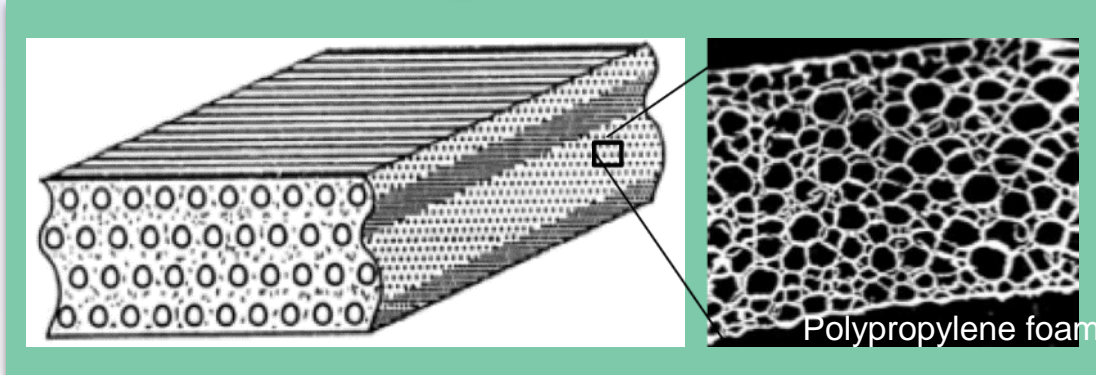
Foam (TRT barrel)

easy to build structures!

Choice of Radiator materials: ensure balance between TR-performance and simple construction.



What was new about these foams?  
No impurities from other material, always present in other type of foams





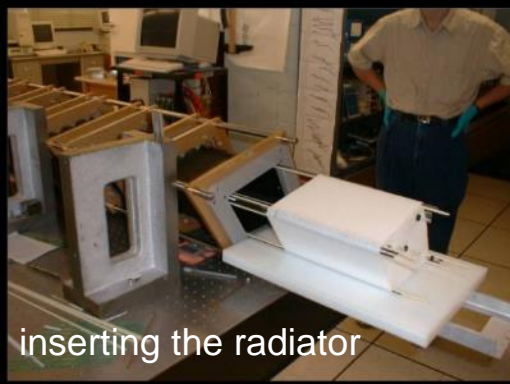
empty barrel module



inserting the straws



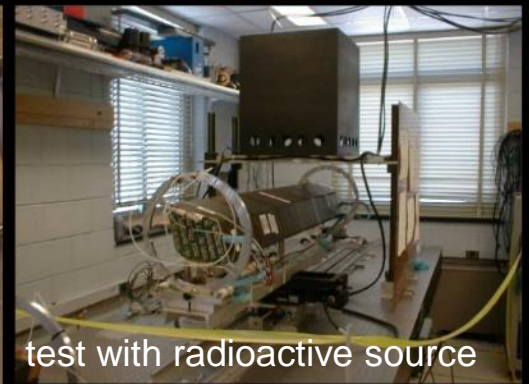
connecting the wires



inserting the radiator



connecting the straw walls



test with radioactive source



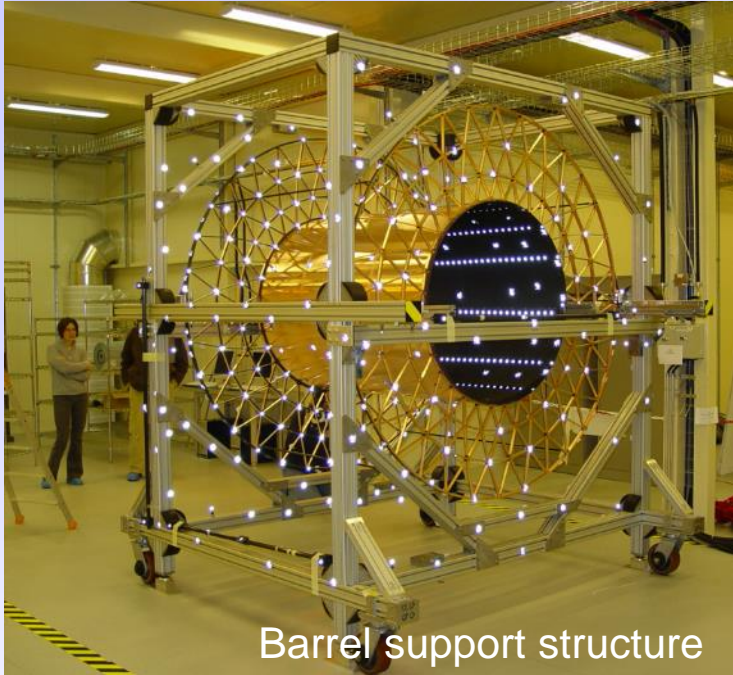
module shell half filled with radiator material



stringing straw wires



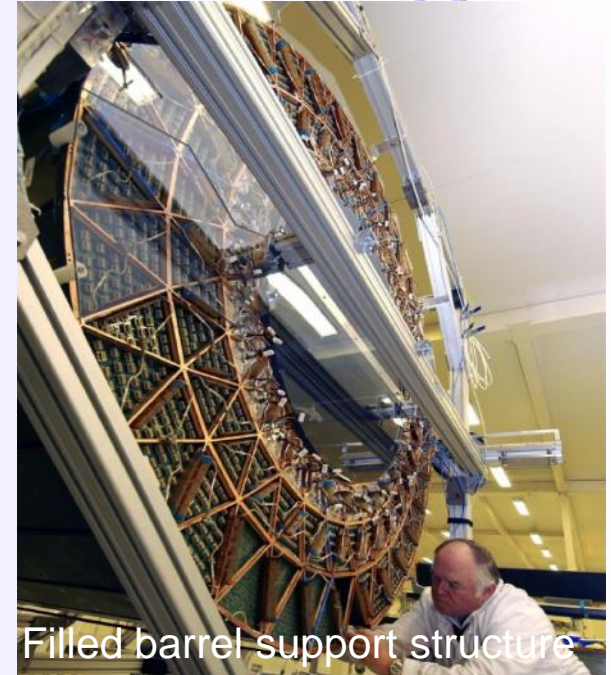
mounting electronics & cooling



Barrel support structure



inserting modules

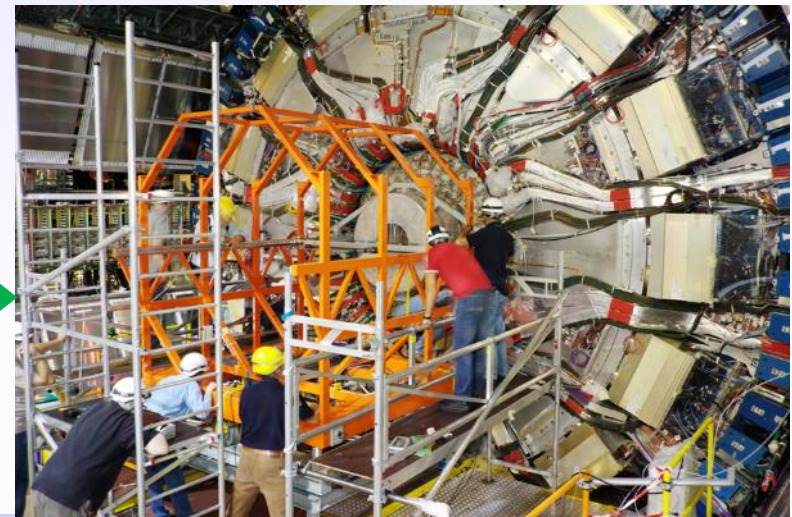


Filled barrel support structure

TRT Barrel detector (with semi conductor detector inserted)



insertion into ATLAS



- assembly of a basic element, a wheel with 4 straw layers/planes



Installation of straws  
(tests leak tightness)



Transfer of wheel...



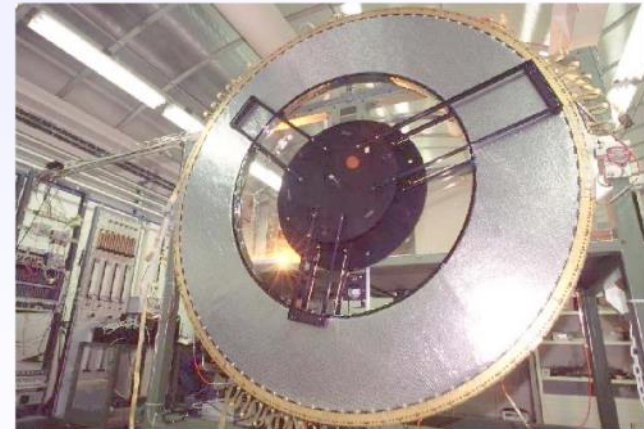
...to string wires



Fixating and connecting wires  
(tests wire tension & HV)

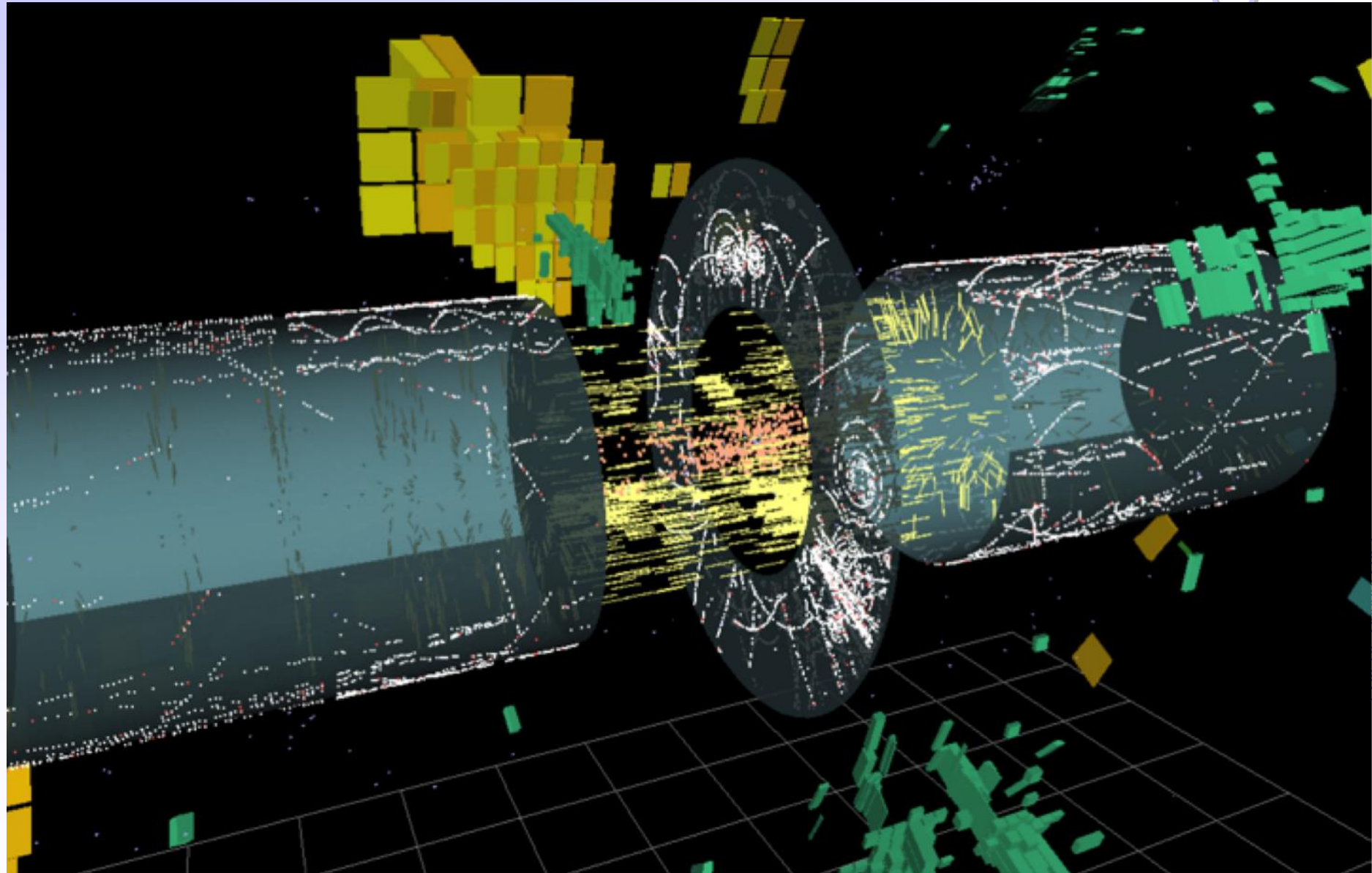


Sealing of wheel  
(tests leak tightness & HV)

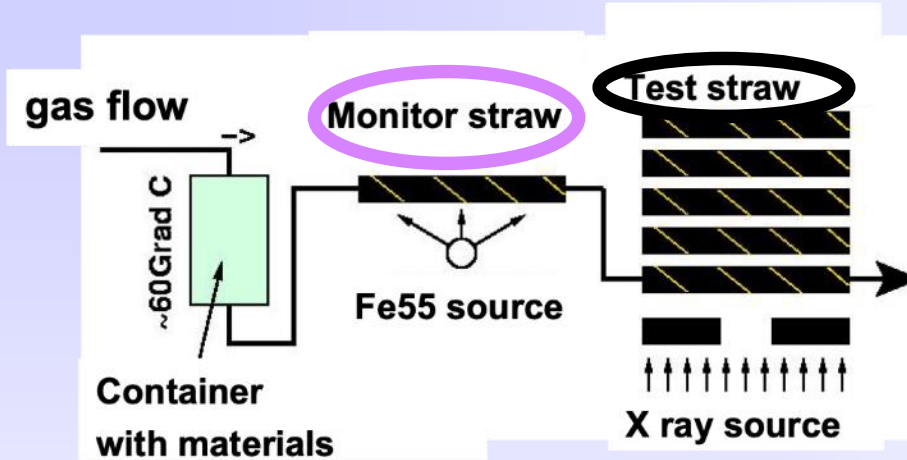


Final acceptance tests  
(test wire centricity etc.)

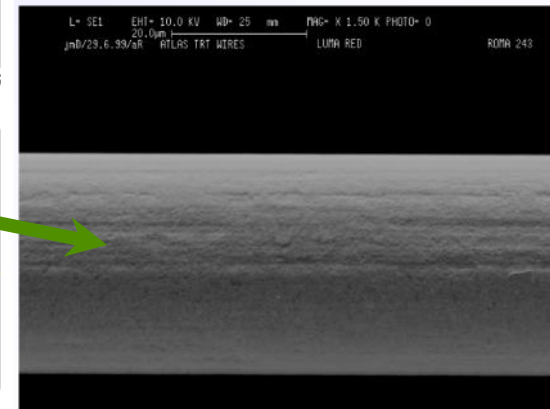
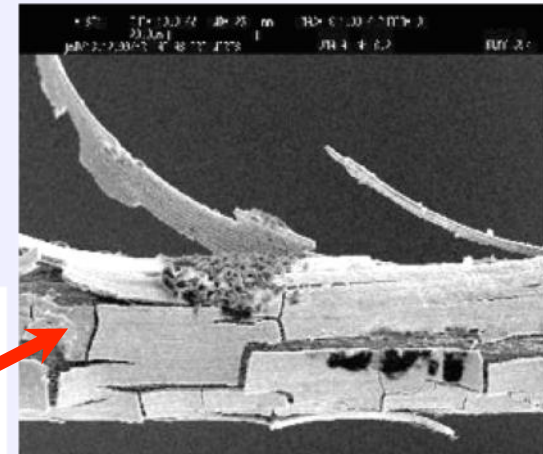
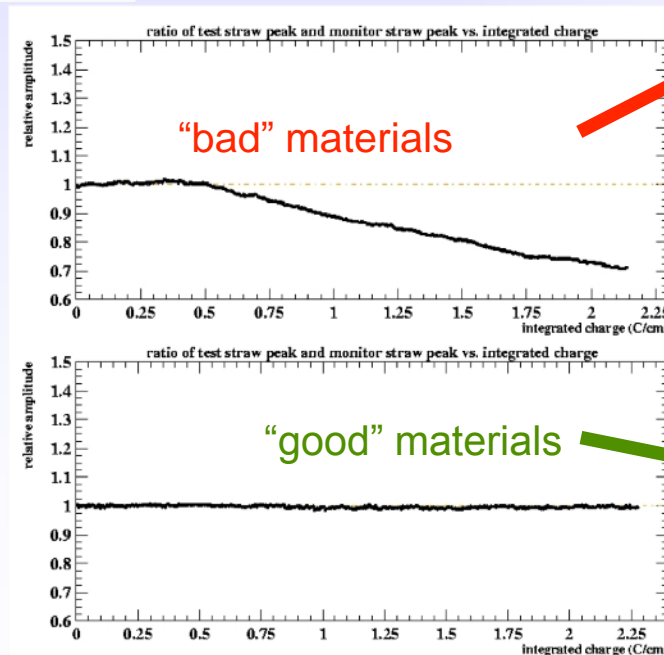




Mandatory in high radiation environments: test of all materials

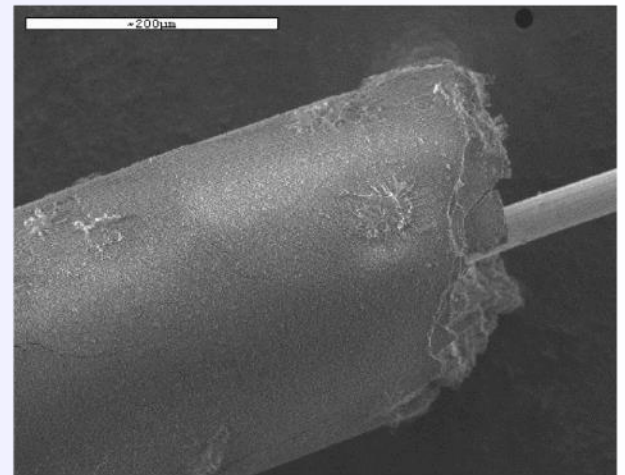


Check ratio of height of signal amplitude for test straw (radiated) and monitor straw (not radiated)



Original TRT gas mixture (70% Xe, 20%  $\text{CF}_4$ , 10%  $\text{CO}_2$ ) was destroying the detector (2002)

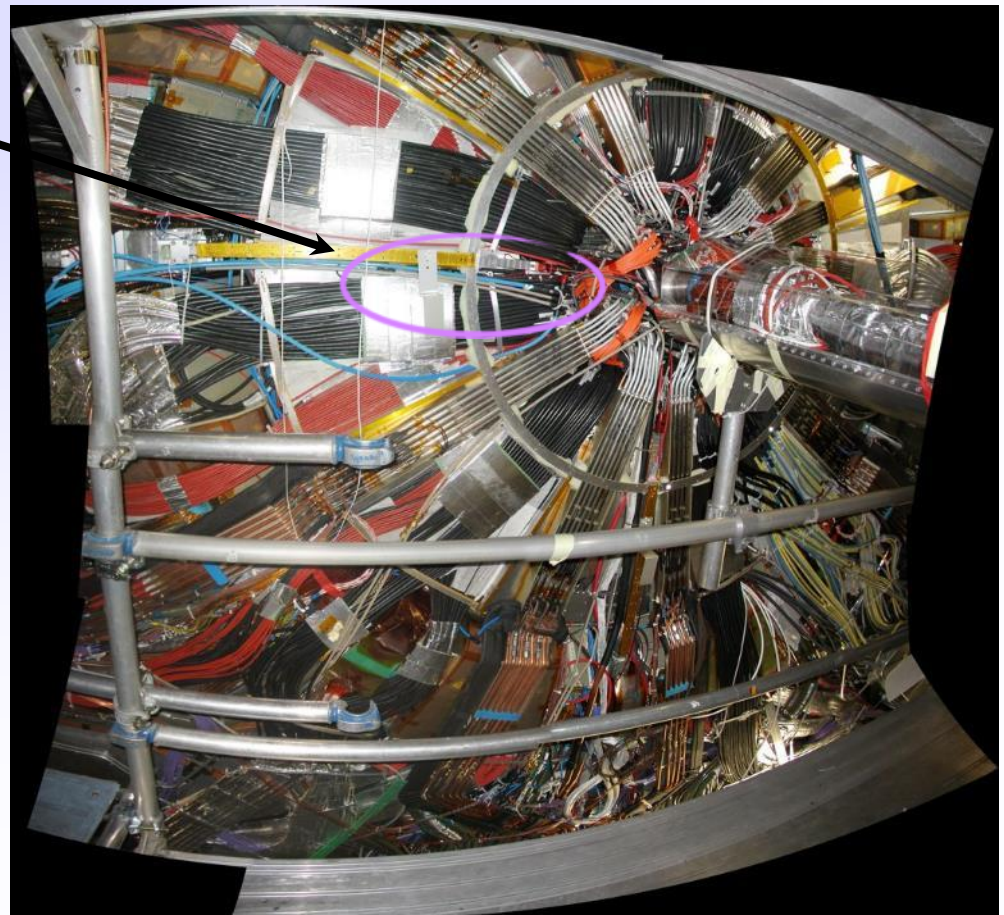
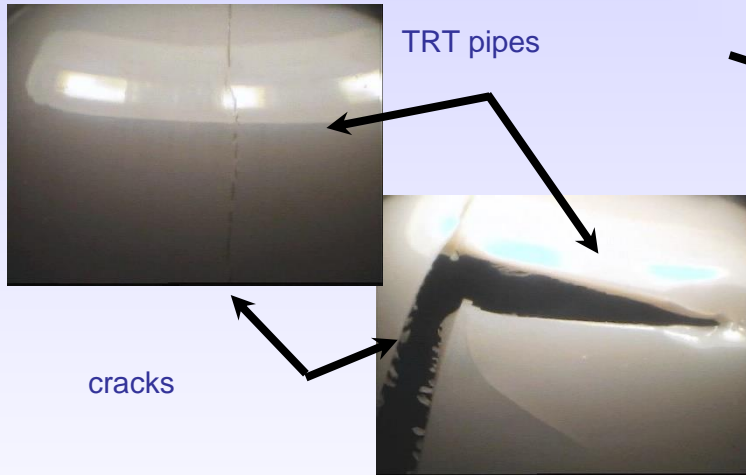
- glass wire joints of barrel TRT “melting” with radiation 0.3-04 C/cm, less than 1 year nominal LHC operation
- Reason: hydrofluoric acid HF



Within one year, a new mixture was developed: 70% Xe, 27%  $\text{CO}_2$ , 3%  $\text{O}_2$

- $\text{O}_2$  very unusual, strong quencher (“eats” electrons)
- only works for TRT as straws have small diameter (we are very lucky! ... for once)

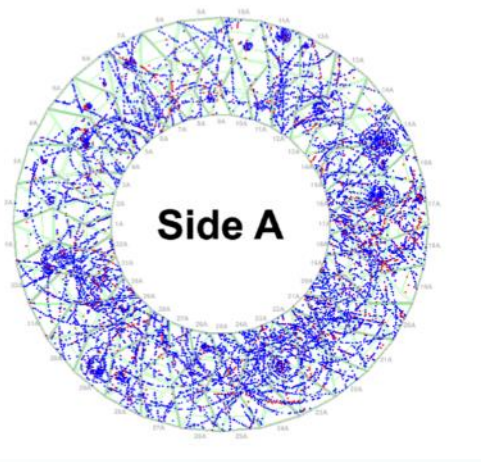
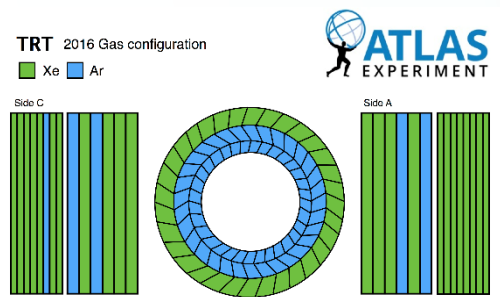
- Cracks in outlet pipes of active gas developed in 2012
- gas losses: 150l/day instead of <math><0.5\text{l/day}</math> up to 2011;
- reason: aggressive ozone produced when active gas mixture is radiated, ozone attacks plastic gas pipes (although plastic material has been validated - but material seem to have changed properties when being heated and bent....)
- no chance to fix leaks



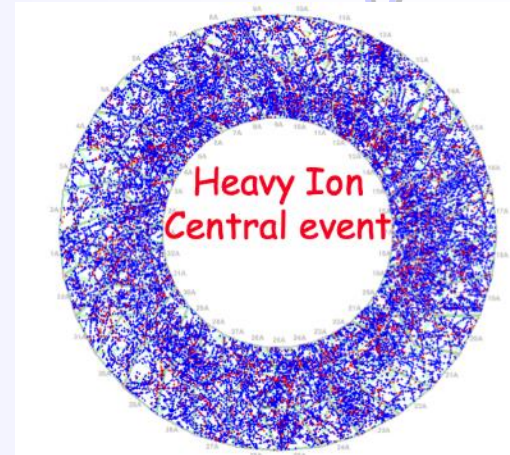
- Difficult to access!!!
- For effected region, switched to Argon based gas mixture in the straws.
- Reduce PID performance.

in 2016: already suffering from broken gas pipes

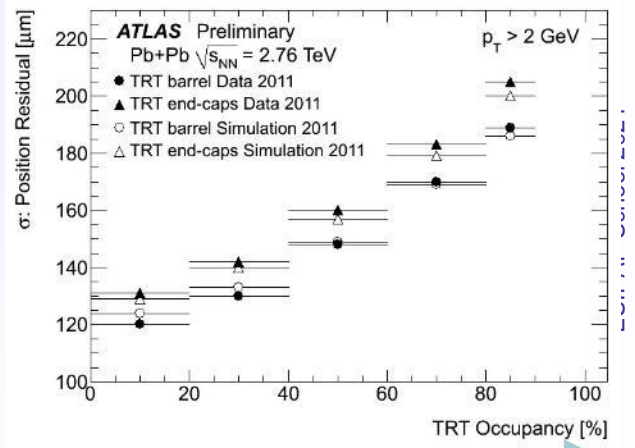
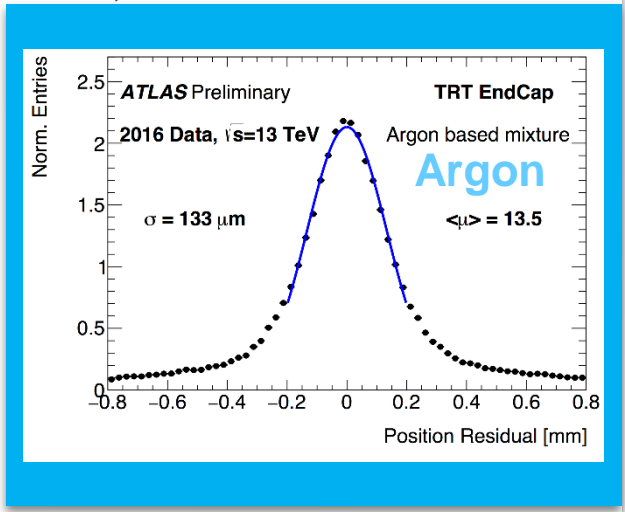
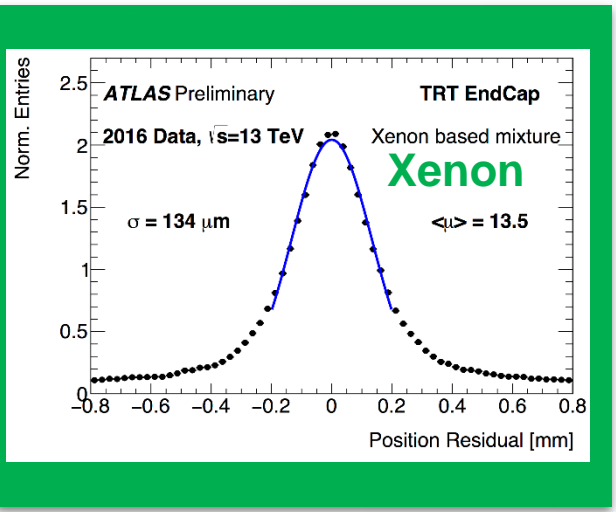
⇒ detector partially operated with Argon mixture



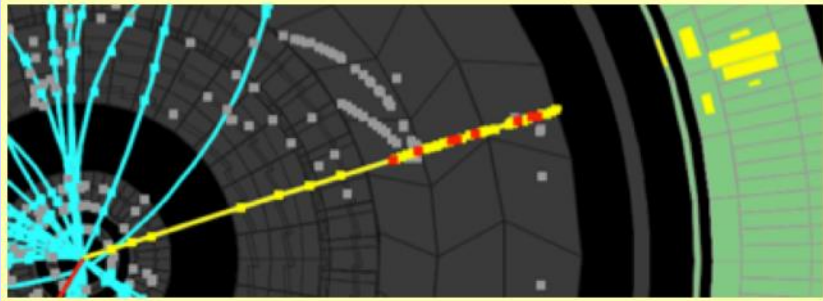
low occupancy (number of collisions per bunch crossing  $\langle \mu \rangle$  low)



high occupancy (number of collisions per bunch crossing  $\langle \mu \rangle$  high, i.e. Heavy Ion Collisions)

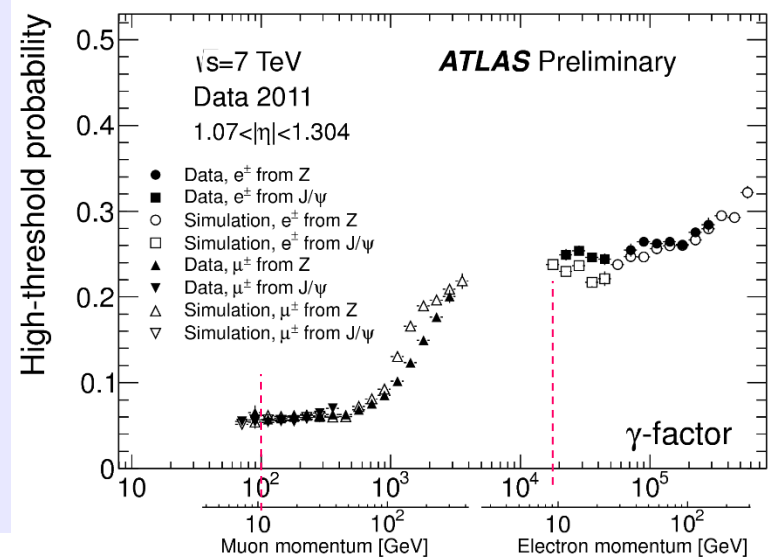


increasing occupancy →

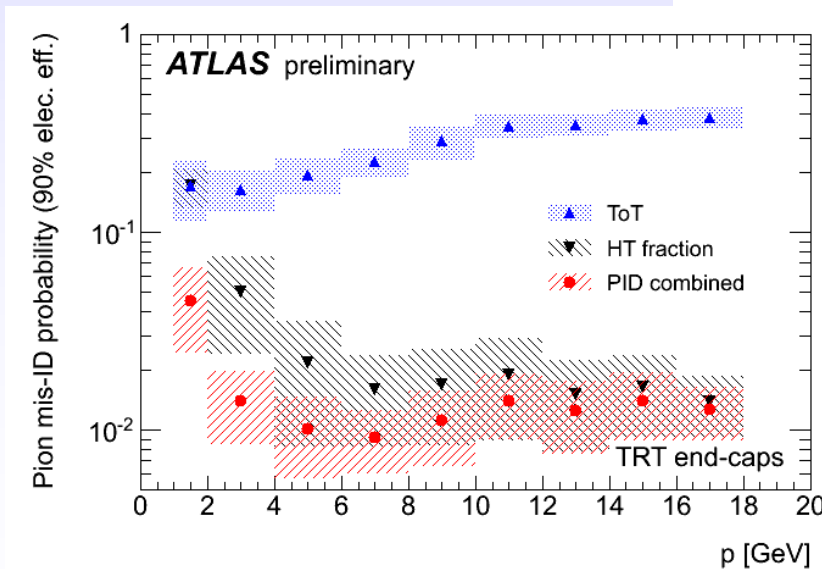


Event with an electron (yellow track): hits with high signal (=TR, passing a high level HL threshold) are indicated in red

TR turn ON curve: HL probability VS gamma factor

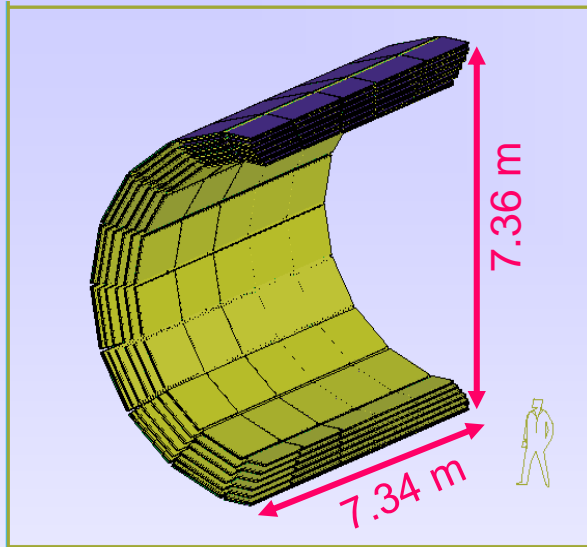


Typical Figure of Merit for a TR detector:

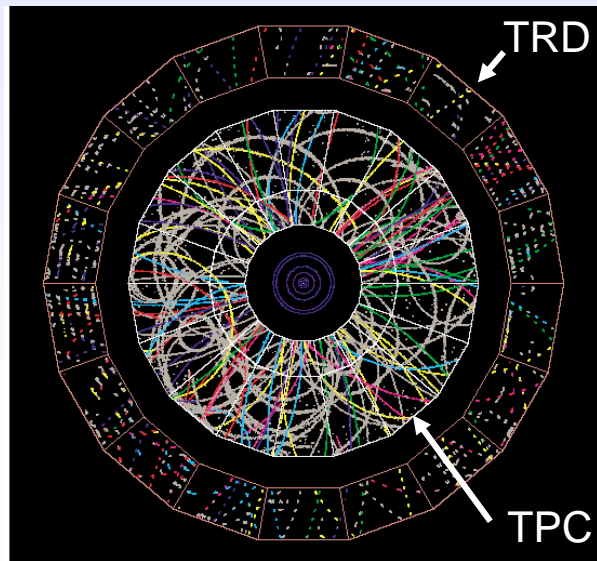
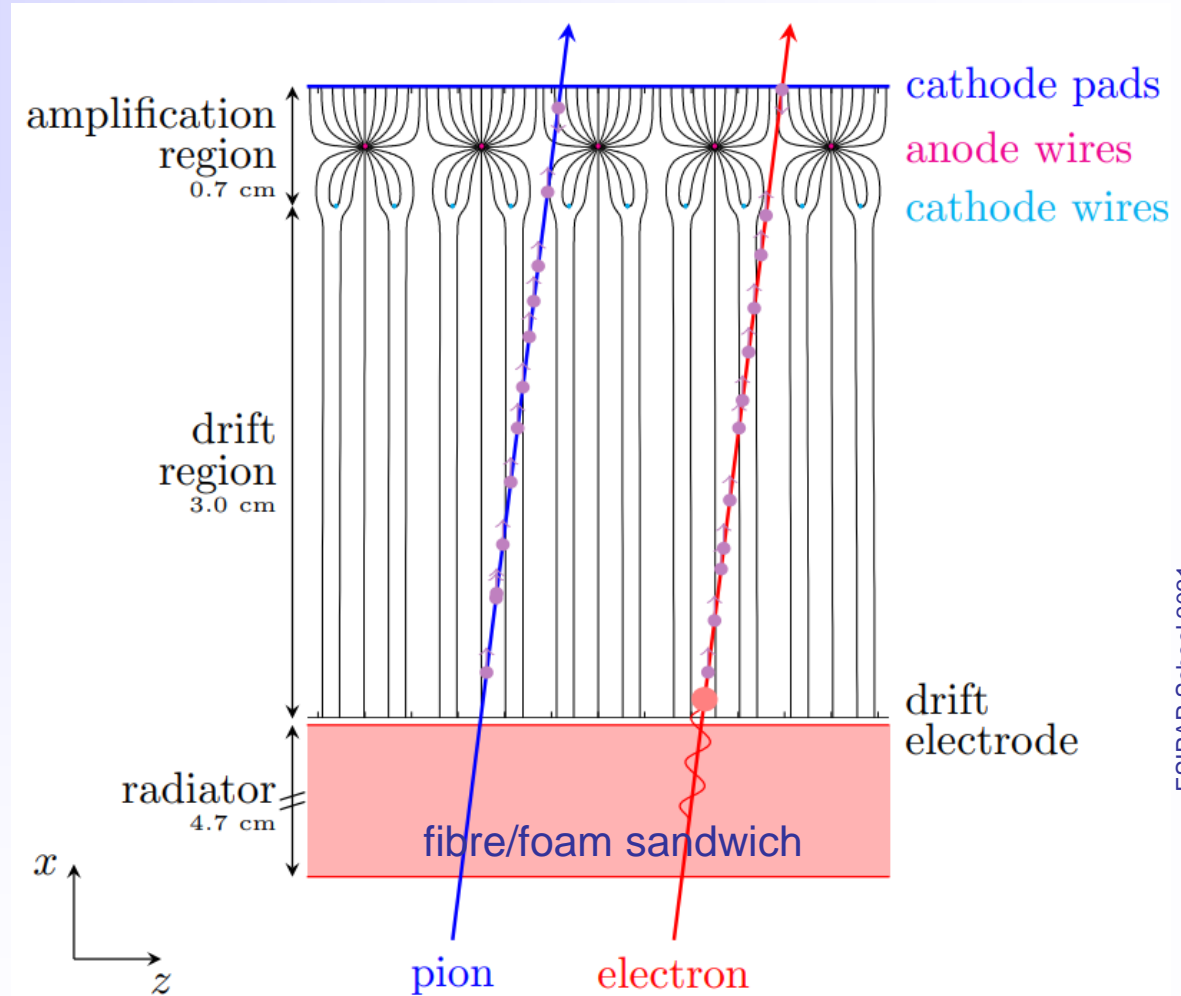


10 GeV  $\mu$  vs 10 GeV  $e$

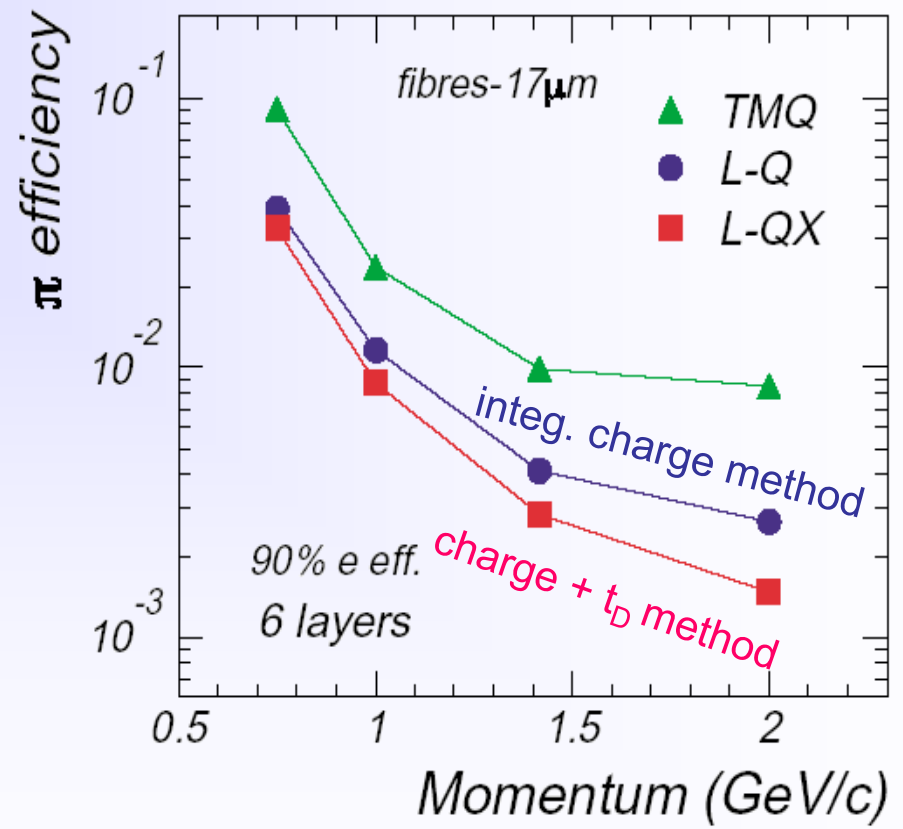
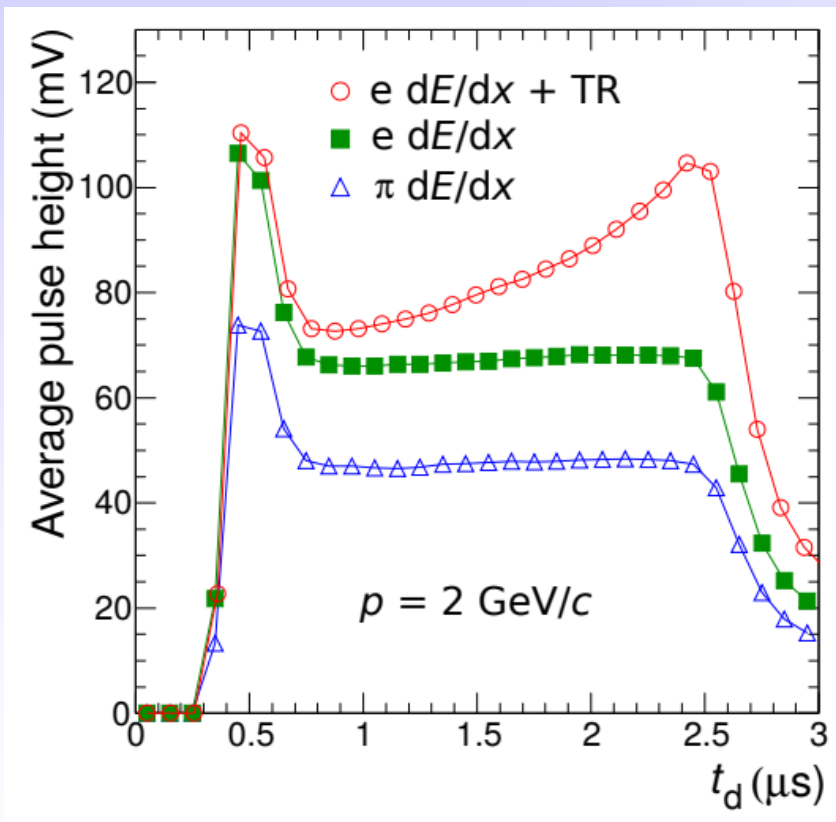
CERN-EP-2017-222



Time Expansion Chamber with Xe/CO<sub>2</sub> gas (85%-15%)



Pulse height vs drift time



TMQ = Truncated mean of charge  
 L-Q = Likelihood on charge  
 L-QX = 2D Likelihood on charge and x-coord.

ESIPAP School 2021



- **Cherenkov radiation** is produced by a charged particle traversing a dielectric medium at a speed  $v \geq c/n$  (threshold).
- Weak light source, visible and UV.
- Light intensity increases like  $\sin^2\theta = 1 - (n^2\beta^2)^{-1} \rightarrow$  saturated angle at  $\beta \approx 1$
- Detection of C-light requires low-noise single photon sensitive photodetectors.
- Main applications are PID ( $\pi/k$ ) by threshold, Ring Imaging or Time Of Propagation counters (incl. TORCH).
  
- **Transition radiation** is produced by a charged particle at the edge of a dielectric medium.
- Intensity scales with Lorentz boost  $\gamma$ . In HEP experiments, only  $e^\pm$  reach high enough  $\gamma$  values ( $> 1000$ )
- Extremely weak light source, X-ray,  $O(10\text{keV})$
- Need many transitions  $\rightarrow$  foil stacks, foams
- Detection by gas detector (drift chamber / tubes) operated with high-Z gas (Xe)
- Main application is tracking with  $e^\pm$  enhancement.



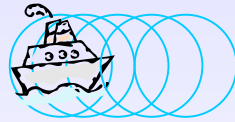
# BACK-UP MATERIAL

## Propagating waves

- A stationary boat bobbing up and down on a lake, producing waves

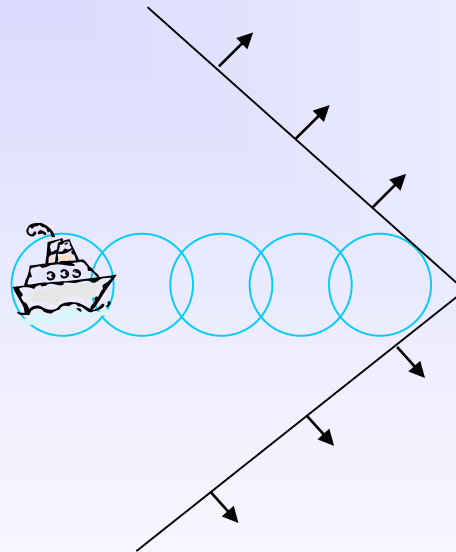


- Now the boat starts to move, but slower than the waves



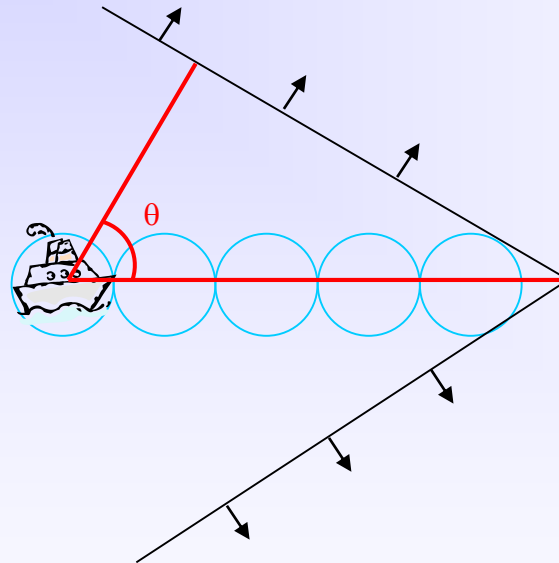
No coherent wavefront is formed

- Next the boat moves faster than the waves



A coherent wavefront is formed

- Finally the boat moves even faster



The angle of the coherent wavefront changes with the speed

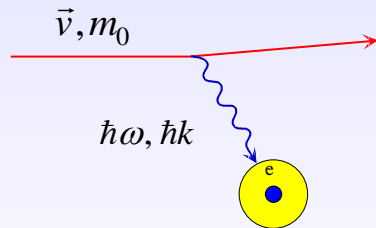
$$\cos \theta = v_{\text{wave}} / v_{\text{boat}}$$

# Reminder: Interaction of charged particles

## ■ Detection of charged particles

Particles can only be detected if they deposit energy in matter.  
How do they lose energy in matter ?

Discrete collisions with the atomic **electrons** of the absorber material.



$$\left\langle \frac{dE}{dx} \right\rangle = - \int_0^\infty N E \frac{d\sigma}{dE} \hbar d\omega$$

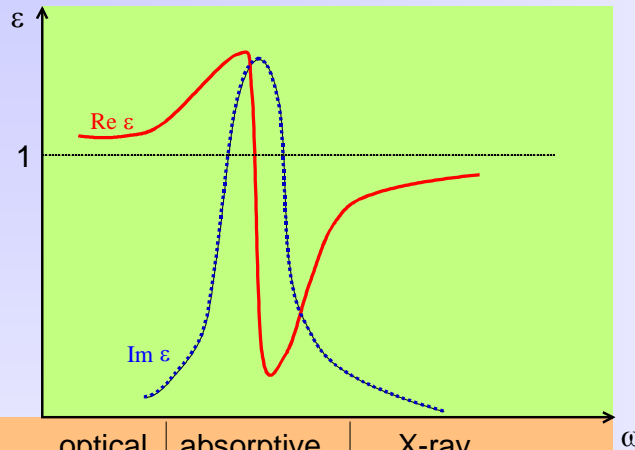
$N$  : electron density

$$E = \hbar\omega$$

$$\left( \omega = 2\pi f = 2\pi \cdot \frac{c}{\lambda} \right)$$

W.W.M. Allison, J.H. Cobb,  
Ann. Rev. Nucl. Part. Sci. 1980. 30: 253-98

If  $\hbar\omega, \hbar k$  are in the right range  $\Rightarrow$  ionization.



Optical behaviour of medium is characterized by the complex dielectric constant  $\epsilon$

$$\text{Re } \sqrt{\epsilon} = n$$

Refractive index

$$\text{Im } \epsilon = k$$

Absorption parameter

regime:	optical	absorptive	X-ray
effect:	Cherenkov radiation	ionisation	transition radiation
$E = \hbar\omega$	eV	O(10) eV	keV

Instead of ionizing an atom or exciting the matter, under certain conditions the photon can also escape from the medium.

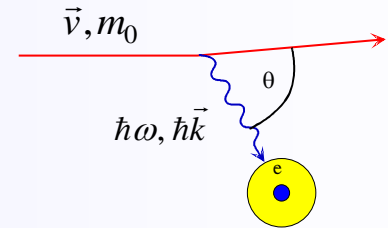
⇒ Emission of **Cherenkov** and **Transition** radiation.

This emission of real photons contributes also to the energy loss.



A photon in a medium has to follow the dispersion relation

$$\omega = 2\pi f = 2\pi \frac{c/n}{\lambda} = k \frac{c}{n} \quad \omega^2 - \frac{k^2 c^2}{\epsilon} = 0 \quad \epsilon = n^2$$



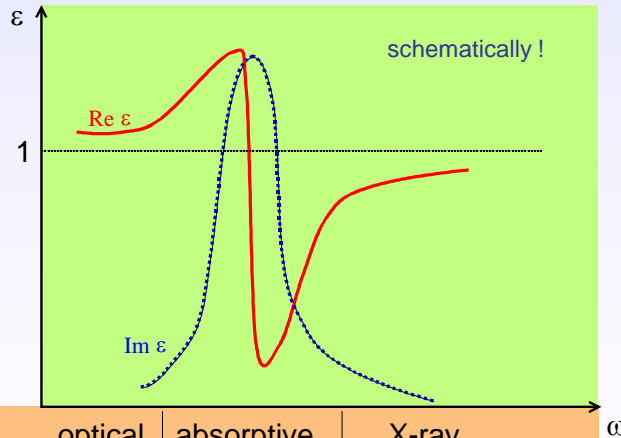
Assuming soft collisions + energy and momentum conservation

→ emission of real photons:

$$E = E' + \hbar\omega \quad \vec{p} = \vec{p}' + \hbar\vec{k} \quad \dots \text{ solve for } \omega$$

$$\omega \cong \vec{v} \cdot \vec{k} = v \cdot k \cos \theta$$

$$\rightarrow \cos \theta = \frac{\omega}{vk} = \frac{kc}{n} \cdot \frac{1}{vk} = \frac{1}{n\beta}$$



Emission of photons if

$$\beta = \frac{1}{n \cdot \cos \theta} \quad \beta \geq 1/n \quad v \geq c/n$$

A particle emits **real photons** in a dielectric medium if its speed  $v = \beta \cdot c$  is greater than the speed of light in the medium  $c/n$ . → **Cherenkov radiation.**

regime:	optical	absorptive	X-ray
effect:	Cherenkov radiation	ionisation	transition radiation

# Estimate the energy loss by Cherenkov radiation in quartz

Private exercise

$$\frac{dN_\gamma}{dx} = \frac{\alpha}{\hbar c} \sin^2 \theta \cdot \Delta E = \frac{370}{eV \cdot cm} \sin^2 \theta \cdot \Delta E$$

$$n_{quartz} \approx 1.46$$

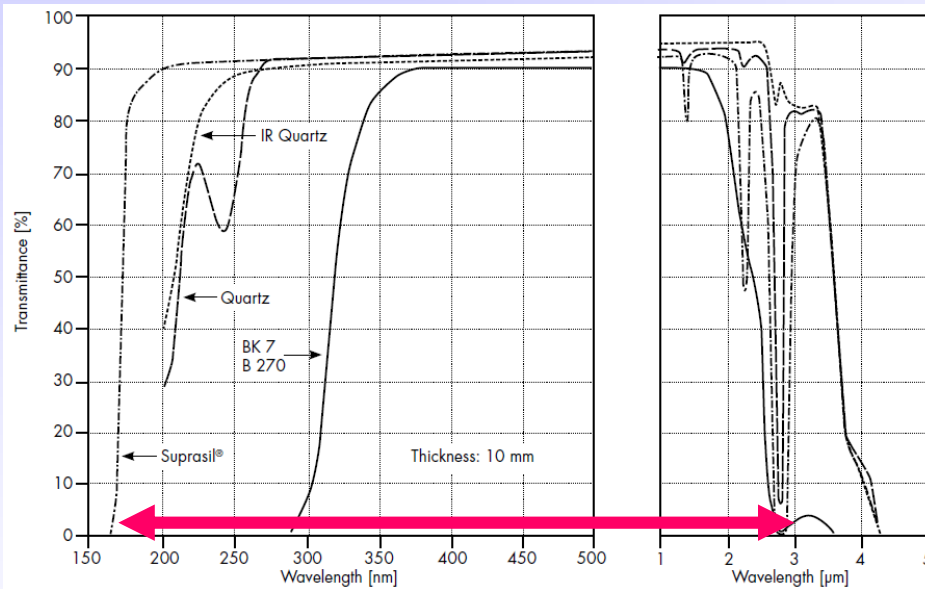
$$\rightarrow \sin^2 \theta = 1 - \cos^2 \theta = 1 - 1/n^2 = 0.53$$

$$\lambda_{min} = 160 \text{ nm} \rightarrow E_{max} \approx 1234 / \lambda \approx 7.7 \text{ eV}$$

$$\lambda_{max} \approx 3 \mu\text{m} = 3000 \text{ nm} \rightarrow E_{min} \approx 0$$

$$\Delta E = 7.7 \text{ eV}$$

$$\frac{dN_\gamma}{dx} = 370 \cdot 0.53 \cdot 7.7 = 1509 \text{ photons/cm}$$



$$\left. \frac{dE}{dx} \right|_{\text{Cherenkov}} = 1509 \cdot \langle E_\gamma \rangle = 5.8 \text{ keV/cm} \quad \longleftrightarrow \quad \left. \frac{dE}{dx} \right|_{\text{Ionization}} \approx \rho_{quartz} \cdot 2 \text{ MeV/g} \cdot \text{cm}^2 \approx 5 \text{ MeV/cm}$$

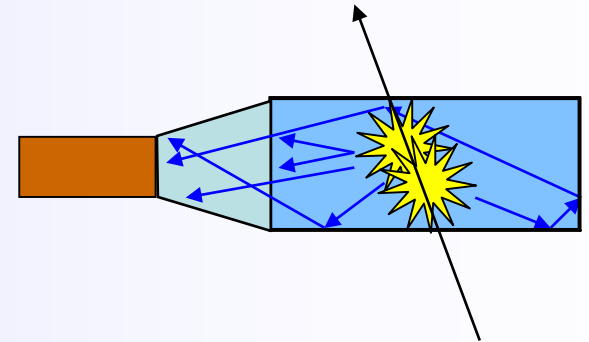
$$\langle E_\gamma \rangle = \Delta E / 2 = 3.85 \text{ eV}$$

Cherenkov effect is a weak but very useful light source.

## The classical domains of application

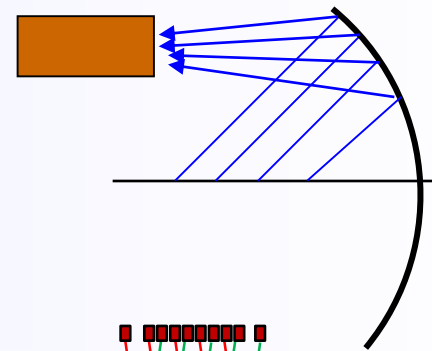
### Calorimetry

Readout of organic and inorganic scintillators, lead glass, scint. or quartz fibres  
→ Blue/VIS, usually 10s – 10000s of photons



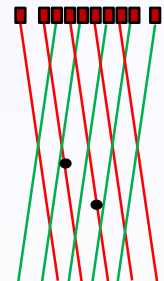
### Particle Identification

Detection of Cherenkov light → UV/blue, single photons  
Time Of Flight → Usually readout of organic scintillators (not competitive at high momenta) or Cherenkov radiators



### Tracking

Readout of scintillating fibres → blue/VIS, few photons



- Mainly determined by the fluctuations of the number of secondary electrons  $m_i$  emitted from the dynodes;

- Poisson distribution:

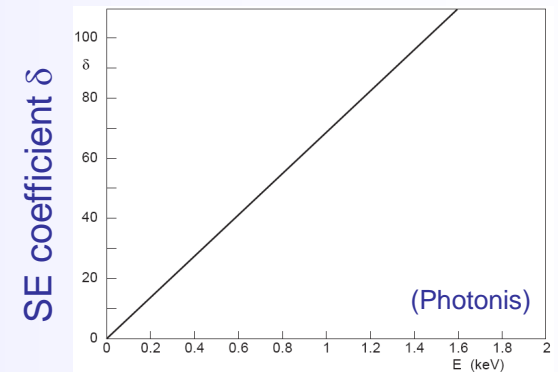
$$P(n, m_i) = \frac{m_i^n e^{-m_i}}{n!}$$

- Standard deviation:

$$\frac{\sigma_n}{m_i} = \frac{\sqrt{m_i}}{m_i} = \frac{1}{\sqrt{m_i}}$$

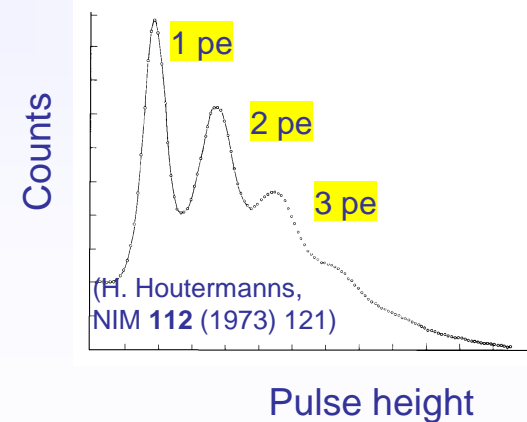
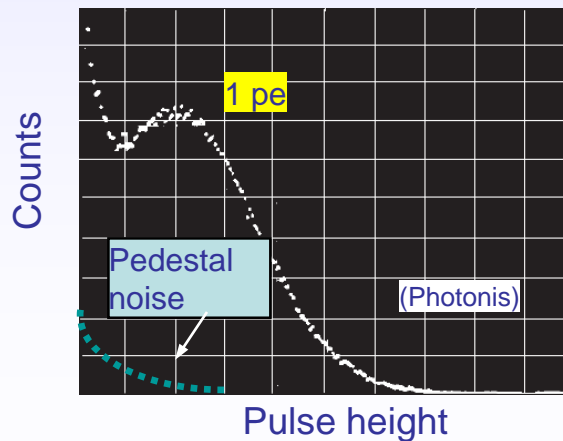
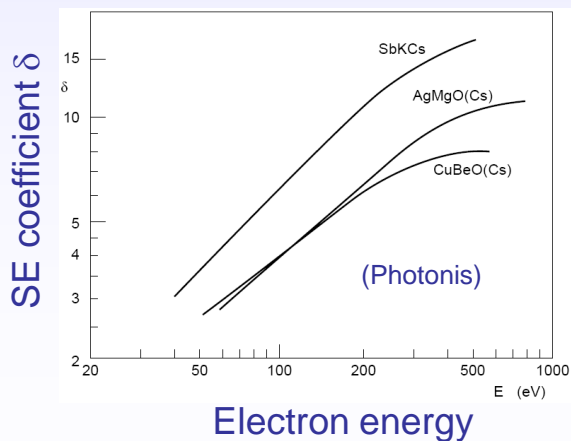
⇒ fluctuations dominated by 1<sup>st</sup> dynode gain  $m_1 = \delta_1$

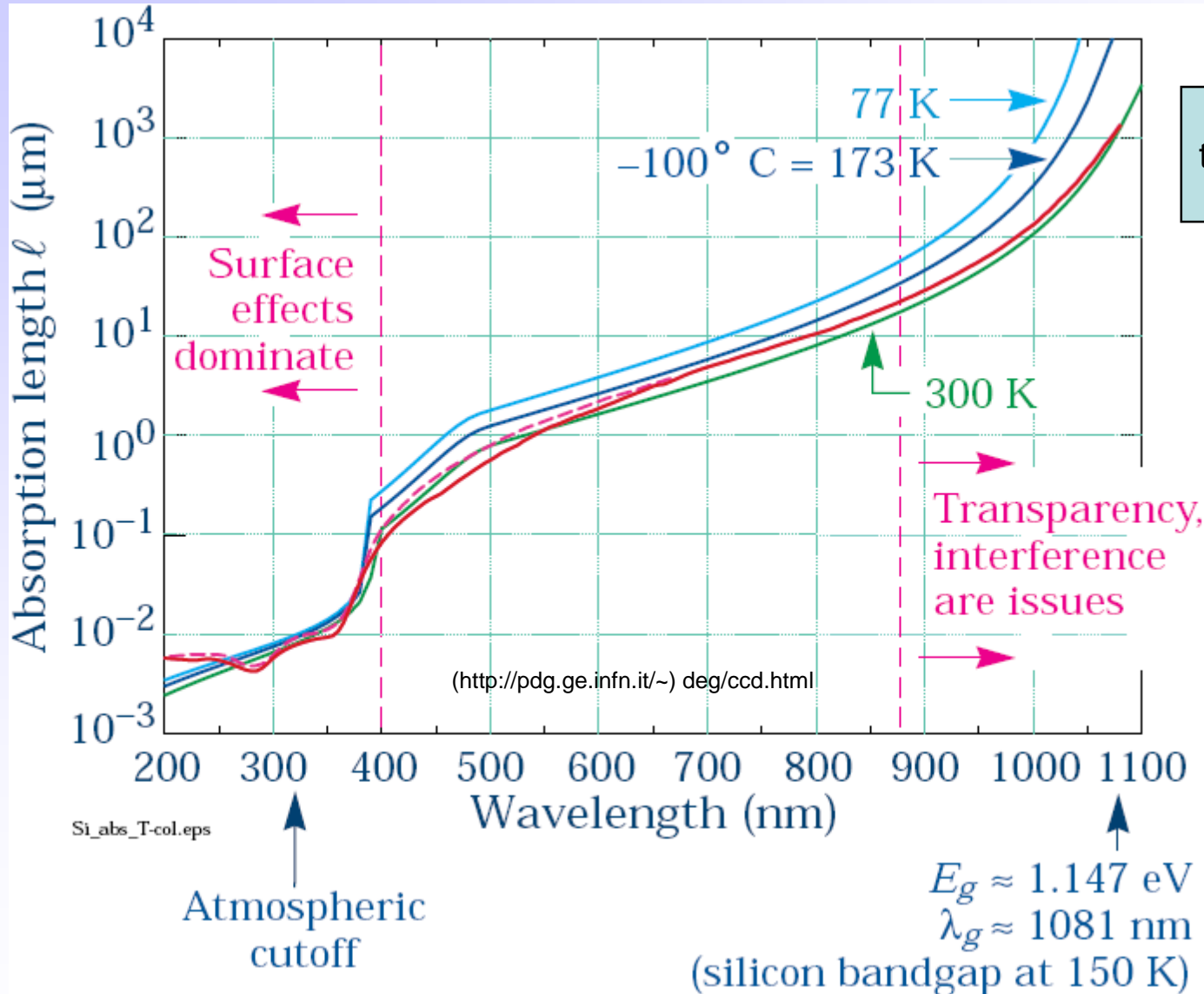
GaP(Cs) dynodes  $E_A < 0$



Energy E

CuBe dynodes  $E_A > 0$





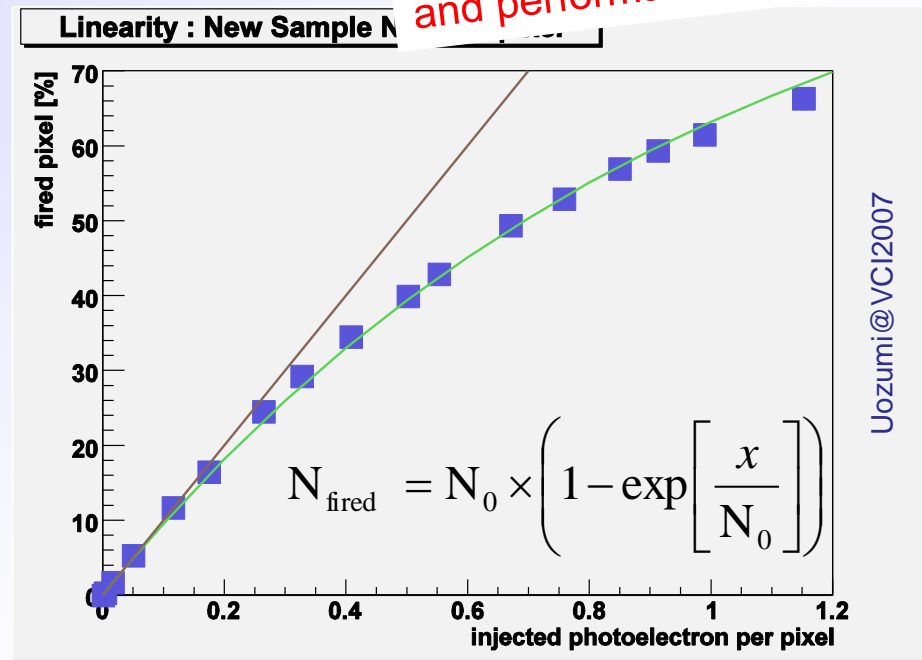
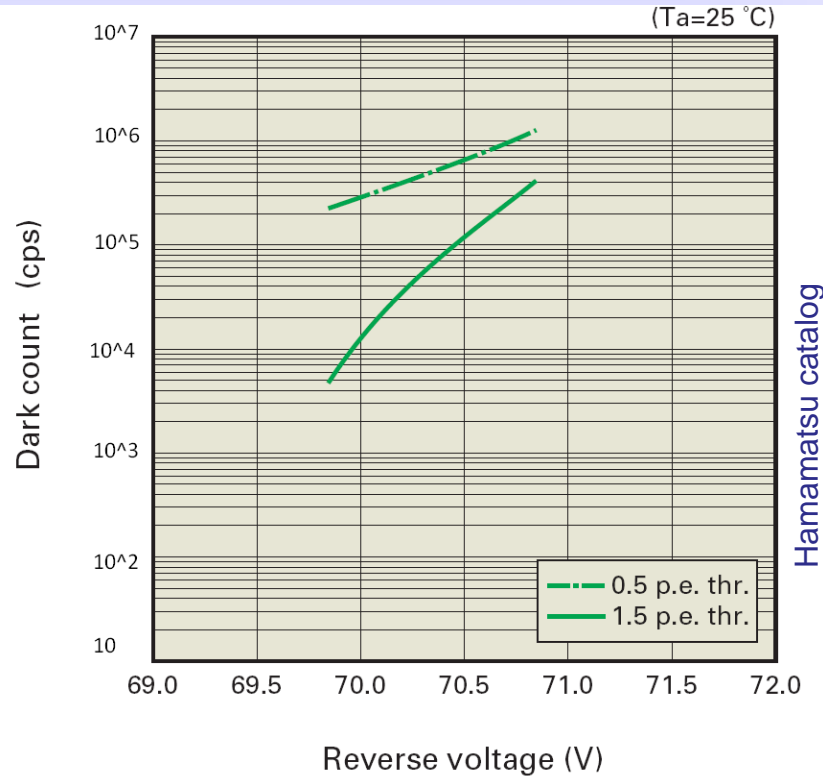
At large  $\lambda$ , temperature effects become important



You cannot get "something for nothing"

- G-APD show dark noise rate in the  $O(100 \text{ kHz} - \text{MHz} / \text{mm}^2)$  range.
- The gain is temperature dependent  $O(<5\% / ^\circ\text{K})$
- The signal linearity is limited
- The price is (still too) high

~10 producers are now in the market. Continuous improvement in technology and performance.



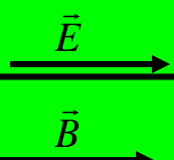
1 of 2x12 sectors

2 x 6 parabolic mirrors

2 drift tubes + 2 MWPC  
(= 1 bitube)

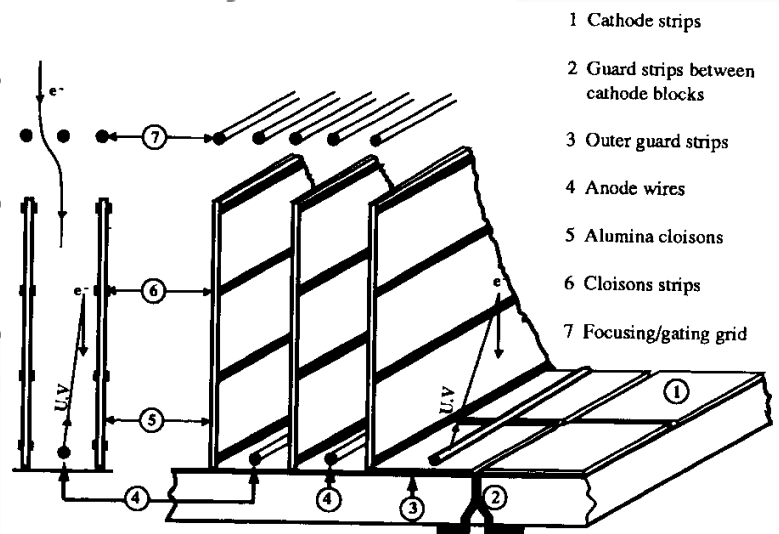
gas: 75% CH<sub>4</sub> + 25% C<sub>2</sub>H<sub>6</sub>,  
TMAE @ 28°C

$$\vec{E} \parallel \vec{B}$$



gas radiator volume  
1 single vessel  
ca. 40 cm C<sub>5</sub>F<sub>12</sub>  
p = 1030 mbar

2 liquid radiator  
1 cm C<sub>6</sub>F<sub>14</sub>,  
quartz windows  
p = 985 mbar



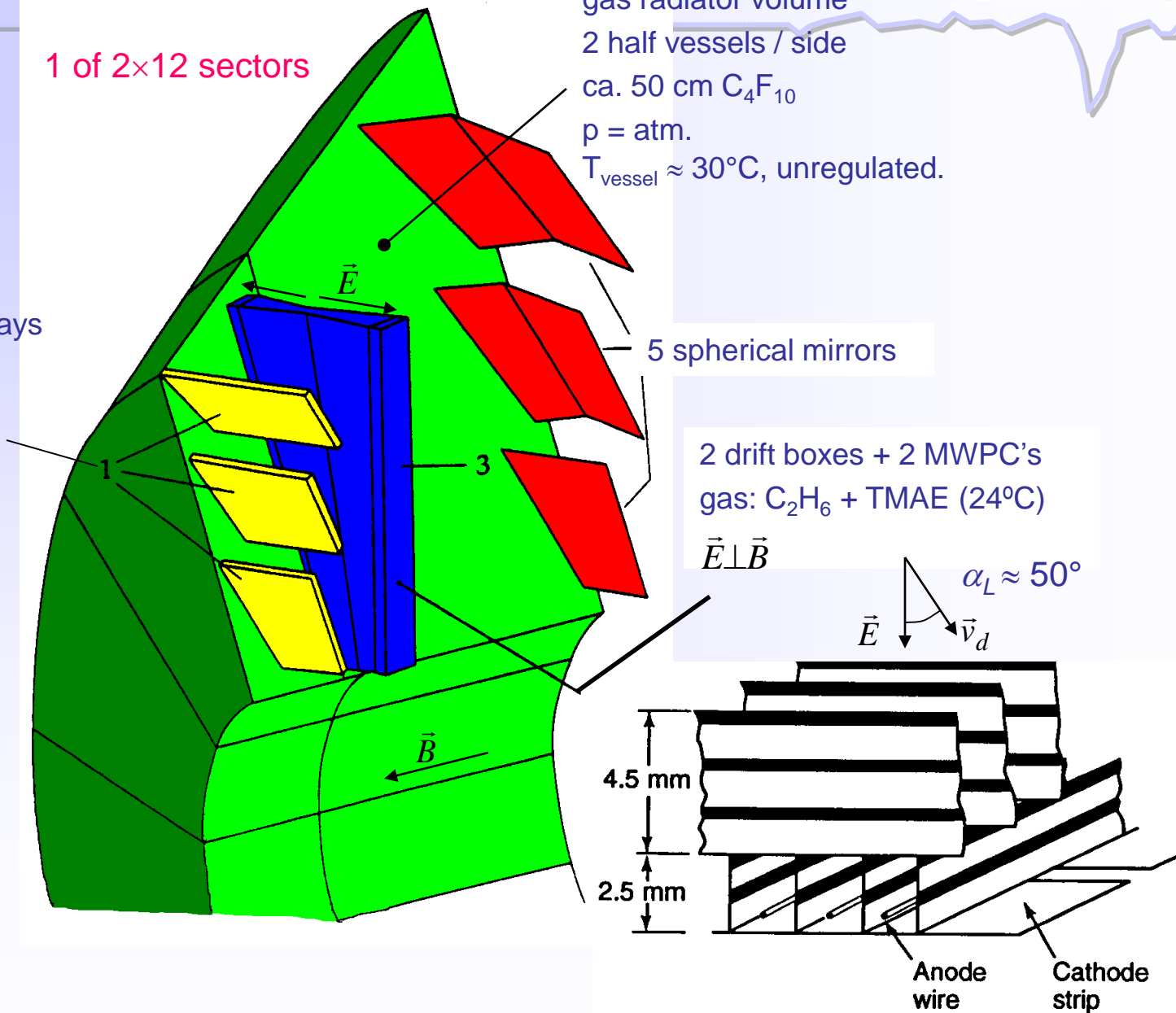
1 of 2x12 sectors

gas radiator volume  
 2 half vessels / side  
 ca. 50 cm  $C_4F_{10}$   
 $p = atm.$   
 $T_{vessel} \approx 30^\circ C, unregulated.$

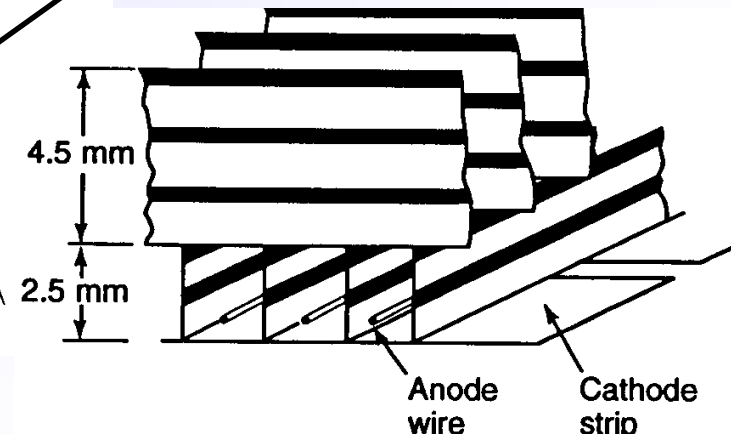
3 liquid radiator trays  
 1 cm  $C_6F_{14}$ ,  
 quartz windows  
 $p = atm.$

5 spherical mirrors

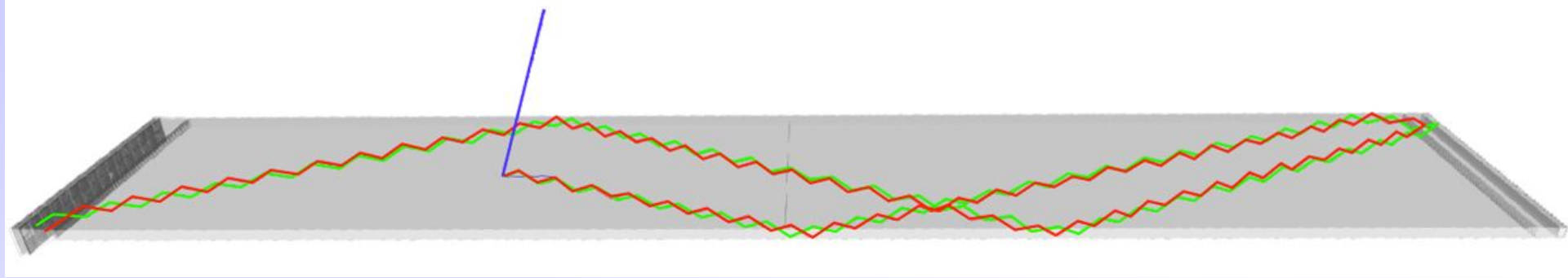
2 drift boxes + 2 MWPC's  
 gas:  $C_2H_6 + TMAE (24^\circ C)$



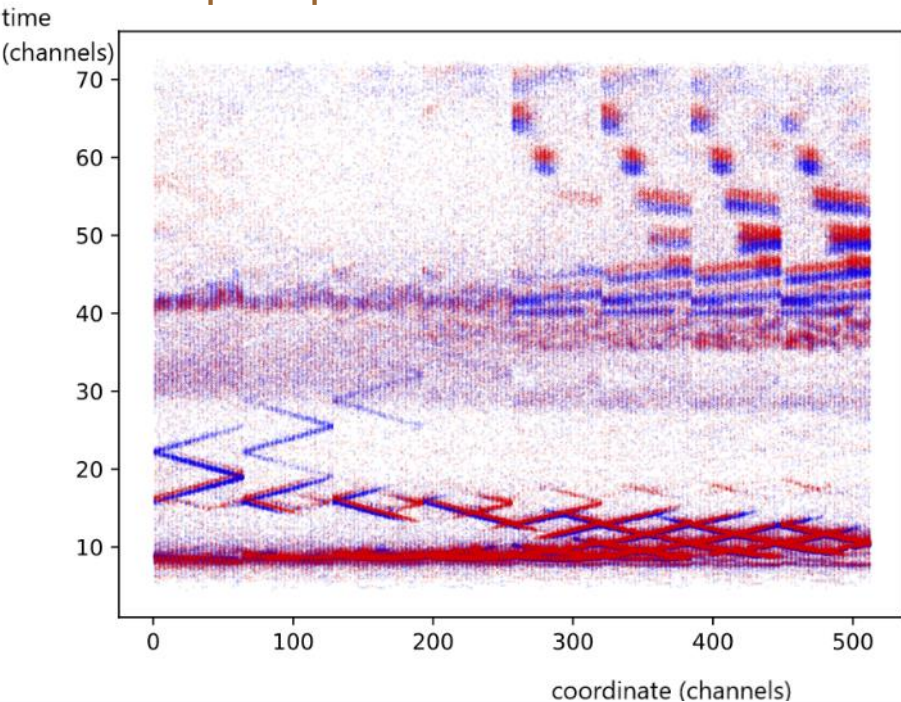
$\vec{E} \perp \vec{B}$   
 $\alpha_L \approx 50^\circ$



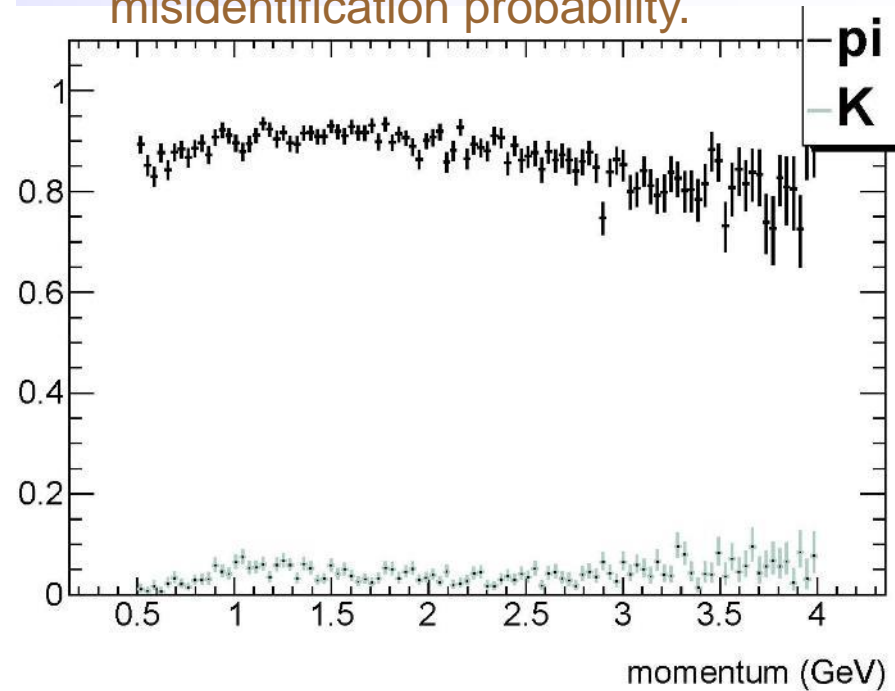




**Pions vs kaons in TOP:**  
different patterns in the time vs  
PMT impact point coordinate

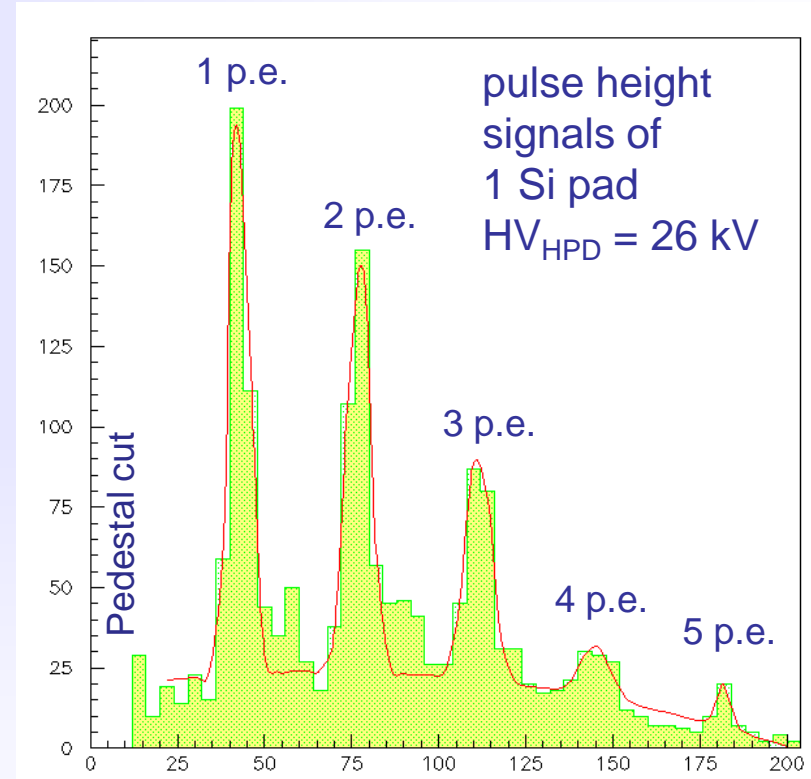


**Pions vs kaons:**  
Expected PID efficiency and  
misidentification probability.





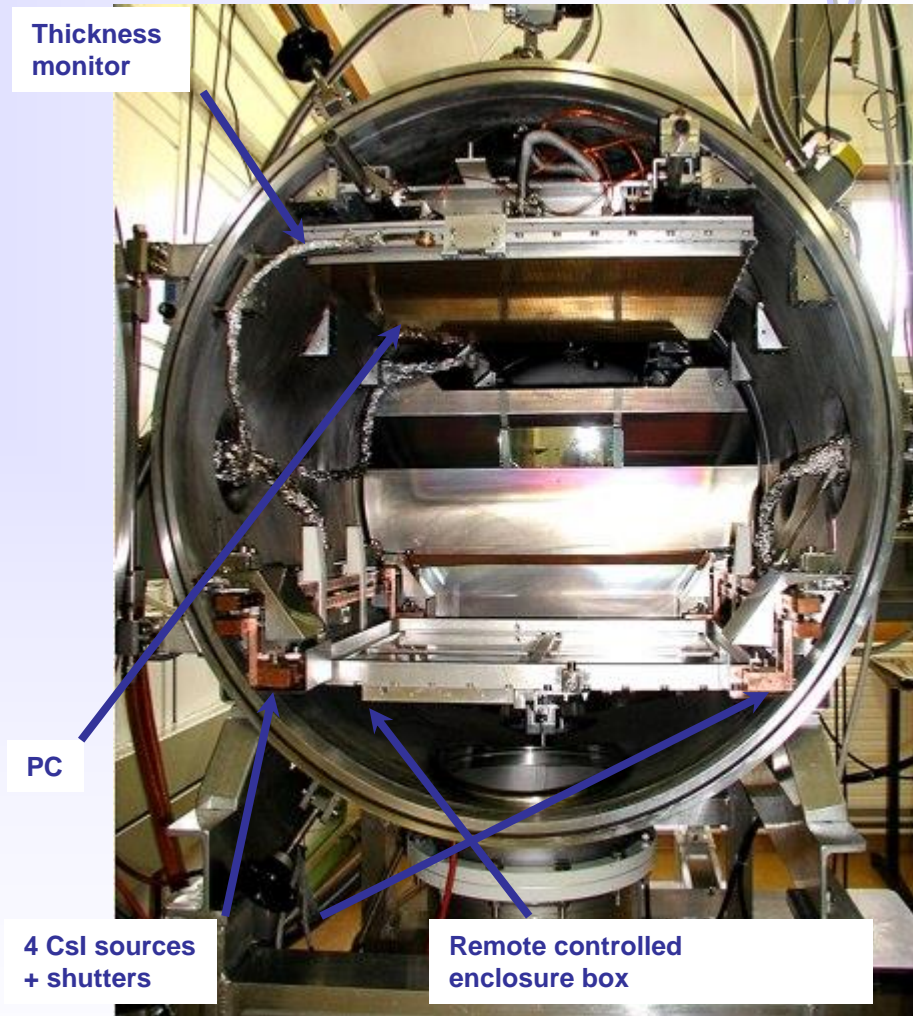
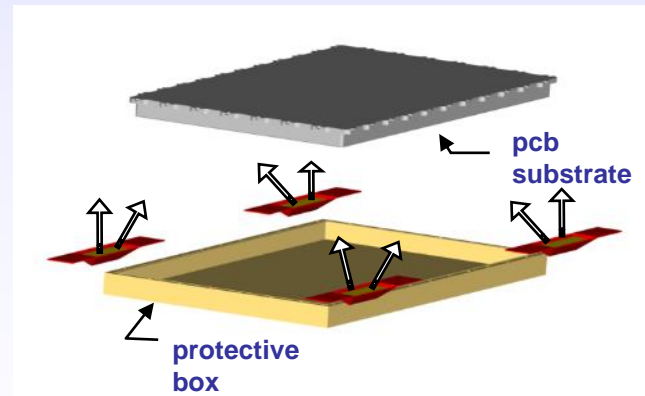
10-inch prototype HPD (CERN)  
for Air Shower Telescope CLUE.



pulse height (ADC counts)

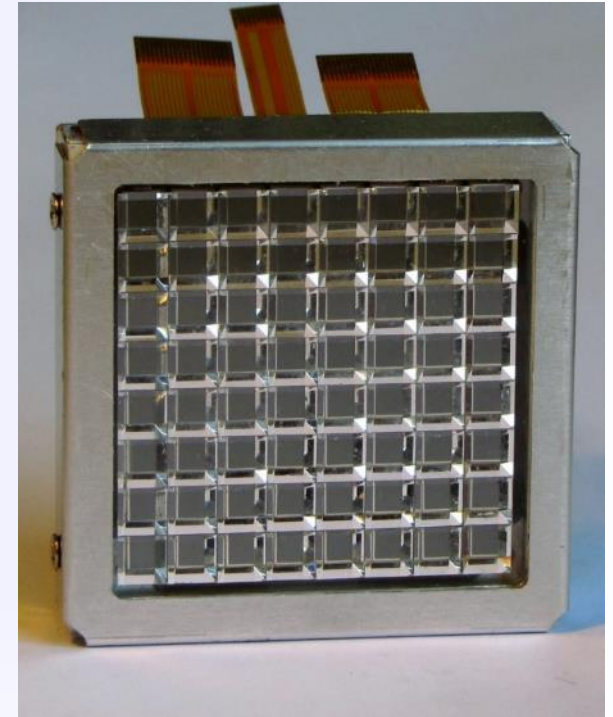
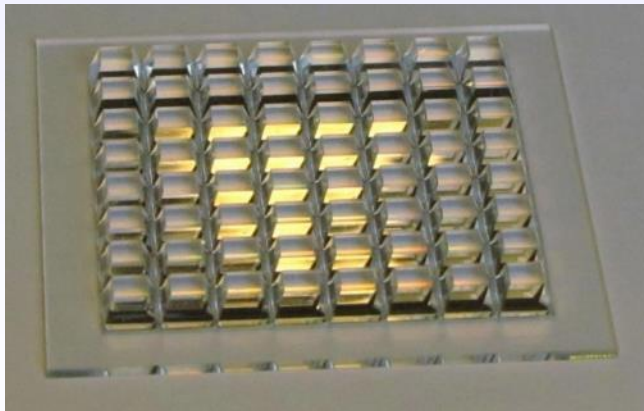
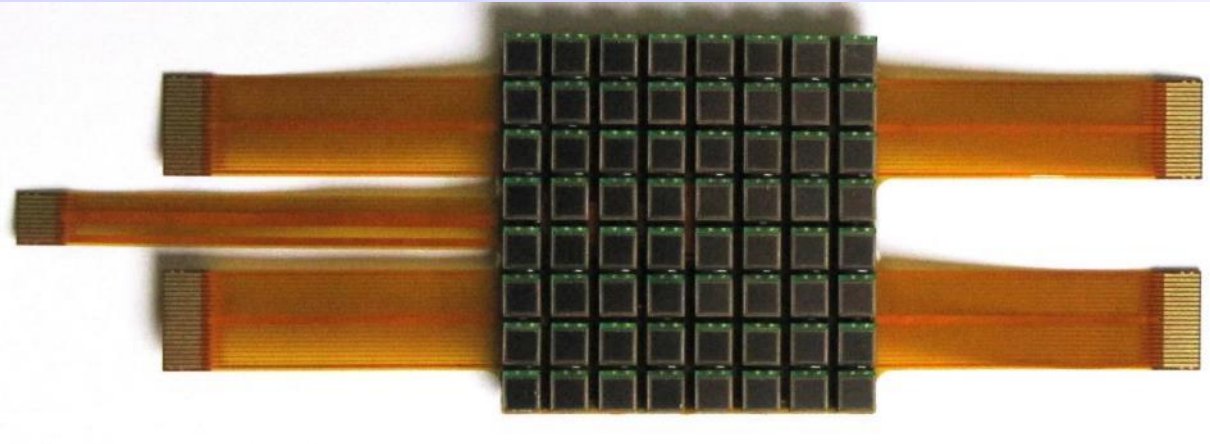
Photon counting. Continuum due to electron back scattering.

CsI photocathode produced with a well defined, several step procedure, with **CsI vacuum deposition** and subsequent heat conditioning.  
**CsI doesn't like water !!!**  
 → Assembly of cathode and wire chamber in a glove box.

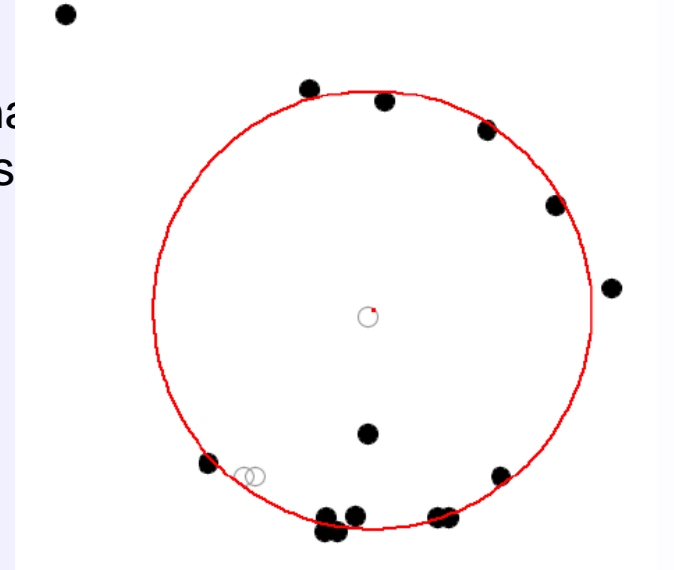


## Example: Hamamatsu MPPC S11834-3388DF

- 8x8 SiPM array, with 5x5 mm<sup>2</sup> SiPM channels
- Active area 3x3 mm<sup>2</sup>
- + array of quartz light collectors



Digital SiPM (Philips): instead of an analog sum of signals from all cells of a single SiPM, use on board electronics a digital sum + time stamp



Square matrix **20x20 cm<sup>2</sup>**

- Sensors: DPC3200-22-44
- 3x3 modules = 6x6 tiles = 24x24 dies = 48x48 pixels in total
- 576 time channels
- 2304 amplitude (position) channels
- 4 levels of FPGA readout: tiles, modules, bus boards, test board

→ A.Y. Barnyakov et al., NIM A732 (2013) 352

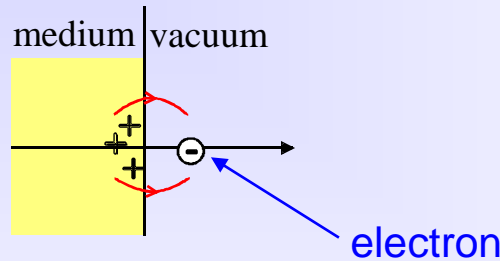
# Particle ID by Transition radiation

(there is an excellent review article by B. Dolgoshein (NIM A 326 (1993) 434))

**Transition Radiation** was predicted by Ginzburg and Franck in 1946

TR is electromagnetic radiation emitted when a charged particle traverses a medium with a discontinuous refractive index, e.g. the boundaries between vacuum and a dielectric layer.

A (too) simple picture



A correct relativistic treatment shows that...

(G. Garibian, Sov. Phys. JETP63 (1958) 1079)

- Radiated energy per medium/vacuum boundary

$$W = \frac{1}{3} \alpha \hbar \omega_p \gamma$$

$$W \propto \gamma$$



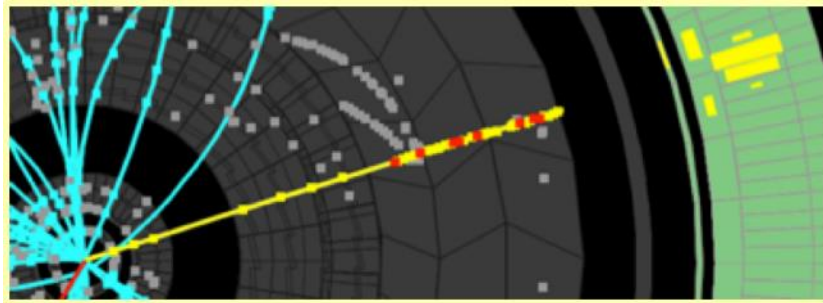
only high energetic  $e^\pm$  emit TR of detectable intensity.  
 → particle ID

$$\omega_p = \sqrt{\frac{N_e e^2}{\epsilon_0 m_e}}$$

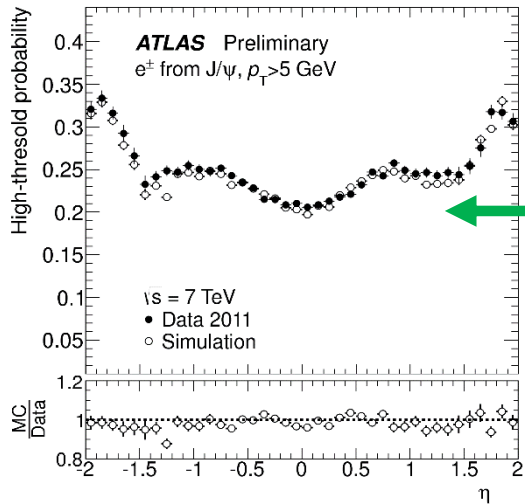
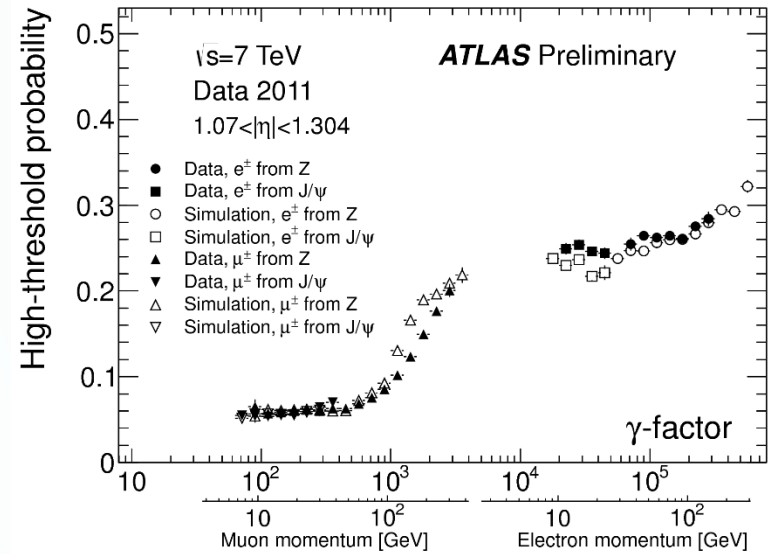
( plasma frequency )

$$\hbar \omega_p \approx 20\text{eV (plastic radiators)}$$

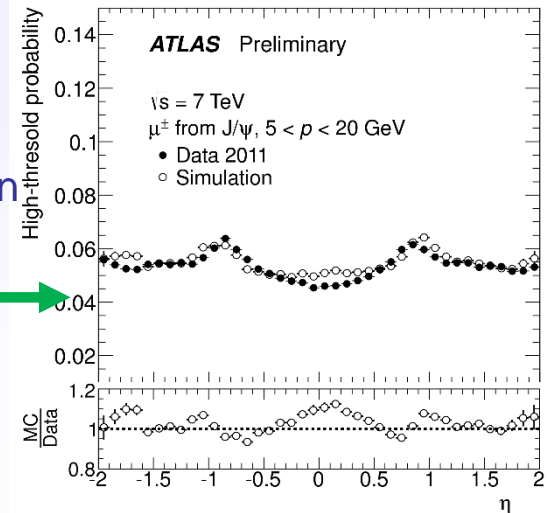
## TR turn ON curve: HL probability VS gamma factor



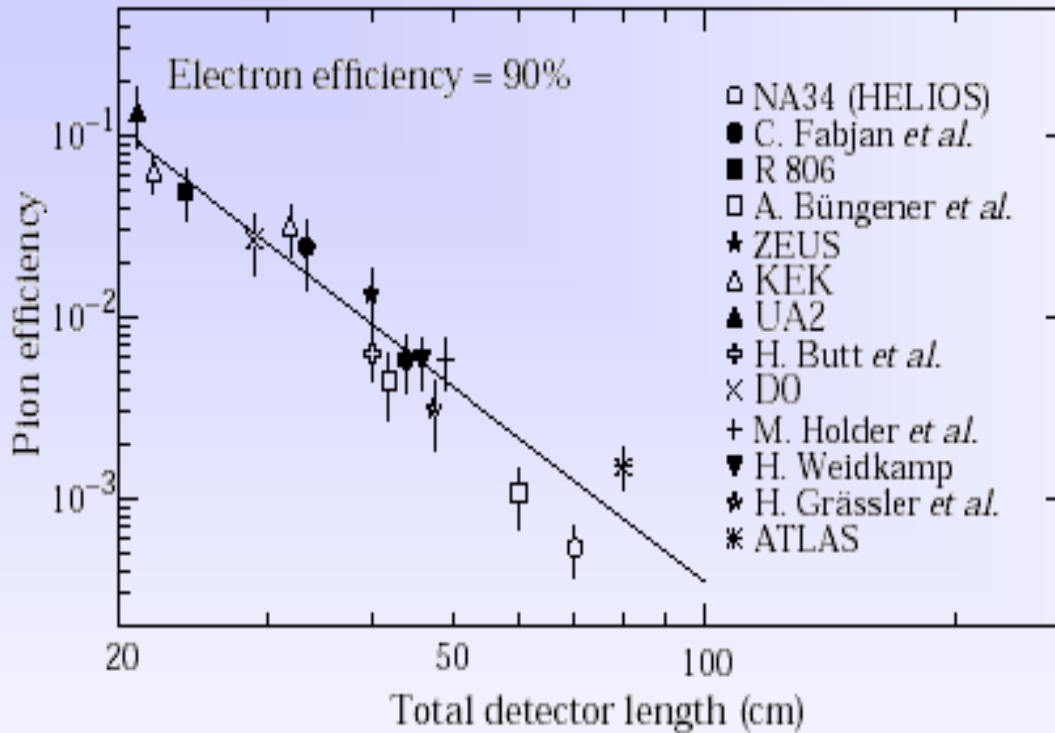
event with an electron (yellow track)  
hits with high signal (=TR, passing a high level HL threshold) are indicated in red



HL hit probability for electrons 4 times higher than for minimum ionising particles (muons)



# Particle ID by Transition radiation



Rejection Power :  $R_{\pi/e} = \epsilon_{\pi}/\epsilon_e$  (90%)

one order of magnitude in Rejection Power is gained when the TRD length is increased by ~ 20 cm