

# ALICE shines light inside proton and nuclei

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On behalf of the ALICE Collaboration

- Photon-induced processes at LHC
- $\gamma$ -p: search for saturation
- $\gamma$ -A: probing gluon shadowing
- Prospects for Run 3 and Run 4

LHC seminar  
2<sup>nd</sup> March 2021  
CERN



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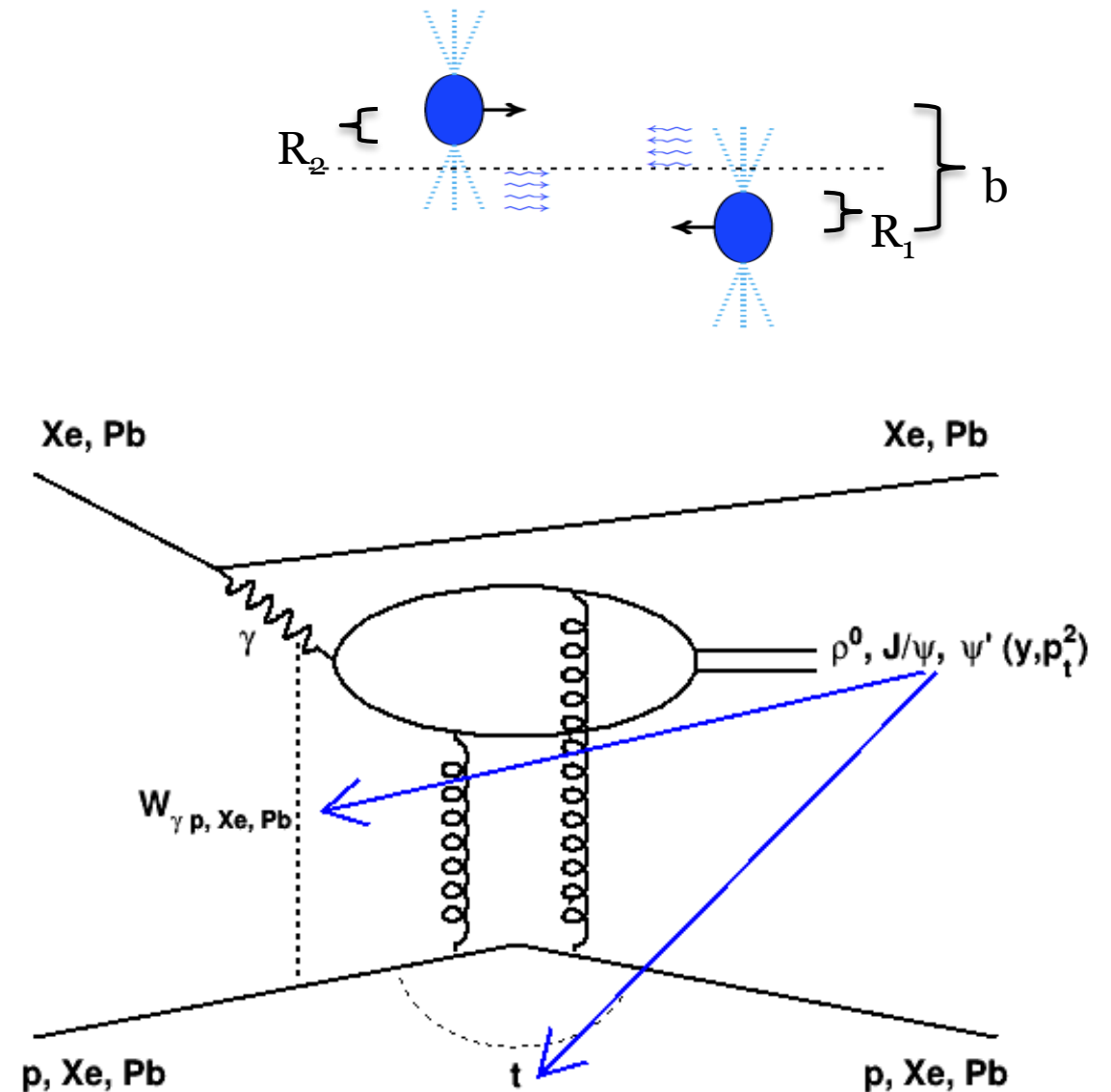


# Photon-induced processes at LHC



# Ultra-peripheral collisions

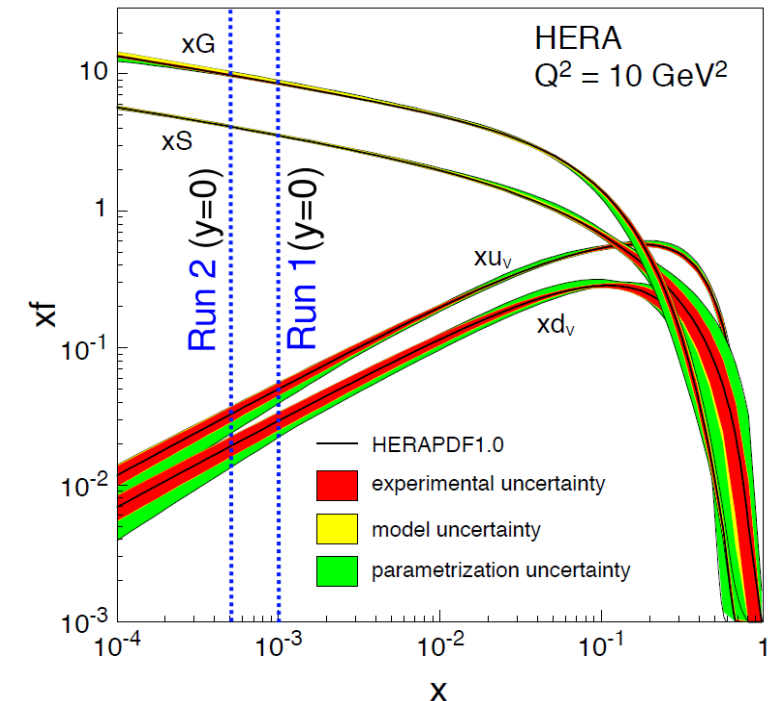
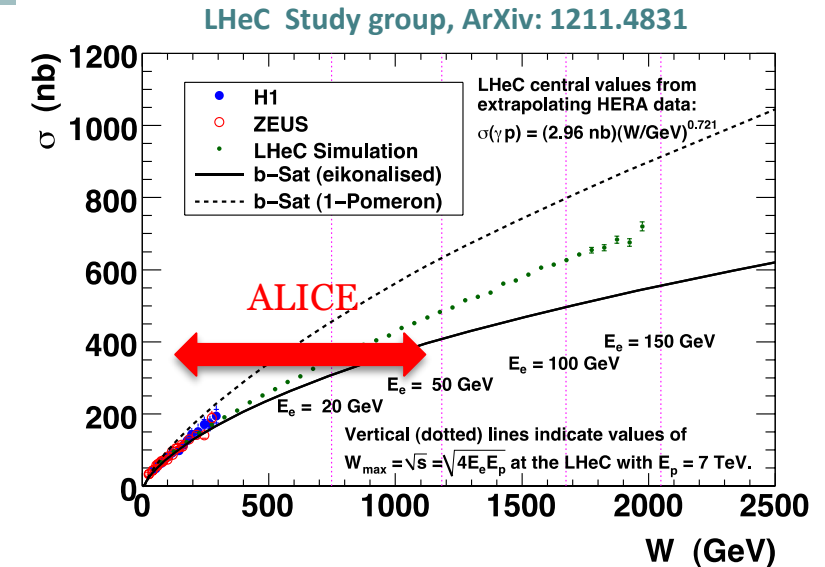
- Ultra-peripheral collision = Impact parameter  $b$  larger than sum of nuclear radii
- EM field of protons and ions  $\Rightarrow$  beam of quasi real photons (intensity  $\approx Z^2$ )
- Using Pb-Pb and p-Pb data at the LHC it is possible to study  $\gamma$ -Pb,  $\gamma p$  and  $\gamma\gamma$  collisions at higher center of mass energies than ever before
- LHC is a photon-hadron collider (Light-Hadron Collider)





# Gluon saturation in $\gamma p$ collisions

- The density of gluons at small  $x$  grows rapidly
  - Gluon saturation = mechanism to slow down the growth
  - Important implications in the early stages of ultrarelativistic heavy-ion collisions
- Evidence for gluon saturation = central task for QCD experiments
  - Only hints at HERA, RHIC, CEBAF and LHC so far





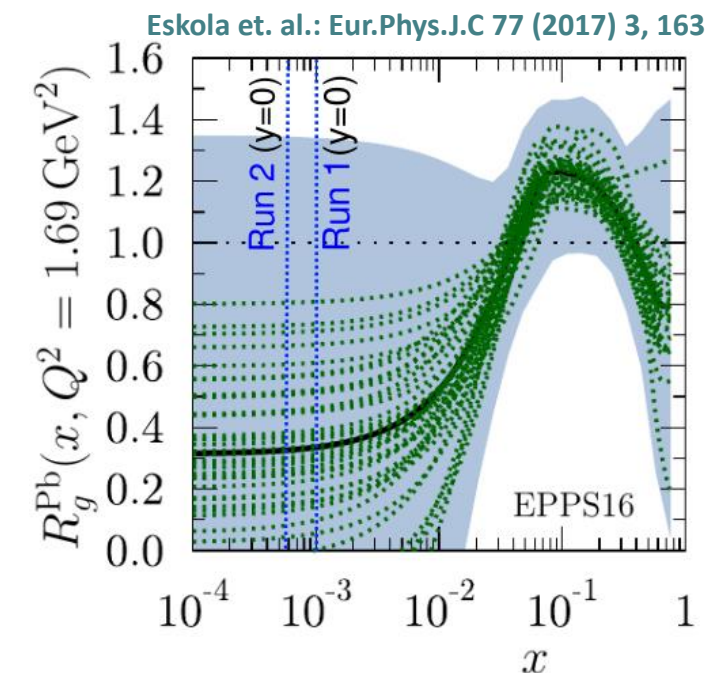
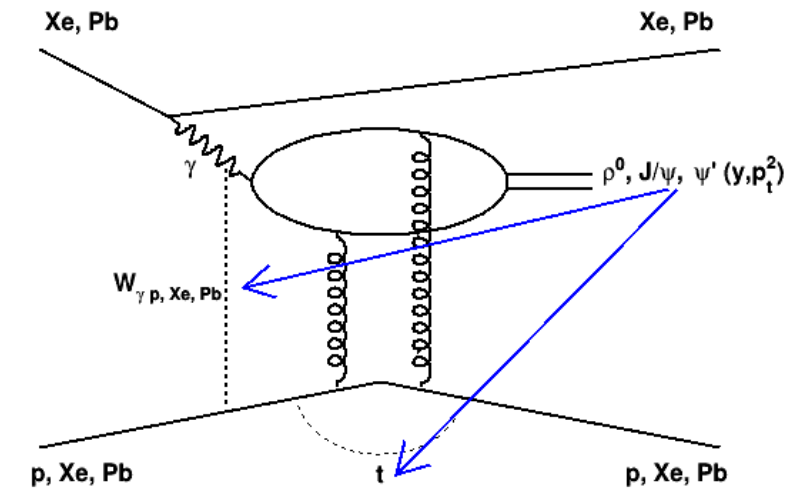
# Exclusive vector meson production

- Only vector meson is produced, nothing else
- Photon fluctuates into a quark-antiquark pair
  - Spin 1 and negative parity => fluctuation to vector meson
- Probe the nuclear gluon density at low Bjorken-x

$$x = p_{\text{parton}} / p_{\text{hadron}}$$

$$x = \frac{M_{J/\psi}}{\sqrt{s}} e^{\pm y} \quad Q^2 \sim \frac{M_{J/\psi}^2}{4}$$

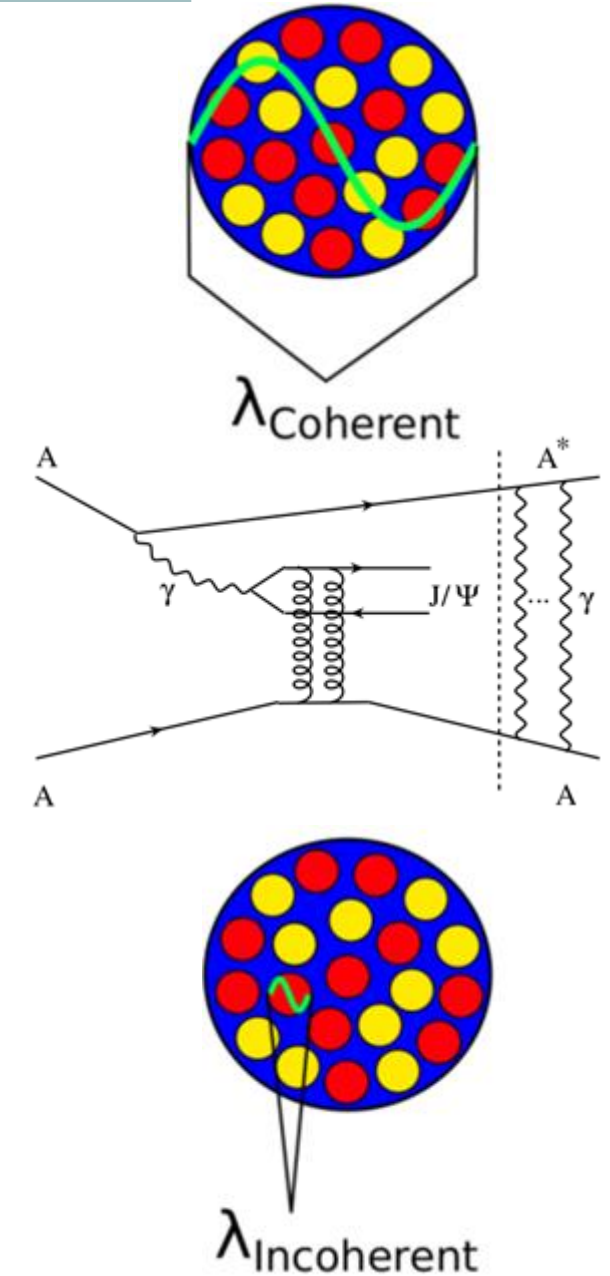
- Constrain the initial state by measuring the nuclear effects on the parton distribution functions (PDFs)
  - Important to control hard probes in Pb-Pb
- Nuclear effects in the gluon PDFs = nuclear shadowing
  - PDFs at small-x are suppressed wrt. free proton





# Vector meson photoproduction off nuclei

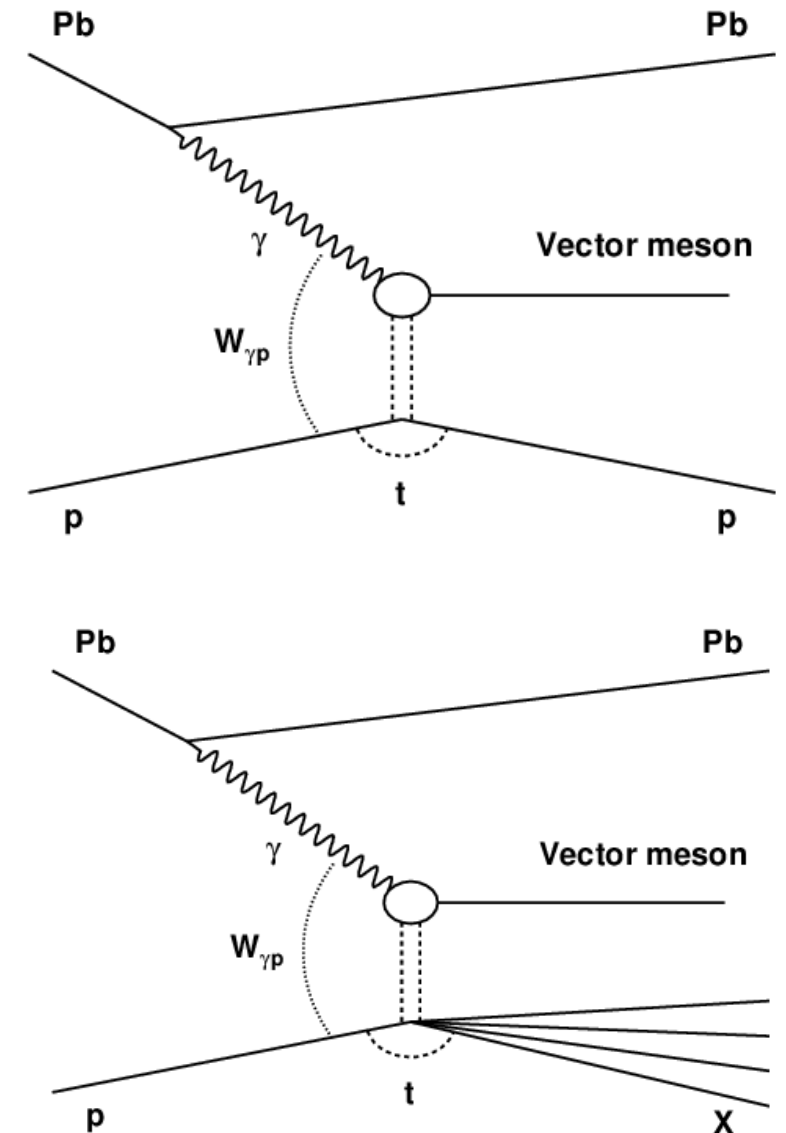
- Coherent production:
  - Photon interacts with whole nucleus
  - Vector meson  $p_T \approx 60 \text{ MeV}/c \sim 1/R_{\text{Pb}}$
- Coherent production with nuclear break up:
  - Intense electromagnetic field => independent interaction
  - Excite one/both nuclei => emission of a neutron(s)
- Incoherent production:
  - Photon interacts with single nucleon
  - Vector meson  $p_T \approx 300 \text{ MeV}/c \sim 1/R_N$
  - Break up of nuclei => forward neutrons
  - Nucleon may dissociate





# Photoproduction off protons in p-Pb UPCs

- Exclusive production:
  - Photon interacts with the proton without breaking it
  - Vector meson  $p_T \approx 300 \text{ MeV}/c$
  
- Dissociative production:
  - Proton is excited and dissociates
  - Vector meson  $p_T \approx 1 \text{ GeV}/c$ .

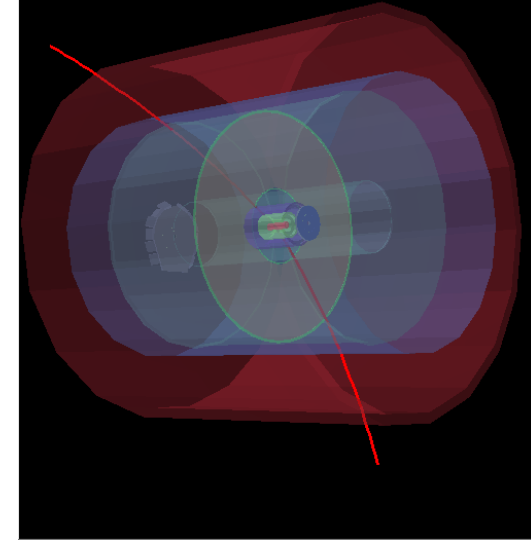




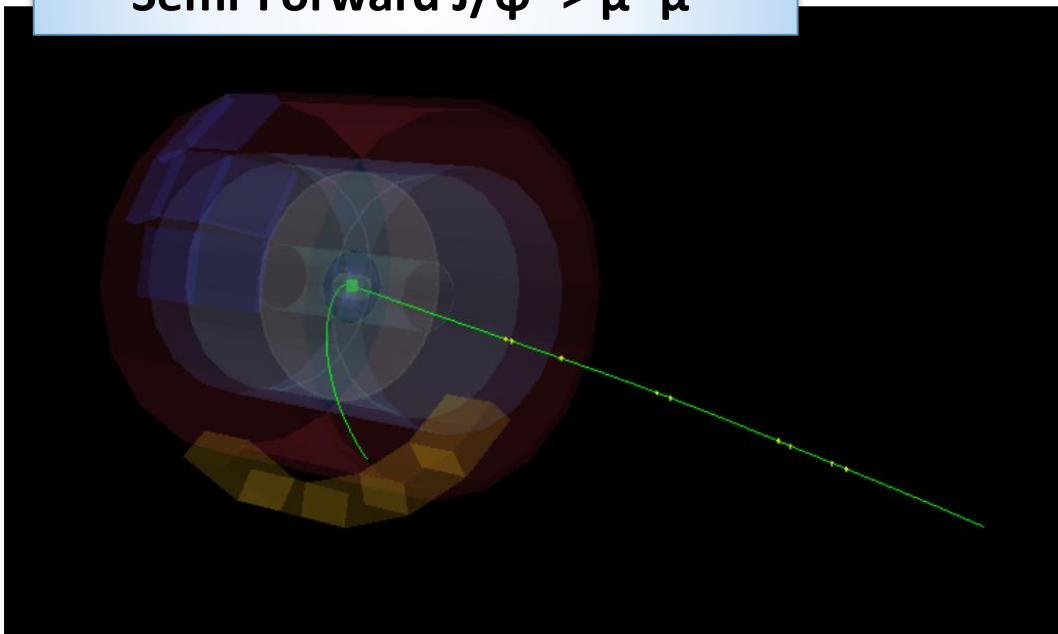
# Ultra-peripheral collisions

- Very clean signature - two or four tracks in an otherwise empty detector
- Decay channels:
  - $\rho^0 \rightarrow \pi^+ \pi^-$
  - $J/\psi \rightarrow l^+ l^-$
  - $\psi(2S) \rightarrow l^+ l^-$
  - $\psi(2S) \rightarrow J/\psi \pi^+ \pi^-$

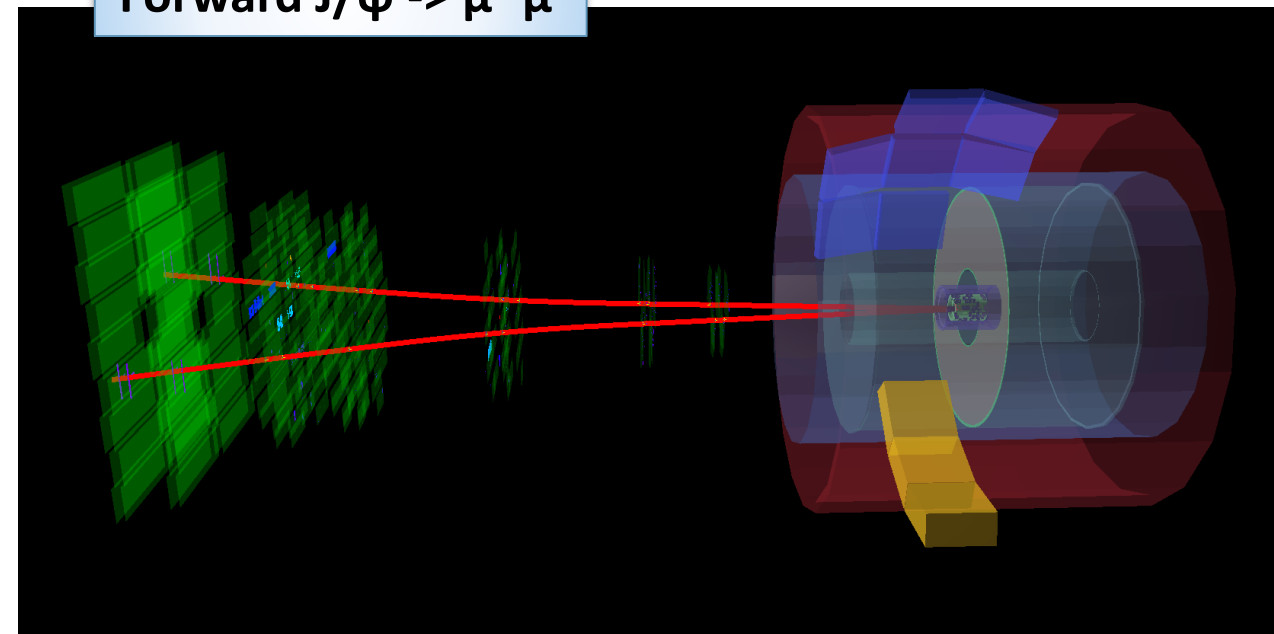
Central  $J/\psi \rightarrow \mu^+ \mu^-$



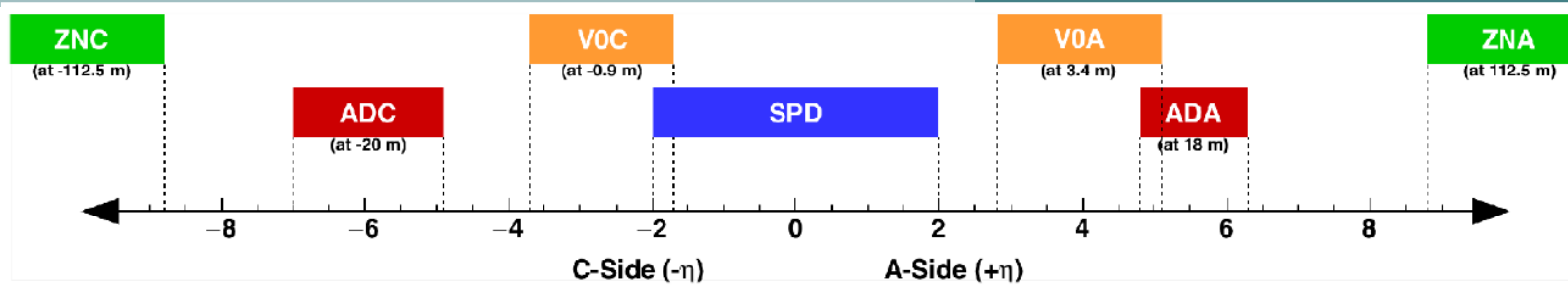
Semi-Forward  $J/\psi \rightarrow \mu^+ \mu^-$



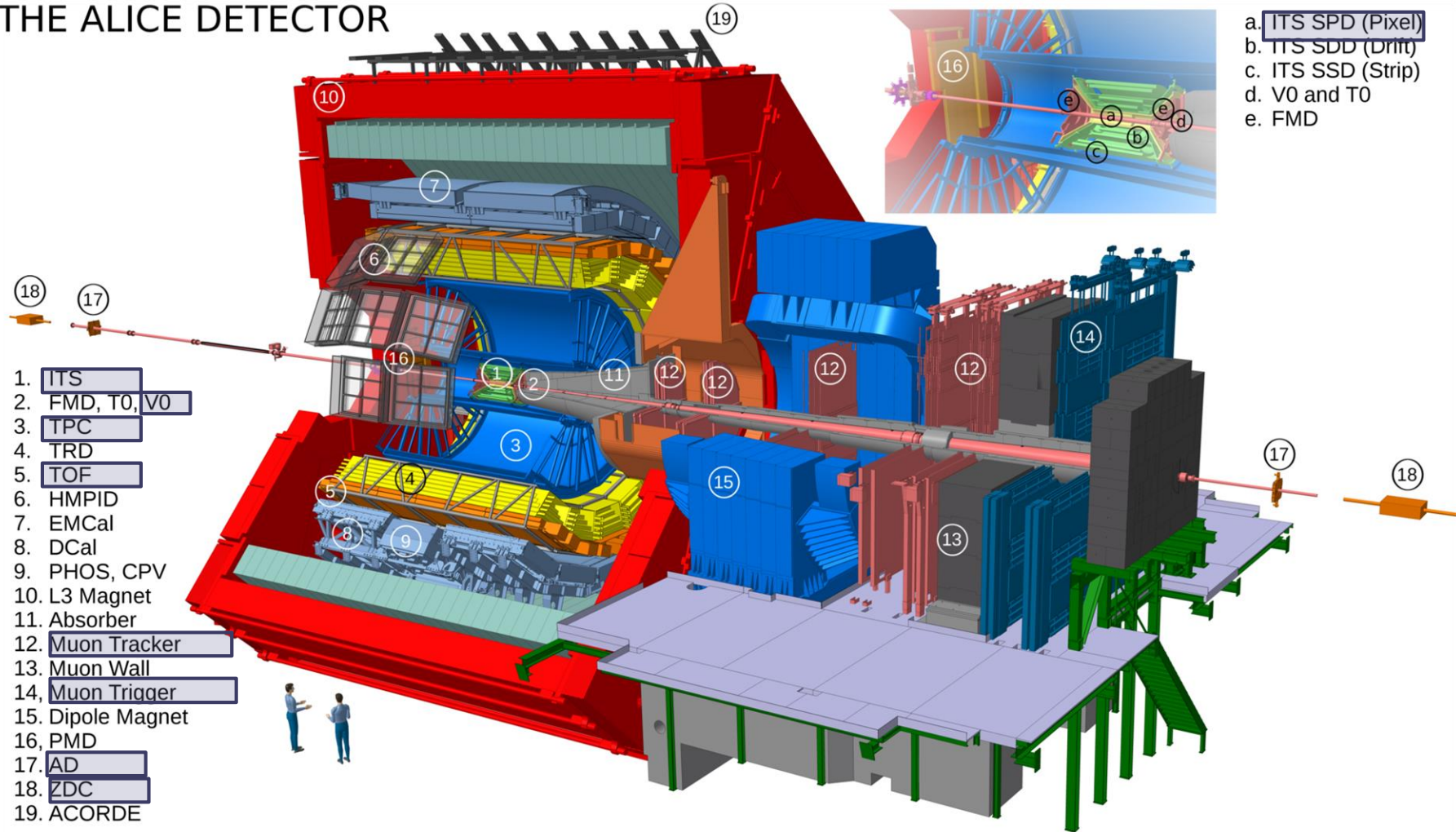
Forward  $J/\psi \rightarrow \mu^+ \mu^-$







### THE ALICE DETECTOR





# Shining light inside proton Searching for gluon saturation

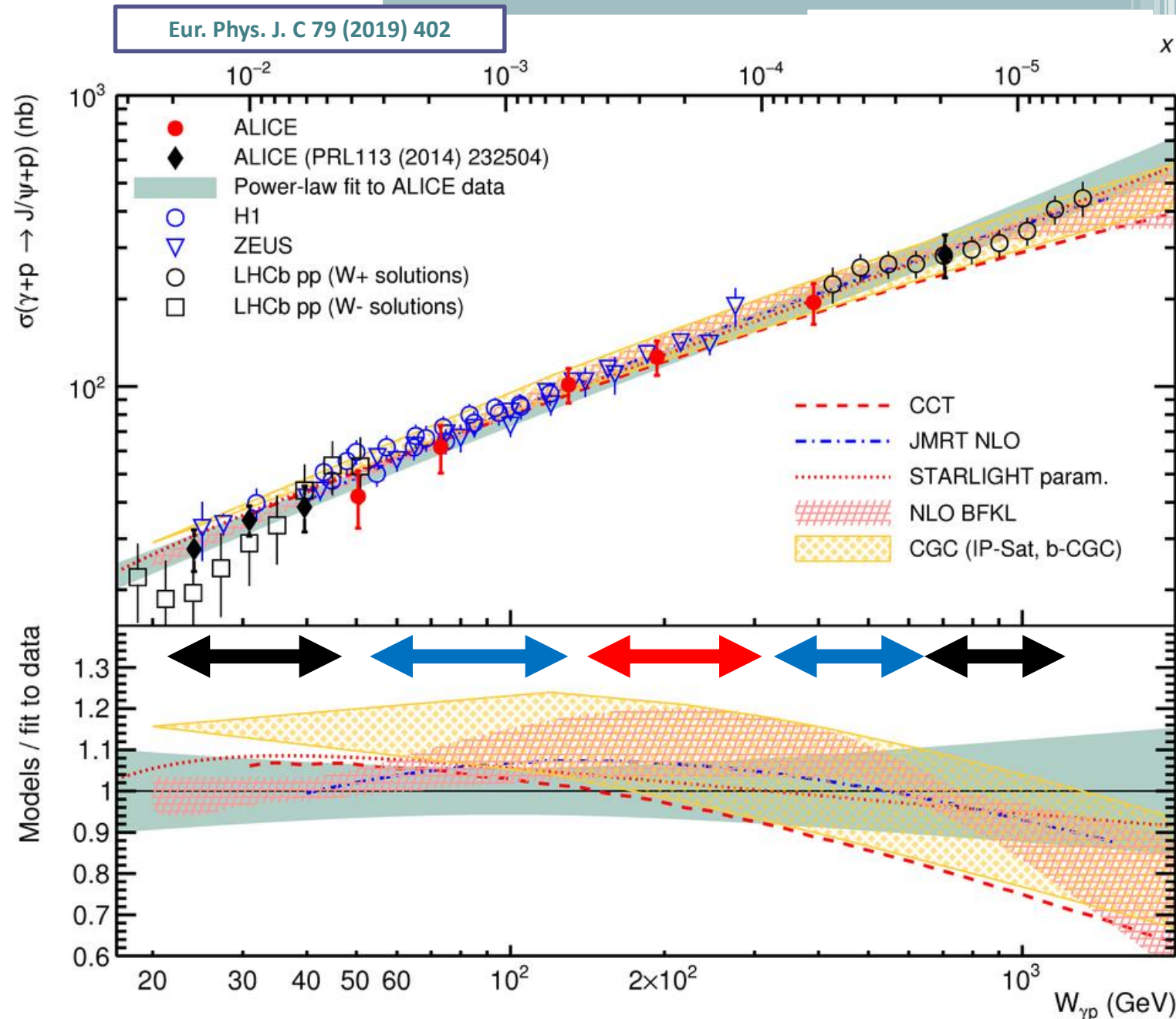
**Phys. Rev. Lett. 113, 232504 (2014)**

**Eur. Phys. J. C 79 (2019) 402**



# Cross section for $J/\psi$ in $\gamma p$

- ALICE covers continuously from  $x=2 \cdot 10^{-2}$  to  $x=2 \cdot 10^{-5}$ !
  - **Forward**
  - **Semi-forward**
  - **Central**
- ALICE fits with a **power law** with exponent  $0.70 \pm 0.05$ 
  - LHCb, H1 ( $0.67 \pm 0.03$ ) and ZEUS ( $0.69 \pm 0.02 \pm 0.03$ ) exponents compatible
- No change in the behavior of the gluon PDF in the proton manifests itself between HERA and LHC

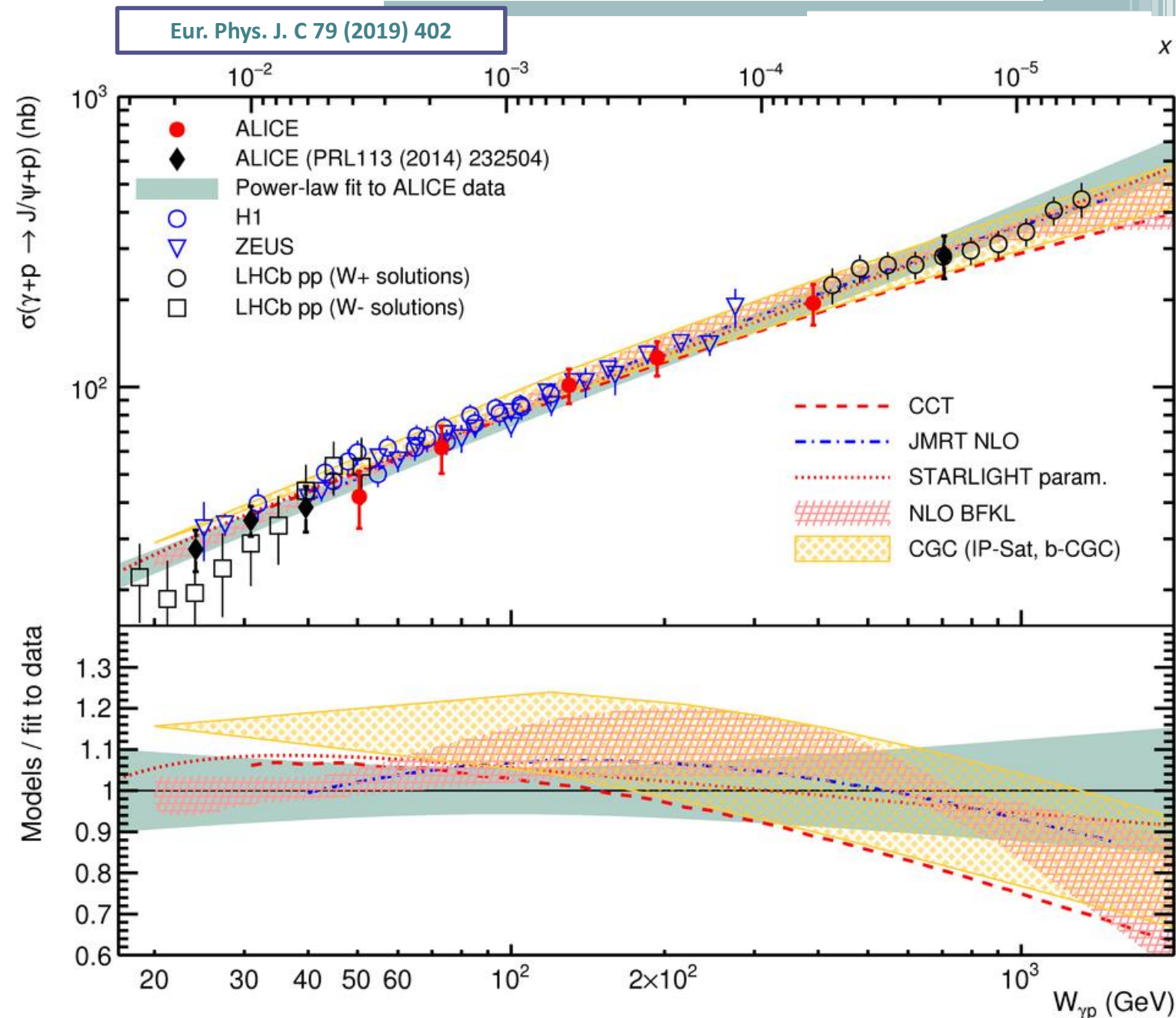






# Cross section for $J/\psi$ in $\gamma p$

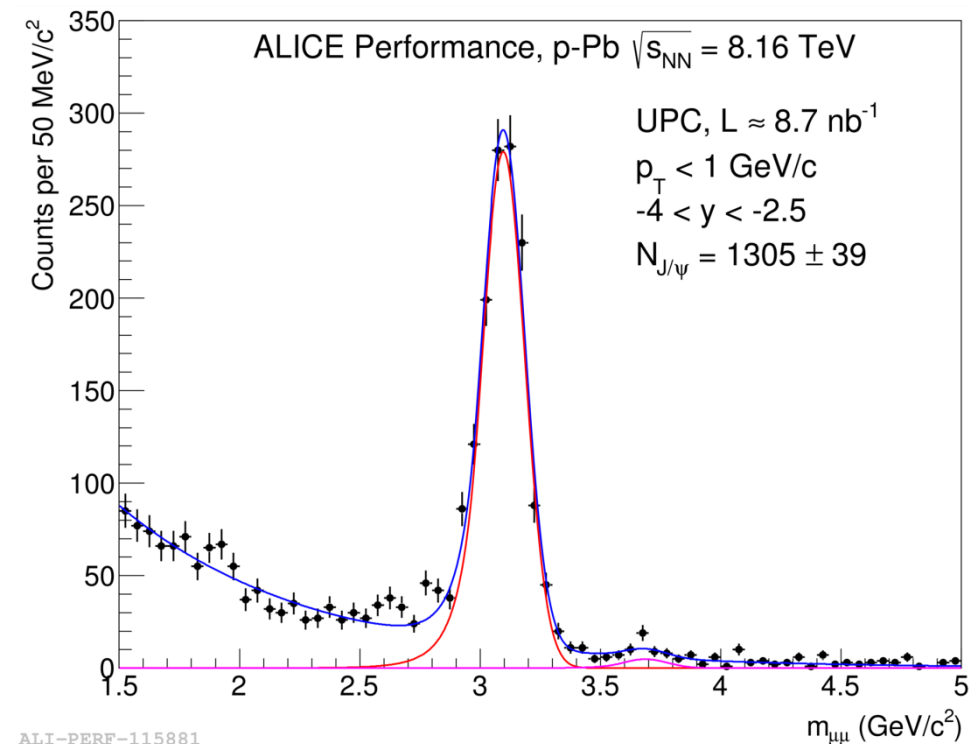
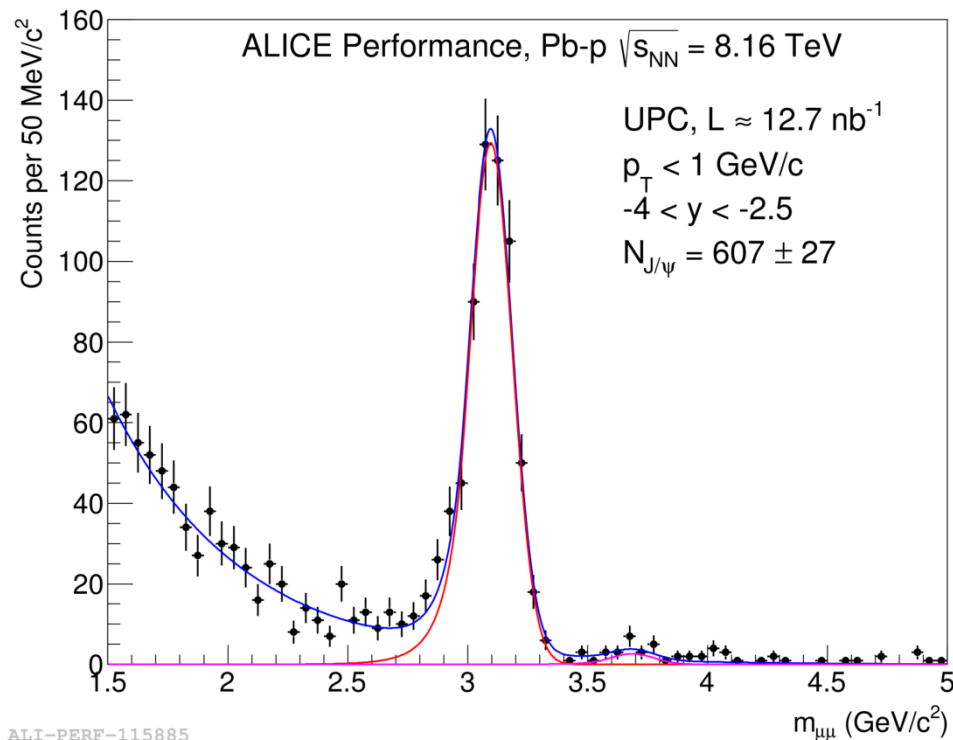
- **JMRT NLO**: DGLAP formalism with main NLO contributions included
- **CCT**: Saturation in an energy dependent hot spot model
- **CGC**: Color dipole model
- **NLO BFKL**: BFKL evolution of HERA values
- **STARLIGHT**: Parameterization of HERA and fixed target data
- Good description by all models independently of the inclusion of saturation or subnuclear degrees of freedom





# p-Pb at 8.16 TeV

- Data at 5 TeV and 8.16 TeV p-Pb and Pb-p were recorded in Run 2
- Allow us to extend  $W_{\text{yp}}$  from 700 GeV to **1.4 TeV**
- Search for gluon saturation effects in proton at low x ( **$5 \cdot 10^{-6}$** )
- Study proton-dissociative cross section at high  $W_{\text{yp}}$  using AD and ZDC





# Shining light inside nucleus

## Probes of gluon shadowing

**Phys.Lett. B798 (2019) 134926**

**JHEP 06 (2020) 035**

**arXiv:2101.02581**

**arXiv:2101.04577**

**arXiv:2101.04623**

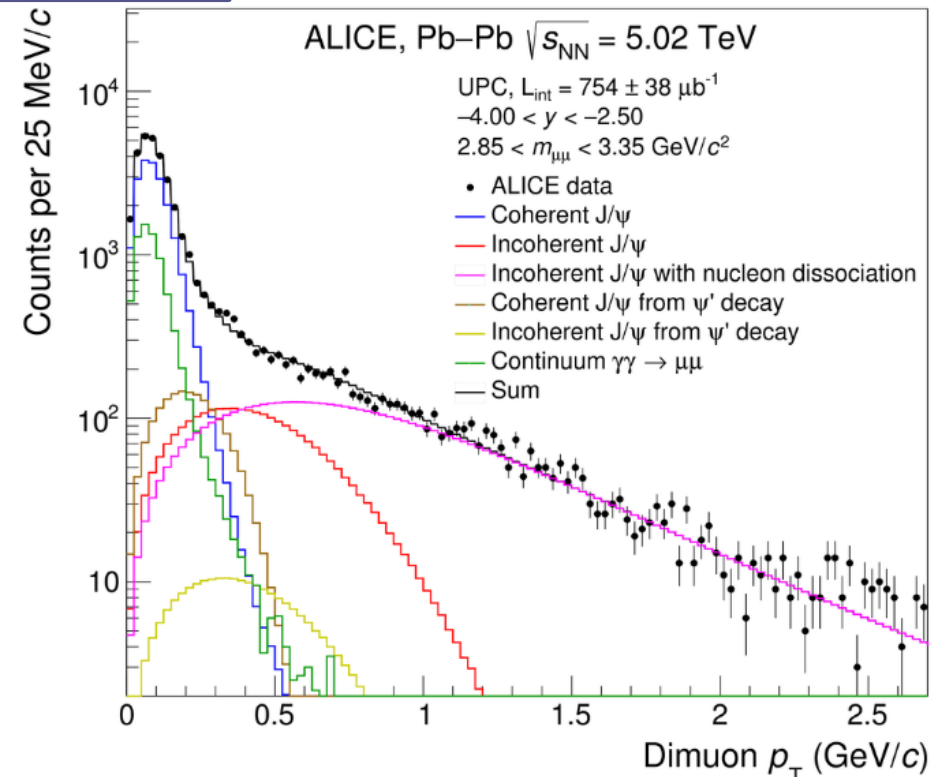
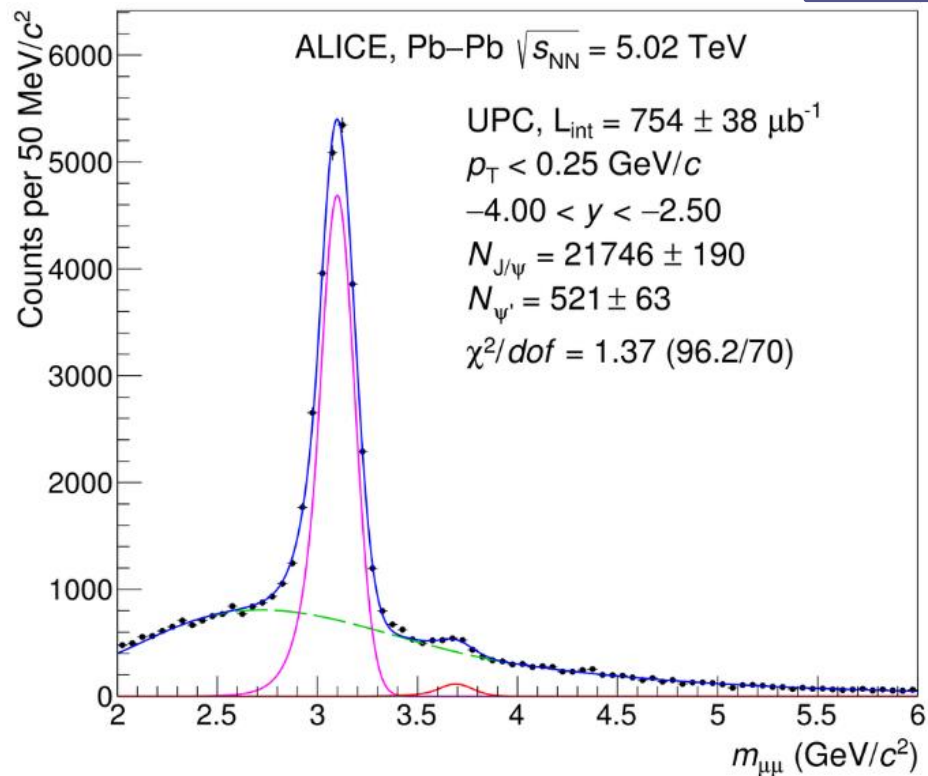


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# Forward rapidity J/ψ

- J/ψ and ψ' fitted by Crystal Ball function
- Background, dominated by  $\gamma\gamma \rightarrow \mu\mu$  process
- Various  $p_T$  templates by STARLIGHT
- High- $p_T$  tail (J/ψ with nucleon dissociation) fitted with H1 parameterization

Phys.Lett. B798 (2019) 134926



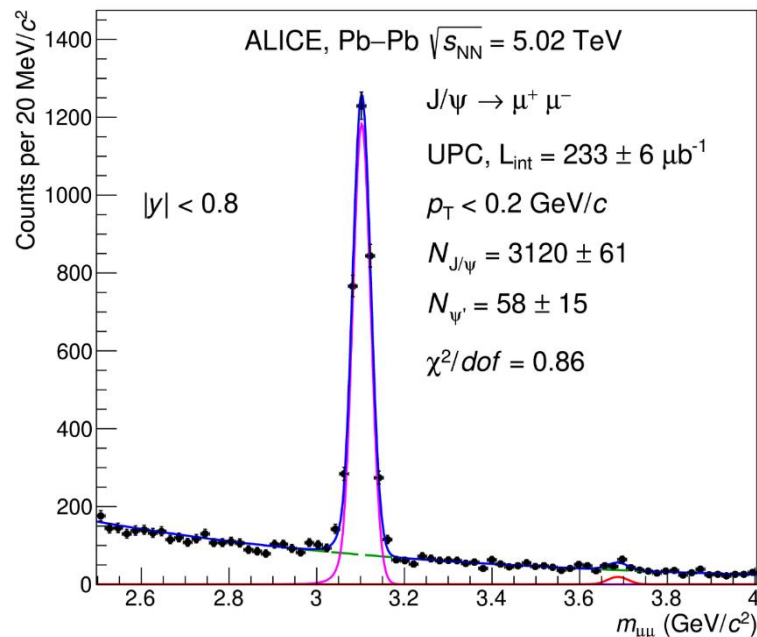


# Midrapidity $J/\psi$ and $\psi'$

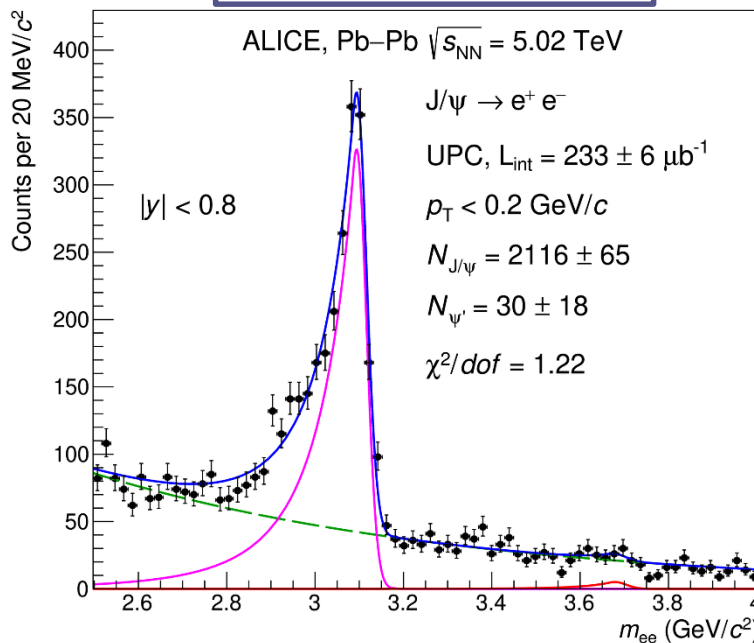
- Analysis based on midrapidity tracks reconstructed in central barrel (ITS,TPC,TOF)
- Particle identification (TPC, TOF) allows us to separate three channels
  - $J/\psi \rightarrow \mu^+ \mu^-$
  - $J/\psi \rightarrow e^+ e^-$
  - $J/\psi \rightarrow p \bar{p}$
- $J/\psi$  and  $\psi'$  fitted by Crystal Ball function

Decay channel	Branching ratio
$J/\psi \rightarrow \mu^+ + \mu^-$	$5.961\% \pm 0.033\%$
$J/\psi \rightarrow e^+ + e^-$	$5.971\% \pm 0.032\%$
$J/\psi \rightarrow p + \bar{p}$	$0.2121\% \pm 0.0029\%$

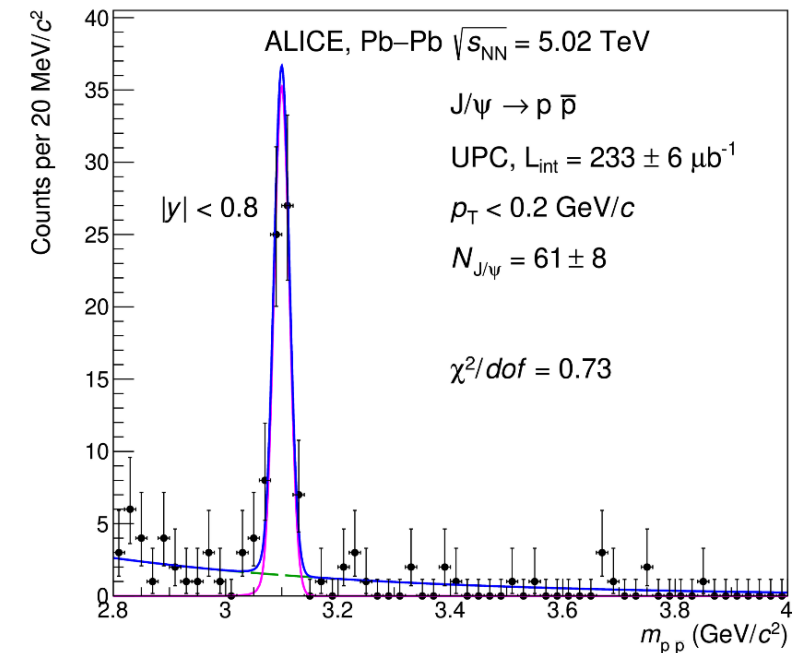
arXiv:2101.04577



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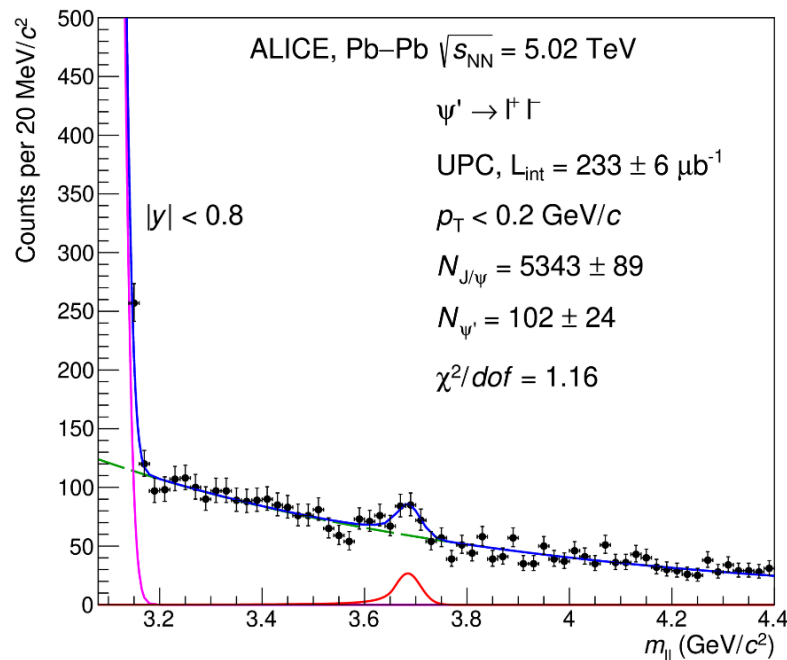


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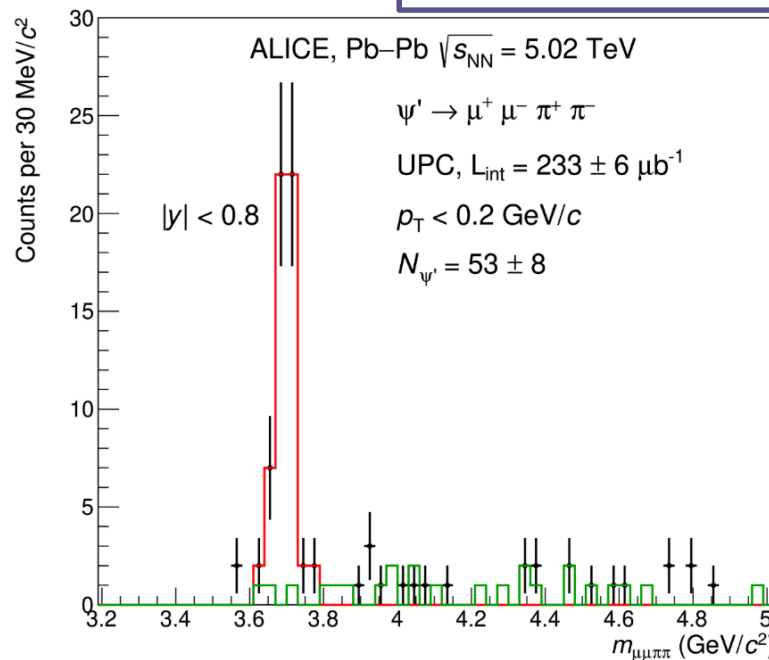
# Midrapidity J/ $\psi$ and $\psi'$

- Analysis based on midrapidity tracks reconstructed in central barrel (ITS, TPC, TOF)
- Particle identification (TPC, TOF) allows us to separate three channels
  - $\psi' \rightarrow l^+ l^-$
  - $\psi' \rightarrow J/\psi + \pi^+ \pi^- \rightarrow e^+ e^- + \pi^+ \pi^-$
  - $\psi' \rightarrow J/\psi + \pi^+ \pi^- \rightarrow \mu^+ \mu^- + \pi^+ \pi^-$
- Four particle final states have very clear signal allowing to extract the yield by simple bin-counting method

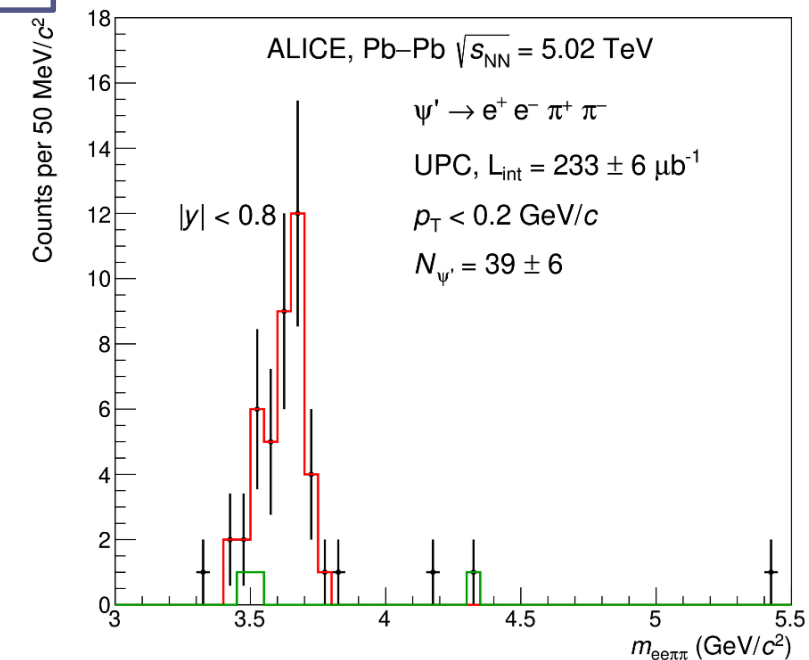
Decay channel	Branching ratio
$\psi(2s) \rightarrow \mu^+ + \mu^-$	$0.8\% \pm 0.06\%$
$\psi(2s) \rightarrow e^+ + e^-$	$0.793\% \pm 0.017\%$
$\psi(2s) \rightarrow J/\psi + \pi^+ \pi^-$	$34.68\% \pm 0.3\%$
$\psi(2s) \rightarrow \mu^+ \mu^- + \pi^+ \pi^-$	$2.07\% \pm 0.3\%$
$\psi(2s) \rightarrow e^+ e^- + \pi^+ \pi^-$	$2.07\% \pm 0.3\%$

[arXiv:2101.04577](https://arxiv.org/abs/2101.04577)


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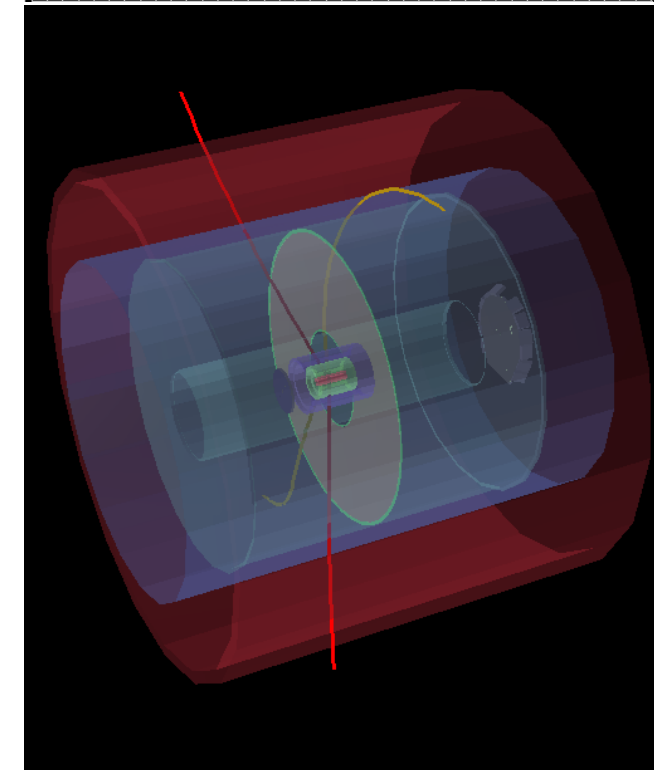
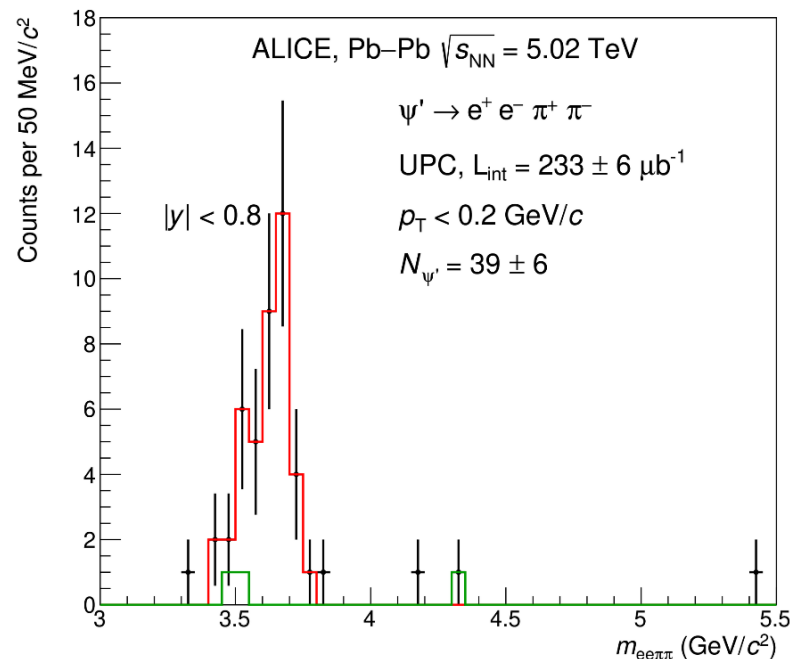
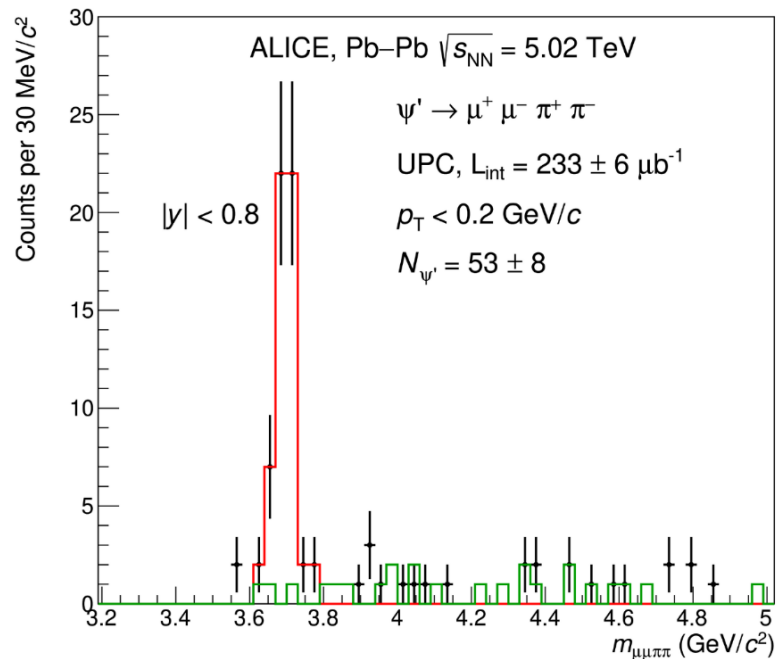
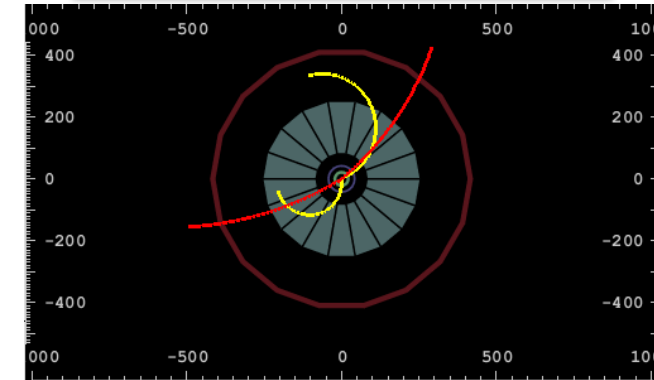
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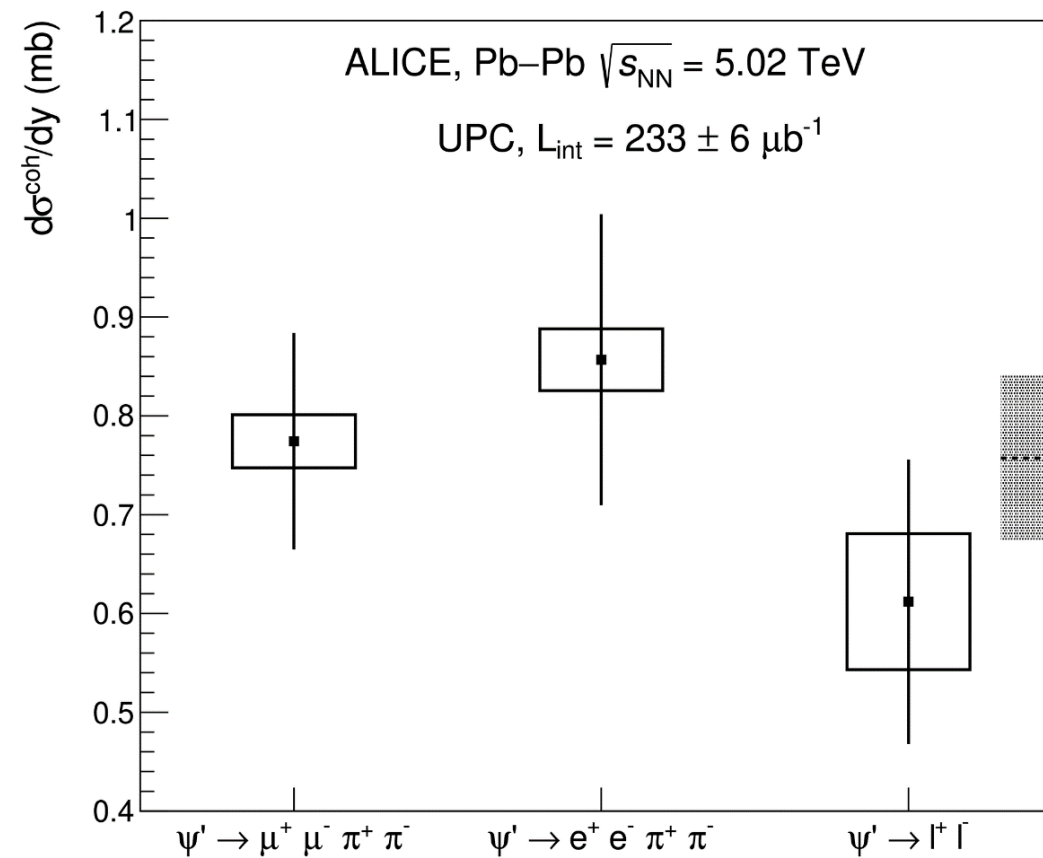
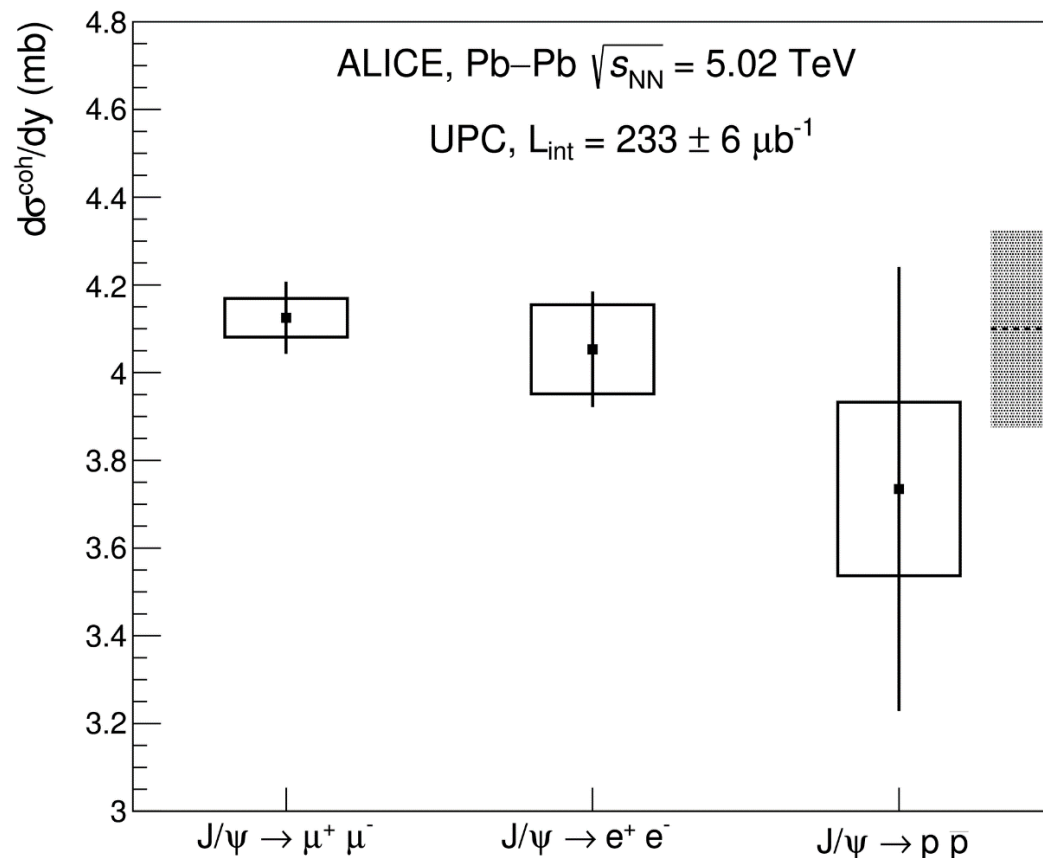
 $\psi(2s) \rightarrow e^+ e^- + \pi^+ \pi^-$ 




# Midrapidity $J/\psi$ and $\psi'$

- Compatibility between various channels serves as important check of the analysis procedure
- Channels are then combined to final cross section

arXiv:2101.04577



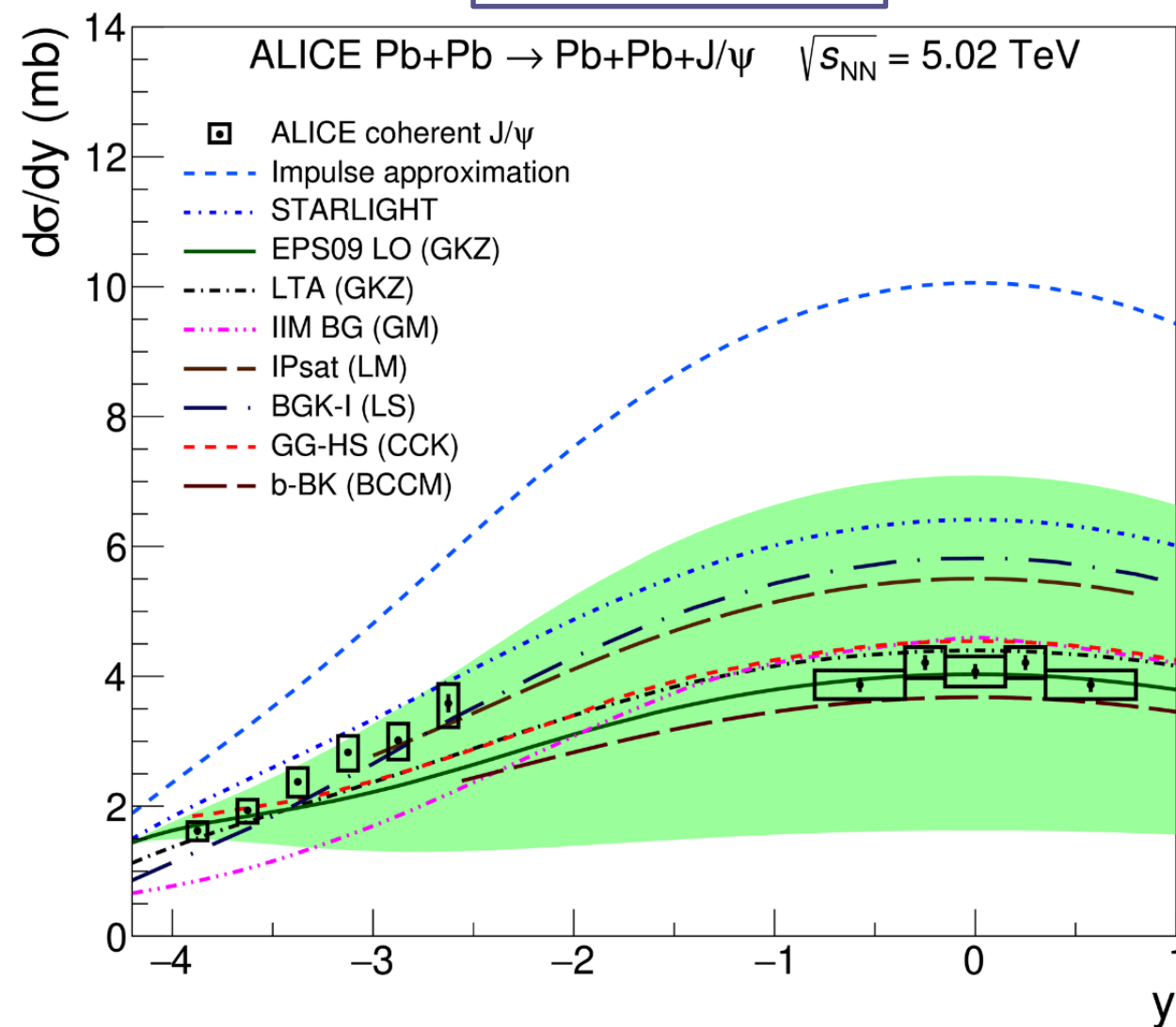


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# UPC J/ $\psi$ compared with calculations

- **Impulse approximation:** Exclusive photoproduction data off protons, neglecting all nuclear effects except coherence.

arXiv:2101.04577



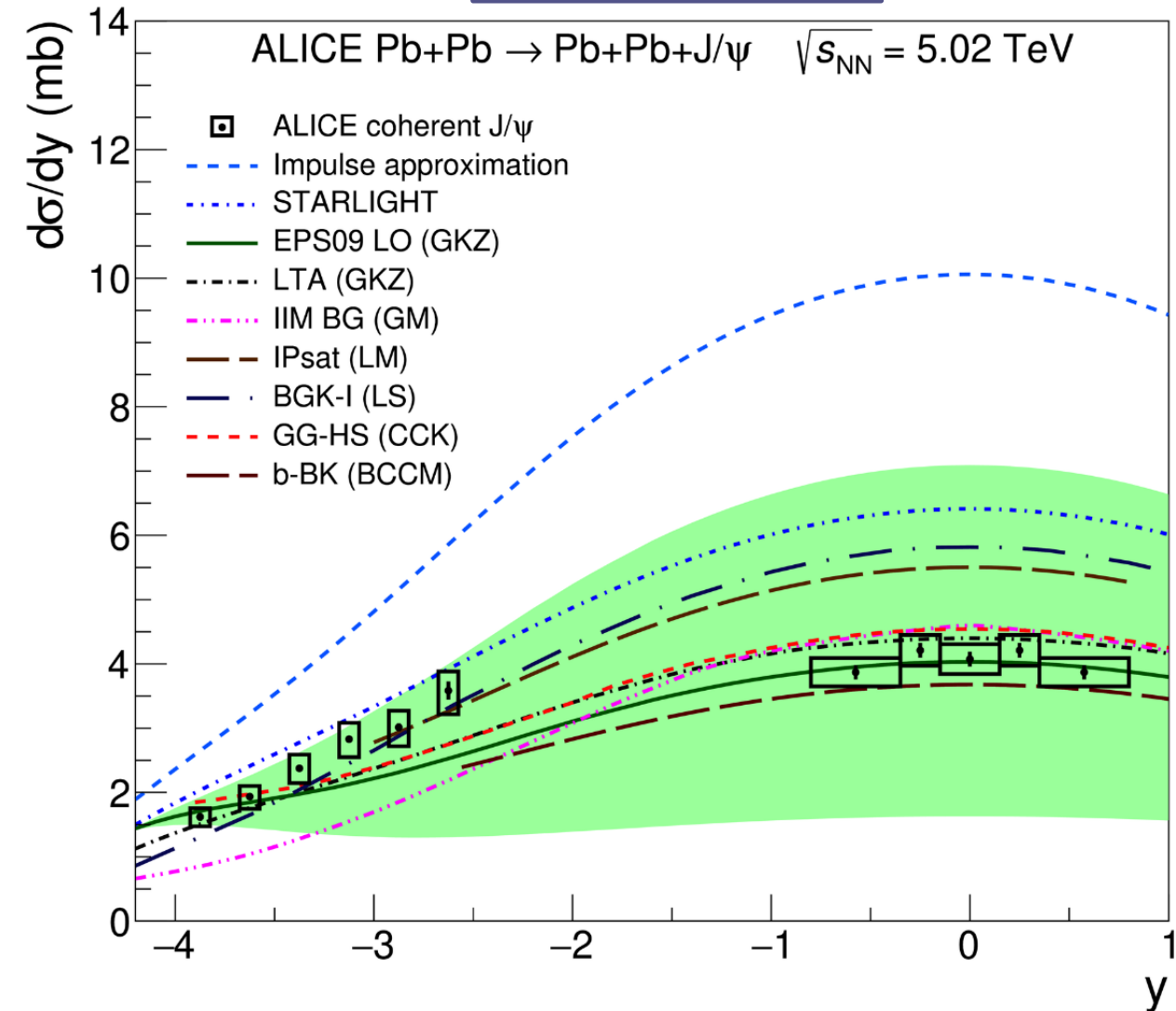


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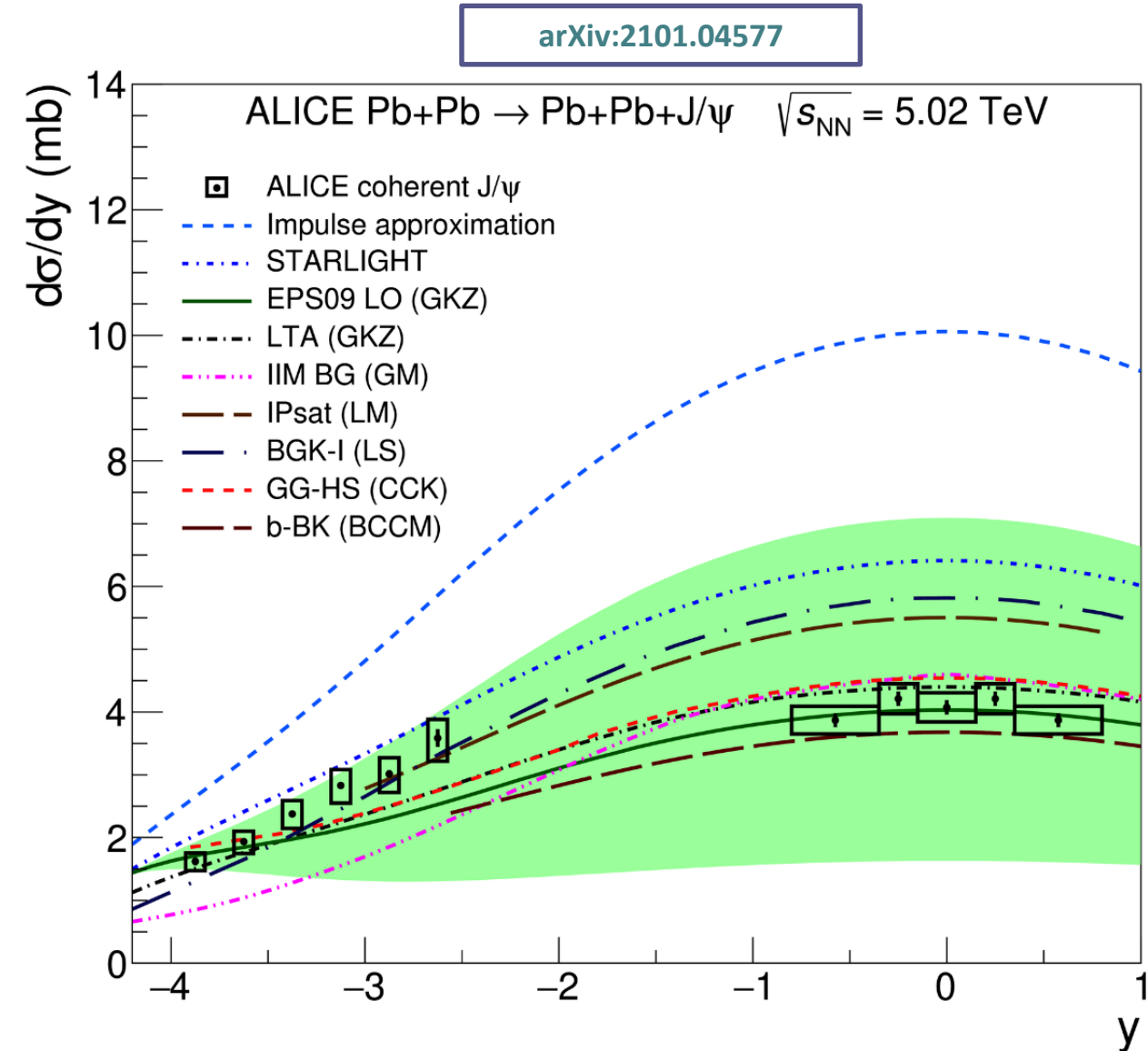




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- **GKZ: Leading twist approximation (LTA)** of nuclear shadowing

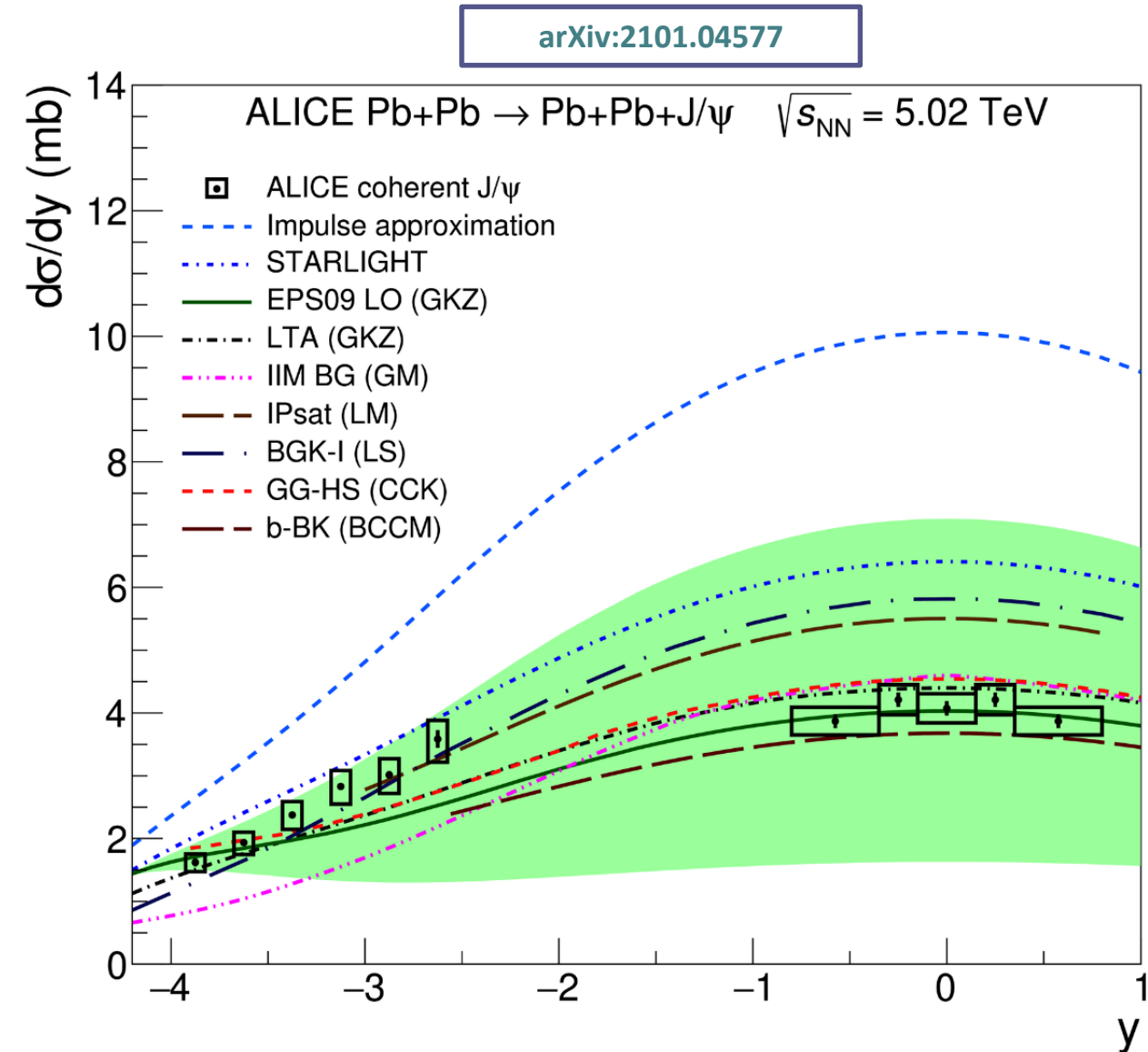




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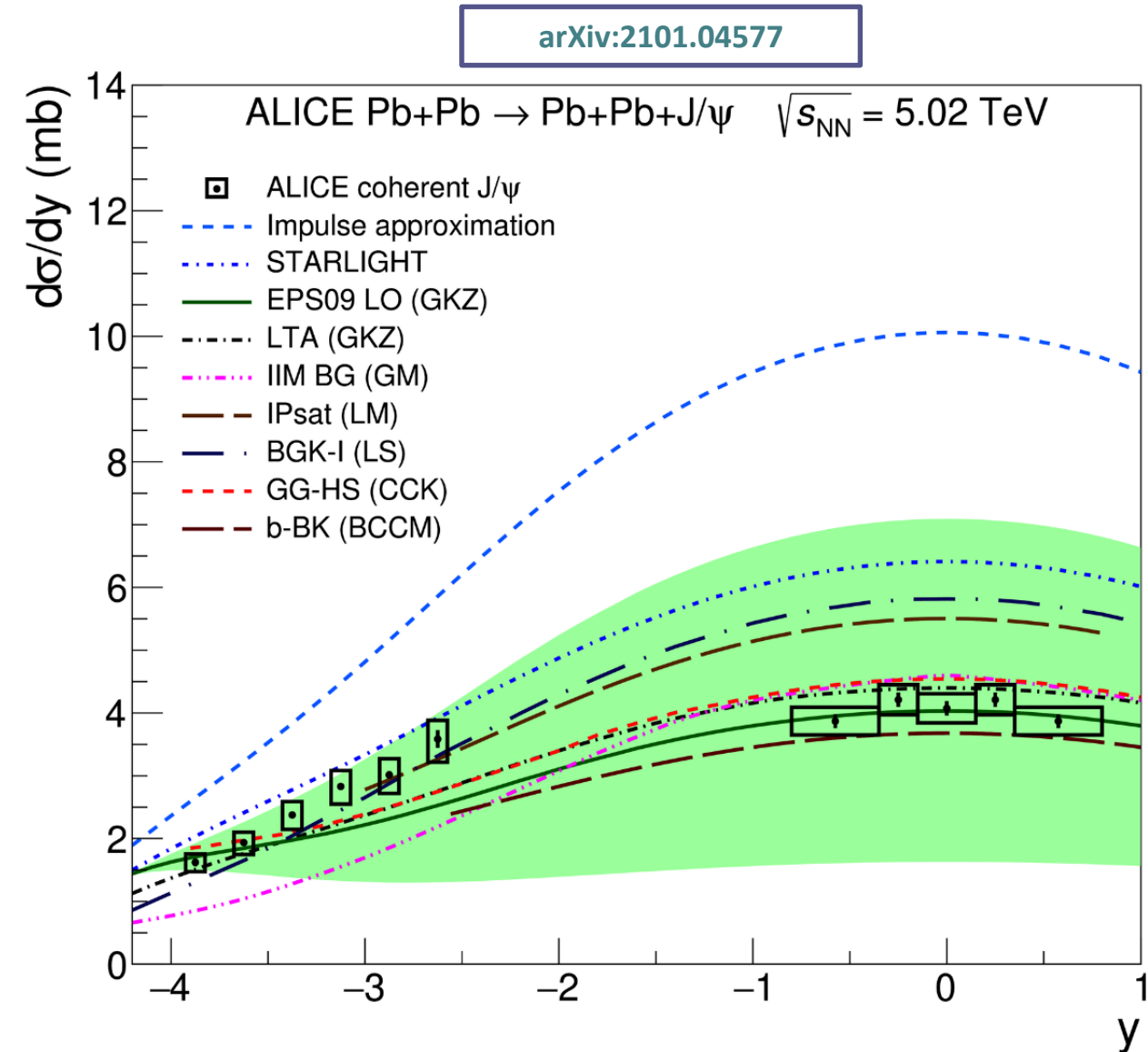




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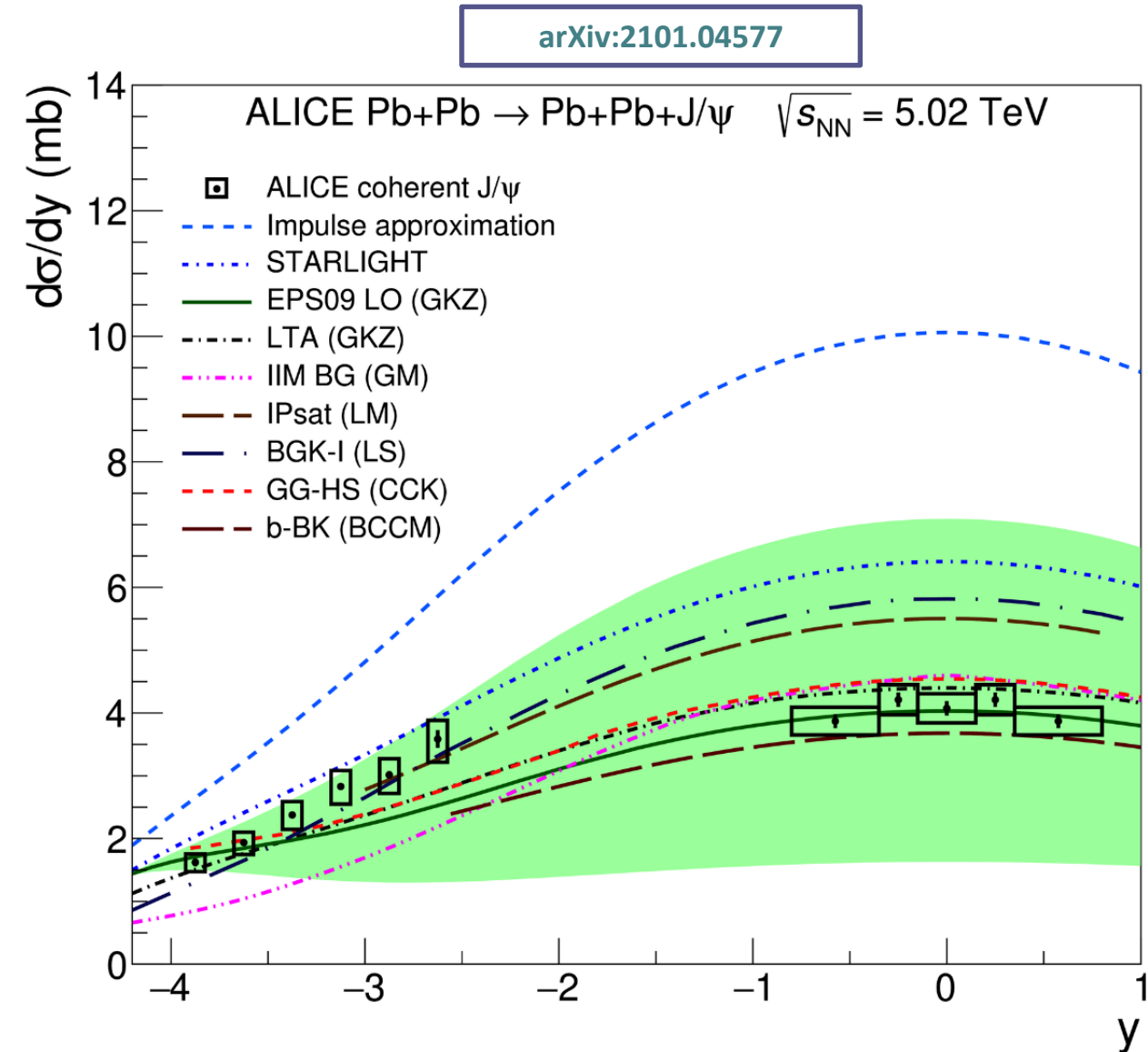




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- **GM, LM, LS**: Color dipole approach coupled to the Color Glass Condensate formalism with different assumptions on the dipole-proton scattering amplitude

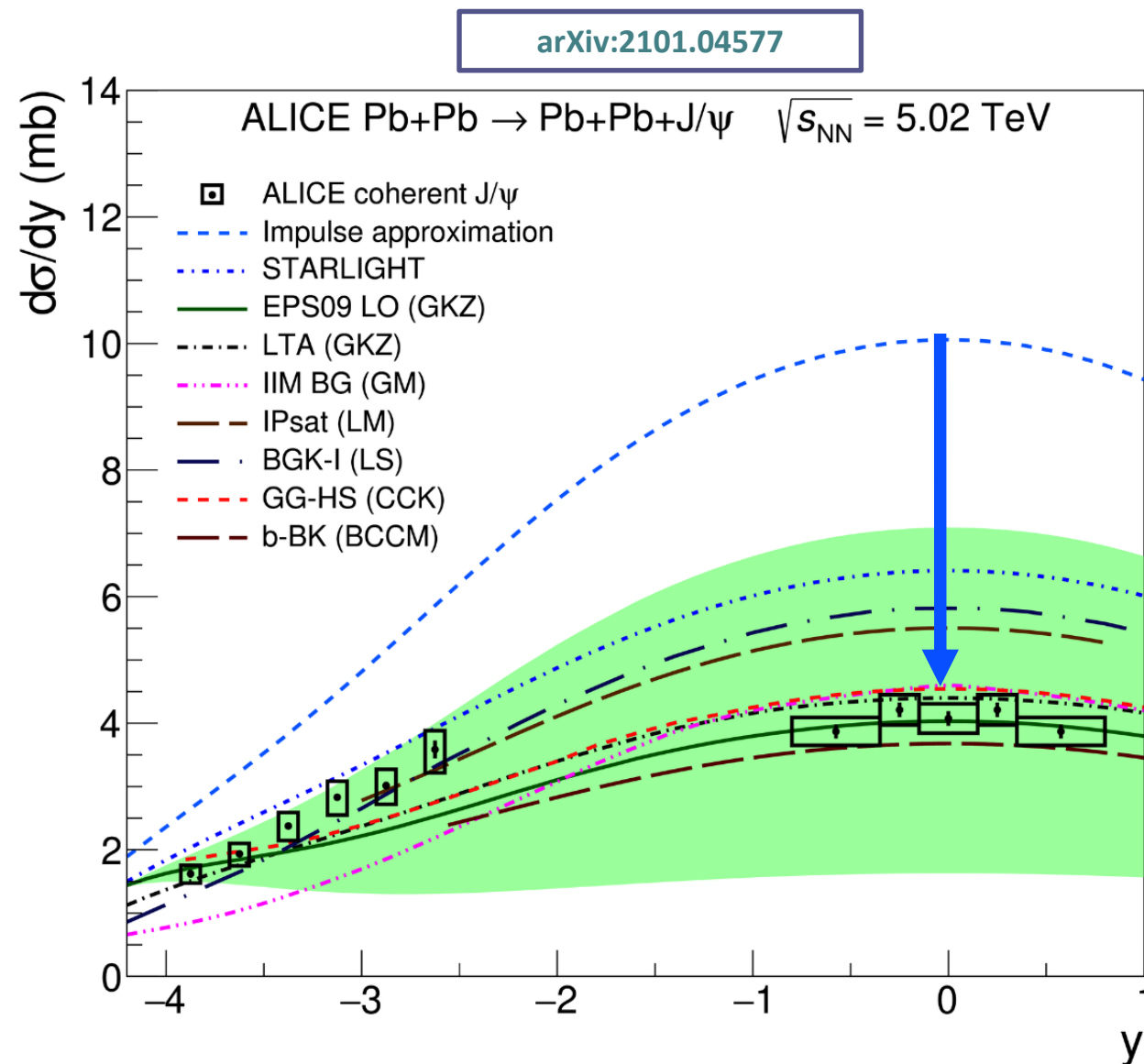




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# UPC J/ $\psi$ compared with calculations

- Shadowing 0.65 at Bjorken- $x \in (0.3, 1.4) \cdot 10^{-3}$ 
  - Comparison with the impulse approximation
- No models fully describe the whole rapidity dependence
- Central and most forward rapidities described by:
  - Leading twist approximation
  - EPS09 parameterization
  - Energy-dependent hot-spot model
  - Color dipole approach coupled to b-BK equation
- Color dipole models coupled to the color glass condensate describe either the forward or central rapidity





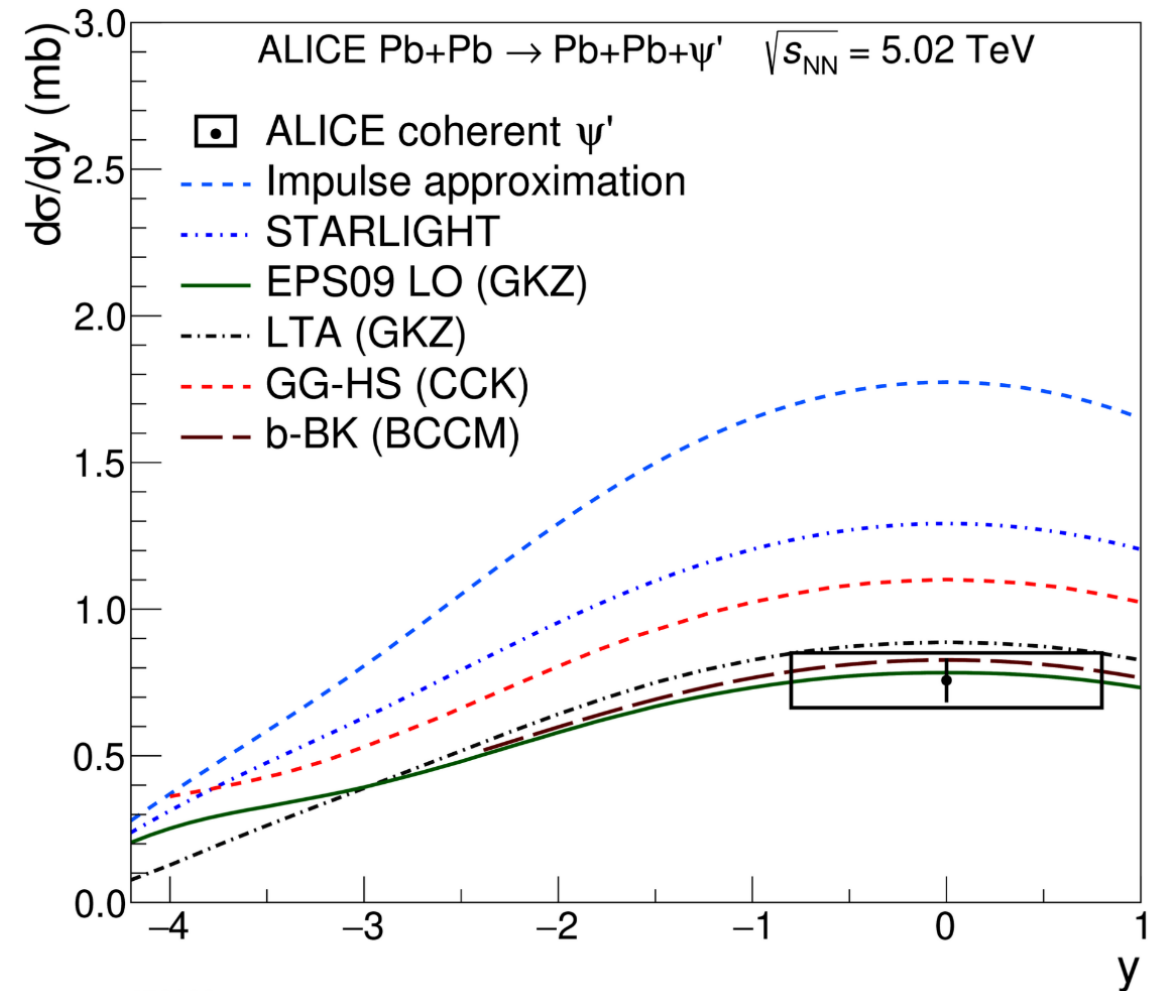
# Midrapidity $\psi'$

- The measured ratio of the  $\psi'$  to  $J/\psi$  cross section is compatible with the:
  - Exclusive photoproduction cross section ratio measured by the H1 collaboration in ep collisions
  - Ratio measured by the LHCb collaboration in pp collisions
  - Ratio predicted in the leading twist approximation

$$\frac{\frac{\sigma_{\psi'}^{\text{coh}}}{dy}}{\frac{\sigma_{J/\psi}^{\text{coh}}}{dy}} = 0.18 \pm 0.0185(\text{stat.}) \pm 0.028(\text{syst.}) \pm 0.005(\text{BR})$$

- $\psi'$  well described by models with moderate shadowing

arXiv:2101.04577



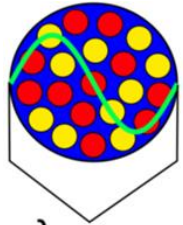
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# t-dependence of J/ψ cross section

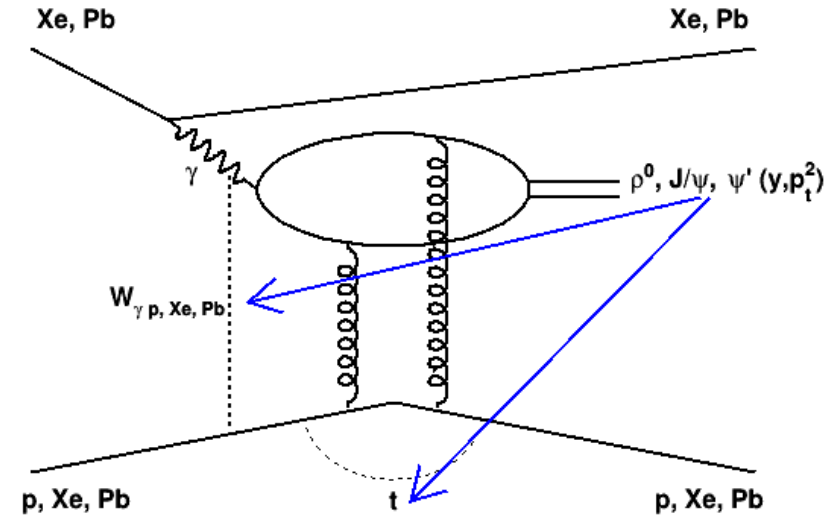
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- $|t|$ : The square of the momentum transferred between the incoming and outgoing target nucleus
- $|t|$  - dependence related by a 2D Fourier transform to the distribution of gluons in the transverse plane
  - Additional constraint for models
  - Investigate transverse gluonic structure at low Bjorken- $x$ : is shadowing varying in the transverse area?

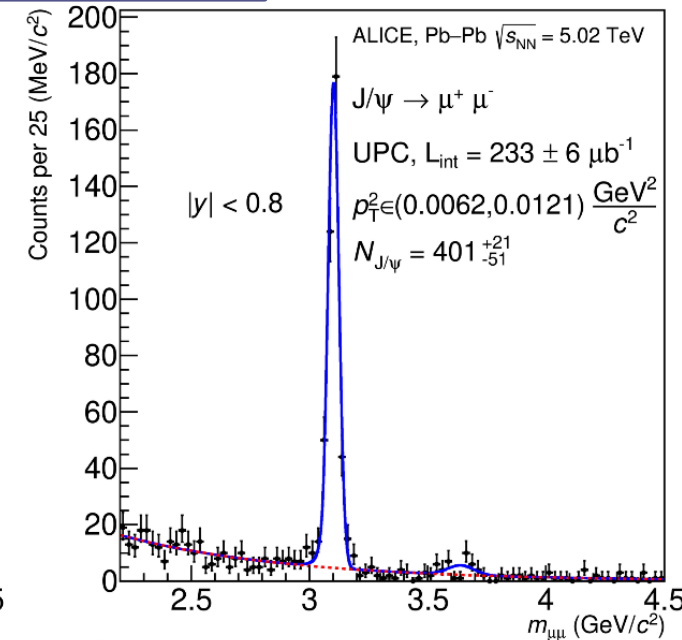
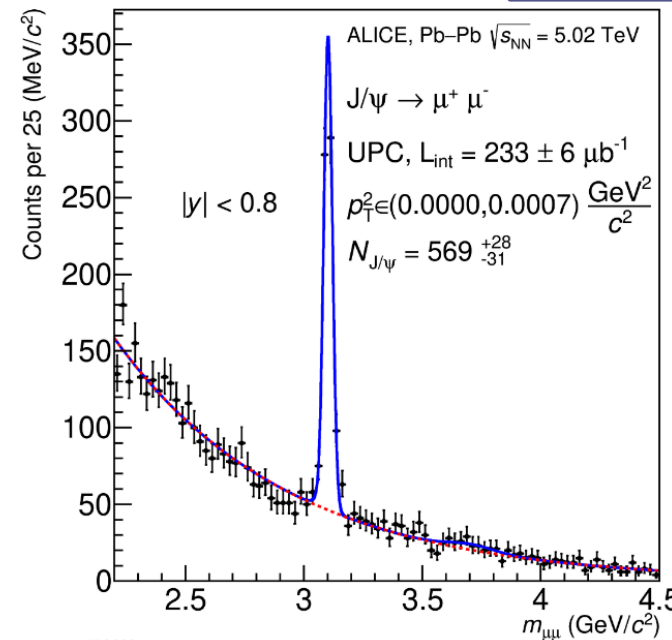


$\lambda_{\text{Coherent}}$

- Measurement done using  $J/\psi$   $p_T^2$ 
  - Unfolding from  $p_T^2$  to  $|t|$  done using response matrix from STARlight with correction for  $p_T^2$  shape



arXiv:2101.04623



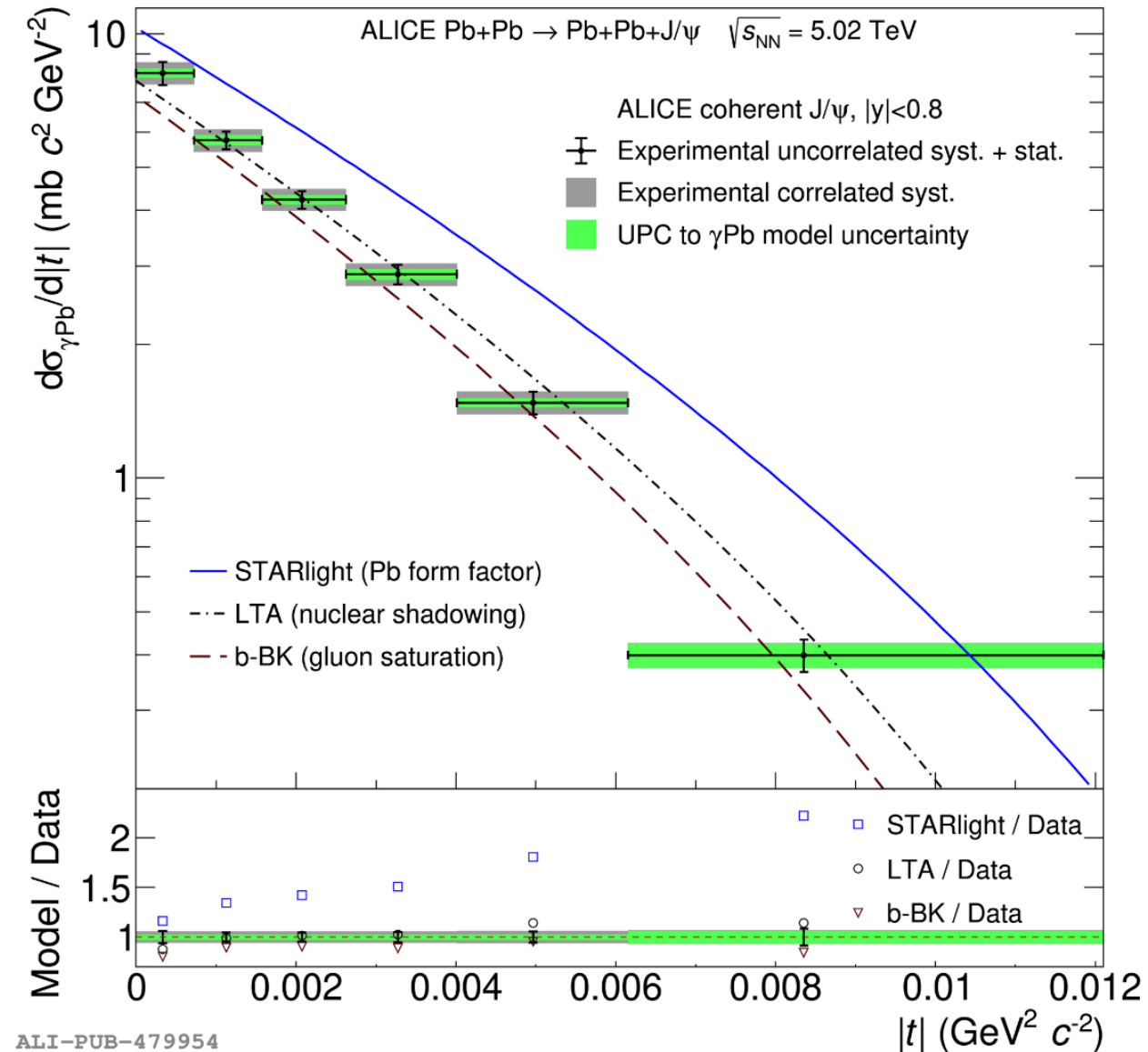


# t-dependence of J/ψ cross section

arXiv:2101.04623

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- Poor description by STARlight with Pb form factor implies existence of QCD dynamical effects
  - Observables sensitive to the transverse gluonic structure of particles important for understanding of the high-energy limit of QCD
- Models incorporating nuclear shadowing according to LTA or gluon saturation from the impact-parameter dependent Balitsky-Kovchegov (b-BK) describe well the data
  - Difference between the LTA and b-BK models smaller than uncertainties => high precision data in future





# Coherent $\rho^0$ in Pb-Pb

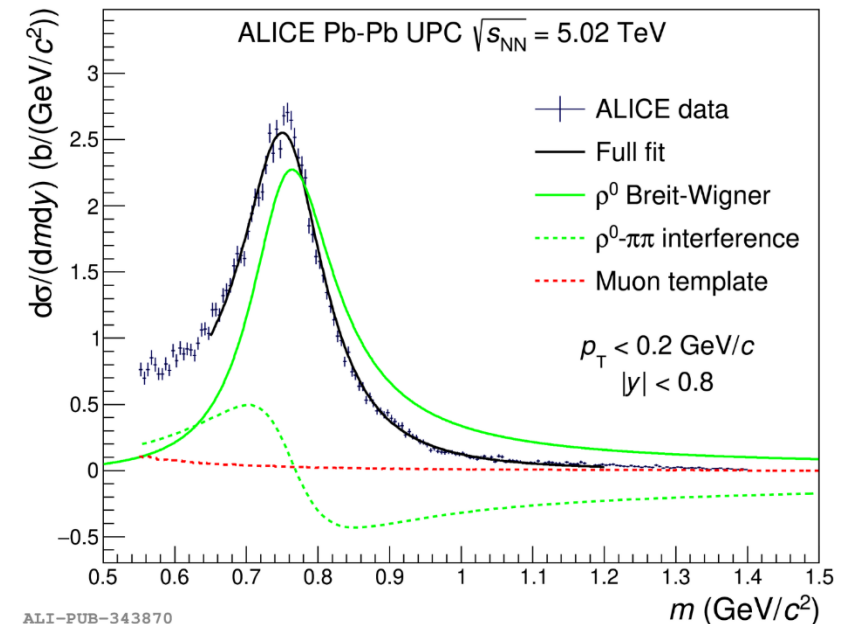
- Provides insight on the hadronic structure of photon
  - $\gamma + p \rightarrow \rho^0 + p$  contributes about 15% of total  $\gamma + p$  cross section
  - From nucleon to a nuclear target using the Glauber model
  - For heavy nuclei one may reach the black disk limit of QCD
- Mass distribution described by the model

$$\frac{d\sigma}{dm dy} = |A \cdot BW_\rho + B|^2 + M$$

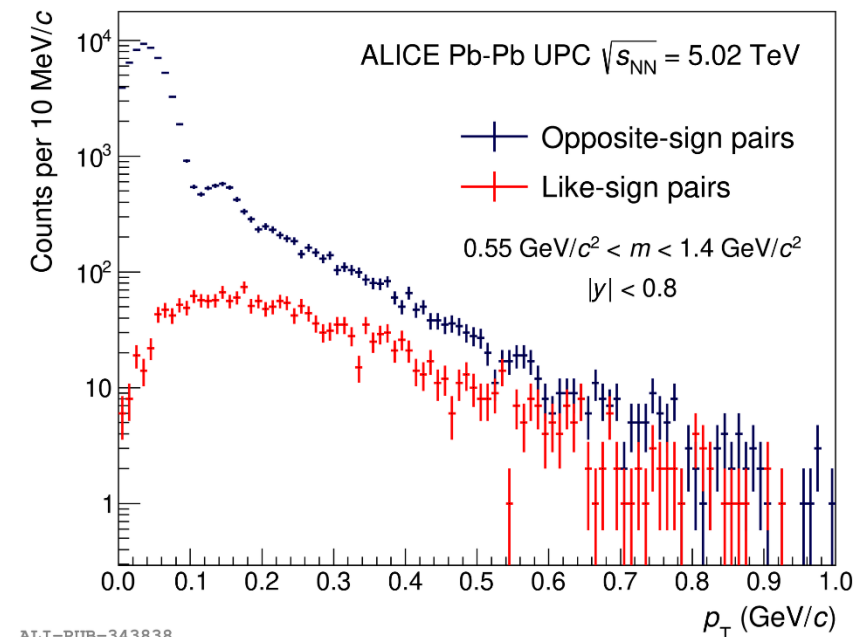
$$\Gamma(m) = \Gamma(m_{\rho^0}) \cdot \frac{m_{\rho^0}}{m} \cdot \left( \frac{m^2 - 4m_\pi^2}{m_{\rho^0}^2 - m_\pi^2} \right)^{3/2}$$

$$BW_\rho = \frac{\sqrt{m \cdot m_{\rho^0} \cdot \Gamma(m)}}{m^2 - m_{\rho^0}^2 + im_{\rho^0} \cdot \Gamma(m)}$$

- Background M from  $\gamma\gamma \rightarrow \mu\mu$  fixed using STARlight
- $p_T$  spectrum used for estimation of incoherent contamination
- First and second diffractive peaks clearly visible in the  $p_T$  spectrum
- The ratio of nonresonant pion production  $B/A$  found at midrapidity is  $0.57 \pm 0.01$  (stat.)  $\pm 0.2$  (syst.)  $(\text{GeV}/c^2)^{-1/2}$



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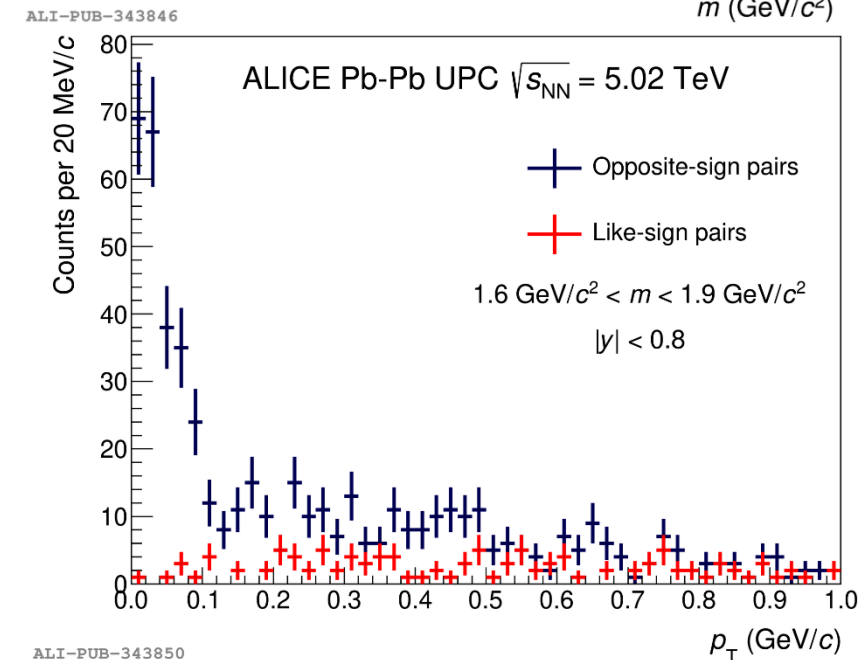
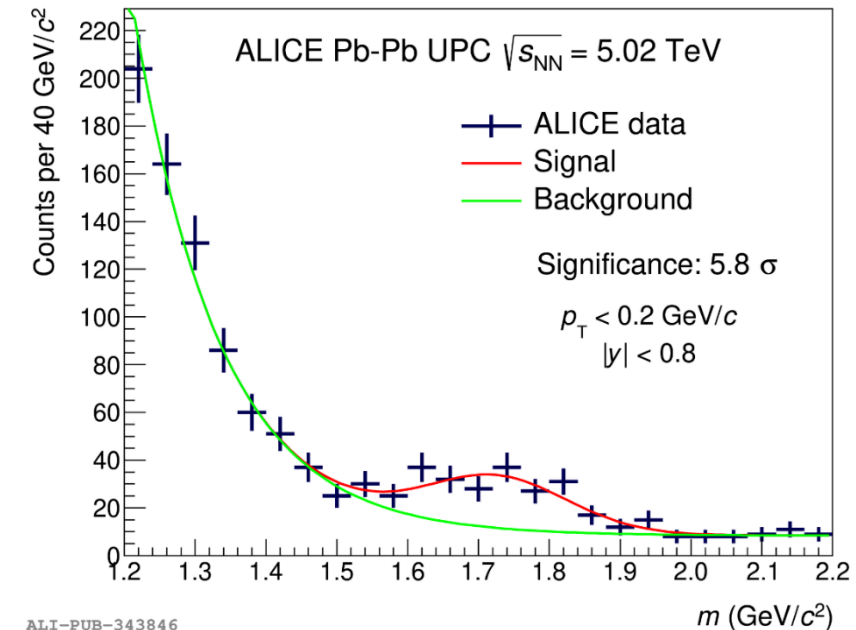




# Resonance-like structure

- Resonance-like structure in the region  $m > 1.2$   $\text{GeV}/c^2$ .
- The model yields a mass of  $(1725 \pm 17)$   $\text{MeV}/c^2$  and width  $(143 \pm 21)$   $\text{MeV}/c^2$
- Very low transverse momentum as a coherent-production
- Such an object is also seen by the STAR/ZEUS/H1 at a similar mass
- This resonance is also compatible with the  $\rho_3(1690)$  in the PDG, which has a total angular momentum  $J = 3$

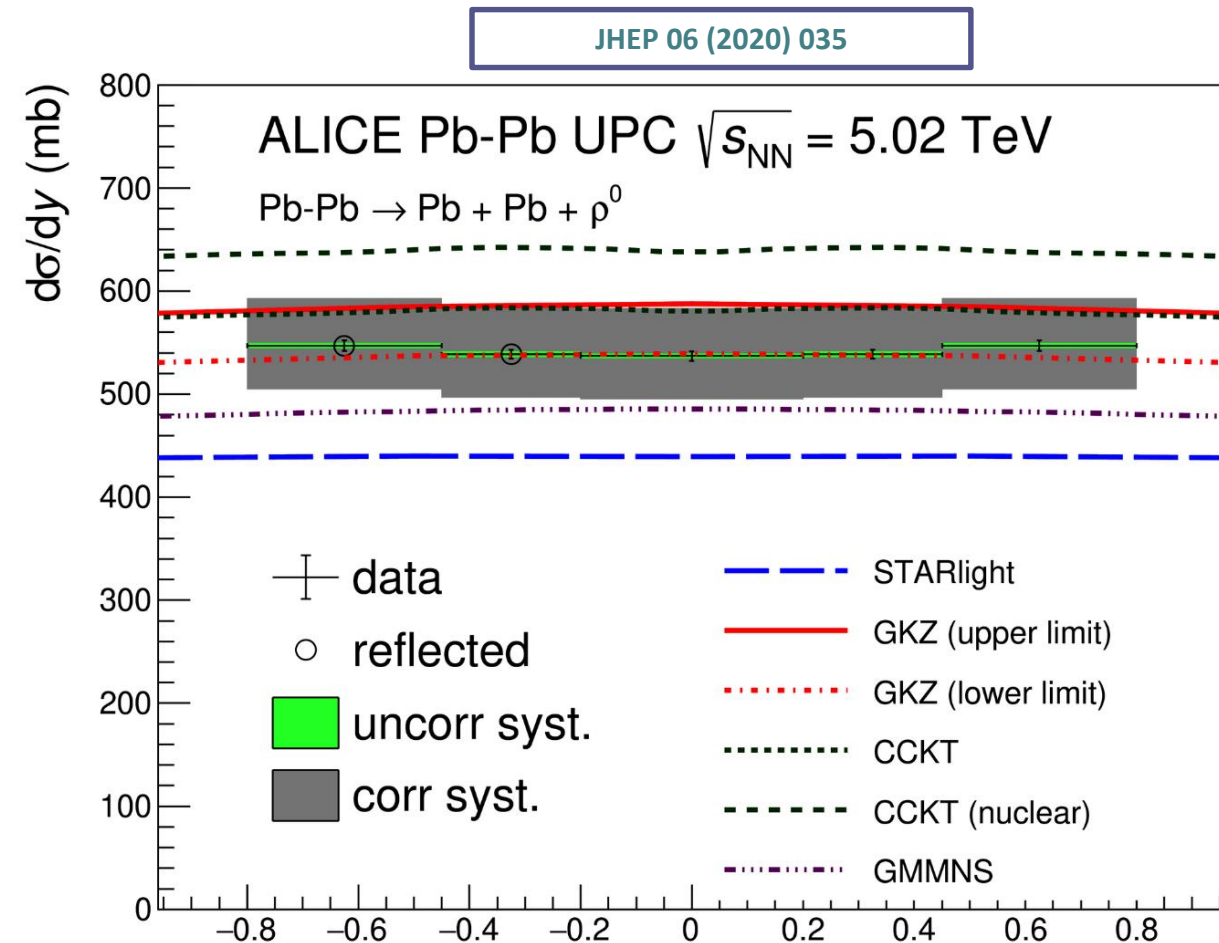
JHEP 06 (2020) 035





# Coherent $\rho^0$ in Pb-Pb at midrapidity

- **STARlight**: Vector Meson Dominance model and photoproduction off protons data combined with a Glauber-like approach.
- **GKZ**: modified Vector Meson Dominance model
- **CCKT**: Color-Dipole Model with the structure of nucleon described by hot spots
- **GMMNS**: the IIM implementation of gluon saturation within the Color-Dipole Model
- Good description of the data by **GKZ** model indicates importance of the Gribov-Glauber approach in describing the hadronic heavy ion collision over plain Glauber model (**STARlight**, CCKT nuclear)







# Ambiguity in photon source

- Vector meson cross section in Pb–Pb UPC = sum of two terms
  - Either of the ions can be photon source:

$$\sigma(y) = n(+y)\sigma_{\gamma\text{Pb}}(+y) + n(-y)\sigma_{\gamma\text{Pb}}(-y)$$

- Photoproduction cross sections  $\sigma_{\gamma\text{Pb}}(y)$   $\sigma_{\gamma\text{Pb}}(-y)$  are coupled
  - Cannot be extracted from the measured cross section

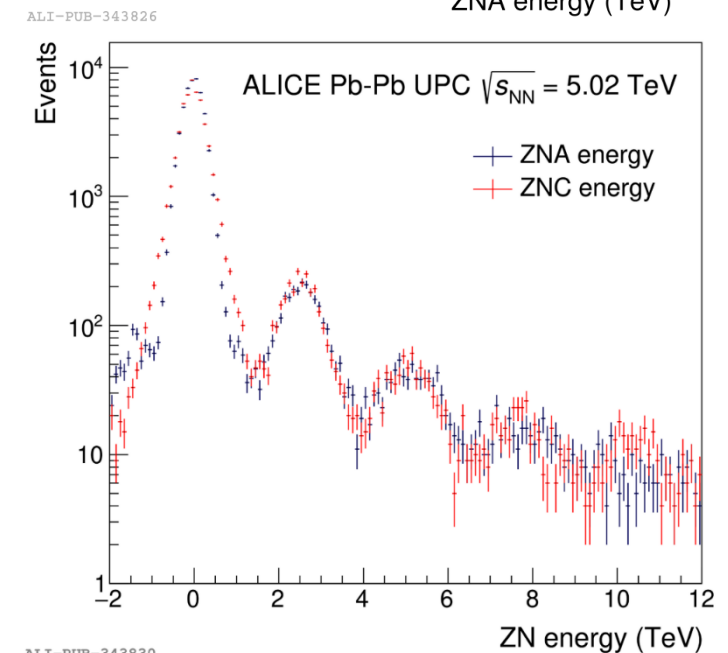
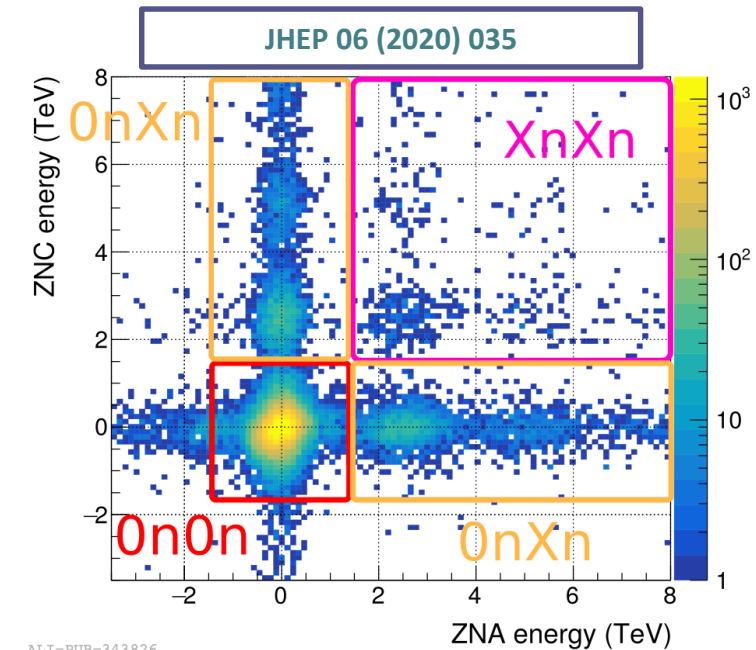
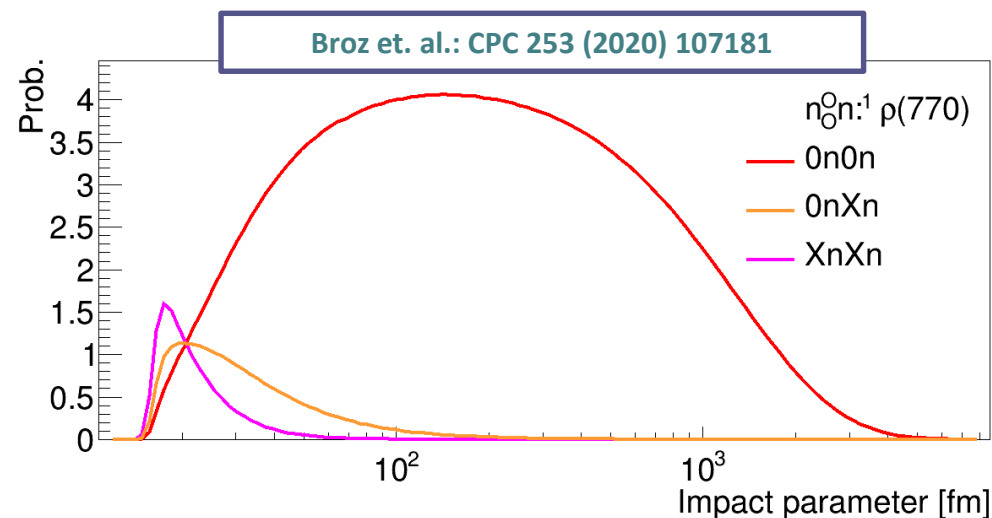
- Can be decoupled by measuring additional neutron activity

$$\begin{aligned}\sigma_{0\text{N}0\text{N}}(y) &= n_{0\text{N}0\text{N}}(+y)\sigma_{\gamma\text{Pb}}(+y) + n_{0\text{N}0\text{N}}(-y)\sigma_{\gamma\text{Pb}}(-y), \\ \sigma_{0\text{N}\text{X}\text{N}}(y) &= n_{0\text{N}\text{X}\text{N}}(+y)\sigma_{\gamma\text{Pb}}(+y) + n_{0\text{N}\text{X}\text{N}}(-y)\sigma_{\gamma\text{Pb}}(-y),\end{aligned}$$



# Coherent $\rho^0$ with neutron emission

- Difficulties to disentangle low and high energy  $\gamma$ -Pb at forward rapidity. Data at midrapidity can test factorisation methods.
- The different nuclear cases allow to access different impact parameters (b).
- XnXn = neutrons in both beam sides ('small' b)
- 0nXn = neutrons in one beam side ('medium' b)
- 0n0n = no neutrons are detected ('large' b)
- Experimental classification based on zero-degree neutral energy (ZNA and ZNC detectors)



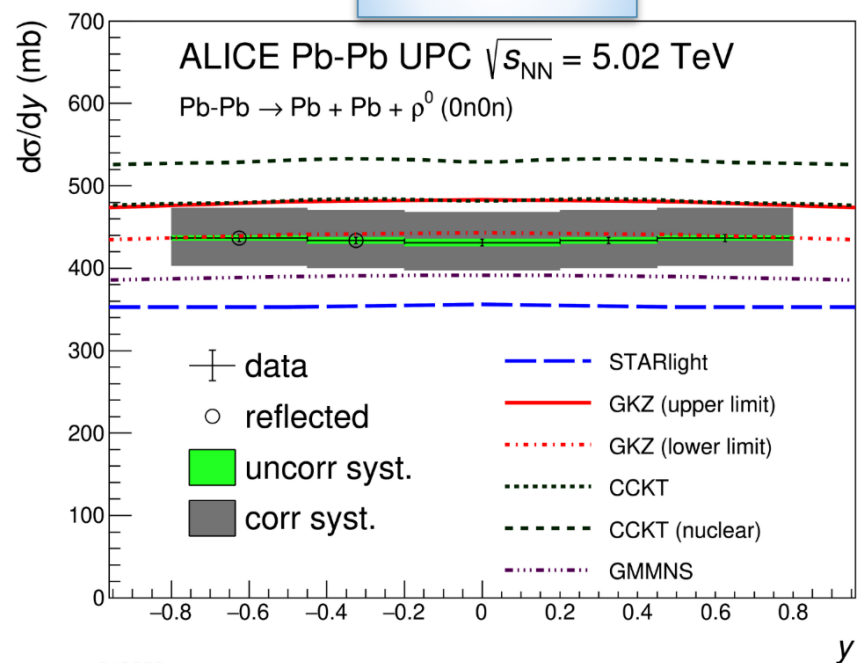


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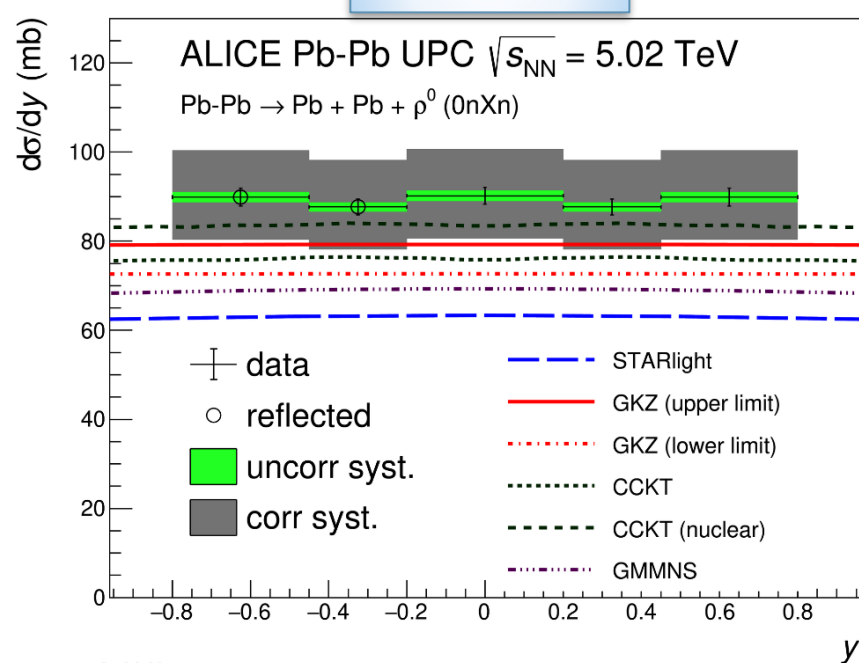
# Coherent $\rho^0$ with neutron emission

- Large difference in cross sections
- Factorisation of photon fluxes and  $\rho^0$  production works
- We can measure the process at the same rapidity and different impact-parameter ranges -> tool to disentangle low and high energy contributions
- The measured cross section is compatible with all models within around two standard deviations, except for the single neutron emission class (0nXn), where models underestimate data slightly

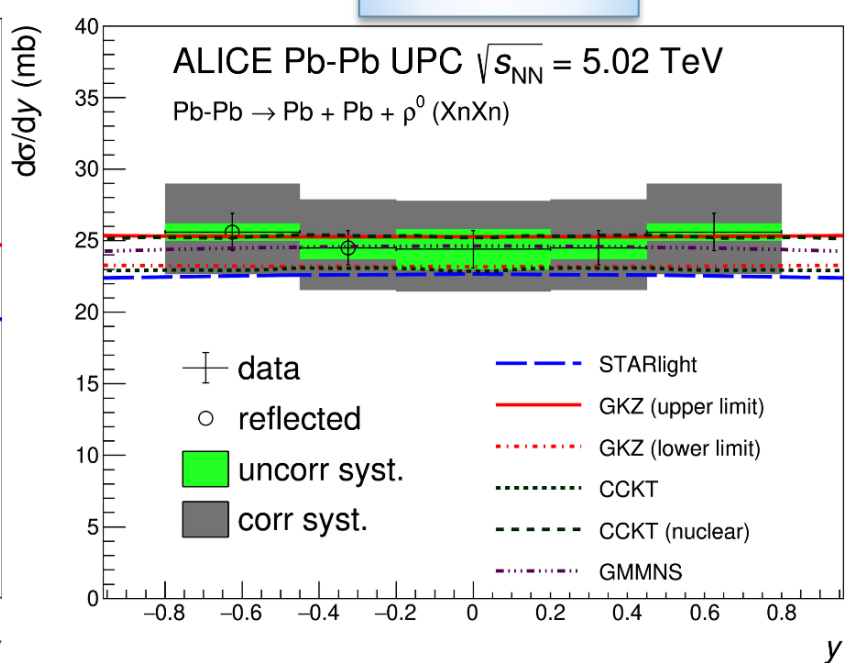
**0n0n**



**0nXn**



**XnXn**





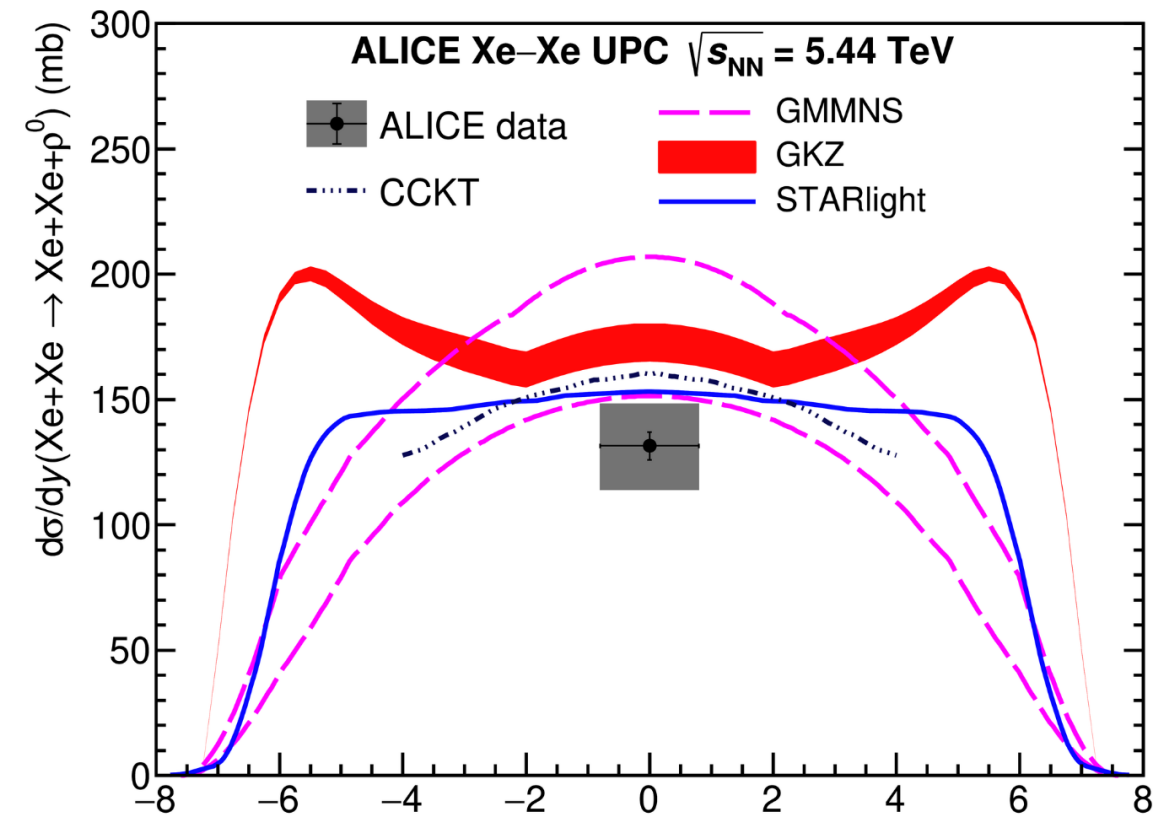
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# Coherent $\rho^0$ in Xe-Xe

- First measurement with Xe nucleus -> first study of A dependence
- The theoretical predictions slightly overestimate the measurement
- The fractions of  $0n0n$ ,  $0nXn$ ,  $XnXn$  also measured; compatible with the predictions from  $n_0^n$
- The fair agreement between data and predictions suggest that this process is well understood within the current experimental uncertainties

arXiv:2101.02581

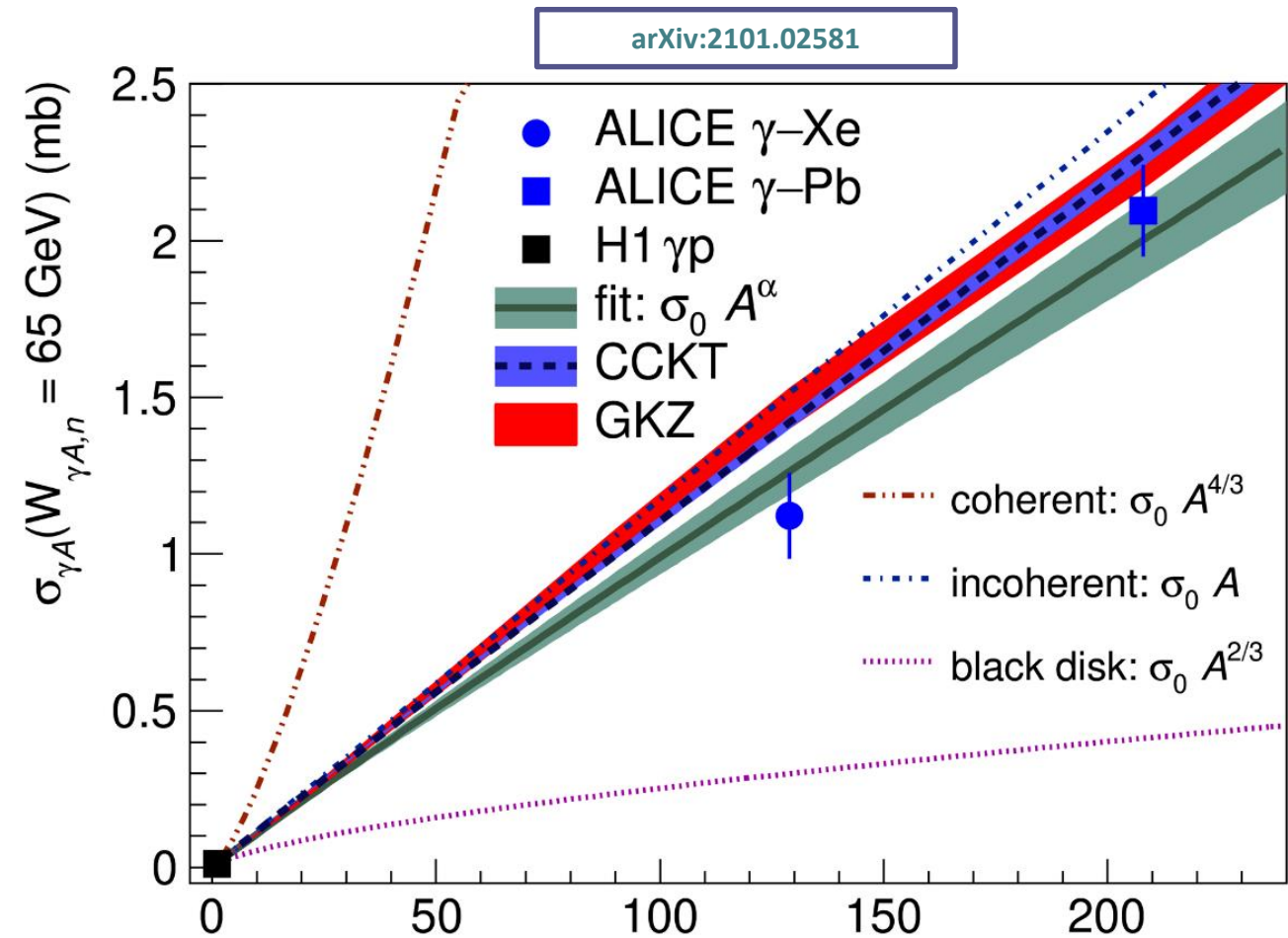
Class	Measured fraction	$n_0^n$ prediction
$0n0n$	$(90.46 \pm 0.70 \pm 0.17 \mp 0.68)\%$	92.4%
$0nXn+Xn0n$	$(8.48 \pm 0.66 \mp 0.13 \pm 0.64)\%$	6.9%
$XnXn$	$(1.07 \pm 0.25 \mp 0.04 \pm 0.07)\%$	0.7%





# The A dependence of coherent $\rho^0$

- The dependence of cross sections on A fitted by a power-law
  - With H1 data
  - Parameter =  $0.96 \pm 0.02$
- Significantly below  $4/3 \Rightarrow$  important shadowing effects
- Close to unity  $\Rightarrow$  not incoherent behavior, just large shadowing suppression
- Black disk limit (cross section proportional to nucleus transverse area) quite distant at  $W_{\gamma A} = 65$  GeV

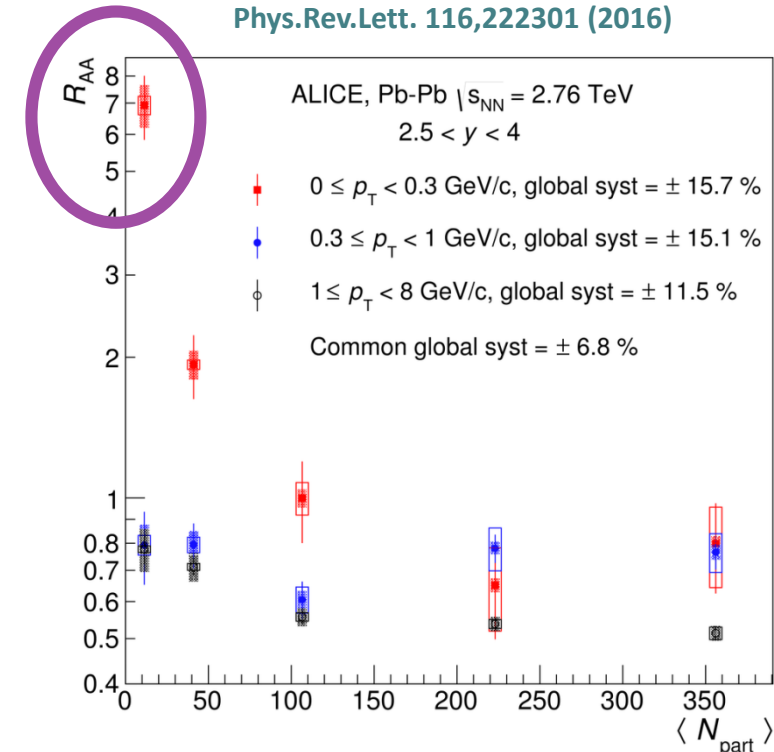
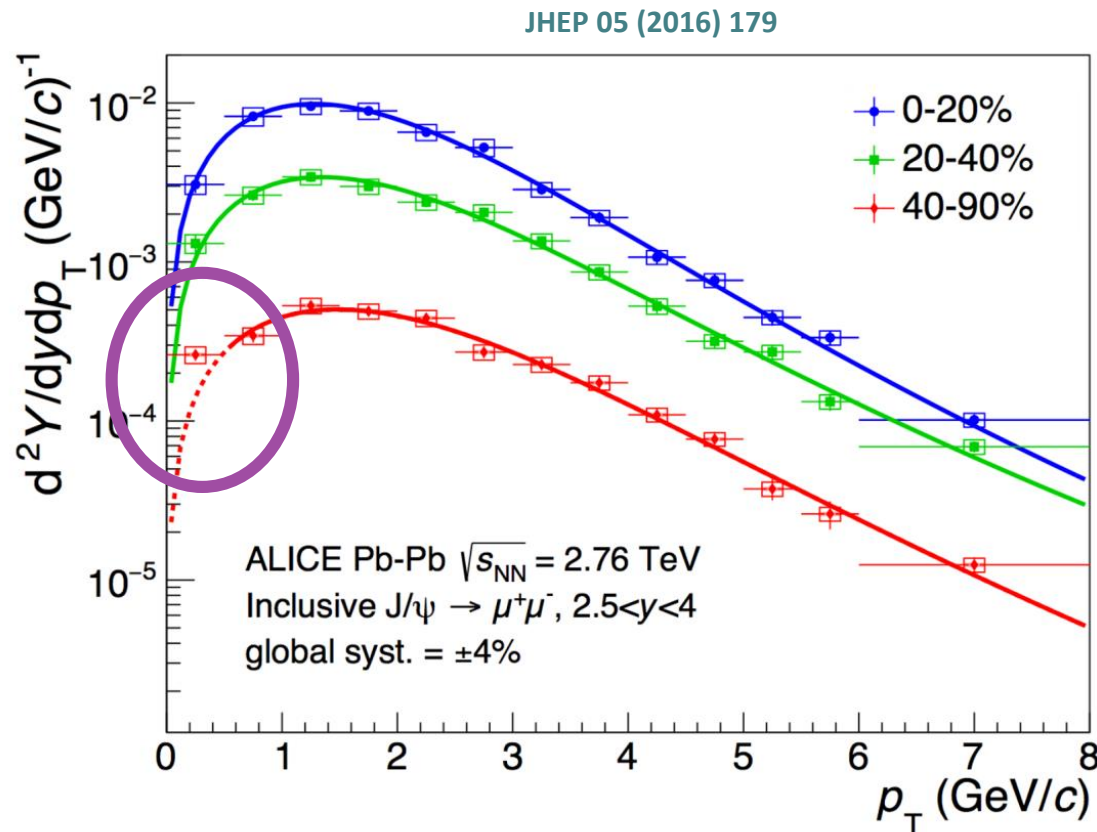




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# Coherent $J/\psi$ photonuclear production in peripheral Pb-Pb collisions

- Clear excess at very low  $p_T$  in peripheral Pb-Pb collisions with respect to expected hadronic production



$$R_{AA} = \frac{N_{AA}^{J/\psi}}{\langle N_{coll} \rangle N_{pp}^{J/\psi}}$$

$= 1 \rightarrow$  No medium effect

$< 1 \rightarrow$  Suppression

$> 1 \rightarrow$  Enhancement

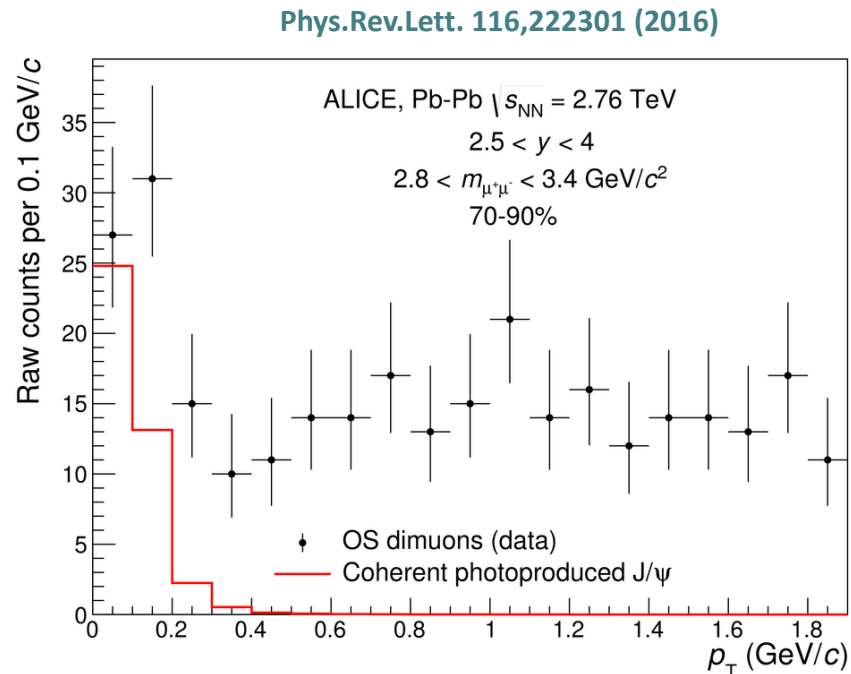




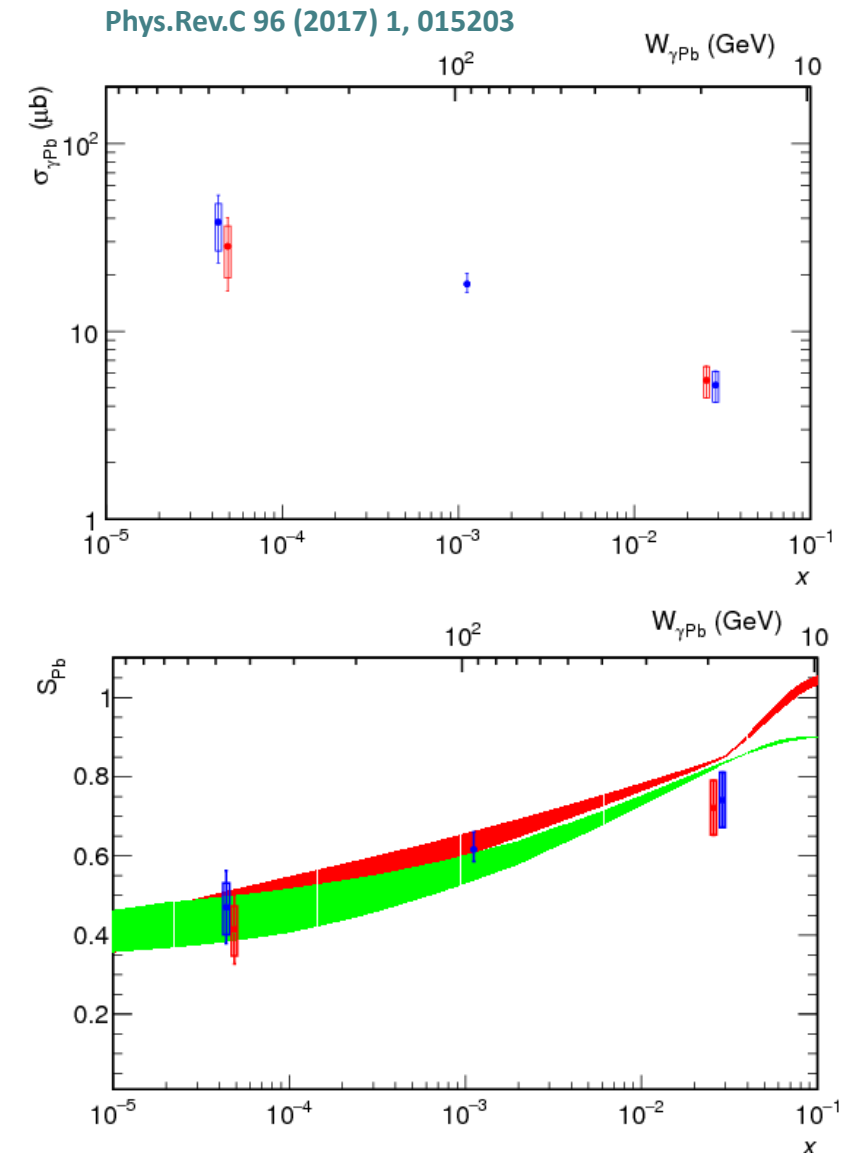
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# Coherent J/ψ photonuclear production in peripheral Pb-Pb collisions

- Clear excess at very low  $p_T$  in peripheral Pb-Pb collisions with respect to expected hadronic production
- Remarkably similar to J/ψ photoproduction in ultra-peripheral collisions
- Combining with coherent UPC data photonuclear cross sections and shadowing factor is accesible



+ Phys. Lett. B718, 1273 (2013)





# Prospect for Run 3 and Run 4

[arXiv:1812.06772](https://arxiv.org/abs/1812.06772)





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# ALICE UPC in Run 3 and Run 4

- The higher LHC luminosity and experimental upgrades => improved samples of UPC events
- ALICE continuous readout
  - No trigger-based constraints
  - High-efficiency collection of large samples
- The increases in sample sizes larger than by scaling the luminosity
  - Pb-Pb integrated luminosity goal Run 3+4:  $13 \text{ nb}^{-1}$

Meson	$\sigma$	PbPb		
		All Total	Central 1 Total	Forward 1 Total
$\rho \rightarrow \pi^+ \pi^-$	5.2b	68 B	5.5 B	4.9 B
$\rho' \rightarrow \pi^+ \pi^- \pi^+ \pi^-$	730 mb	9.5 B	210 M	190 M
$\phi \rightarrow K^+ K^-$	0.22b	2.9 B	82 M	15 M
$J/\psi \rightarrow \mu^+ \mu^-$	1.0 mb	14 M	1.1 M	600 K
$\psi(2S) \rightarrow \mu^+ \mu^-$	$30 \mu\text{b}$	400 K	35 K	19 K
$Y(1S) \rightarrow \mu^+ \mu^-$	$2.0 \mu\text{b}$	26 K	2.8 K	880



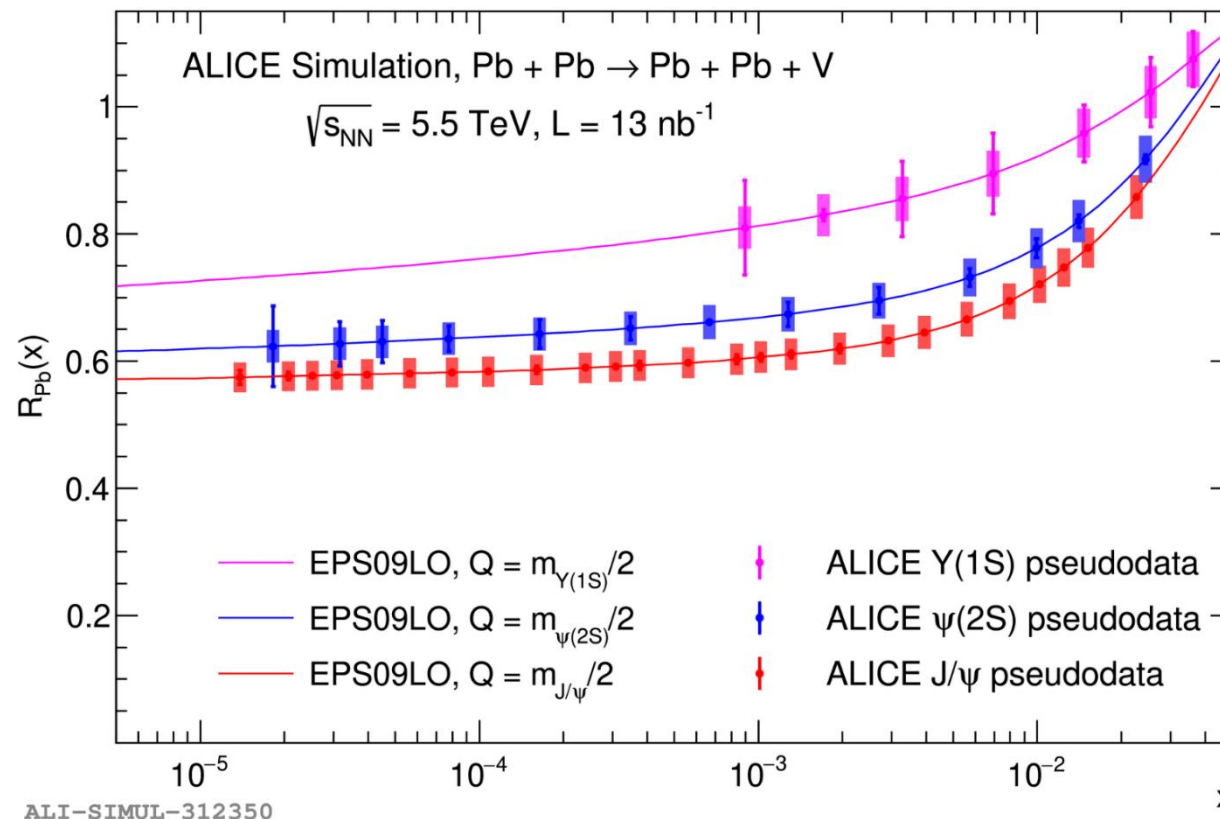
# ALICE UPC in Run 3 and Run 4

- Coherent production of two pions with masses above  $1 \text{ GeV}/c^2$ 
  - Interplay of soft and hard dynamics as a function of mass and  $p_T$
- Heavier  $2\pi$ ,  $4\pi$  and other resonances on ion targets
  - Search for the photoproduction of exotic mesons
- Double vector mesons photoproduction available
  - By a single ion-ion pair by exchange of two independent photons
- Beyond precise cross section measurements for  $J/\psi$ ,  $\psi'$  and  $Y(1S)$ 
  - Allow tomographic measurements
  - Can be used to infer information on the nuclear wave function



# ALICE UPC in Run 3 and Run 4

- ALICE**
- Extend substantially the  $x$  range for coherent  $J/\psi$  photoproduction on nuclei
    - Using impact parameter distribution in peripheral and ultra-peripheral collisions
    - Via forward neutron production
  - High statistics coherent  $Y(1S)$  production in  $\gamma p$  and  $\gamma A$ 
    - Probe gluon shadowing at a factor of 10 higher  $Q^2$  than in  $J/\psi$  production





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## Summary and outlook

- Difference between the  $J/\psi$  and  $\psi'$  cross section data and impulse approximation is a direct measurement of moderate nuclear shadowing  $R_g^{\text{Pb}} \sim 0.65$  at  $x \sim 10^{-3}$ 
  - Looking forward to the inclusion of the  $J/\psi$  UPC results in nPDF fits
- No indication of saturation of the gluon PDF in the proton between HERA and LHC
- Ongoing with Run 2 data:  $\gamma$ -p with p-Pb 8.16 TeV,  $J/\psi$  with forward neutrons, coherent production in peripheral collisions
- Bright prospects for Run 3 and Run 4 – **Stay tuned!**



Thank you

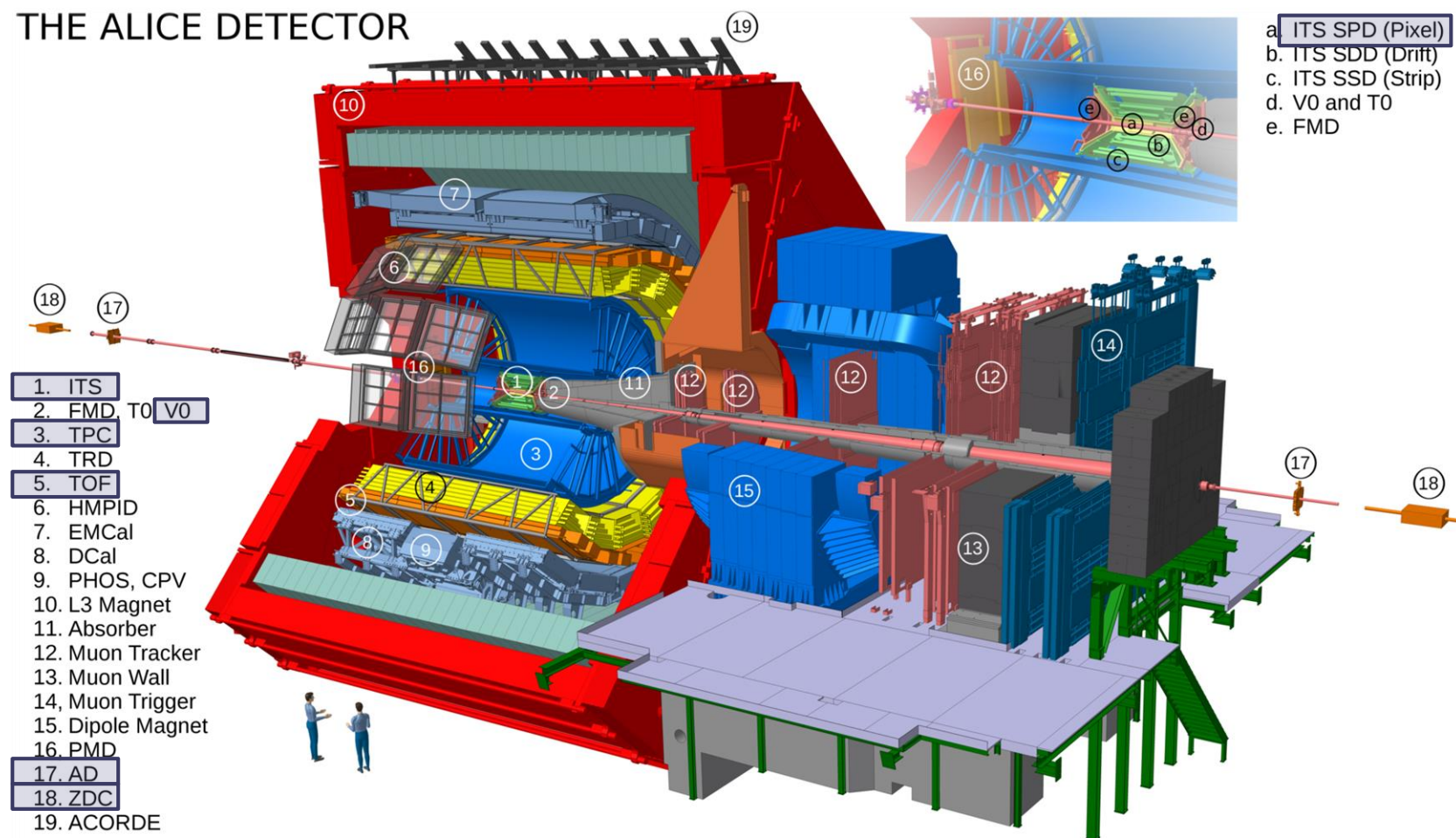


# Backup



- Central barrel trigger on UPC
  - 2010: veto on V0, hits in SPD  $\geq 2$  , TOF hits  $\geq 2$
  - 2011: veto on V0, hits in SPD  $\geq 2$  ,  $2 \leq$  TOF hits  $\leq 6$  with back-to-back topology
  - 2013/2015/2018: veto on V0, (veto on AD – Run 2), hits in SPD  $\geq 4$  with back-to-back topology /  $2 \leq$  TOF hits  $\leq 6$  with back-to-back topology

## THE ALICE DETECTOR

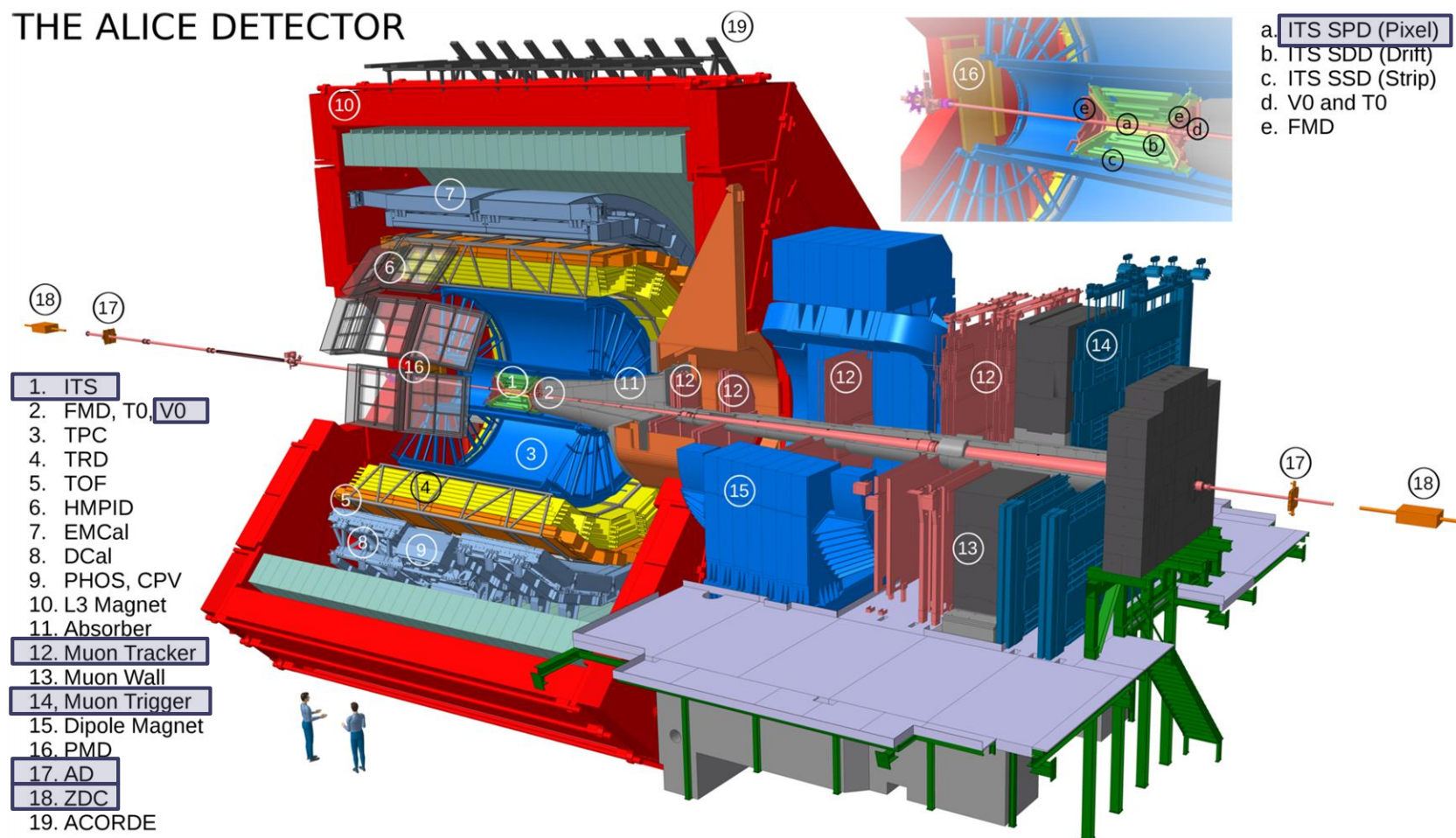






- Forward rapidity trigger on UPC
  - 2011/2016: veto on V0A , hits in V0C , single muon with  $p_T > 1 \text{ GeV}/c$
  - 2013/2016: veto on V0A , hits in V0C , di-muon, each with  $p_T > 0.5 \text{ GeV}/c$
  - 2015/2018: veto on V0A, veto on AD, di-muon, each with  $p_T > 1 \text{ GeV}/c$
- Semi-forward rapidity trigger on UPC
  - 2013/2016: veto on V0A , hits in V0C , hits in SPD  $\geq 2$ , single muon with  $p_T > 0.5 \text{ GeV}/c$

## THE ALICE DETECTOR

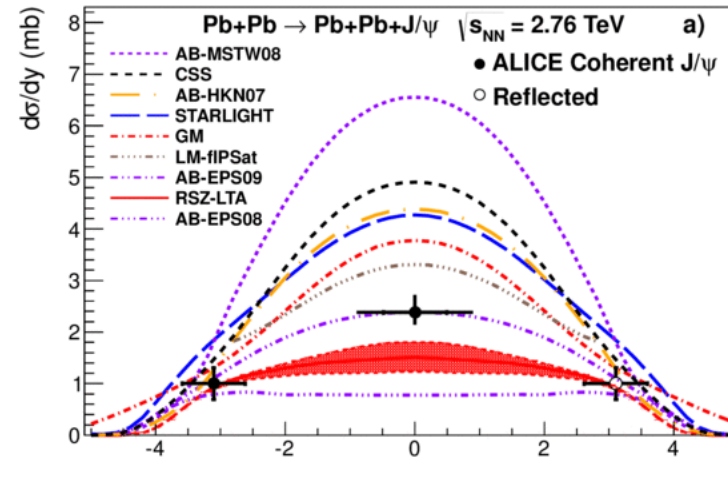




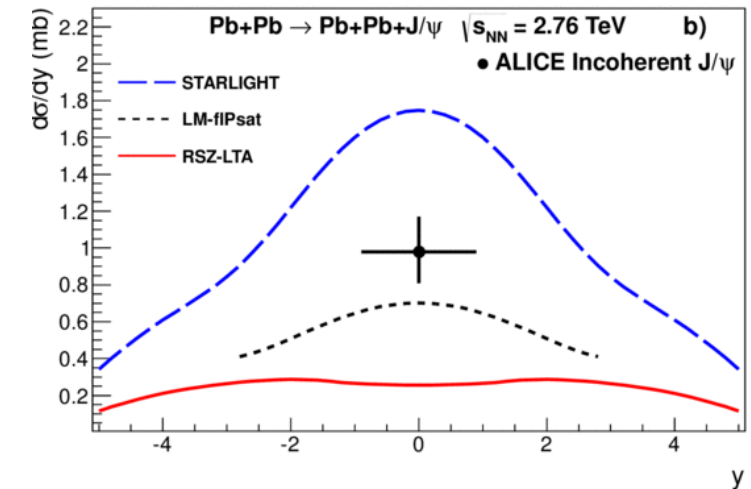
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# UPC results from Run 1

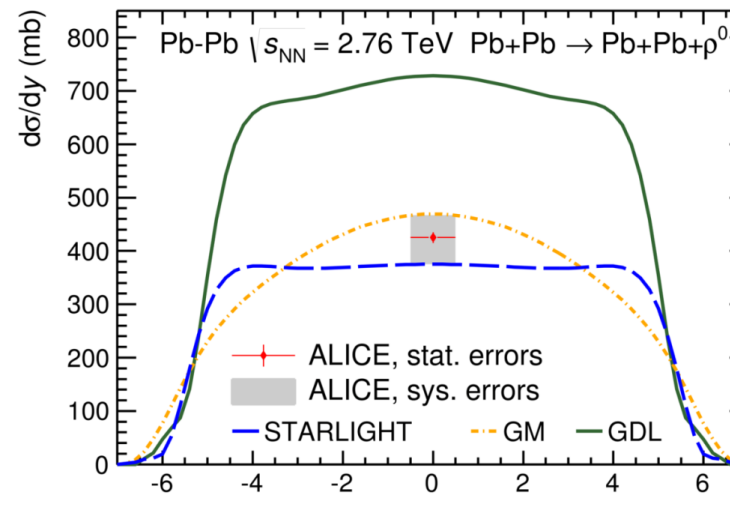
- Coherent and incoherent  $J/\psi$  cross sections were measured in the forward and the central rapidity region.
- Coherent  $\psi'$  cross section was measured in the central rapidity region.
- Large spread of predictions before the measurement.
- Data favour moderate nuclear shadowing models at central rapidity.



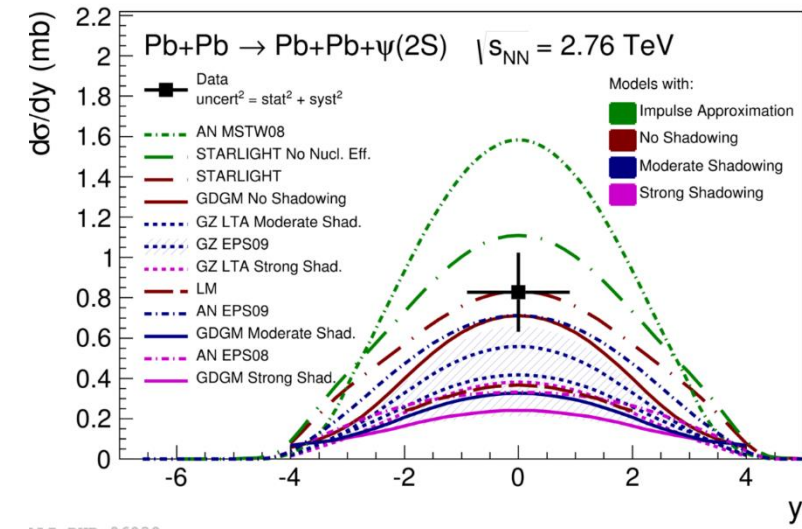
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ALI-PUB-66213



ALI-PUB-92327



ALI-PUB-96039