



Many thanks to A. Mazeliauskas, C. A. Salgado,
H. Paukkunen, K. J. Eskola and C. Andrés for discussions

Current status of nPDFs and prospects for pO and OO collisions

Petja Paakkinen

IGFAE – Universidade de Santiago de Compostela

Opportunities of OO and pO collisions at the LHC

8 Feb 2021



IGFAE

Instituto Galego de Física de Altas Enerxías



**XUNTA
DE GALICIA**

Section 1

nPDF overview

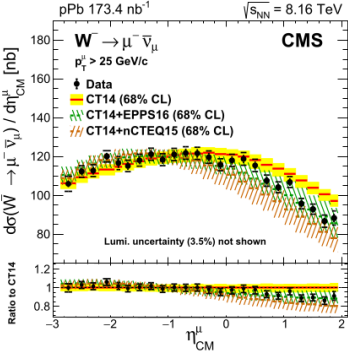
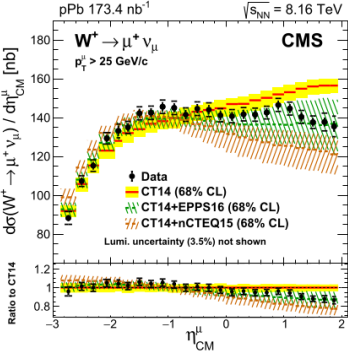
Latest nPDF global analyses

	EPPS16	nNNPDF2.0	nCTEQ15WZ	nNNPDF1.0	TuJu19	KSASG20
Order in α_s	NLO	NLO	NLO	NNLO	NNLO	NNLO
IA NC DIS	✓	✓	✓	✓	✓	✓
ν A CC DIS	✓	✓			✓	✓
pA DY	✓		✓			
π A DY	✓					
RHIC dAu/pp π	✓		✓			
LHC pPb W, Z	✓	✓	✓			
LHC pPb jets	✓					
Q cut in DIS	1.3 GeV	1.87 GeV	2 GeV	1.87 GeV	1.87 GeV	1.3 GeV
Data points	1811	1467	828	451	2336	4525
Free parameters	20	256	19	183	16	9
Error analysis	Hessian	Monte Carlo	Hessian	Monte Carlo	Hessian	Hessian
Error tolerance $\Delta\chi^2$	52	N/A	35	N/A	50	10
Free-proton PDFs	CT14	NNPDF3.1	\sim CTEQ6M	NNPDF3.1	own fit	CT18
HQ treatment	GM-VFNS	GM-VFNS	GM-VFNS	GM-VFNS	GM-VFNS	GM-VFNS
Indep. flavours	6	6	5	3	4	3
Year	2016	2020	2020	2019	2019	2020
Reference	EPJC 77, 163	JHEP 09, 183	EPJC 80, 968	EPJC 79, 471	PRD 100, 096015	arXiv:2010.00555

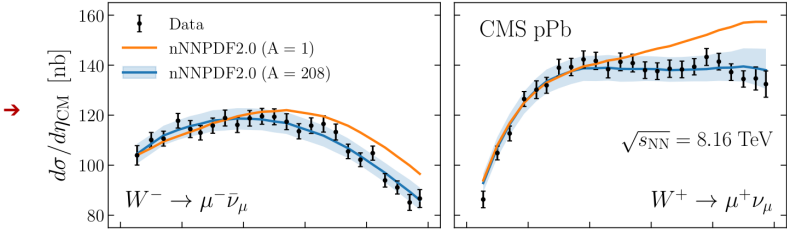
State of the art

W bosons in pPb at 8.16 TeV

[CMS, Phys.Lett.B 800 (2020) 135048]



[Abdul Khalek, Ethier, Rojo & van Weelden, JHEP 09 (2020) 183]



Potential probes of the flavour separation (and strangeness):

- $u\bar{d} (u\bar{s}, c\bar{s}) \rightarrow W^+$
- $d\bar{u} (s\bar{u}, s\bar{c}) \rightarrow W^-$

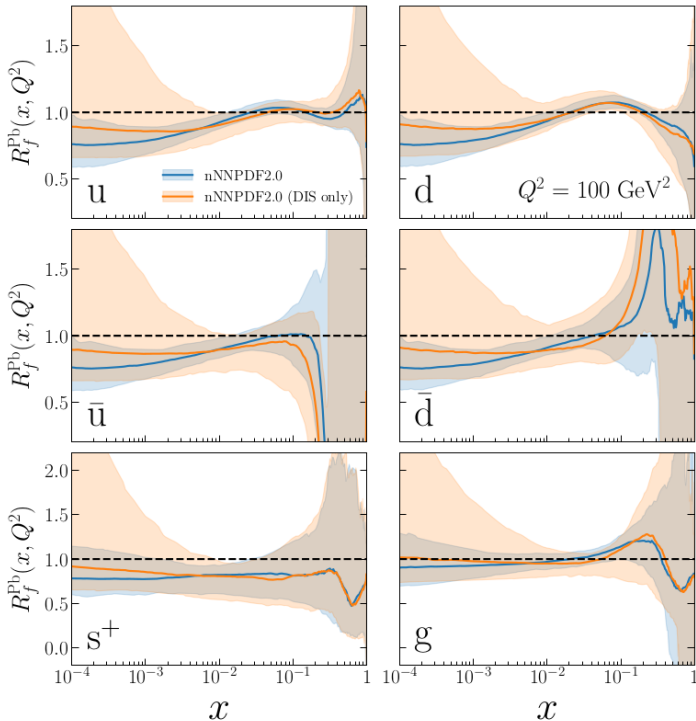
Remember: small- x , high- Q^2 quarks and gluons correlated by DGLAP evolution → constraints for gluons

Increased statistics for W bosons in the 8.16 TeV data set

→ Included in nNNPDF2.0 and nCTEQ15WZ

W/Z bosons in pPb at 5.02 TeV and 8.16 TeV – impact in nNNPDF2.0

[Abdul Khalek, Ethier, Rojo & van Weelden, JHEP 09 (2020) 183]



Flexible neural-network parametrization
(256 free parameters)

Includes CMS and ATLAS W/Z data

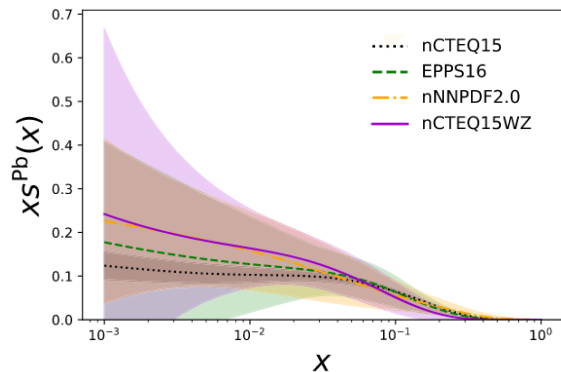
Compared to DIS-only fit:

- Preference for EMC effect both in u and d
- Enhanced shadowing for all quarks
- Some preference for gluon shadowing & antishadowing

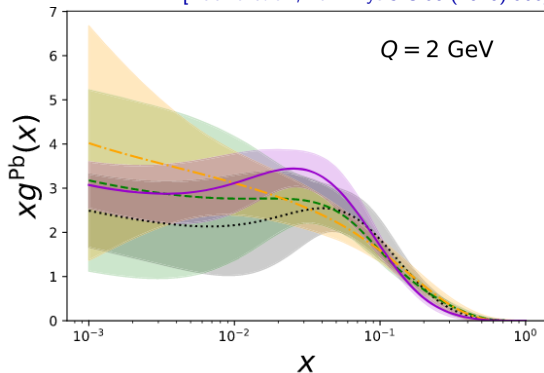
Here:

$$R_f^A(x, Q^2) = \frac{Z f_f^{\text{P/A}}(x, Q^2) + (A-Z) f_f^{\text{n/A}}(x, Q^2)}{Z f_f^{\text{P}}(x, Q^2) + (A-Z) f_f^{\text{n}}(x, Q^2)}$$

W/Z bosons in pPb at 5.02 TeV and 8.16 TeV – impact in nCTEQ15WZ



[Kusina et al., Eur.Phys.J.C 80 (2020) 968]



Includes also ALICE & LHCb W/Z data

→ Most extensive EW-boson data set to date

Compared to nCTEQ15:

- Additional freedom for s needed to describe the data
 - ▶ much larger uncertainty
- Less gluon shadowing

				$\sqrt{s_{NN}}$ [TeV]
Data overview				
ATLAS	Run I	W^\pm	5.02	
ATLAS	Run I	Z	5.02	
CMS	Run I	W^\pm	5.02	
CMS	Run I	Z	5.02	
CMS	Run II	W^\pm	8.16	
ALICE	Run I	W^\pm	5.02	
LHCb	Run I	Z	5.02	

u and d valence quark modifications (in lead)

Most nuclei are close to isoscalar

→ Nearly equal amount of u and d quarks

For example, we can write

$$f_{uV}^A = R_{uV+dV}^A \left(1 - \frac{A-2Z}{A} \mathcal{A}_{uV-dV}^A \right) \frac{A}{2} (f_{uV}^p + f_{dV}^p)$$

$$f_{dV}^A = R_{uV+dV}^A \left(1 + \frac{A-2Z}{A} \mathcal{A}_{uV-dV}^A \right) \frac{A}{2} (f_{uV}^p + f_{dV}^p)$$

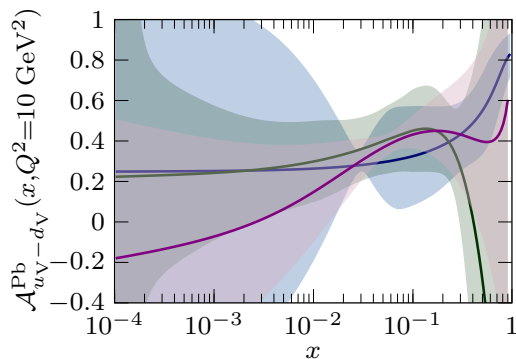
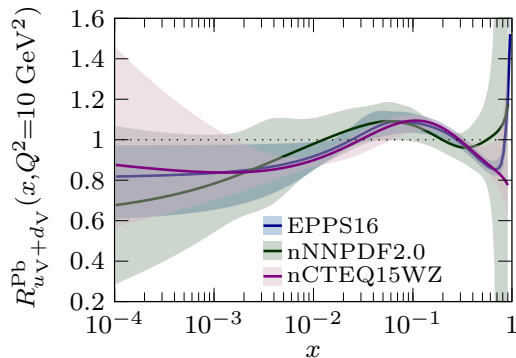
where

$$R_{uV+dV}^A = \frac{f_{uV}^{p/A} + f_{dV}^{p/A}}{f_{uV}^p + f_{dV}^p} \quad \mathcal{A}_{uV-dV}^A = \frac{f_{uV}^{p/A} - f_{dV}^{p/A}}{f_{uV}^{p/A} + f_{dV}^{p/A}}$$

and neutron excess $\frac{A-2Z}{A} \approx 0.2$ for Pb

→ Need high-precision data on non-isoscalar nuclei to constrain the asymmetry

Important for studying the physical origin of the EMC effect



u and d sea quark modifications (in lead)

Most nuclei are close to isoscalar

→ Nearly equal amount of \bar{u} and \bar{d} quarks

Here

$$f_{\bar{u}}^A = R_{\bar{u}+\bar{d}}^A \left(1 - \frac{A-2Z}{A} \mathcal{A}_{\bar{u}-\bar{d}}^A \right) \frac{A}{2} (f_{\bar{u}}^p + f_{\bar{d}}^p)$$

$$f_{\bar{d}}^A = R_{\bar{u}+\bar{d}}^A \left(1 + \frac{A-2Z}{A} \mathcal{A}_{\bar{u}-\bar{d}}^A \right) \frac{A}{2} (f_{\bar{u}}^p + f_{\bar{d}}^p)$$

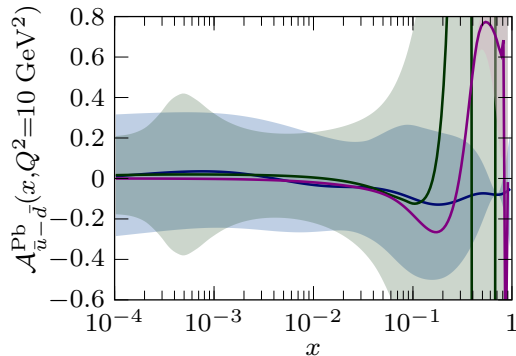
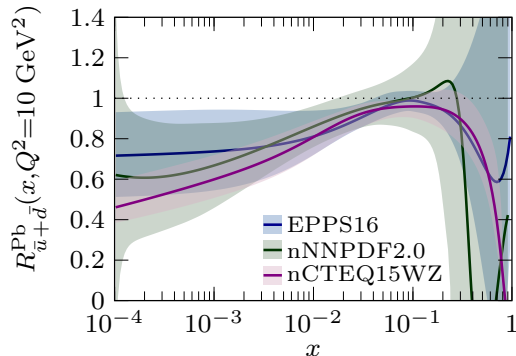
with

$$R_{\bar{u}+\bar{d}}^A = \frac{f_{\bar{u}}^{p/A} + f_{\bar{d}}^{p/A}}{f_{\bar{u}}^p + f_{\bar{d}}^p} \quad \mathcal{A}_{\bar{u}-\bar{d}}^A = \frac{f_{\bar{u}}^{p/A} - f_{\bar{d}}^{p/A}}{f_{\bar{u}}^{p/A} + f_{\bar{d}}^{p/A}}$$

Flavour asymmetry only a small correction

nNNPDF2.0 does not use fixed-target DY data

→ less constraints for valence/sea separation compared to EPPS16 & nCTEQ15WZ



Gluon and strange modifications (in lead)

$$R_i^A(x, Q^2) = \frac{f_i^{P/A}(x, Q^2)}{f_i^P(x, Q^2)}$$

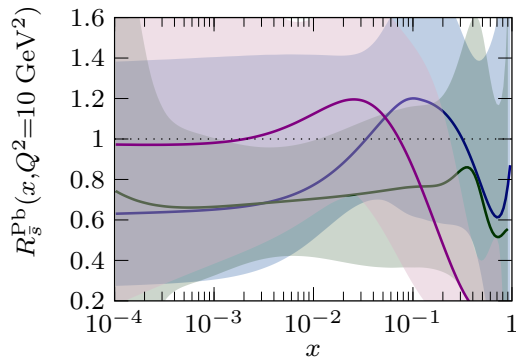
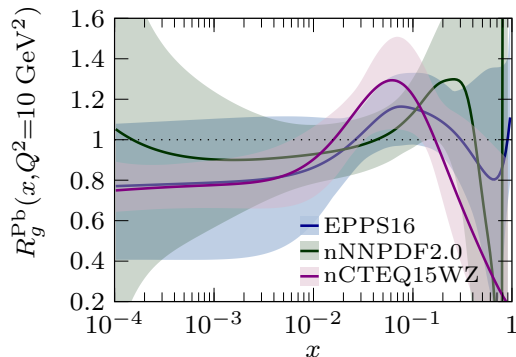
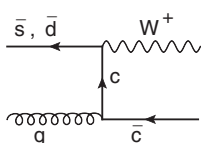
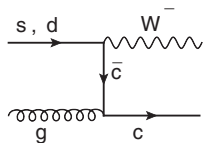
bound-proton PDF free-proton PDF

The gluon and strange modifications are poorly constrained in the current nPDF releases

- Better gluon constraints are available from LHC pPb dijets and D-mesons, but these need to be included in the global analyses (in progress)

The existing LHC pPb W/Z data did not give strong constraints for the strangeness

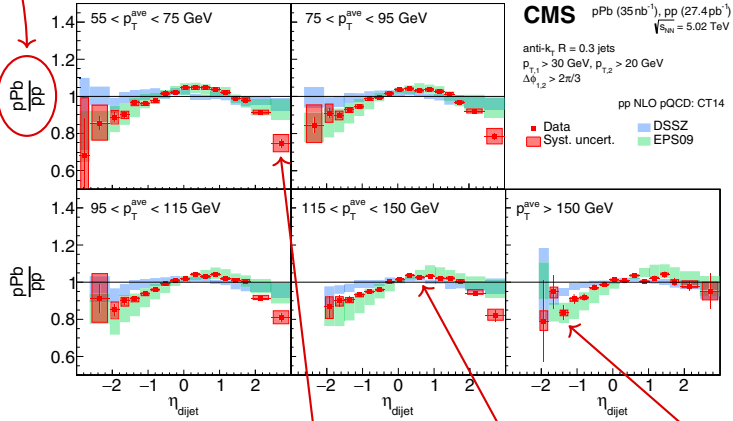
- Additional data needed
- W+charm measured in pp, doable in pPb?



Better gluon constraints: Dijets in pPb at 5.02 TeV

Ratio of ratios: $R_{pPb}^{norm.} = \frac{d^2\sigma^{pPb}/dp_T^{ave} d\eta_{dijet}}{d\sigma^{pPb}/dp_T^{ave}} \bigg/ \frac{d^2\sigma^{pp}/dp_T^{ave} d\eta_{dijet}}{d\sigma^{pp}/dp_T^{ave}}$

[CMS Collaboration, Phys.Rev.Lett. 121 (2018) 062002]

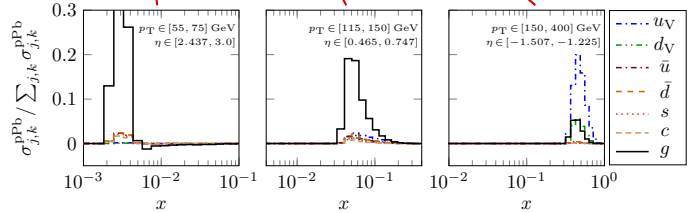


CMS pPb (35nb⁻¹), pp (27.4pb⁻¹)
 $\sqrt{s_{NN}} = 5.02$ TeV
 anti-k_r R = 0.3 jets
 $p_{T,1} > 30$ GeV, $p_{T,2} > 20$ GeV
 $\Delta\phi_{1,2} > 2\pi/3$
 pp NLO pQCD: CT14
 Data (red squares), Syst. uncert. (red error bars), DSSZ (blue), EPS09 (green)

Double ratio convenient for:

- Cancellation of hadronization and luminosity uncertainties separately for pPb and pp
 - ▶ do not expect strong final-state effects
- Cancellation of free-proton PDF uncertainties in pPb/pp
 - ▶ direct access to nuclear modifications

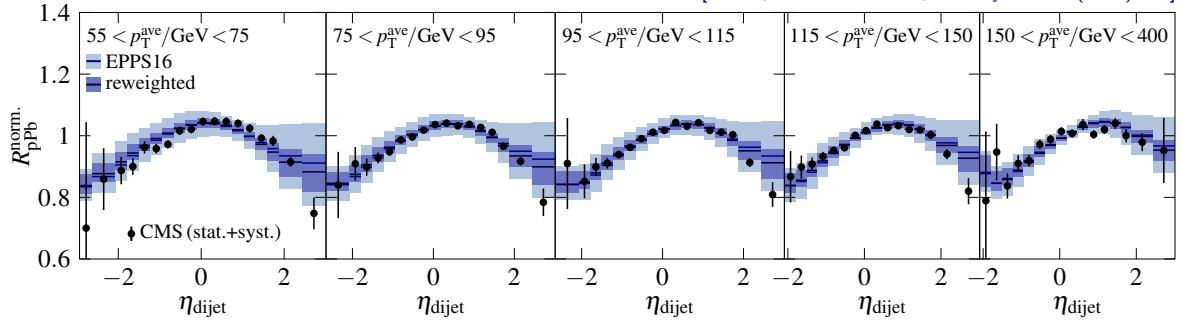
NLO pQCD:



Good resolution to gluon nuclear modifications for $10^{-3} < x < 0.5$

Dijets in pPb at 5.02 TeV – EPPS16 reweighted

[Eskola, PP & Paukkunen, Eur.Phys.J.C 79 (2019) 511]

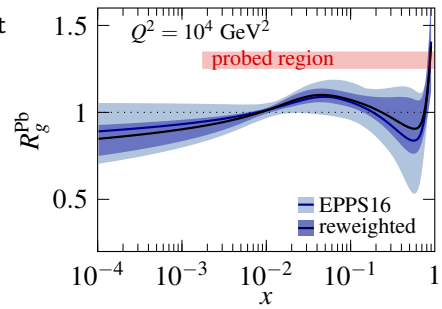


A Hessian PDF reweighting study shows that these data can put stringent constraints on the gluon modifications

- Drastic reduction in EPPS16 gluon uncertainties
- Support for mid- x antishadowing and small- x shadowing
- Probes the onset of shadowing down to $x > 10^{-3}$

Remaining questions:

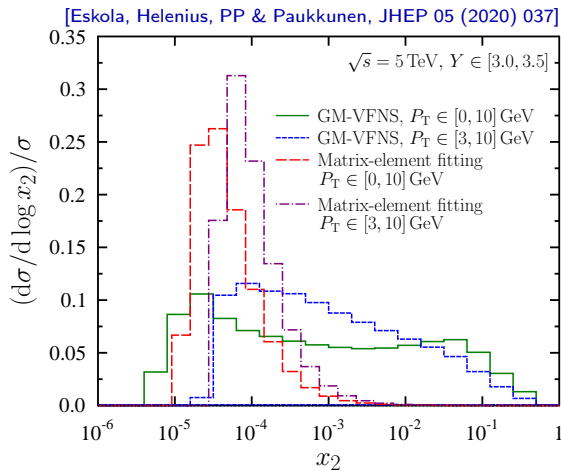
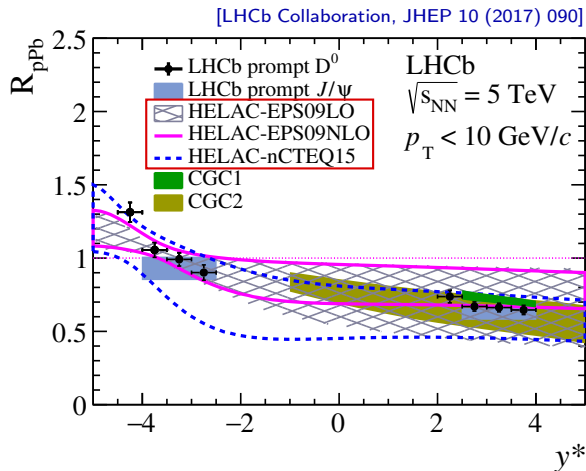
- Is there EMC suppression for gluons?
- What happens at $x < 10^{-3}$?



$$R_i^A(x, Q^2) = \frac{f_i^{p/A}(x, Q^2)}{f_i^p(x, Q^2)}$$

bound-proton PDF free-proton PDF

D-mesons in pPb at 5.02 TeV – differences in theoretical descriptions



Data can probe nPDFs down to $x \sim 10^{-5}$, but x sensitivity differs between theoretical approaches:

- The HELAC framework [Lansberg & Shao, EPJ C77 (2017) 1] uses a matrix-element fitting method with $2 \rightarrow 2$ kinematics producing a narrow distribution in x (can be used also for quarkonia)
- The SACOT- m_T scheme [Helenius & Paukkunen, JHEP 1805 (2018) 196] of GM-VFNS NLO pQCD gives a much wider x -distribution due to taking into account the gluon-to-HQ fragmentation

D-mesons in pPb at 5.02 TeV – nPDFs reweighted

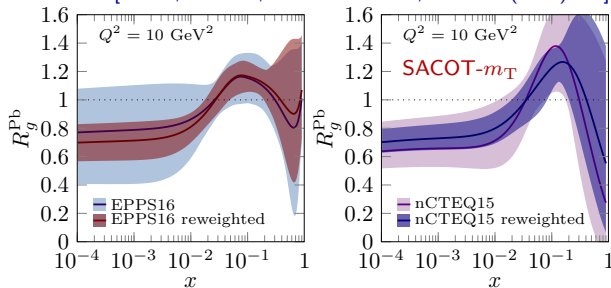
R_{pPb} mostly insensitive to the differences

- Reweighting with the two methods give compatible results for R_g^{Pb}
see the refs. for comparison with POWHEG+PYTHIA, FONLL
- Large reduction in small- x uncertainties, probed down to $x \sim 10^{-5}$
- EPPS16 and nCTEQ15 brought to a closer mutual agreement

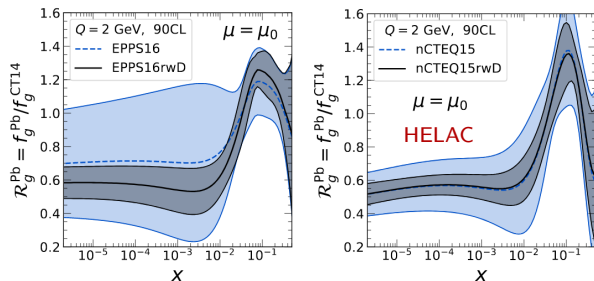
Striking similarity with the results with dijets

- Supports the validity of collinear factorization in pPb and the universality of nPDFs

[Eskola, Helenius, PP & Paukkunen, JHEP 05 (2020) 037]



[Kusina, Lansberg, Schienbein & Shao, PRL 121 (2018) 052004, fig. from arXiv:2012.11462]

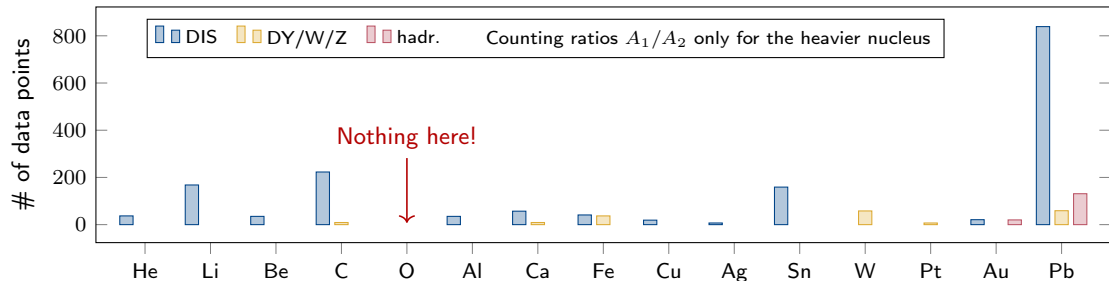


Section 2

Opportunities with lighter ions

Data availability w.r.t. A

EPPS16 + LHC pPb dijets, D-mesons & 8.16 TeV Ws + JLab CLAS NC DIS



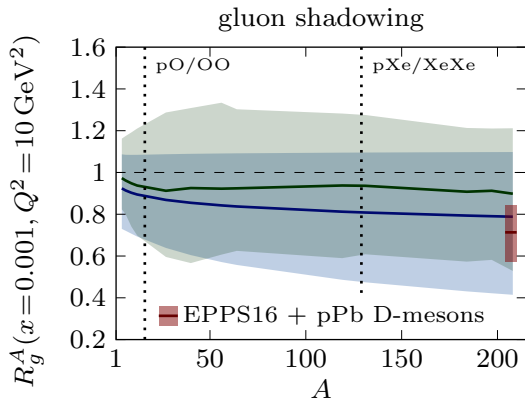
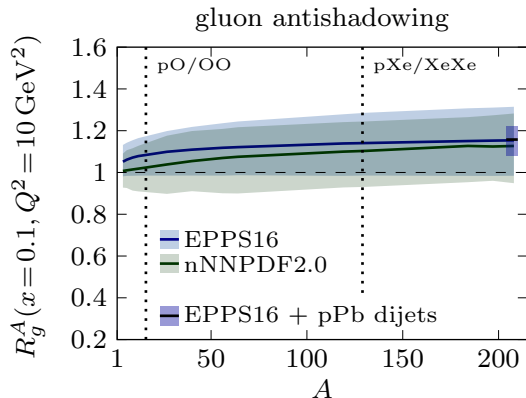
~ 50% of the data points are for Pb!

- 😊 Good coverage of DIS measurements for different A
- 😐 DY data more scarce, but OK A coverage
- 😞 Hadronic observables available only for heavy nuclei!

Light-ion runs at LHC could:

- Complement other light-nuclei DY data with W and Z production (strangeness!)
- Give first direct constraints (e.g. dijets, D-mesons) on light-nuclei gluon distributions!

A -dependence of gluon modifications



Direct gluon constraints available only for heavy nuclei (most constraining: pPb dijets & D-mesons)

- Gluons and small- x quarks poorly constrained for lighter nuclei
- Significant parametrization dependence

How confidently can we interpolate the light-nuclei gluons from measurements at large A ?

- SMOG@LHCb can help for the large x
- Need for lighter-ion pA runs!

Average u and d quark modifications (in oxygen)

The average u and d valence and sea modifications

$$R_{u_V+d_V}^A = \frac{f_{u_V}^{P/A} + f_{d_V}^{P/A}}{f_{u_V}^P + f_{d_V}^P} \quad R_{\bar{u}+\bar{d}}^A = \frac{f_{\bar{u}}^{P/A} + f_{\bar{d}}^{P/A}}{f_{\bar{u}}^P + f_{\bar{d}}^P}$$

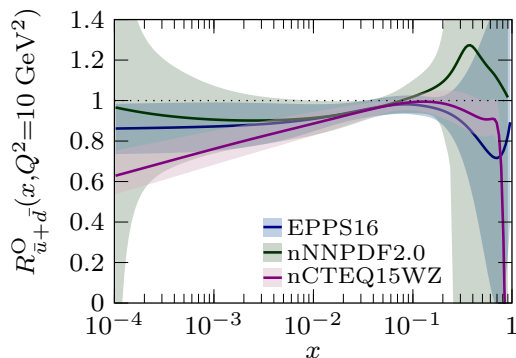
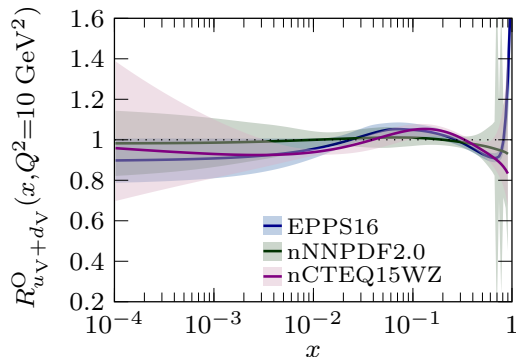
are under control (from interpolation)

Oxygen fully isoscalar

- No contribution from flavour asymmetry!
- From nPDF point of view, oxygen is “simpler” than lead

nNNPDF2.0 differs (again) from EPPS16 and nCTEQ15WZ due to not having fixed-target DY data

- Data from E772 indicate that there should be antishadowing for valence, but not for sea quarks



Gluon and strange modifications (in oxygen)

$$R_i^A(x, Q^2) = \frac{f_i^{p/A}(x, Q^2)}{f_i^p(x, Q^2)}$$

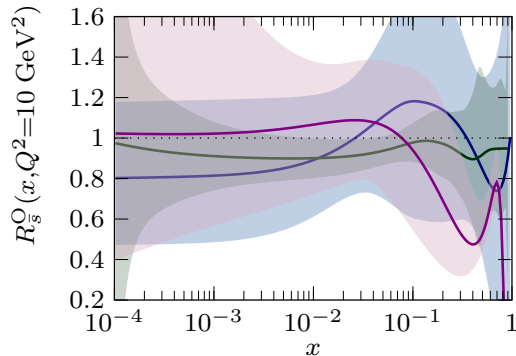
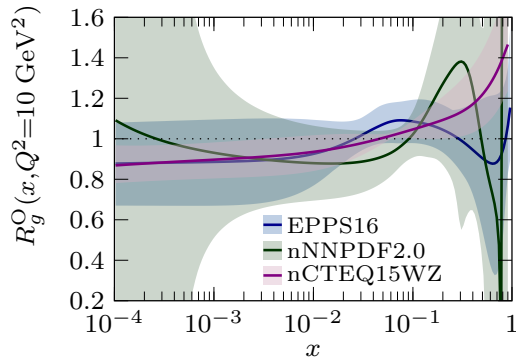
bound-proton PDF free-proton PDF

No agreement for the shape of gluon modifications!

- Can cause significant uncertainties e.g. for jet R_{OO}
- ! No direct data constraints available
- We could expect major improvement from a LHC pO run

Large uncertainties also for the strange quark

- nNNPDF2.0 has smaller uncertainties here likely due to including NuTeV ν Fe CC DIS data (interpolation, again)
- Measuring EW bosons in pO/OO might be able to test these



A case study: Dijet production in pO at 9.9 TeV

Similar setup as in the CMS 5.02 TeV pPb measurement

Total integrated pO cross section of $\sim 80 \mu\text{b}$

- Grows with larger $\sqrt{s_{\text{NN}}}$, decreases with smaller A
- Compare with $\sim 330 \mu\text{b}$ in pPb at 5.02 TeV
- Sufficient to give reasonable statistics even at relatively low luminosities

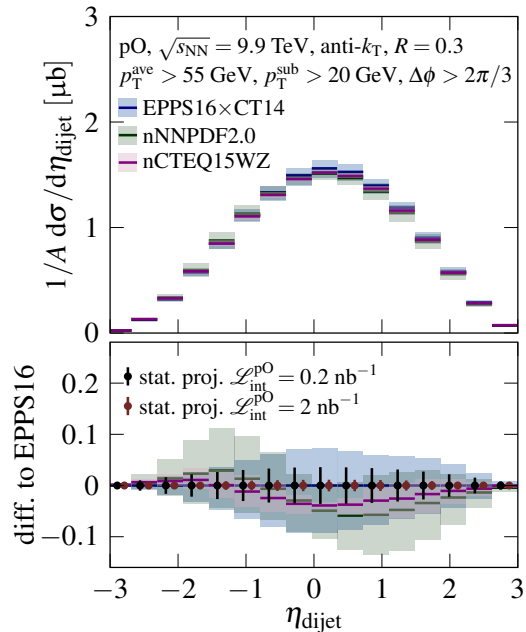
Here only single-differential

- Going multi-differential would improve locality in x and Q^2 (requires more luminosity)

Question: Systematic uncertainties?

N.B. For each nPDF, I am using the corresponding baseline free-proton PDF

- Calculations with nCTEQ15WZ do not include free-proton PDF uncertainties



*not corrected for hadronization effects
*not corrected for efficiency

Dijet production in pO at 9.9 TeV – free-proton uncertainties

Problem: absolute cross sections very sensitive to the used free-proton PDFs

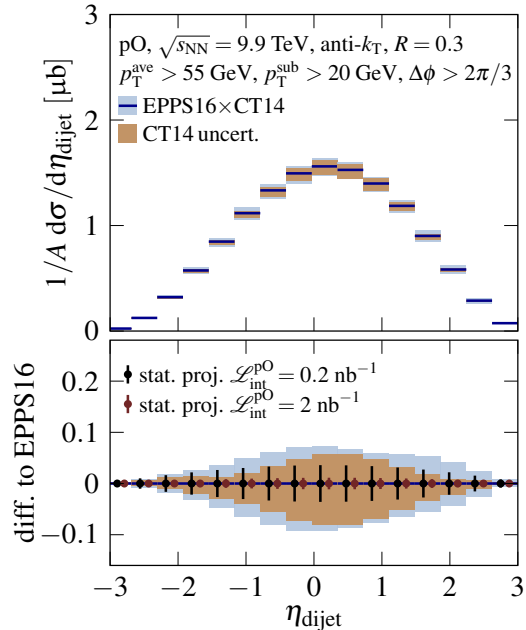
- Difficult to disentangle nuclear modifications from the free-proton d.o.f.s

N.B. In the EPPS framework, free-proton uncertainties enter both from the

- incoming proton PDFs: f_i^p
- incoming bound-nucleon PDFs: $f_i^{p/A} = R_i^A f_i^p$

Possible ways to mitigate the problem:

- Take forward-to-backward ratio (R_{FB})
- Take nuclear modification ratio ($R_{pPb}^{(norm.)}$)
 - ▶ requires a pp reference measurement at the same collision energy



*not corrected for hadronization effects
*not corrected for efficiency

Dijet R_{FB} in pO at 9.9 TeV

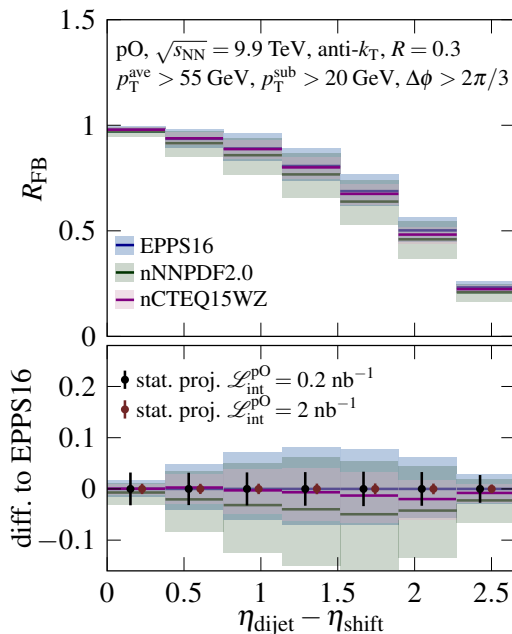
Excellent cancellation of free-proton PDFs

Luminosity (and hadronization) uncertainties also (expected to) cancel!

Already $\sim 1 \text{ nb}^{-1}$ can be expected to be enough to put new constraints on nPDFs

Problem: access only to nPDF small v.s. large x correlations – mixing different effects

- Forward shadowing and backward antishadowing pull to the same direction
- Even rather different nuclear modifications can yield similar shape for R_{FB}



Dijet $R_{pO}^{\text{norm.}}$ in pO at 9.9 TeV

Excellent cancellation of free-proton PDFs

→ Direct access to nuclear modifications

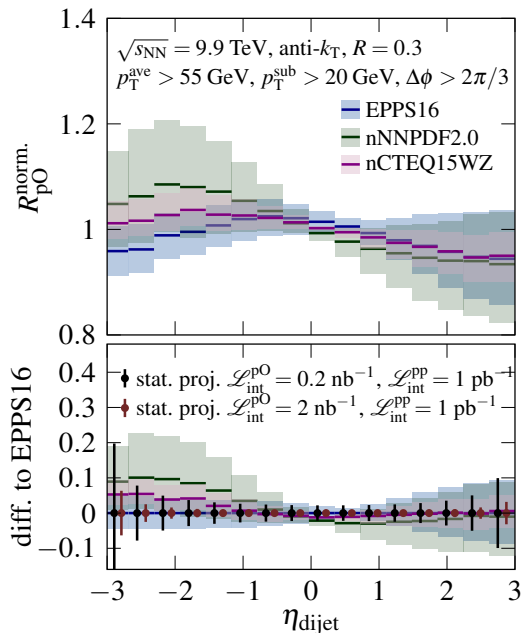
Luminosity (and hadronization) uncertainties also (expected to) cancel!

Already $\sim 1 \text{ nb}^{-1}$ can be expected to be enough to put new constraints on nPDFs (if we have sufficient statistics for the pp reference)

→ Can resolve different nPDF parametrisations!

→ We would heavily benefit from having the pp reference!

The expected 2 nb^{-1} for LHCb should be enough to give small- x gluon constraints also from forward D-meson production!



EW bosons in pO and OO?

EW probes are more luminosity hungry

- We would need $\sim 2 \text{ pb}^{-1}$ for pO to get the same statistics as in the 8.16 TeV pPb run
- Larger cross section in OO \rightarrow less luminosity needed
 - ▶ Accurate determination of the luminosity uncertainty important

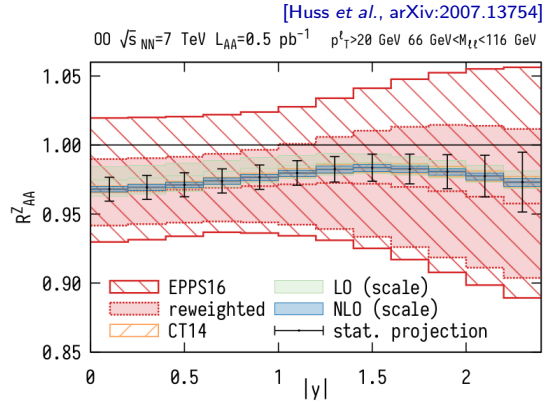
Large part of the uncertainties in these observables come from the poorly known gluons

- These we can constrain already with the hadronic observables in pO

(EW bosons still an important check for factorization / nPDF universality)

Since u/d flavour asymmetry does not contribute (isoscality), measuring W/Z bosons in pO/OO could provide unique constraints for strangeness nuclear modifications

\rightarrow Requires a further study



Where are we now with the nPDF global analyses?

- We have good understanding of the average valence and sea quark nuclear modifications, but flavour separation remains difficult to constrain
- Strong evidence from LHC (both hadronic & EW probes) for gluon shadowing & antishadowing
 - ▶ Lot of activity by different groups to include LHC data in their analyses

What can we learn from lighter-ion runs at the LHC?

- Already few nb^{-1} in pO could help us better understand gluon modifications in light nuclei
 - ▶ Important for setting the baseline for energy-loss studies in OO!
- W/Z production in pO/OO could serve as a test-bench for nuclear strangeness

Points for discussion:

- Can we expect a pp reference at the same energy as the pO run?
 - ▶ If we are expecting higher luminosity pPb and pp runs at 8.8 or 8.0 TeV, should we “tune” the pO run to that?
 - ▶ Does this impact the plans for “tuning” the OO collision energy?

Backup

What the nPDFs are?

Based on the collinear factorization of QCD:

$$d\sigma^{AB \rightarrow k+X} \stackrel{Q \gg \Lambda_{\text{QCD}}}{=} \sum_{i,j,X'} f_i^A(Q^2) \otimes d\hat{\sigma}^{ij \rightarrow k+X'}(Q^2) \otimes f_j^B(Q^2) + \mathcal{O}(1/Q^2)$$

The coefficient functions $d\hat{\sigma}^{ij \rightarrow k+X'}$ are calculable from perturbative QCD...

PDFs are *universal*, process independent, and obey the DGLAP equations

$$Q^2 \frac{\partial f_i^A}{\partial Q^2} = \sum_j P_{ij} \otimes f_j^A$$

How do we get the $f_i^{p/A}$?

- Physical models: too numerous to cite here – 'Everybody's Model is Cool'
- Extract from lattice: not an easy task
- Fit to data: parametrize the x - and A -dependence – *the global analysis approach*

... but the parton distribution functions f_i^A, f_j^B contain long-range physics and cannot be obtained by perturbative means

For a nucleus A , one can decompose

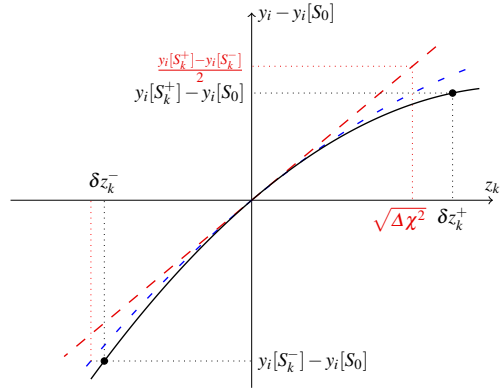
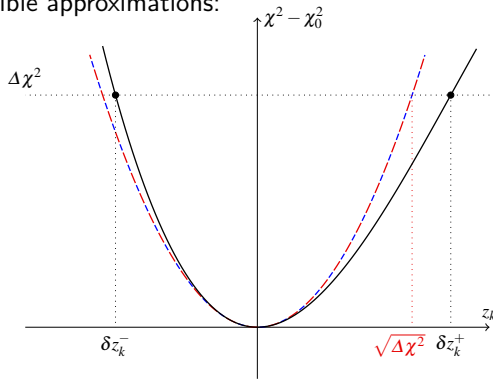
$$f_i^A(x, Q^2) = Z \overset{\text{bound-proton PDF}}{f_i^{p/A}(x, Q^2)} + (A-Z) \overset{\text{bound-neutron PDF}}{f_i^{n/A}(x, Q^2)},$$

and assume $f_i^{p/A} \overset{\text{isospin}}{\longleftrightarrow} f_j^{n/A}$

The Hessian reweighting is a method to study the impact of a new set of data on the PDFs without performing a full global fit

$$\chi_{\text{new}}^2(\mathbf{z}) = \chi_{\text{old}}^2(\mathbf{z}) + \sum_{ij} (y_i(\mathbf{z}) - y_i^{\text{data}}) C_{ij}^{-1} (y_j(\mathbf{z}) - y_j^{\text{data}})$$

Possible approximations:



quadratic-linear: $\chi_{\text{old}}^2 \approx \chi_0^2 + \sum_k z_k^2$,

quadratic-quadratic: $\chi_{\text{old}}^2 \approx \chi_0^2 + \sum_k z_k^2$,

cubic-quadratic: $\chi_{\text{old}}^2 \approx \chi_0^2 + \sum_k (a_k z_k^2 + b_k z_k^3)$,

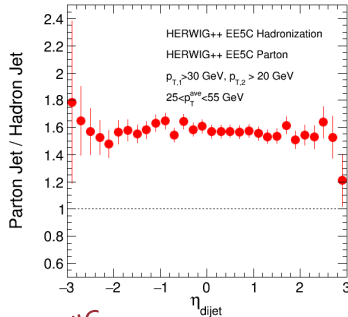
$y_i \approx y_i[S_0] + \sum_k d_{ik} z_k$

$y_i \approx y_i[S_0] + \sum_k (d_{ik} z_k + e_{ik} z_k^2)$

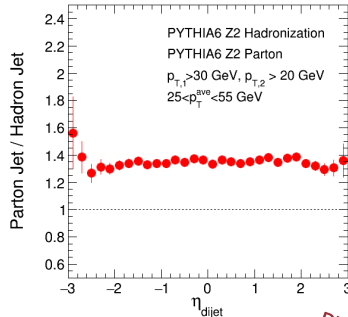
$y_i \approx y_i[S_0] + \sum_k (d_{ik} z_k + e_{ik} z_k^2)$

HERWIG

Cross-section ratios



PYTHIA



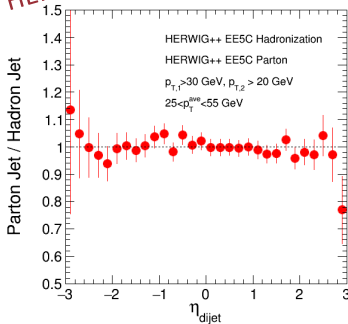
Hadronization uncertainty

Parton jets have higher cross section for $R = 0.3$ jets with same kinematic selections compared to hadron jets

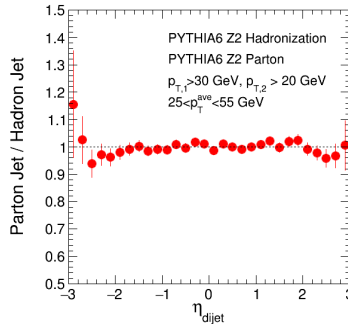
Parton jets are harder fragmenting

HERWIG

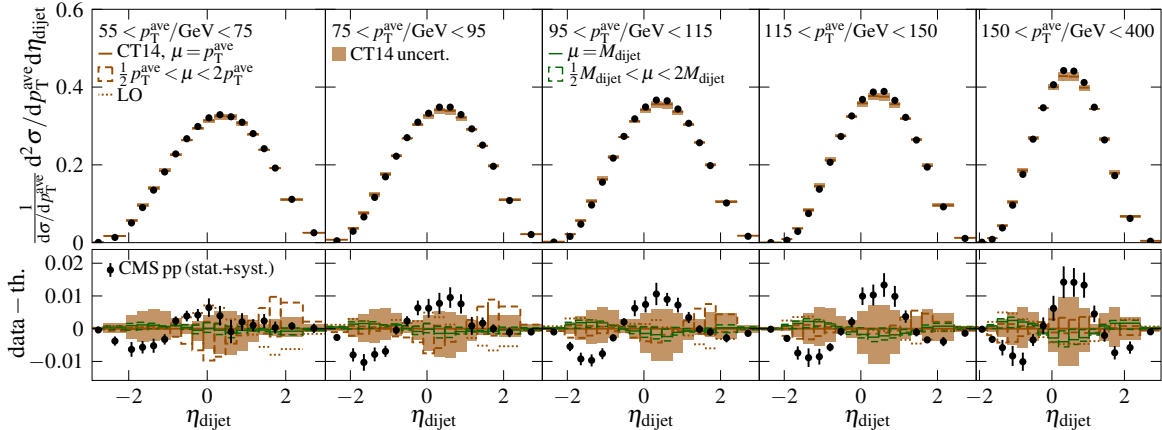
Area normalized ratios



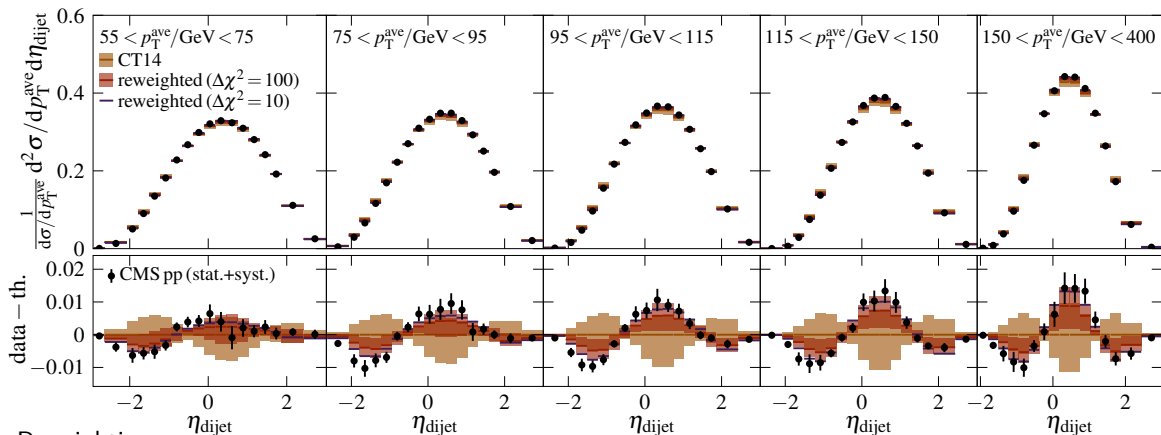
PYTHIA



After self normalization effect of hadronization is negligible



- Predicted NLO distributions somewhat wider than the measured spectra
- High- p_T^{ave} midrapidity robust against scale variations and LO-to-NLO effects
 - can expect NNLO corrections to be small in this region
 - observed discrepancy seems to be a PDF related issue
- Refitting might be needed to improve agreement with data
 - study the impact with the reweighting method

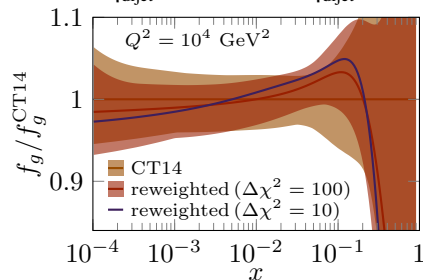


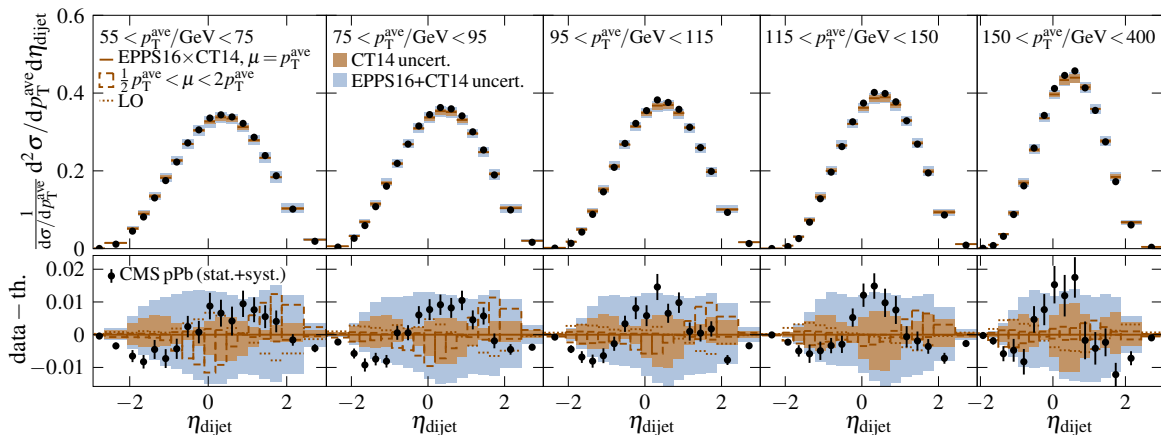
Rewighting:

- improves midrapidity description
- is not able to fully reproduce data at large rapidities even when applied with additional weight ($\Delta\chi^2 = 10$) (high- x parametrization issue? NNLO? data systematics?)

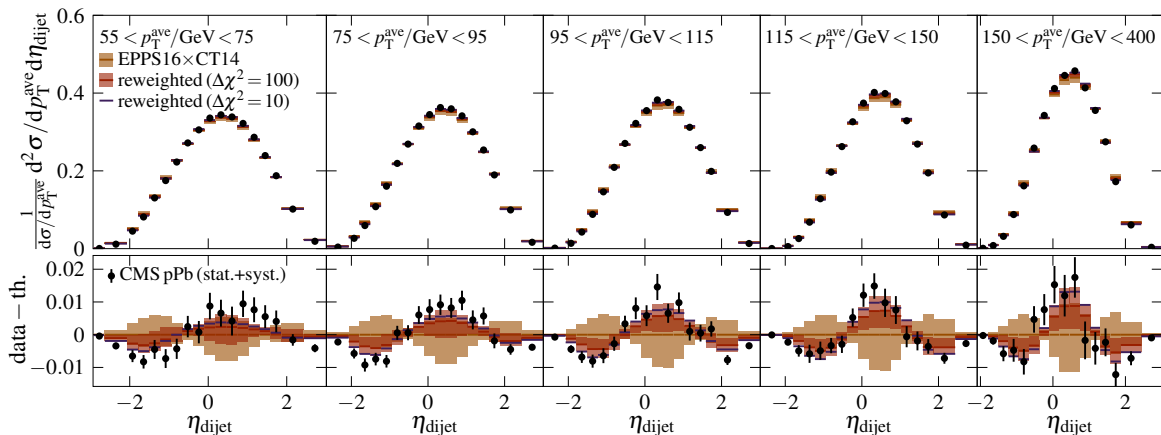
Significant gluon modifications needed especially at large x

- also valence quarks get modified





- pPb data deviates from NLO calculations *almost the same way* as the pp data
 - had we not seen the same deviations in pp, we might have interpreted this as a fault in our nuclear PDFs
- Compared to pp case we have additional suppression in data compared to theory at forward rapidities
 - implication of deeper gluon shadowing



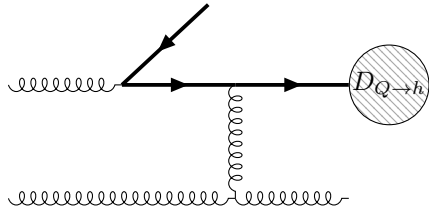
- Modifications needed in CT14 to describe pp data have large impact on pPb predictions
 - it is imperative to understand the pp baseline before making far-reaching conclusions from pPb data
 - Using these data directly in nuclear PDF analysis with CT14 proton PDFs would lead to
 - ▶ overestimating nuclear effects
 - ▶ large scale-choice bias
- Consider nuclear modification factor instead

Heavy-flavour production mass schemes

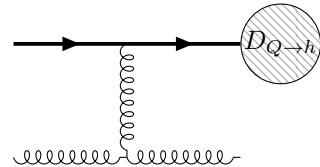
FFNS

In *fixed flavour number scheme*, valid at small p_T , heavy quarks are produced only at the matrix element level

Contains $\log(p_T/m)$ and m/p_T terms



– subtraction term +



GM-VFNS

A *general-mass variable flavour number scheme* combines the two by supplementing subtraction terms to prevent double counting of the resummed splittings, valid at all p_T

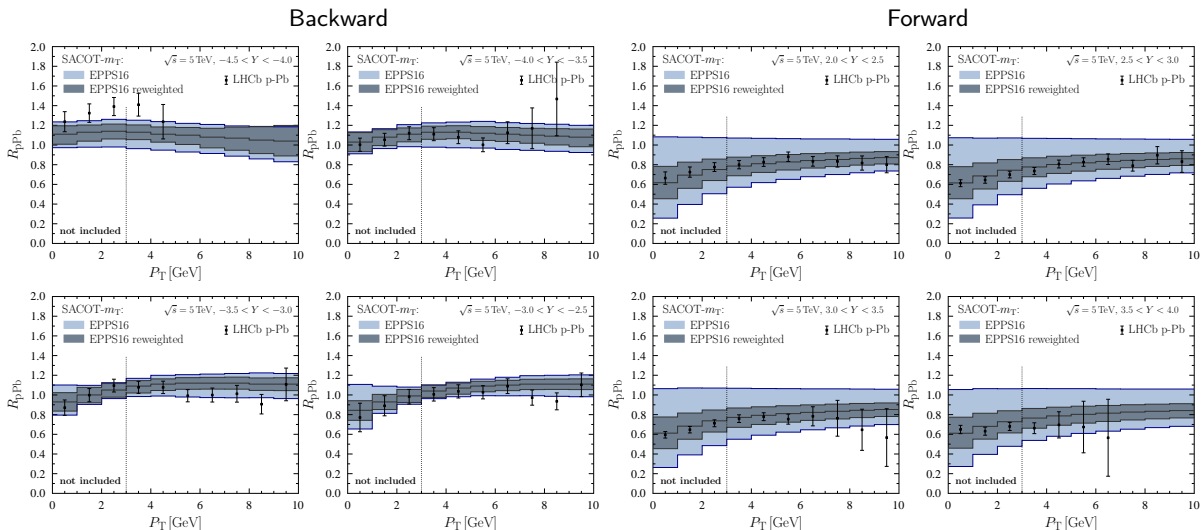
Resums $\log(p_T/m)$ and includes m/p_T terms in the FFNS matrix elements

Important: includes also **gluon-to-HF fragmentation** – large contribution to the cross section!

ZM-VFNS

In *zero-mass variable flavour number scheme*, valid at large p_T , heavy quarks are treated as massless particles produced also in ISR/FSR

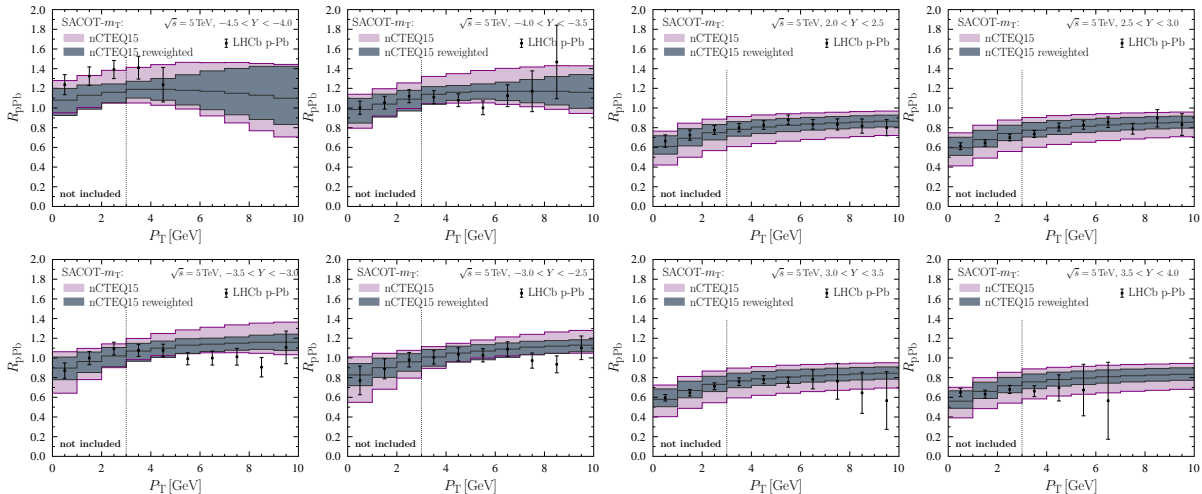
Resums $\log(p_T/m)$ but ignores m/p_T terms



- Data well reproduced with the reweighted results
- Significant reduction in EPPS16 uncertainties especially in forward bins
- Good agreement with data below cut – no physics beyond collinear factorization needed

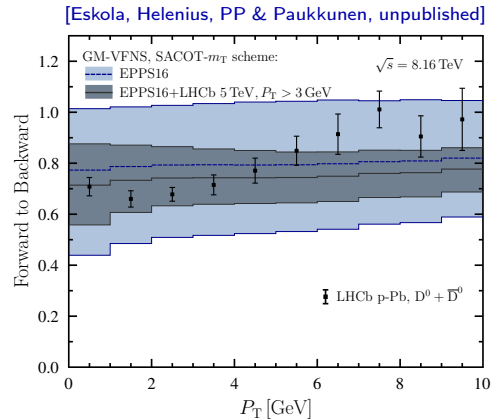
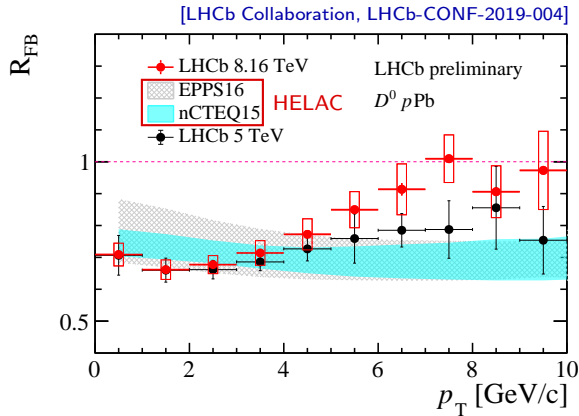
Backward

Forward



- Uncertainties smaller to begin with in the forward direction (less flexible small- x parametrization) while larger in backward – almost identical results
- Data well reproduced

D-mesons at 8.16 TeV – do we have tension?



QM2019 LHCb summary talk:

“Tension between data and nPDFs predictions. Additional effects required.”

→ Theoretical description matters, HELAC predicts much smaller nPDF uncertainties for R_{FB} than SACOT- m_T !

The slope of the 8.16 TeV data still differs from that in nPDF predictions and in 5.02 TeV data

→ How can we explain the difference?