

# CORNERING THE TOP SQUARK WITH THE CMS EXPERIMENT

LHC Seminar, April 27th 2021

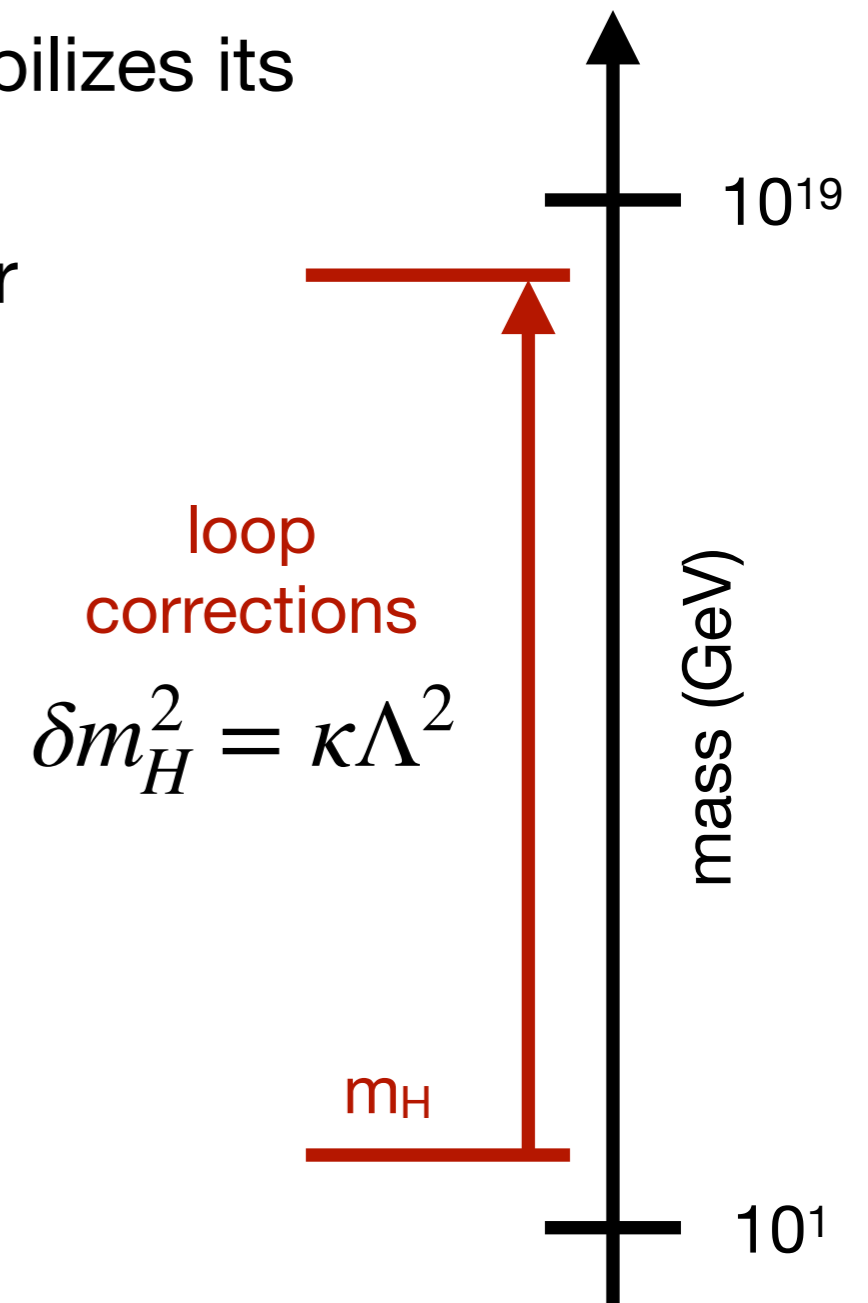
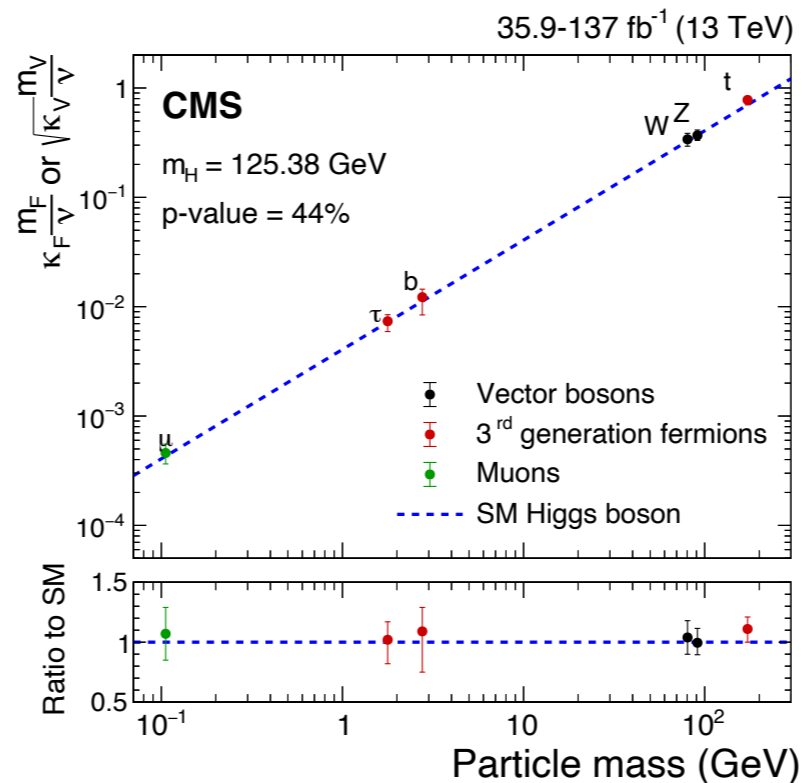
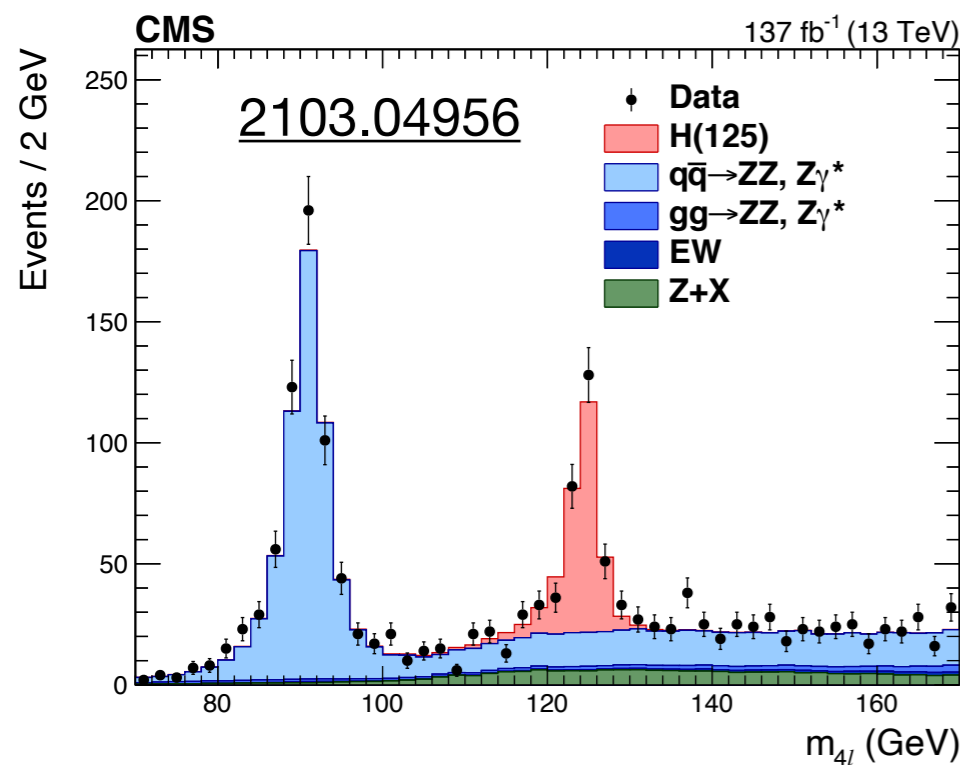
Daniel Spitzbart, Boston University  
on behalf of the CMS Collaboration

# OUTLINE

- Quick reminder of why we are interested in top squarks
- What were the constraints from Run 1?
- Novel tools that could help us find top squarks
- Results from the CMS top squark searches of LHC Run 2
- Closing some holes where the stop could be hiding

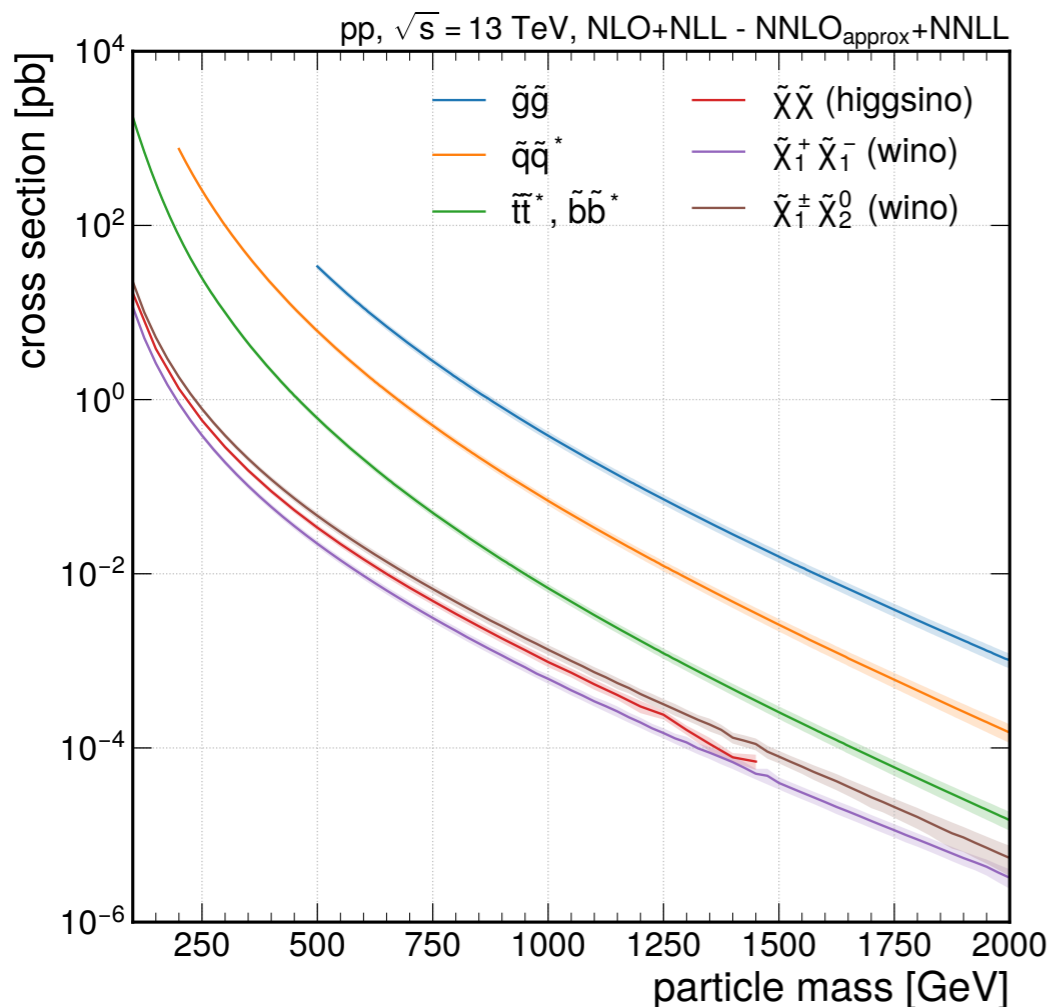
# THE STATE OF THE SM

- After Higgs discovery and Run 1: We know that the SM is incomplete, but haven't found direct evidence for new physics
- Higgs boson behaves as expected - but what stabilizes its mass?
- Supersymmetry (SUSY) could provide an answer

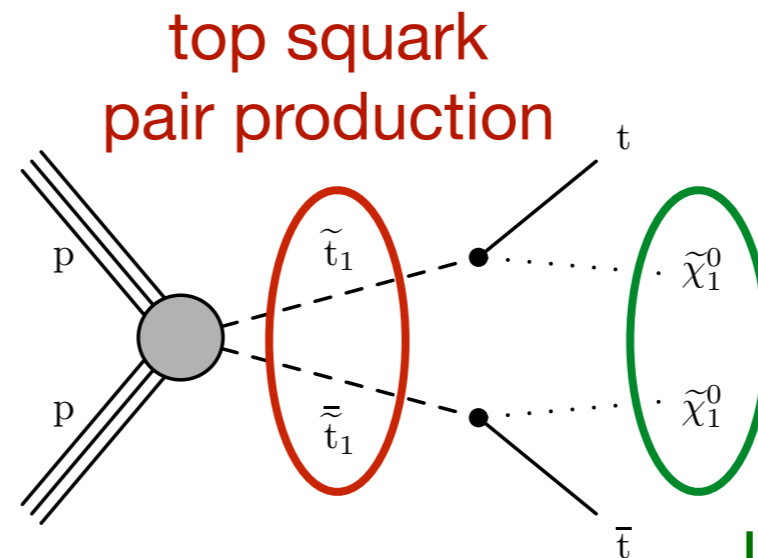


# WHY TOP SQUARKS?

- Light top squark (stop) with mass around the TeV scale well motivated
  - Contributions of top quark to loop corrections of Higgs mass cancelled by top squark
- Top squark carries color charge → sizable x-sec at LHC
- If R-parity  $R = (-1)^{3B+L+2s}$  is conserved → lightest SUSY particle (LSP) stable

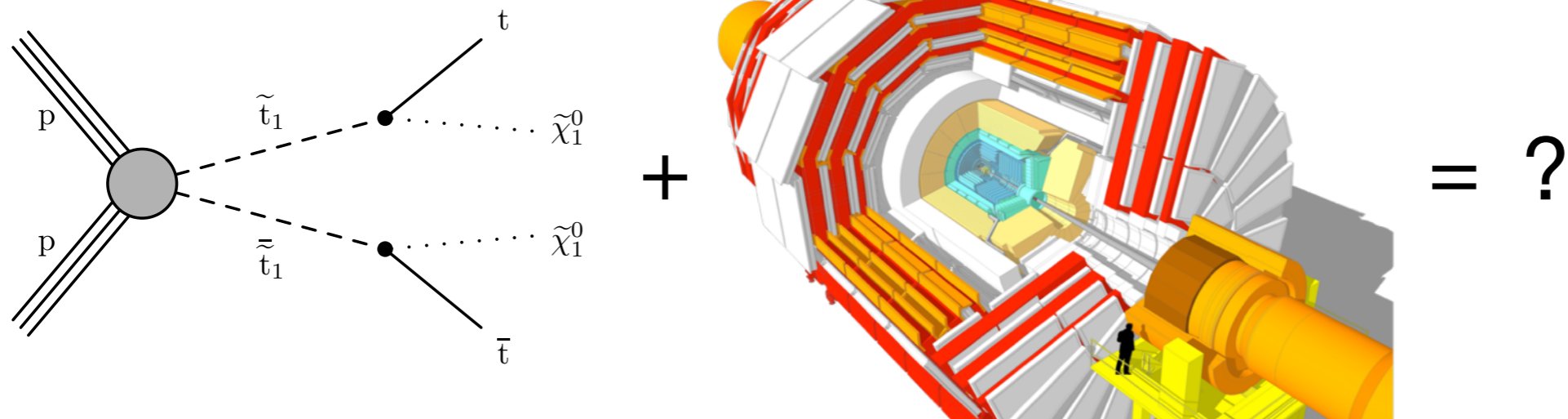


## Simplified model of top squark production

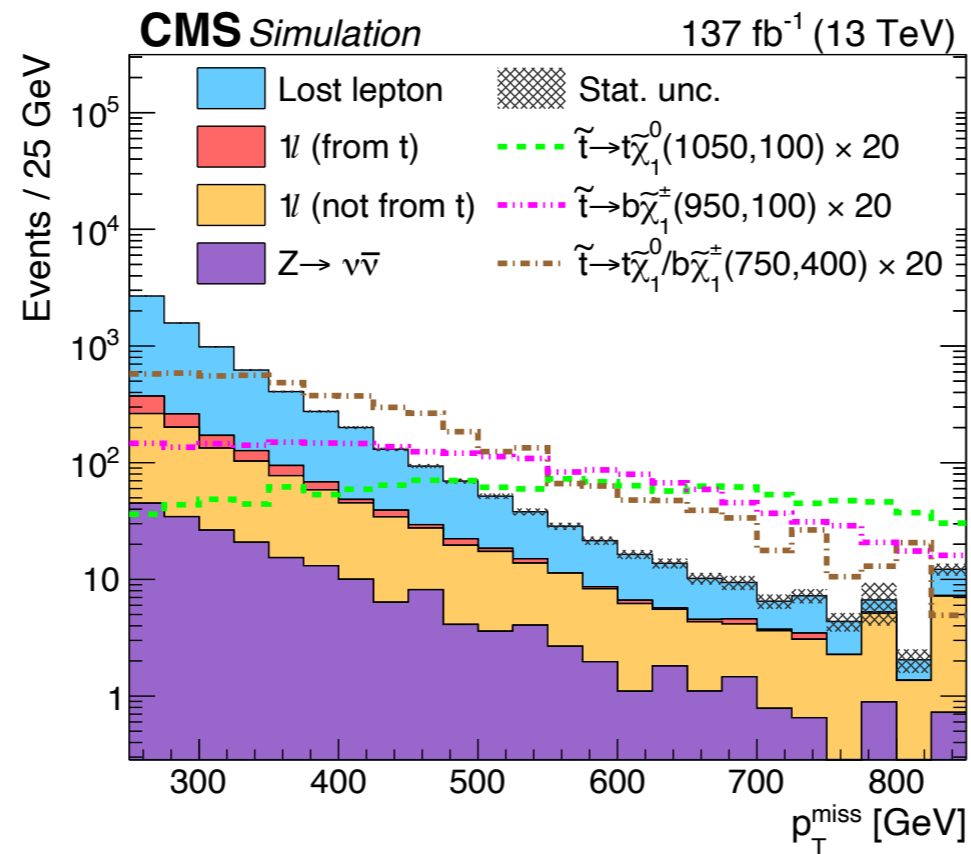
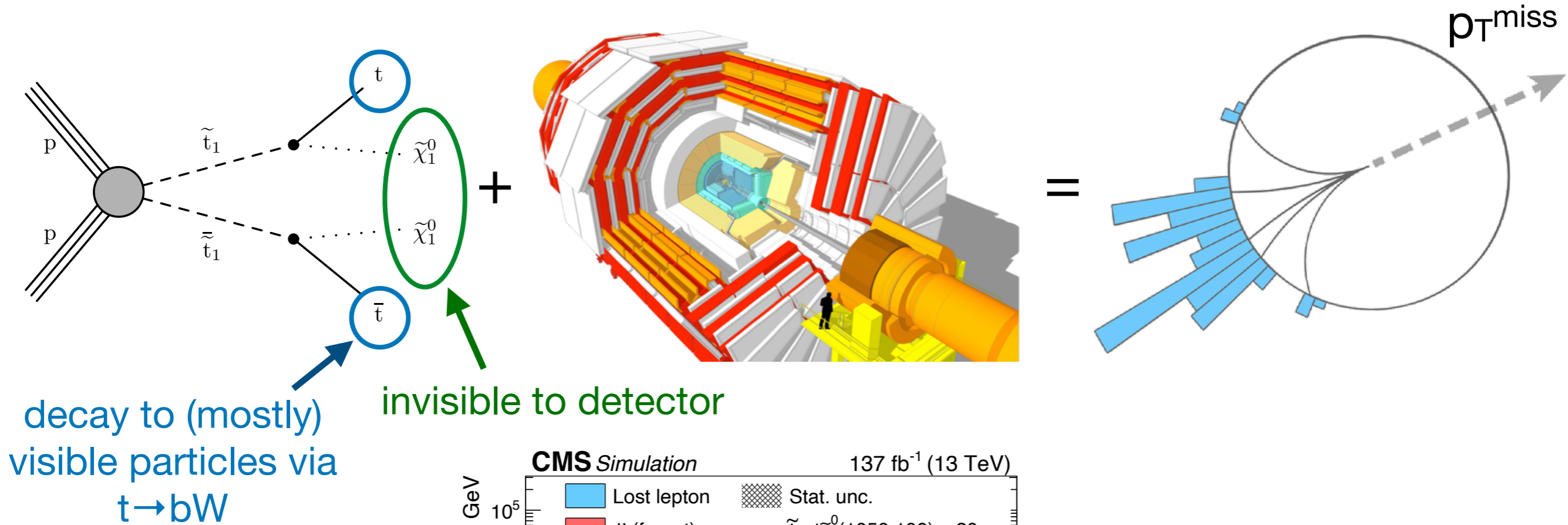


Lightest neutralino:  
Stable, weakly interacting &  
massive → DM candidate

# FINDING TOP SQUARKS

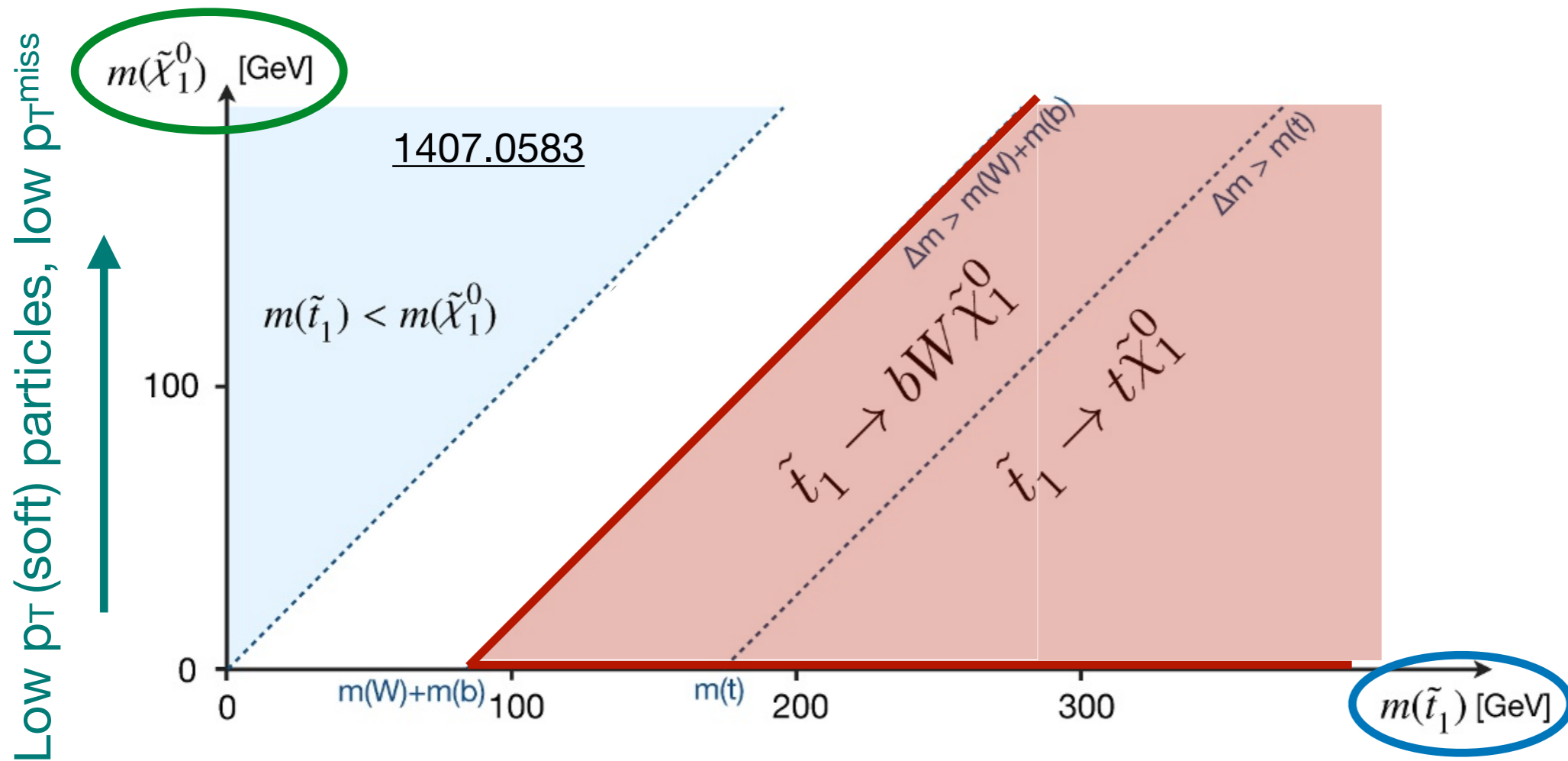
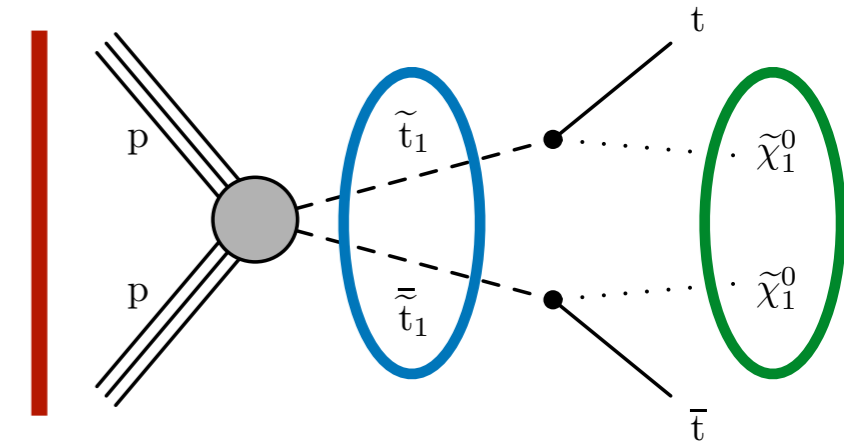


# FINDING TOP SQUARKS



# MANY CHALLENGES

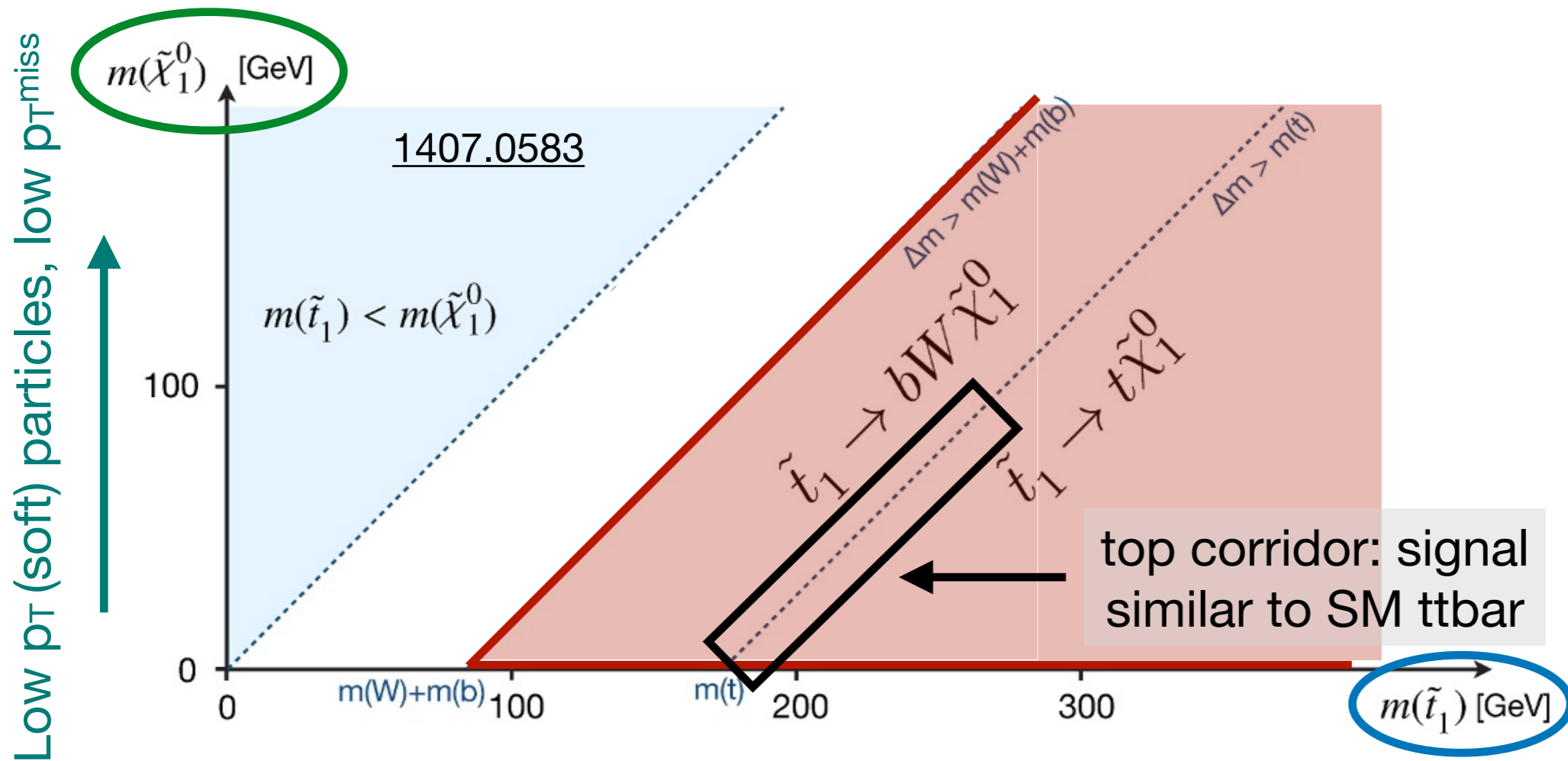
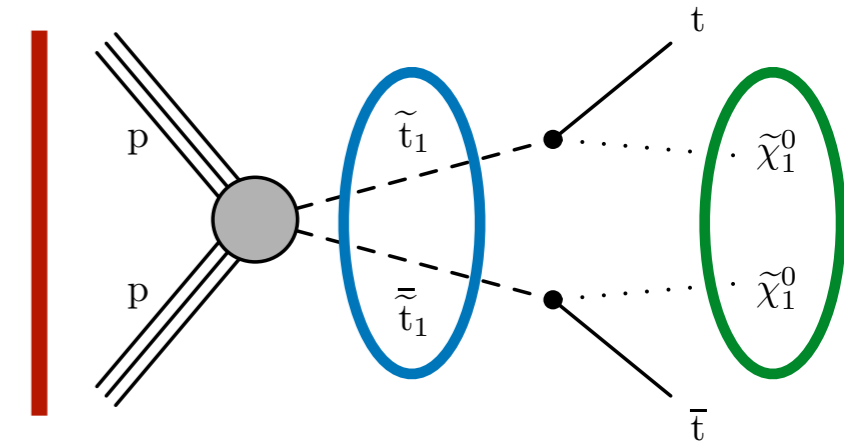
- Signal kinematics highly dependent on mass splitting of top squark and LSP,  $\Delta m = m(\tilde{t}_1) - m(\tilde{\chi}_1^0)$
- Larger  $\Delta m \rightarrow$  larger  $p_T^{\text{miss}}$



High  $p_T^{\text{miss}}$ , boosted particles, dropping x-sec

# MANY CHALLENGES

- Signal kinematics highly dependent on mass splitting of top squark and LSP,  $\Delta m = m(\tilde{t}_1) - m(\tilde{\chi}_1^0)$
- Larger  $\Delta m \rightarrow$  larger  $p_T^{\text{miss}}$

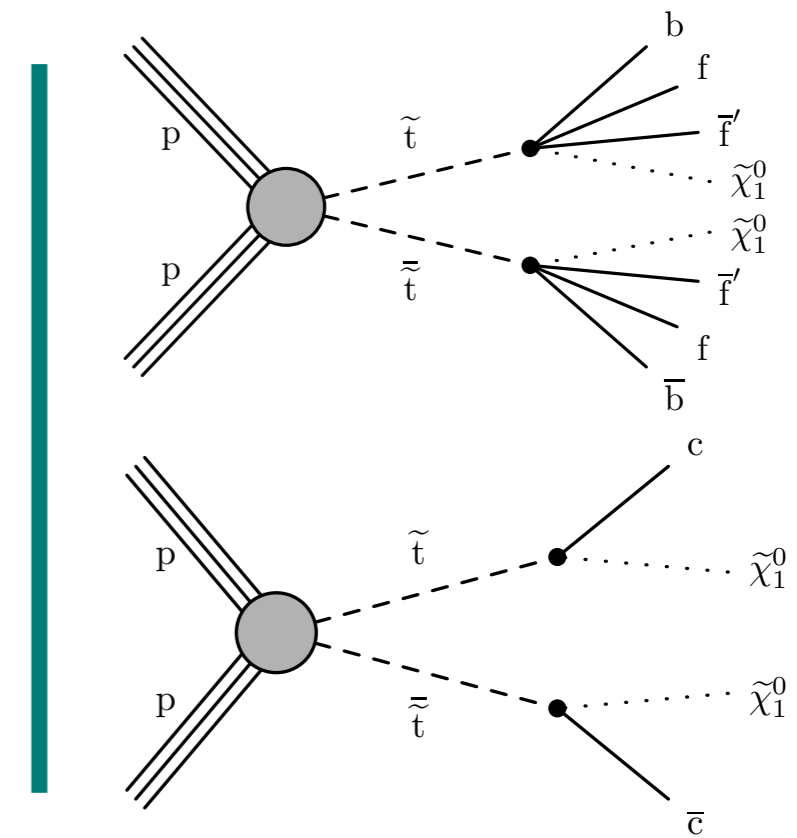
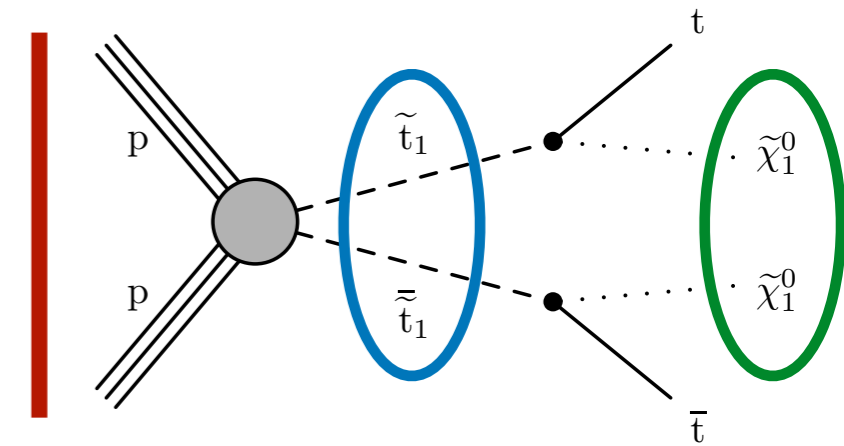
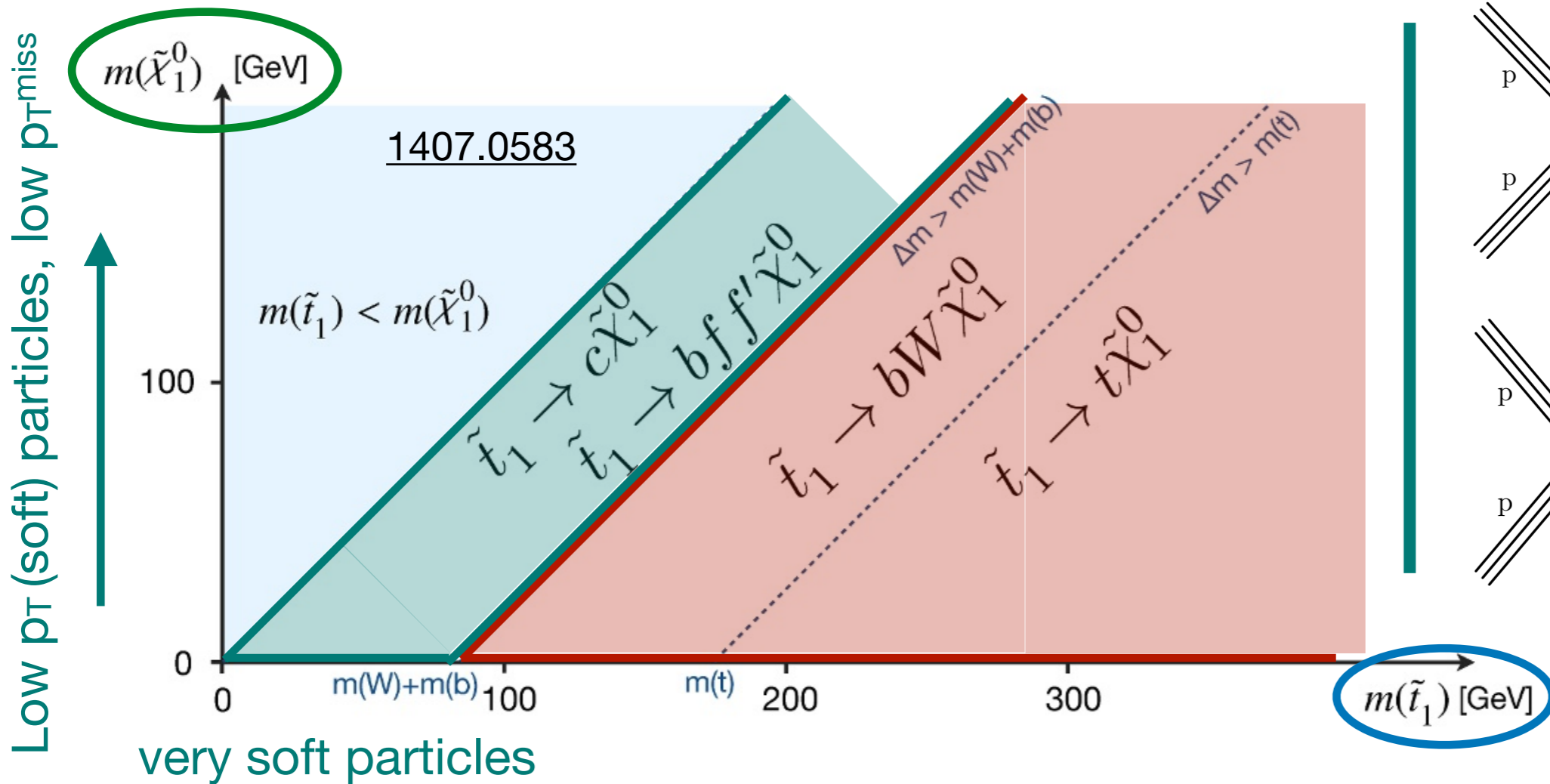


High  $p_T^{\text{miss}}$ , boosted particles, dropping x-sec



# MANY CHALLENGES

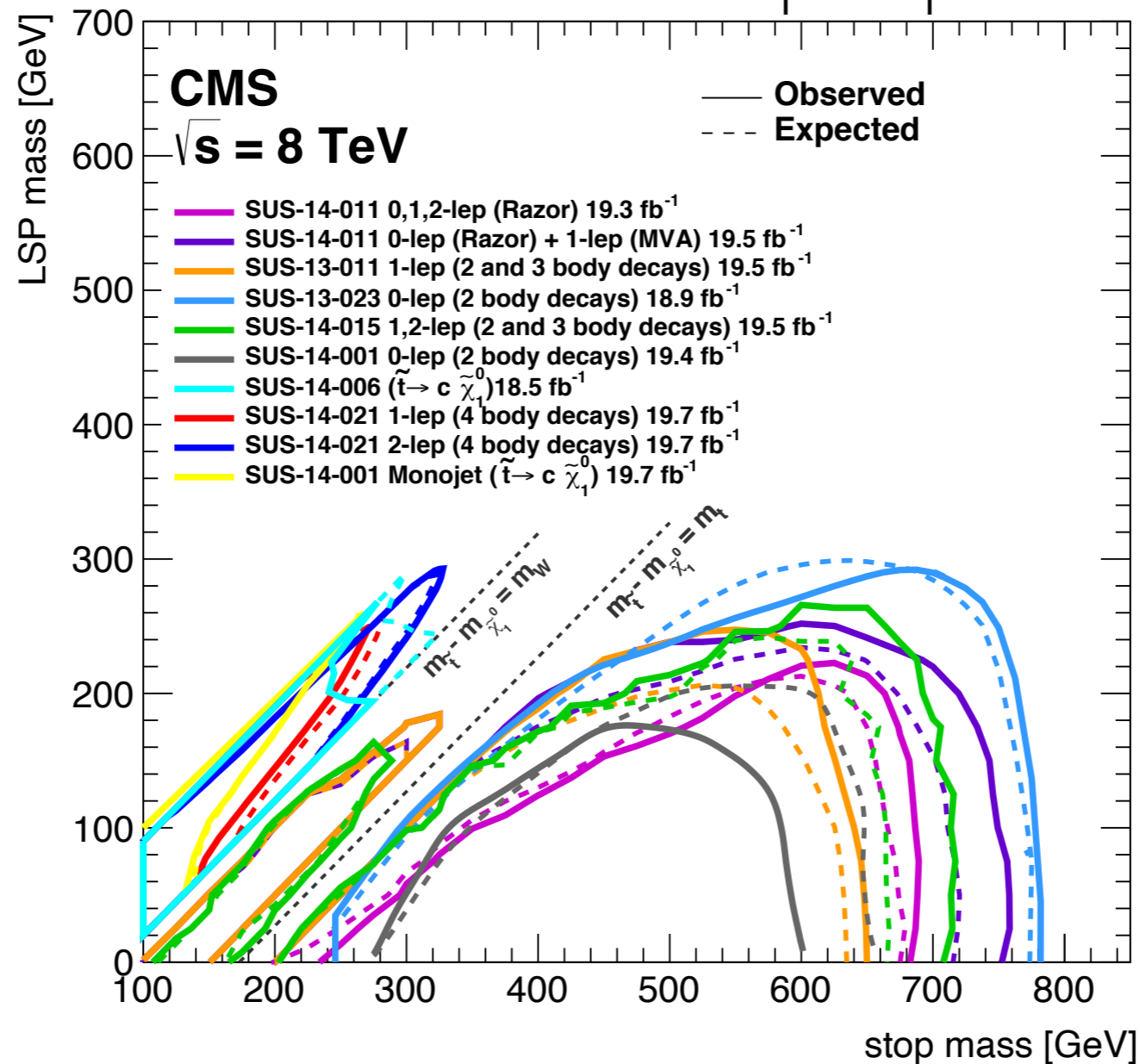
- Signal kinematics highly dependent on mass splitting of top squark and LSP,  $\Delta m = m(\tilde{t}_1) - m(\tilde{\chi}_1^0)$
- Larger  $\Delta m \rightarrow$  larger  $p_T^{\text{miss}}$



High  $p_T^{\text{miss}}$ , boosted particles, dropping x-sec

# STOPS AFTER RUN 1

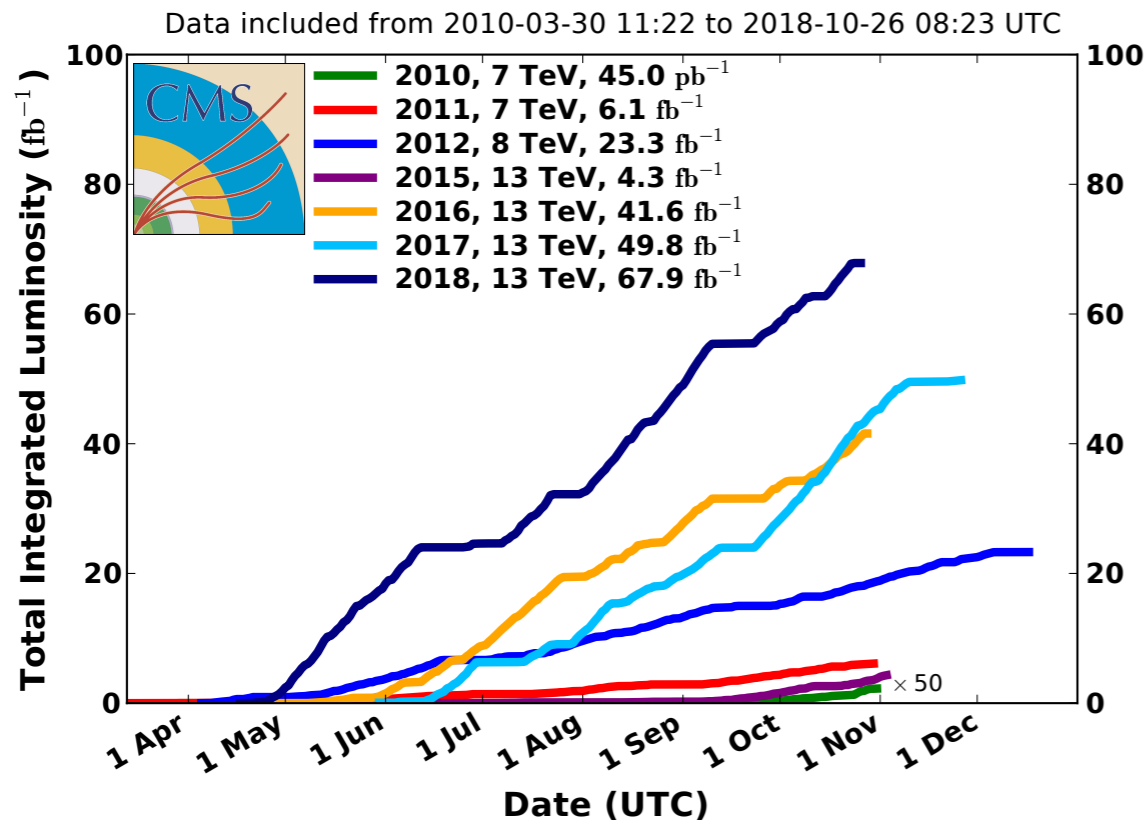
$\tilde{t}\text{-}\tilde{t}$  production,  $\tilde{t} \rightarrow t \tilde{\chi}_1^0 / c \tilde{\chi}_1^0$



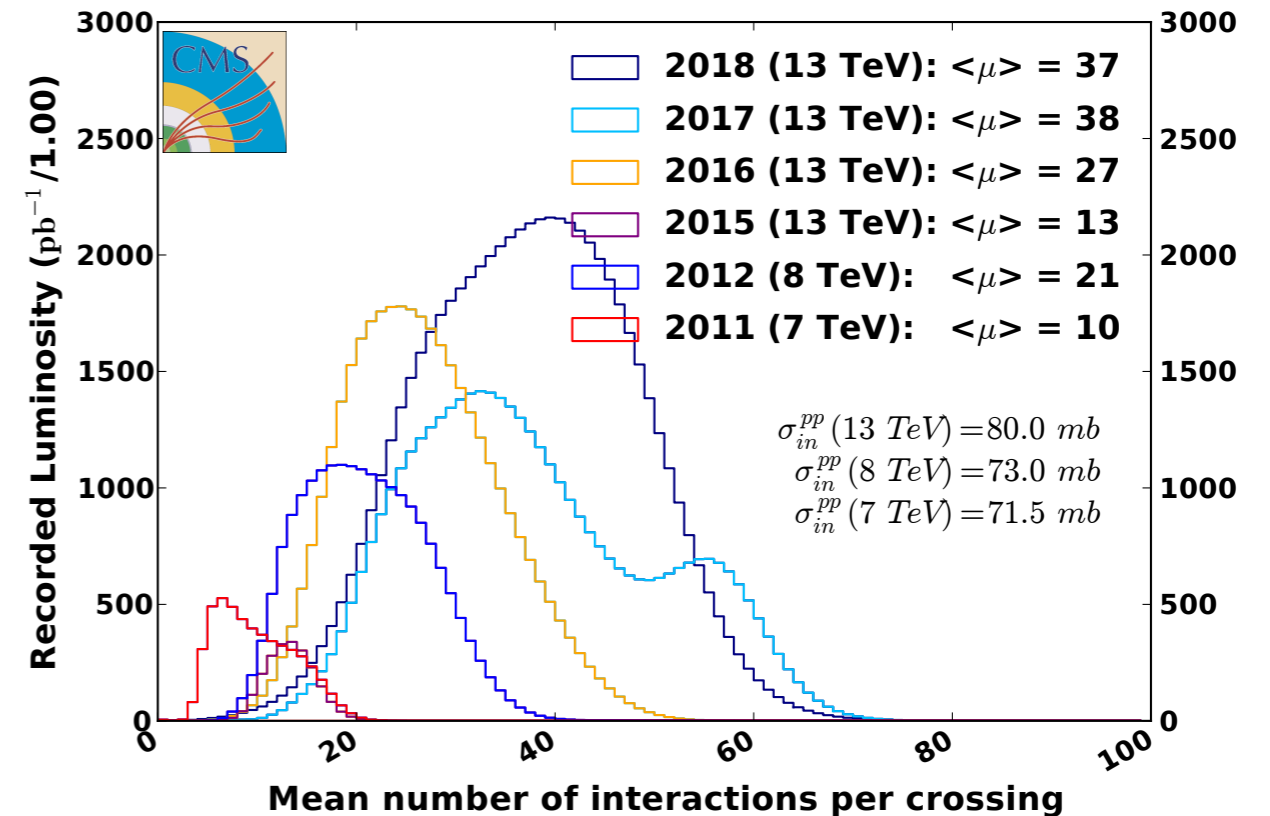
- Run 1 legacy from ~2015, sensitivity to top squark up to ~800 GeV
- So just collect more data at higher energy?

# WHAT HAPPENED?

CMS Integrated Luminosity Delivered, pp



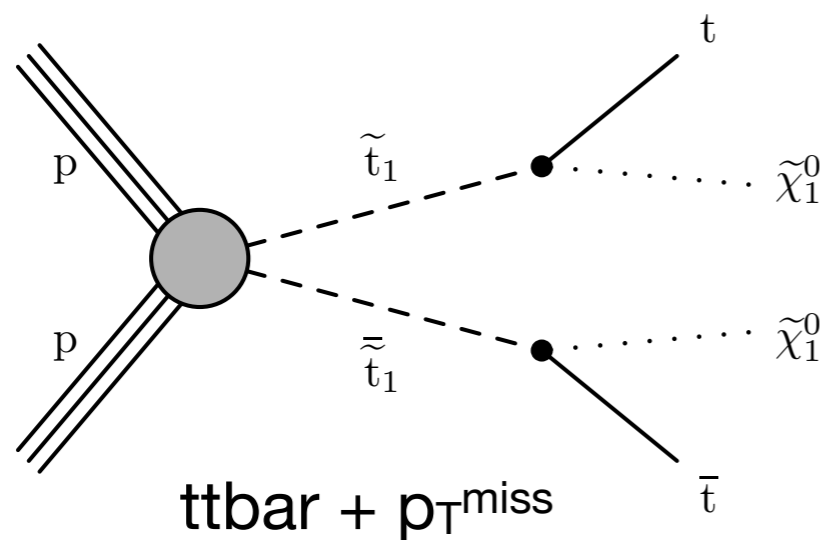
CMS Average Pileup



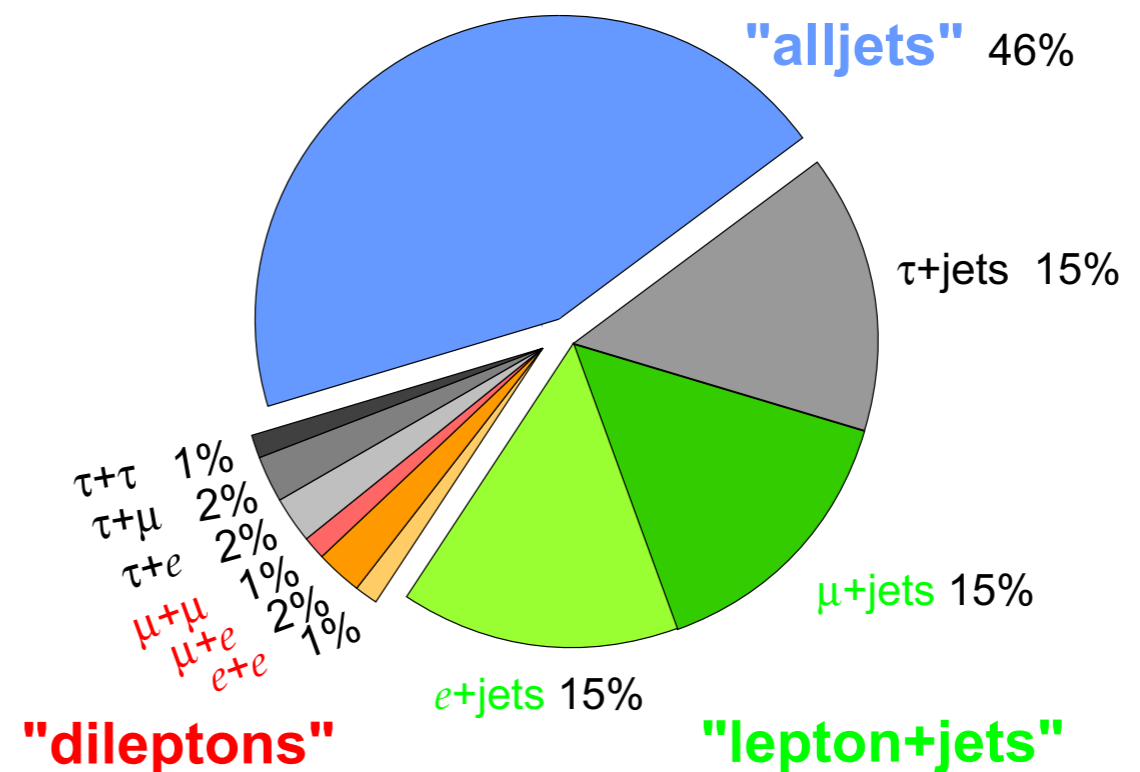
- Excellent performance of LHC and CMS during Run 2
- Collected 140/fb of proton-proton collision data that's good for physics analysis
- Challenging pileup scenario:  $\langle \mu \rangle = 13$  (2015)  $\rightarrow$  27 (2016)  $\rightarrow$  38 (2017/18)

# TOP SQUARK SEARCHES IN CMS

- 3 independent searches in all hadronic, single lepton and dilepton channel
- Different SM backgrounds depending on channel

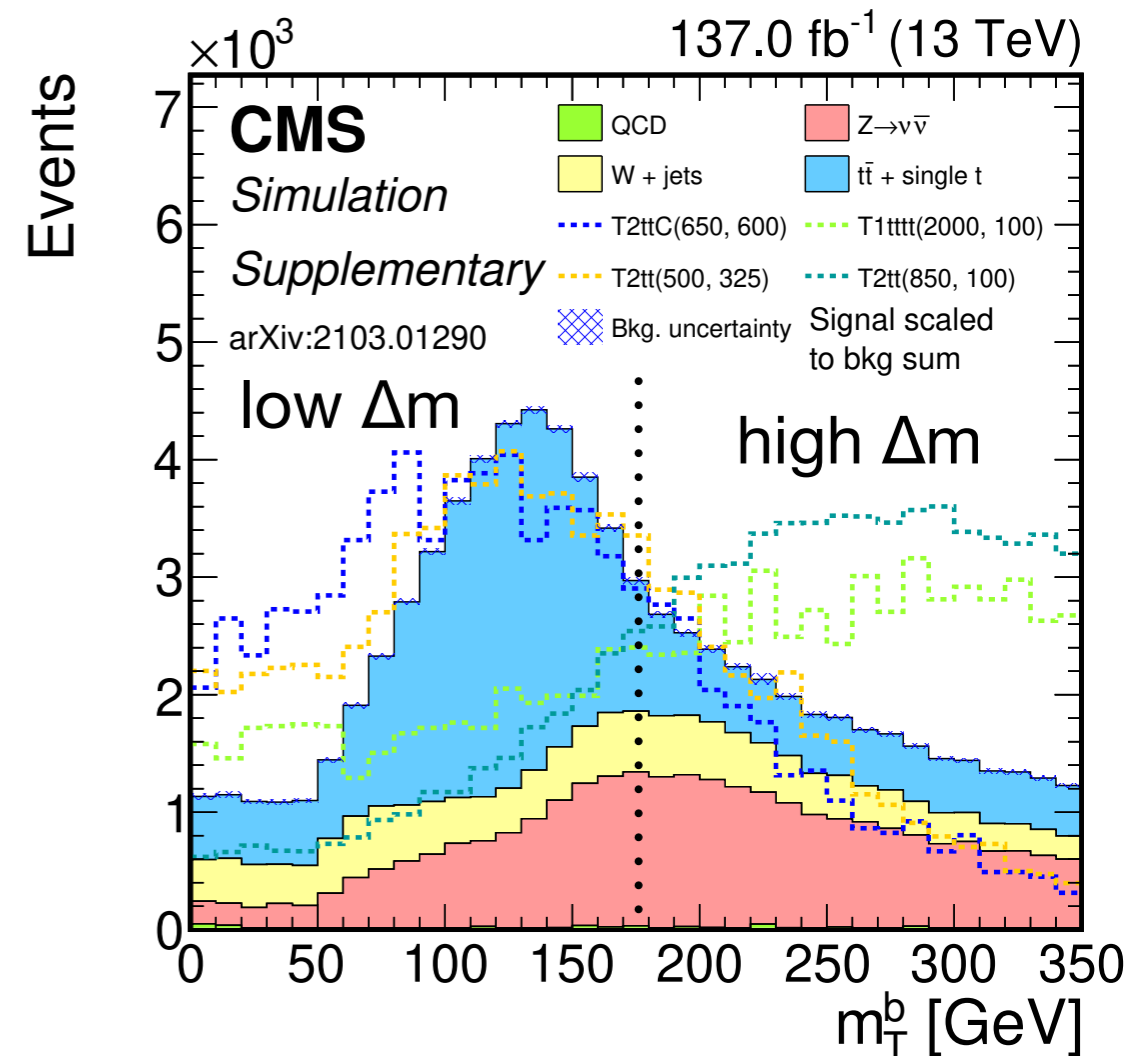
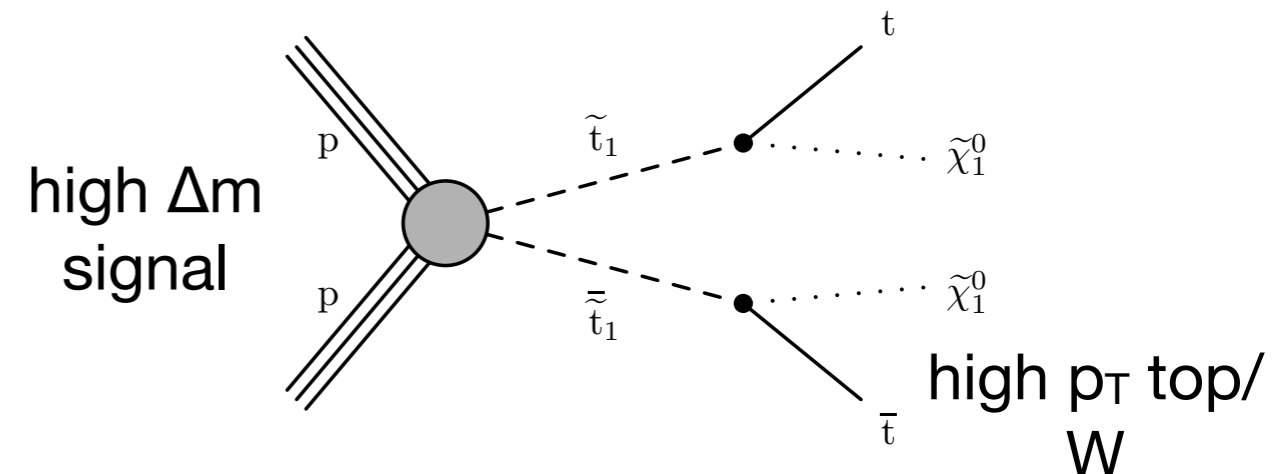
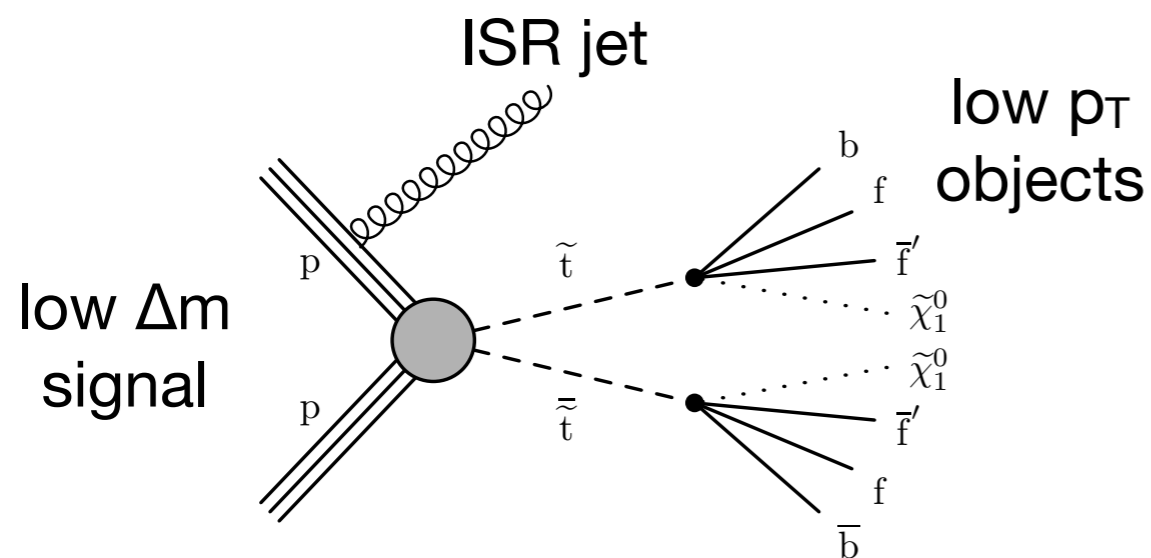


Experimental signature:  
2 b-jets, 2 W bosons,  $p_T^{\text{miss}}$



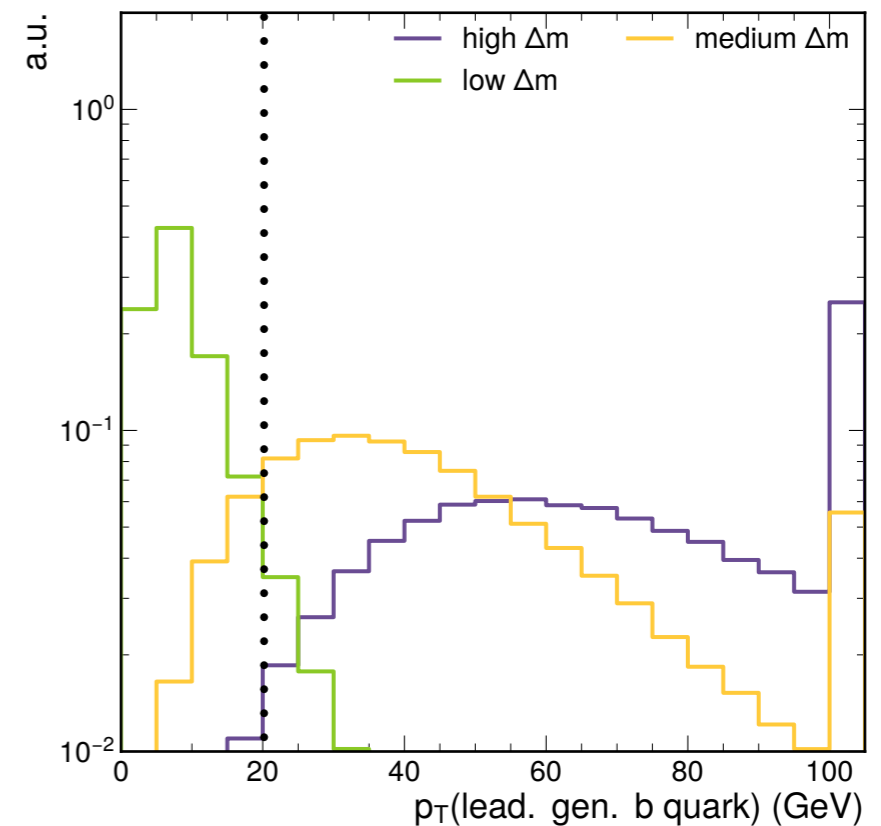
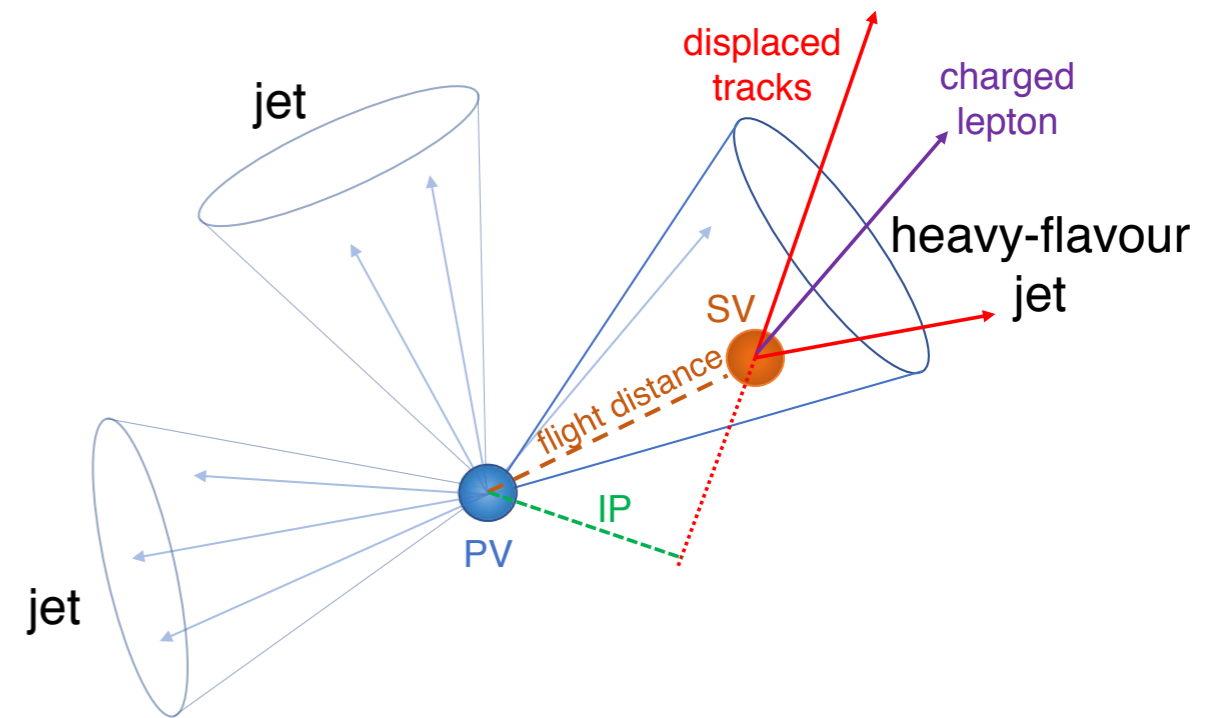
# ALL HADRONIC SEARCH

- Events selected using  $p_T^{\text{miss}}$  triggers
- Inclusive analysis design for sensitivity to many signal scenarios
- Low  $\Delta m$ :
  - ISR jet candidate to boost  $t\bar{t}$  system and increase  $p_T^{\text{miss}}$
- High  $\Delta m$ :
  - Boosted top and W quarks  $\rightarrow$  dedicated ML aided taggers



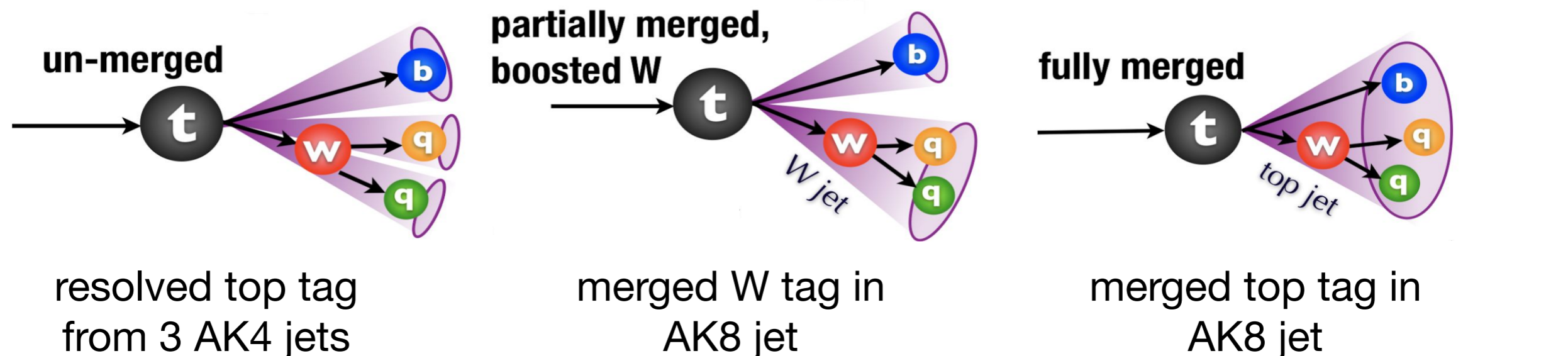
# LOW $\Delta M$ : SOFT OBJECTS

- Usual case: Identify jets originating from b quarks with ML based taggers  $\rightarrow$  b-tagged jets
- Low  $\Delta m$  signals produce very soft b quarks
  - Often too soft for standard b-tagging algorithms
- Directly use **secondary vertex** reconstructed with inclusive vertex finder algorithm



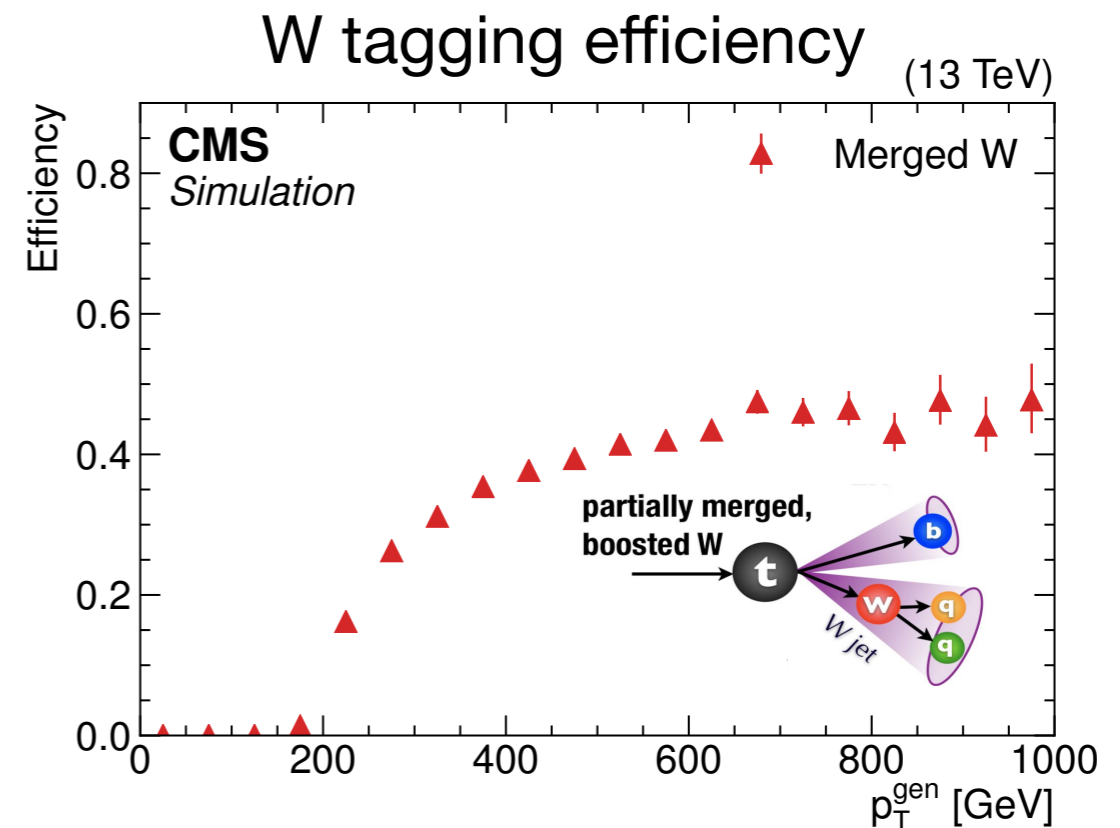
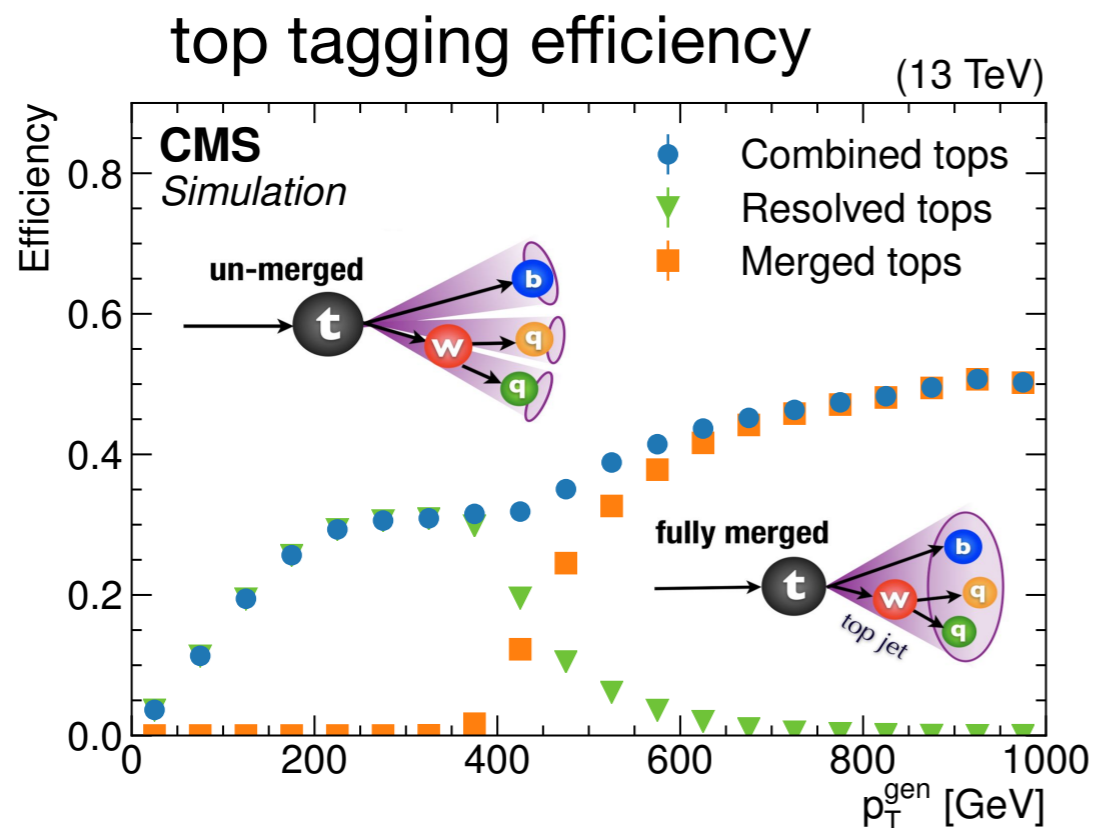
# HIGH $\Delta M$ : BOOSTED OBJECTS

- Quick reminder: CMS uses anti- $k_T$  algorithm to cluster particles (particle flow candidates) into jets with different cone sizes
  - Most commonly used:  $R=0.4 \rightarrow$  AK4 jet,  $R=0.8 \rightarrow$  AK8 jet
- $\Delta R$  of decay products of heavy resonance, e.g. top quark, with sizable momentum:  $\Delta R \sim \frac{2M}{p_T}$
- Large mass splitting between top squark and LSP  $\rightarrow$  boosted top quarks



# (BOOSTED) OBJECT TAGGING

- DNN based multi-classifier for large cone jets (AK8)
  - Takes PF candidates (42 features each) and secondary vertices (15 features) as input
  - Score for top, W, Z, Higgs, QCD jets
  - Here: Only top quark or W boson vs QCD jet tagging (merged top/W)
- Resolved top tagger: DNN tagger based on high level information of triplets of AK4 jets

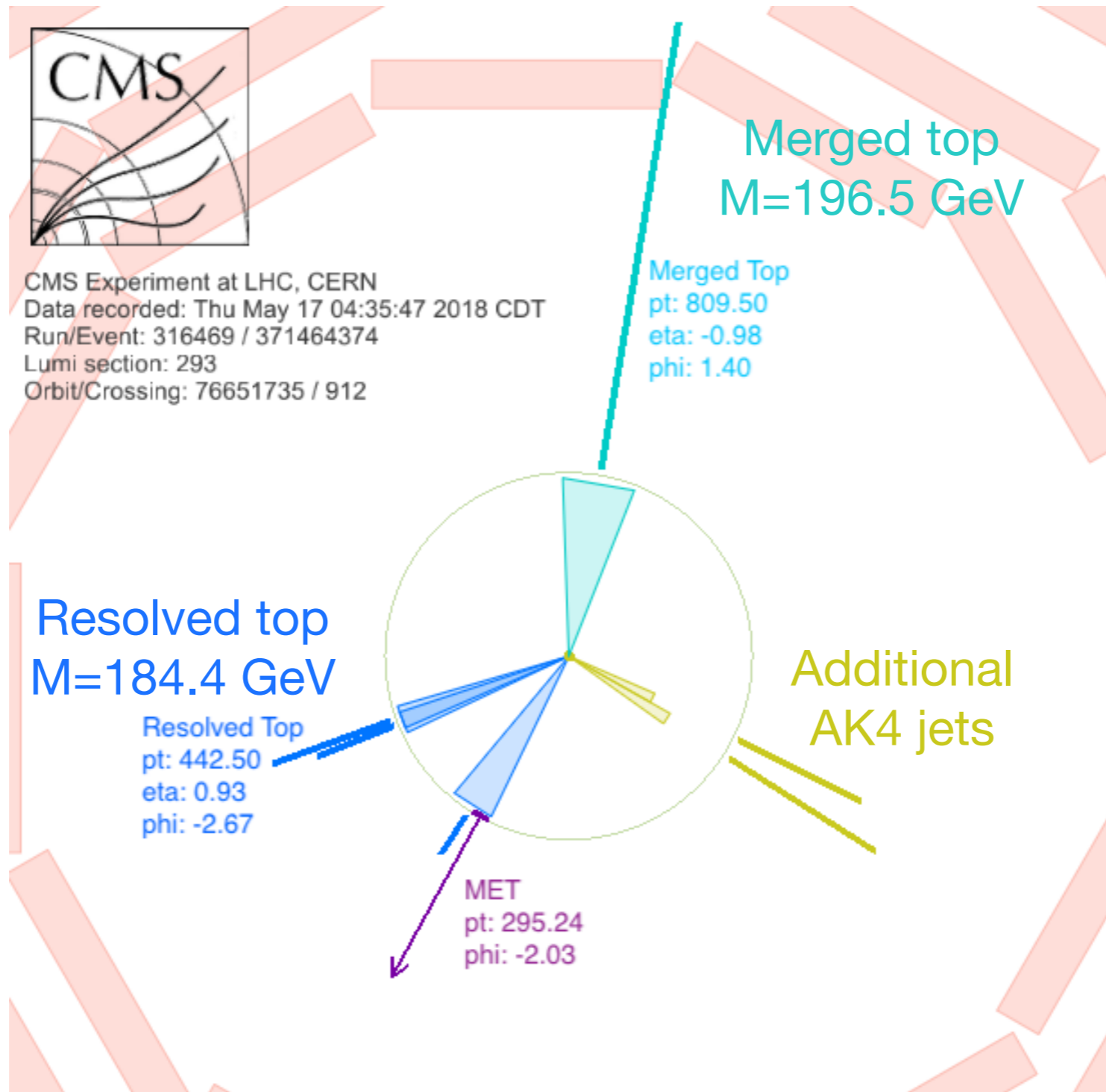




# ALL HADRONIC SIGNAL REGIONS

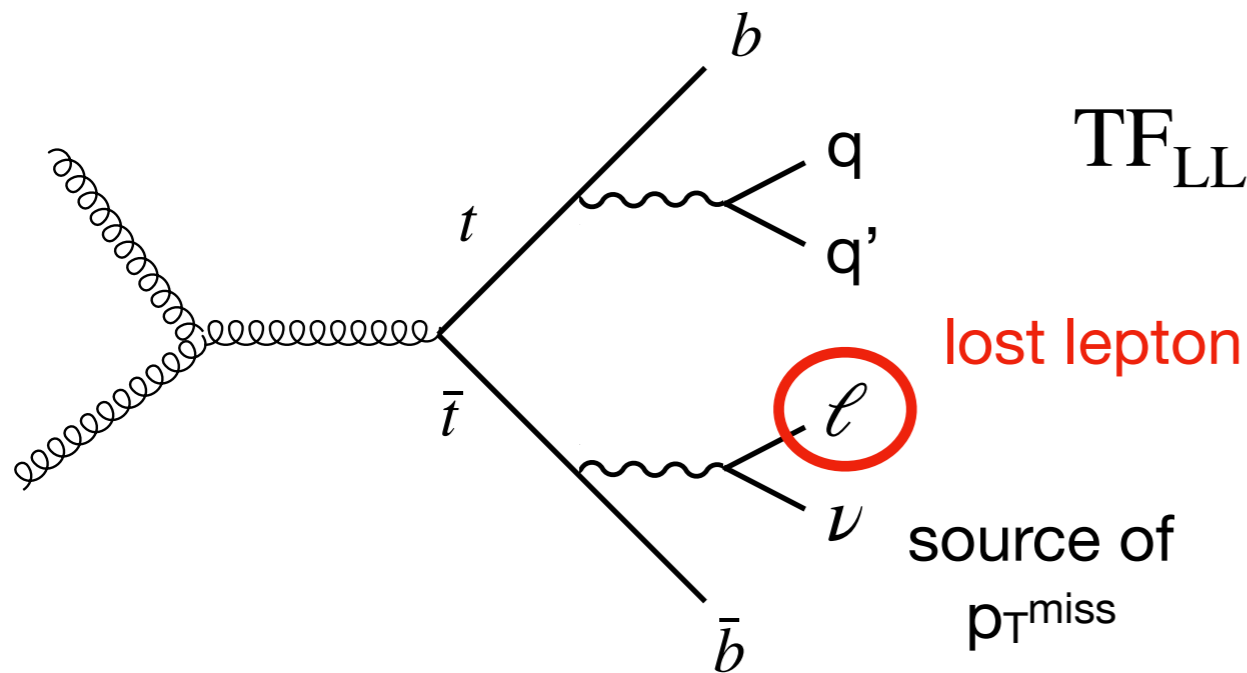
- Design 183 signal regions, optimized for different signal scenarios
- Low  $\Delta m$  signal regions:
  - Binned in jet multiplicity ( $N_{\text{jets}}$ ), b-tagged or soft-b multiplicity ( $N_b$ ,  $N_{\text{sv}}$ )
  - Either inclusive in  $m_{\text{T}}^b$  or  $m_{\text{T}}^b < 175$  GeV
  - ISR jet  $p_{\text{T}}$ , b-jet candidate  $p_{\text{T}}$ ,  $p_{\text{T}}^{\text{miss}}$
- High  $\Delta m$  signal regions:
  - Binned in  $N_{\text{jets}}$ ,  $N_b$ , merged top or W tag multiplicity, resolved top multiplicity
  - Hadronic activity,  $p_{\text{T}}^{\text{miss}}$ ,  $m_{\text{T}}^b$

# CANDIDATE EVENT



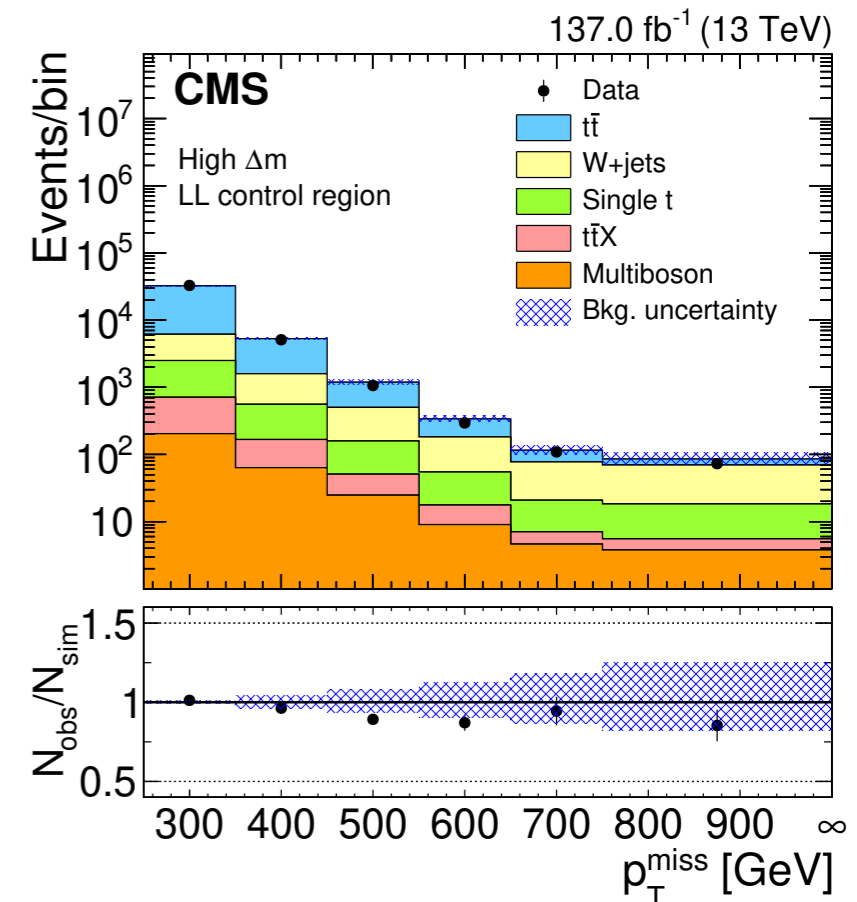
# LOST LEPTON BACKGROUND

- Largest background in most signal regions: single lepton  $t\bar{t}$ +jets, single top,  $W$ +jets events with lost lepton (LL)
- Estimate based on measurement in single lepton data control sample
- Extrapolate to search region with transfer factor  $TF_{LL}$  from simulation
- LL background greatly reduced in regions requiring merged/resolved top or  $W$



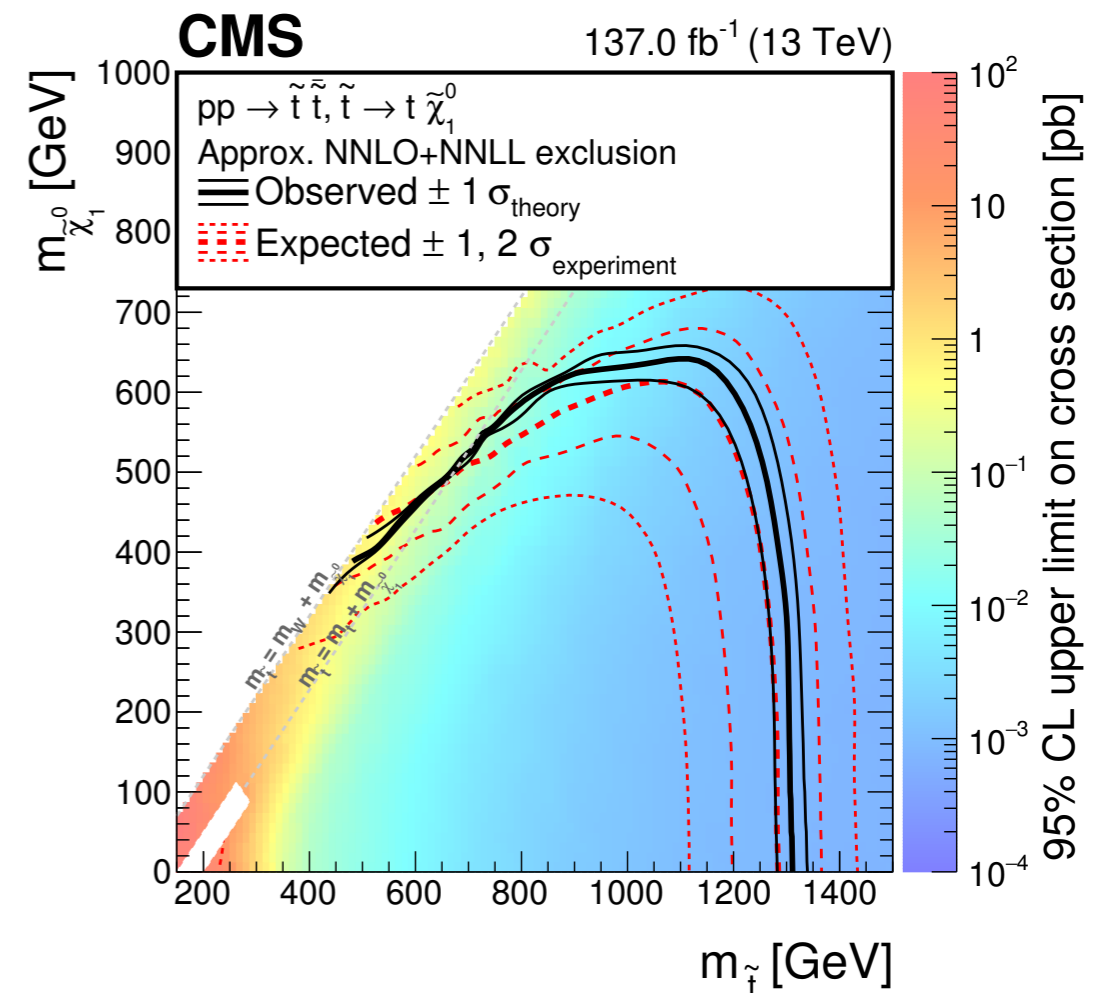
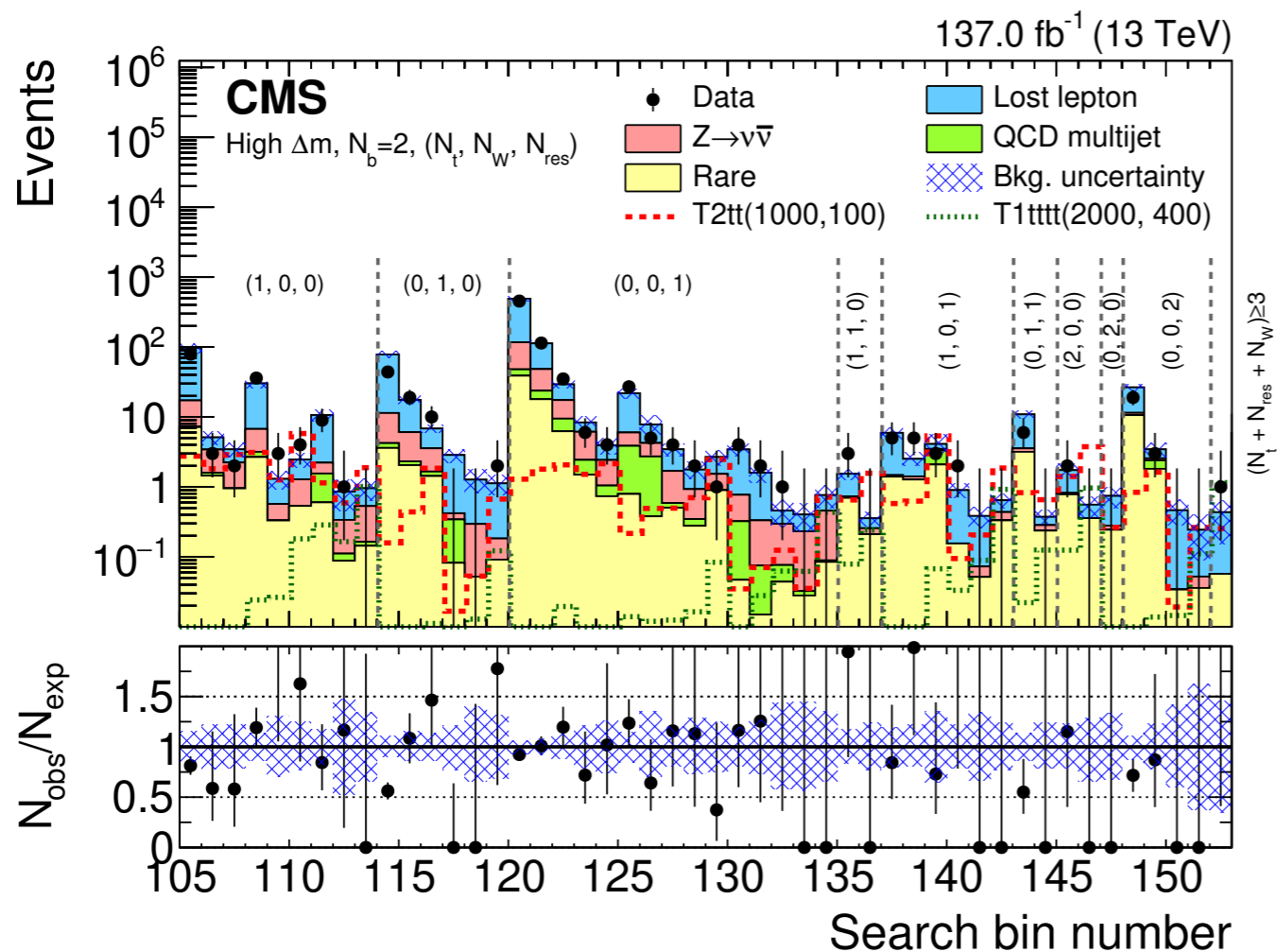
$$N_{\text{pred}}^{\text{LL}} = TF_{\text{LL}} N_{\text{data}}^{1\ell}$$

$$TF_{\text{LL}} = \frac{N_{\text{MC}}^{0\ell}}{N_{\text{MC}}^{1\ell}}$$



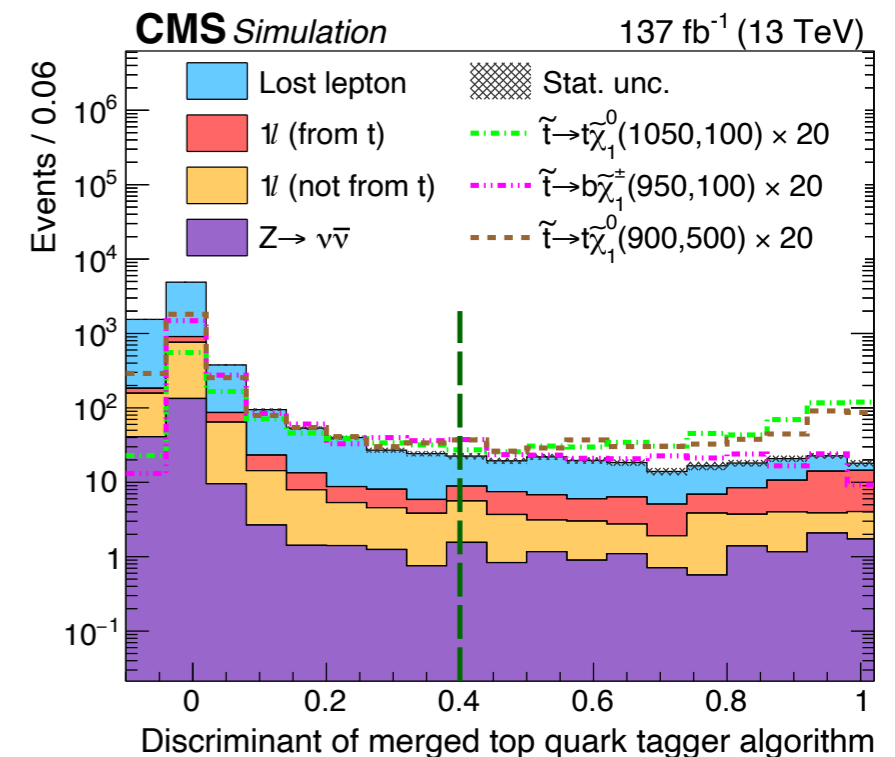
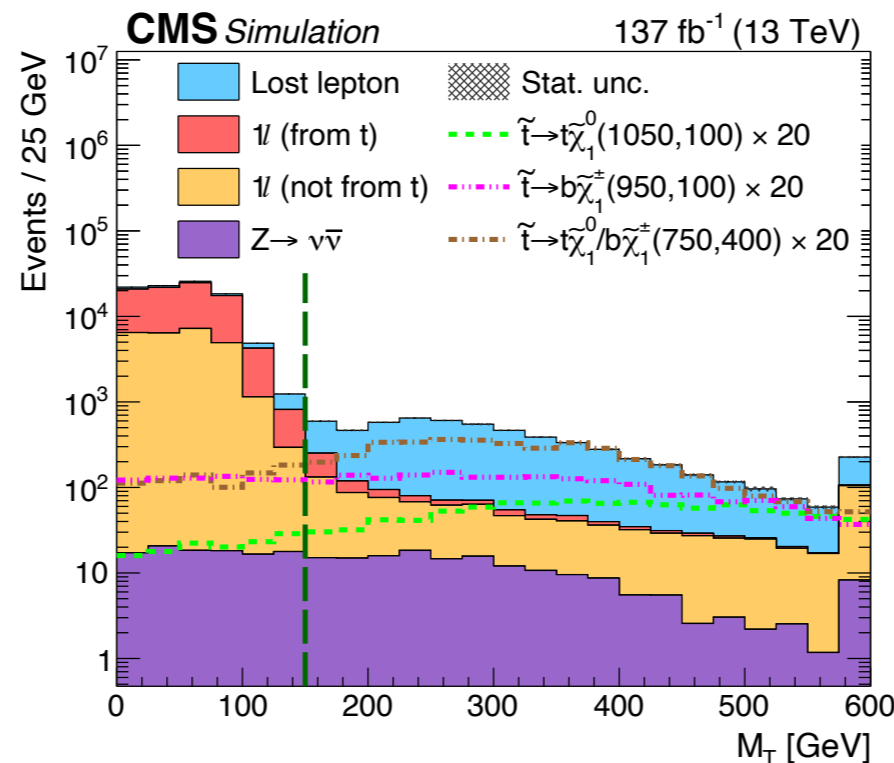
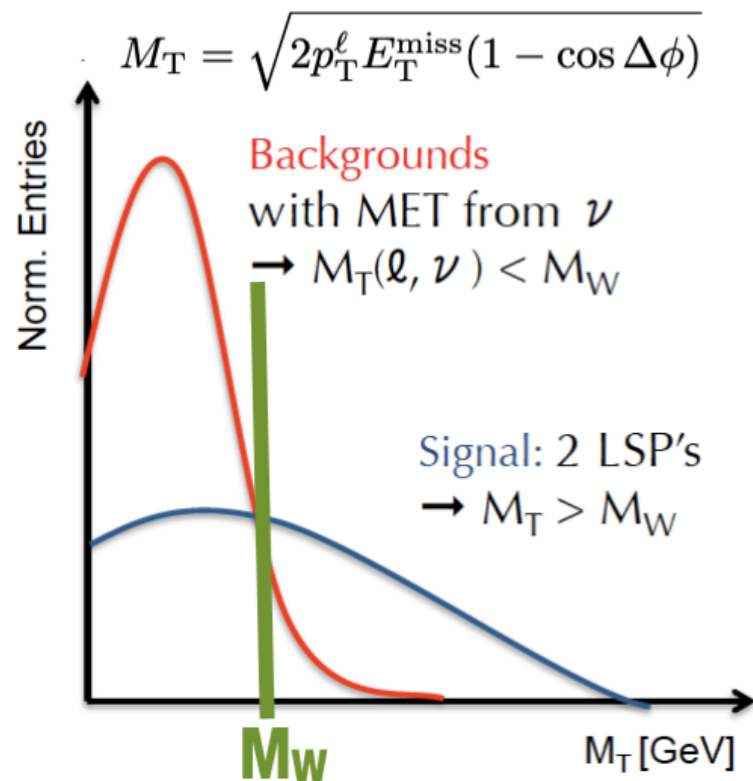
# RESULTS

- Showing subset of high  $\Delta m$  signal regions
  - Lost lepton background dominating in these signal regions
  - Background predictions validated in orthogonal validation regions
- No statistically significant excess



# SINGLE LEPTON SEARCH

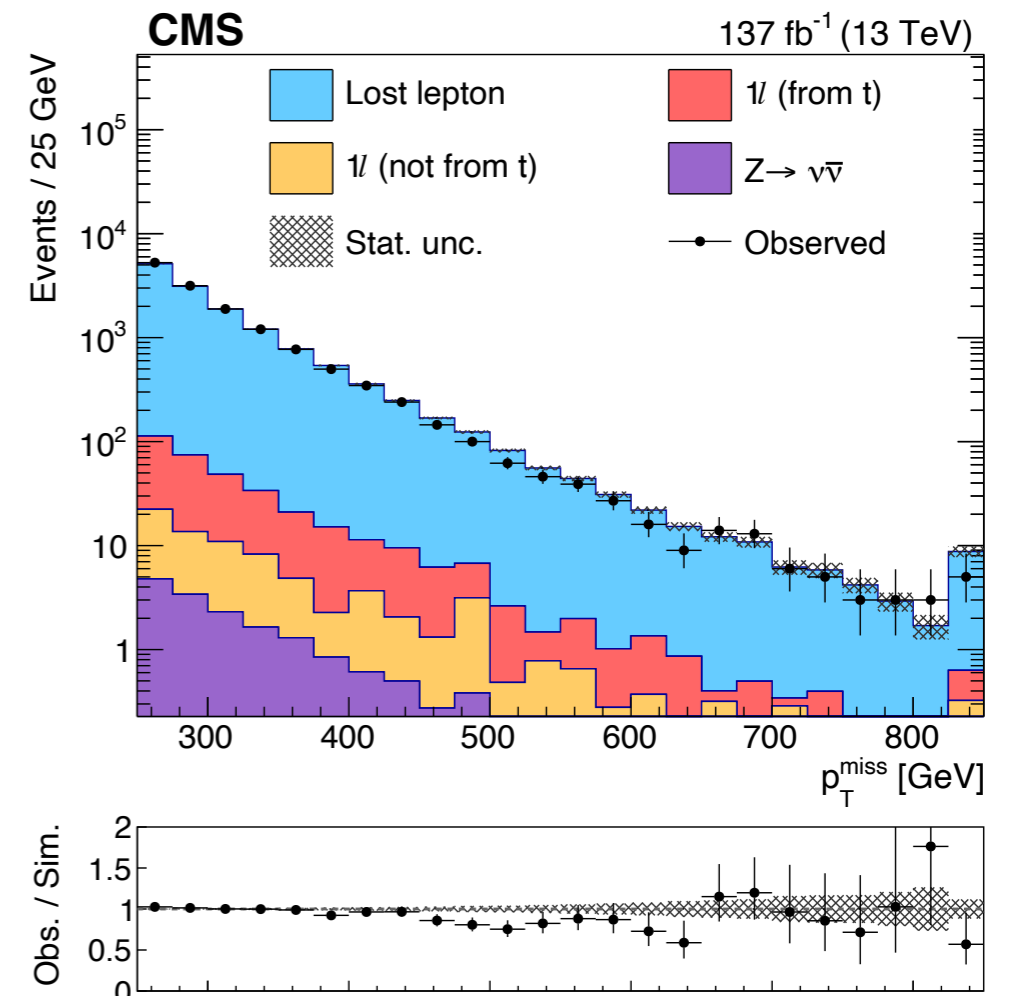
- 30% signal branching fraction, events selected with  $p_T^{\text{miss}}$  or single lepton triggers
- Use of kinematic mass variables ( $M_T$ ,  $M_{lb}$ ) together with novel machine learning tools (merged and resolved top tagger)
- Retain sensitivity to low  $\Delta m$  signal points with soft b-tagger
- Dominant background: lost lepton from dilepton ttbar events



# BACKGROUND ESTIMATES

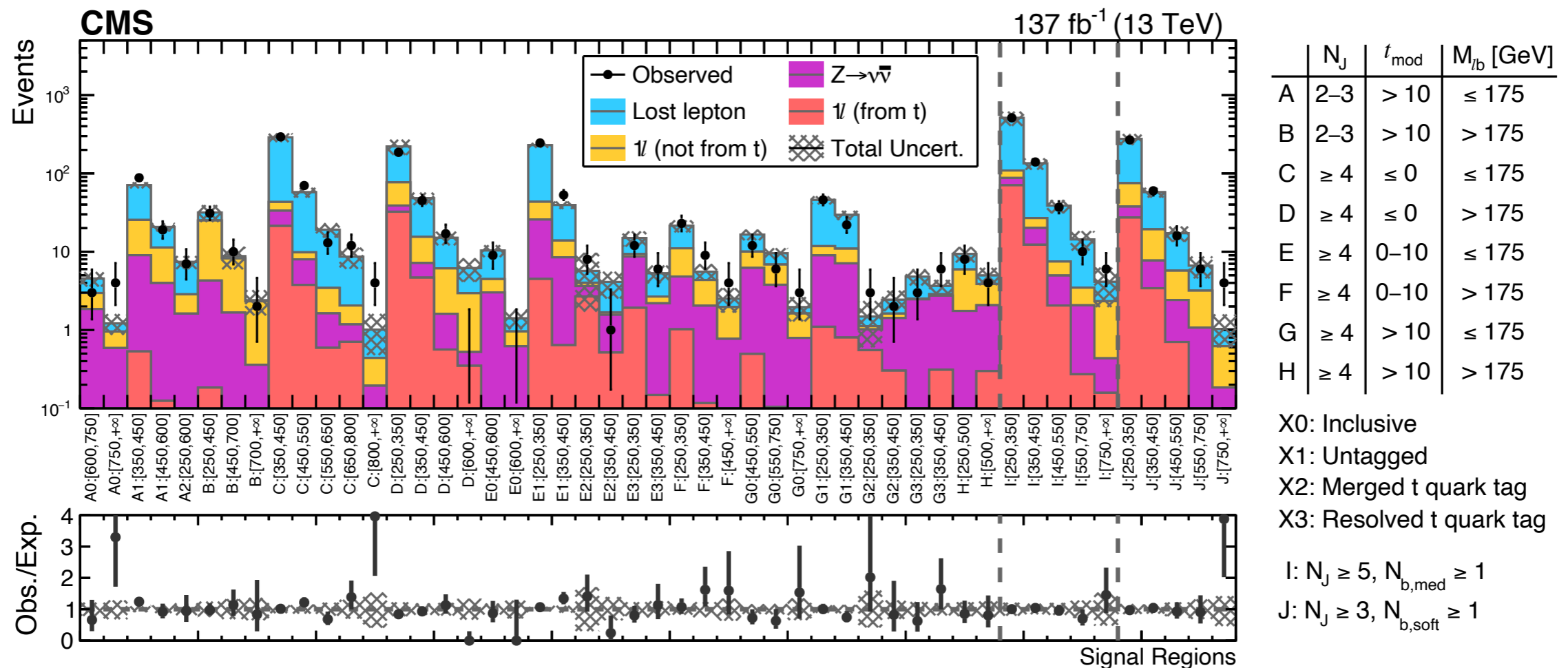
- Main backgrounds estimated using data control samples
- Lost lepton background normalization measured in dilepton sample
- W+jets background estimated from a sample vetoing b-tagged jets
- Transfer factors to obtain background prediction in signal regions

	1 lepton	2 leptons	3 leptons
0 b-tagged jets	W+jets dominated		WZ dominated
$\geq 1$ b-tagged jets	Signal region	$t\bar{t}/tW \rightarrow 2l$ dominated	$t\bar{t}Z$ dominated



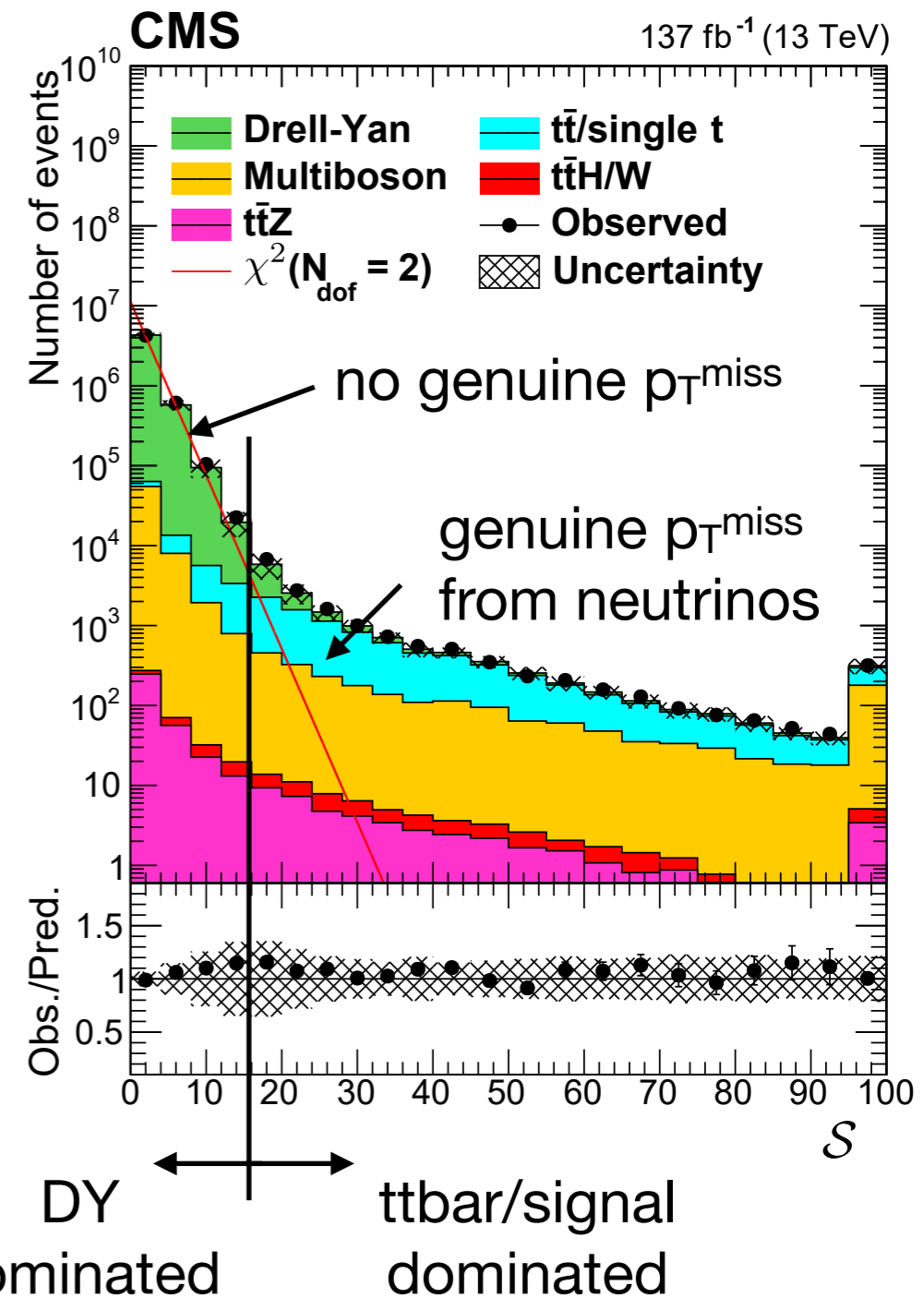
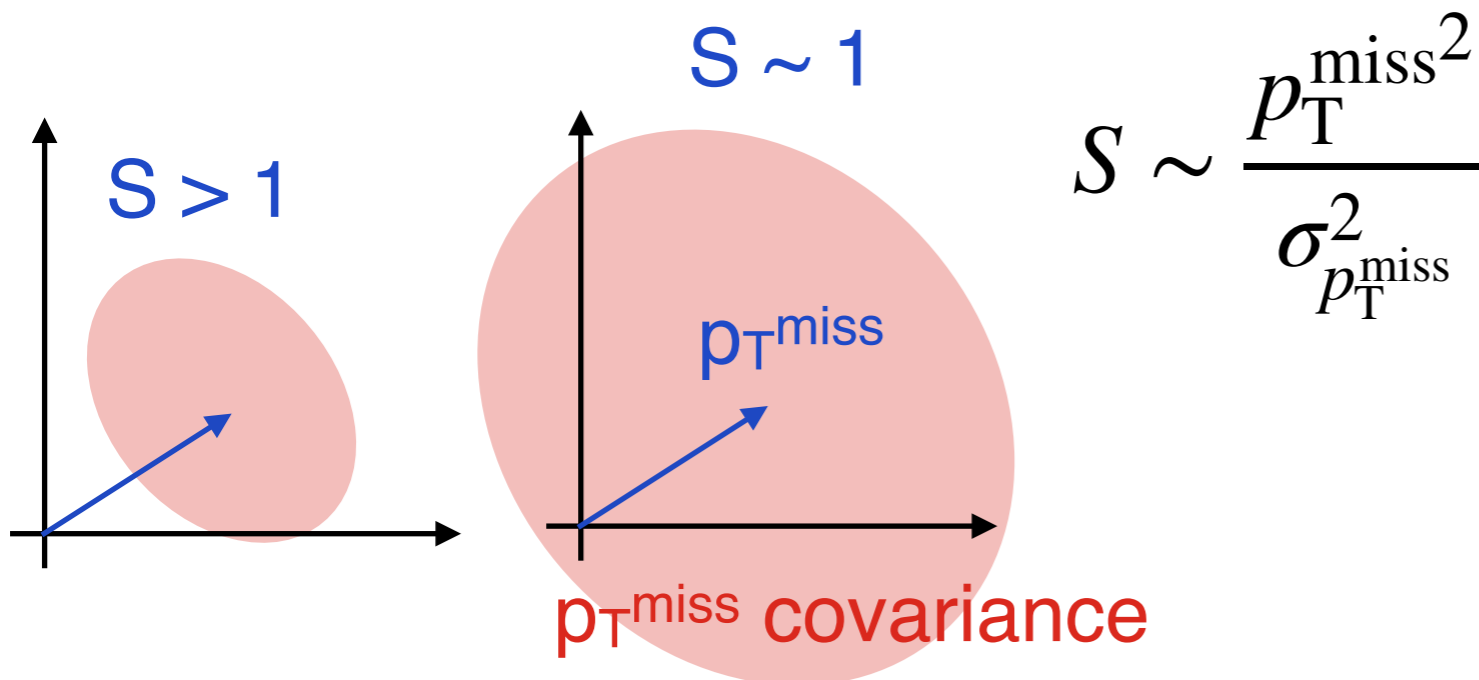
# SINGLE LEPTON RESULTS

- Numerous signal regions categorized in jet multiplicity,  $M_{lb}$ , modified topness,  $p_{T}^{\text{miss}}$ 
  - Additional untagged/resolved/merged top tag regions for highly boosted top quarks
- No statistically significant excess



# DILEPTON SEARCH

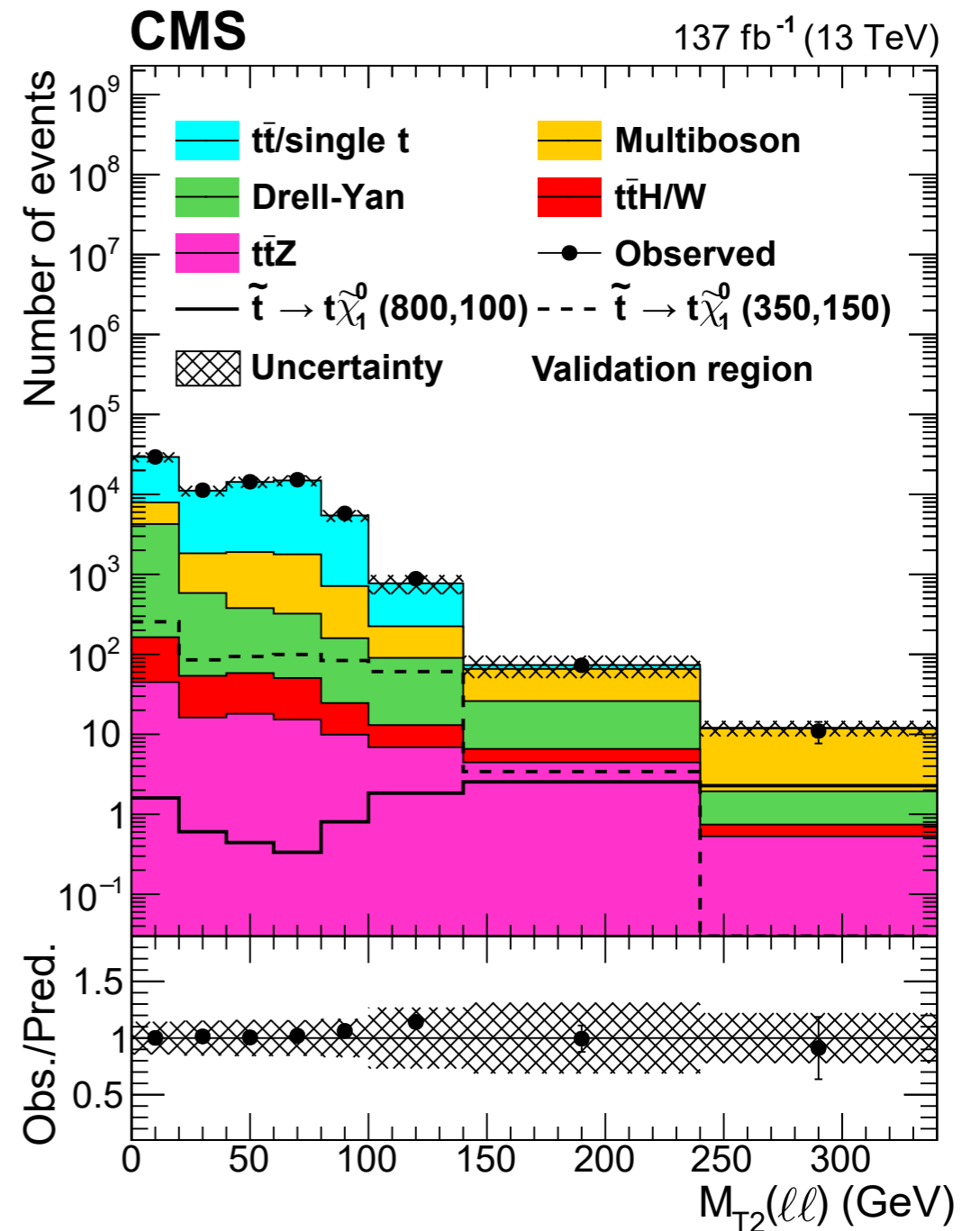
- Small signal branching fraction, but clean dilepton final state
- Events selected using dilepton triggers
- Overwhelming Drell-Yan ( $Z \rightarrow \ell\ell$ ) background reduced using  $p_T^{\text{miss}}$  significance
  - Proven to be more stable under varying pileup conditions compared to “pure”  $p_T^{\text{miss}}$





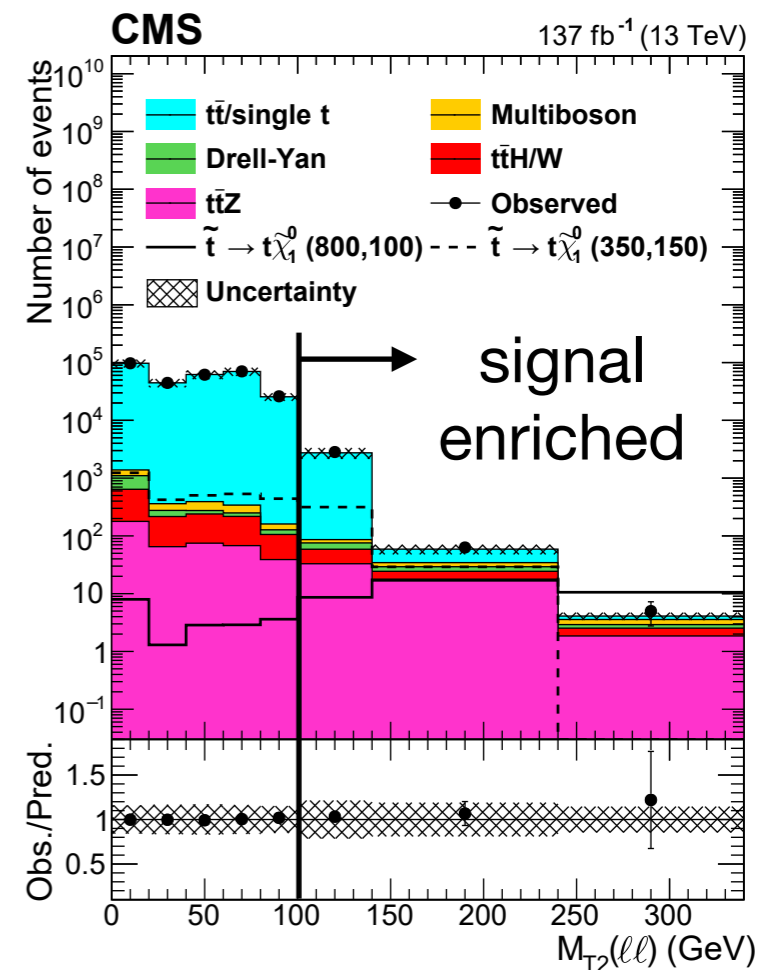
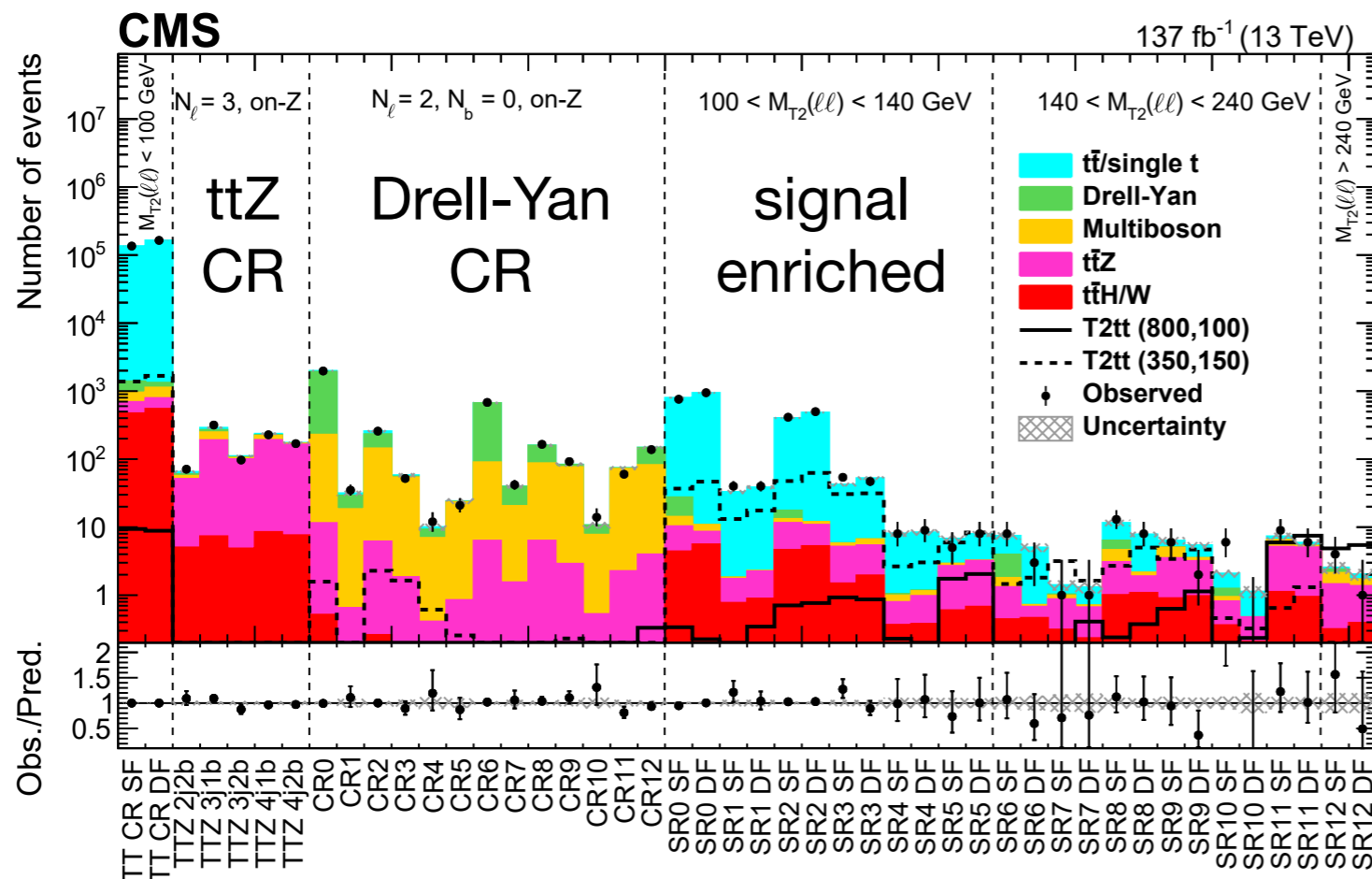
# TOP QUARK BACKGROUND

- Largest remaining reducible background is coming from top quark pairs
- Transverse mass  $M_{T2}(\ell\ell)$  has endpoint around  $W$  boson mass for leptonically decaying top quark pairs
  - Not respected by events with severe jet mismeasurements or lost and fake lepton
  - No endpoint for some rare  $tt+X$  and diboson processes



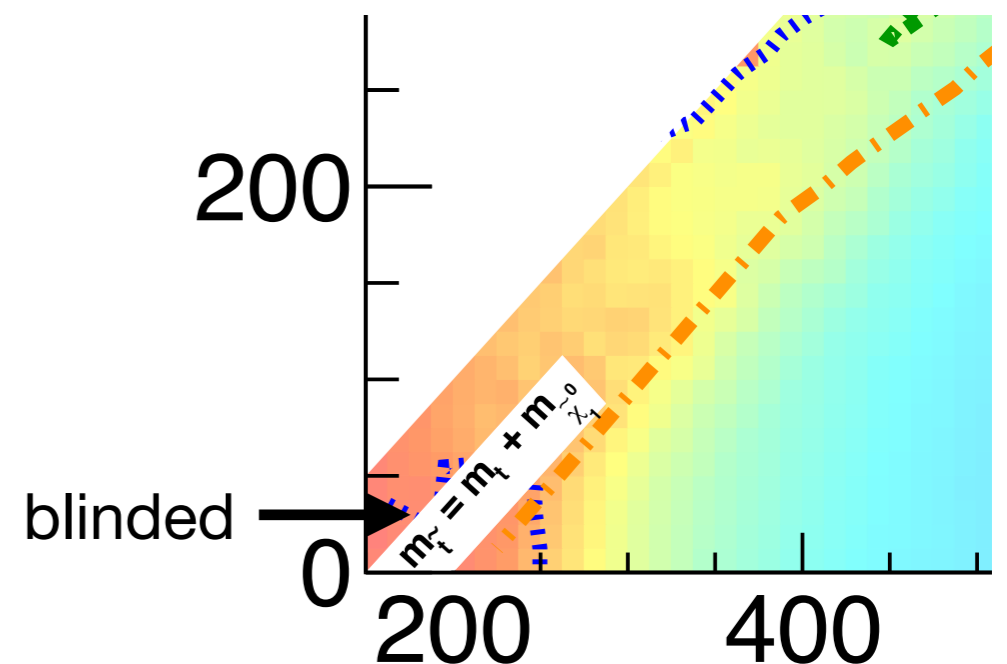
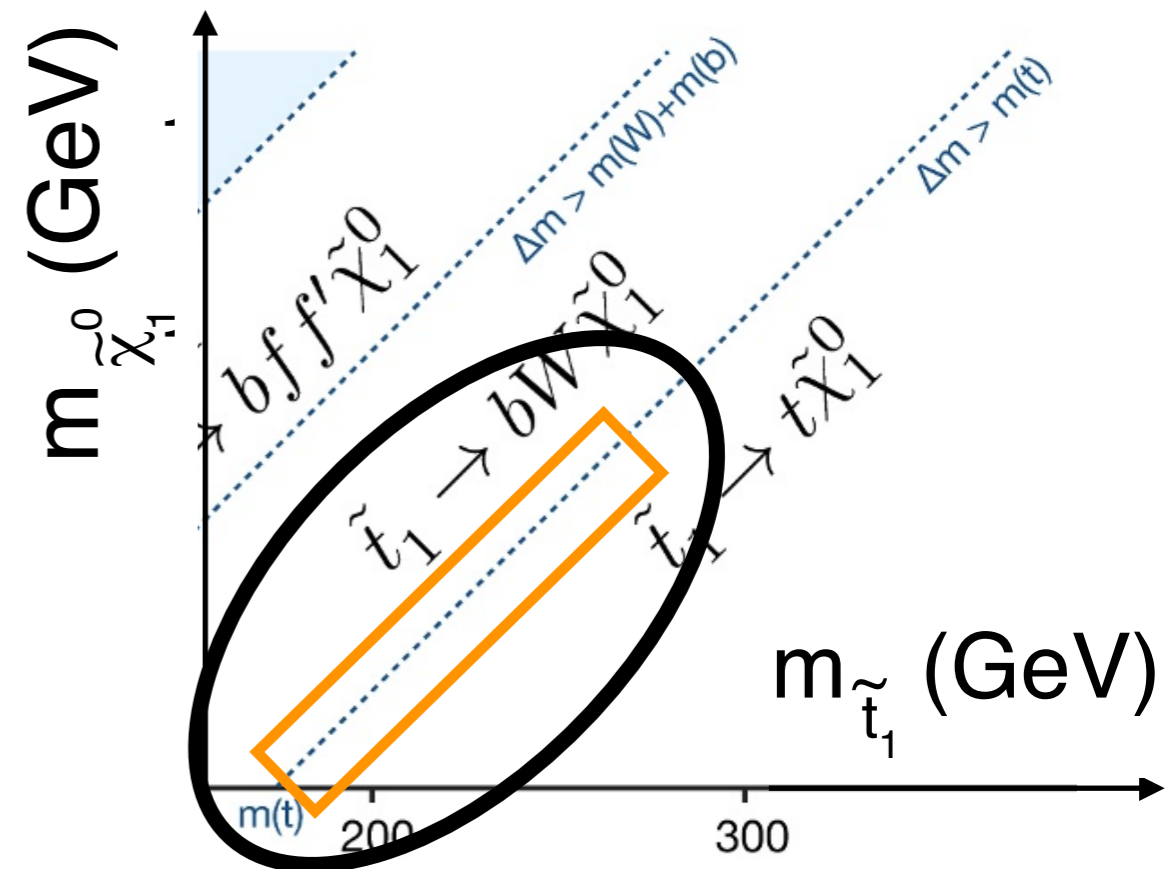
# DILEPTON RESULTS

- Signal regions defined in bins of  $p_T^{\text{miss}}$  significance and stransverse mass variables
- In-situ measurements of the normalizations of leading backgrounds:  $t\bar{t}$ /single-t, Drell-Yan and multiboson,  $t\bar{t}+Z$
- Very good agreement of observation with predictions from SM



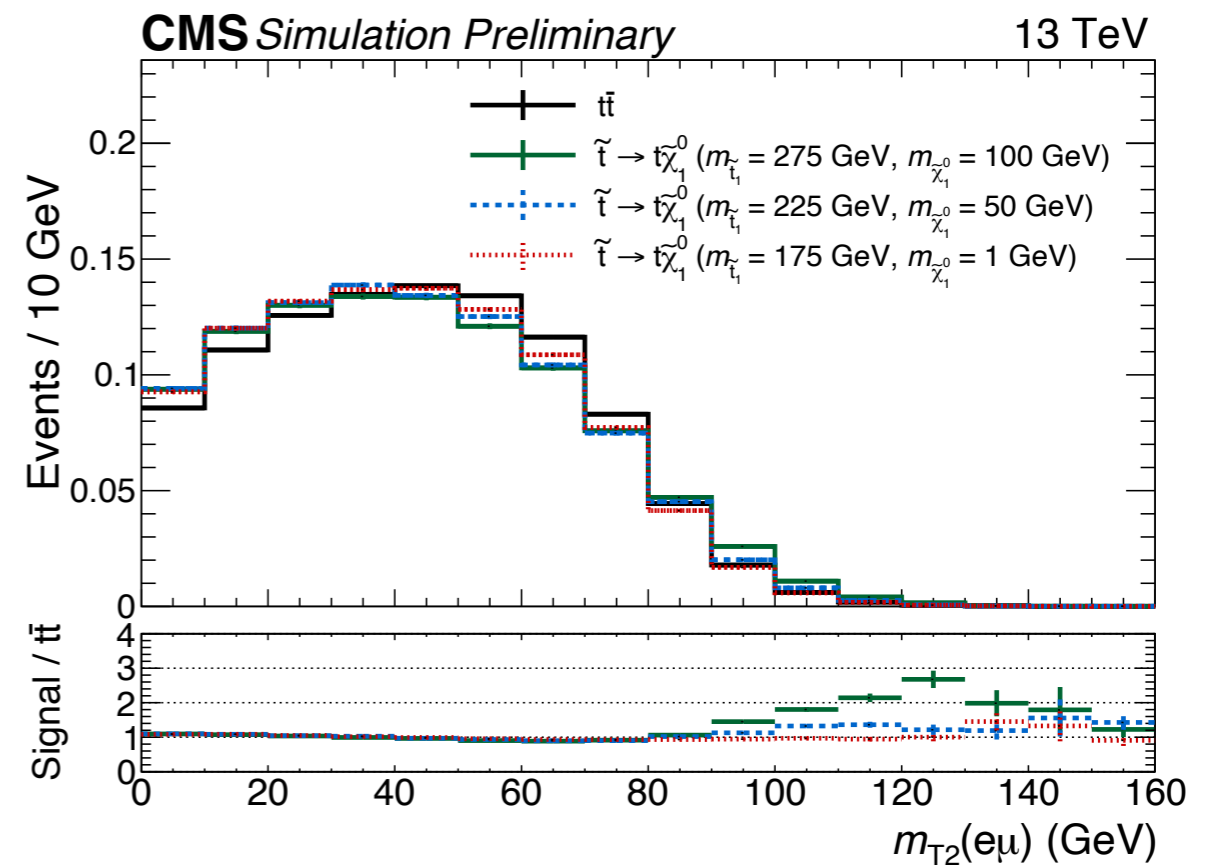
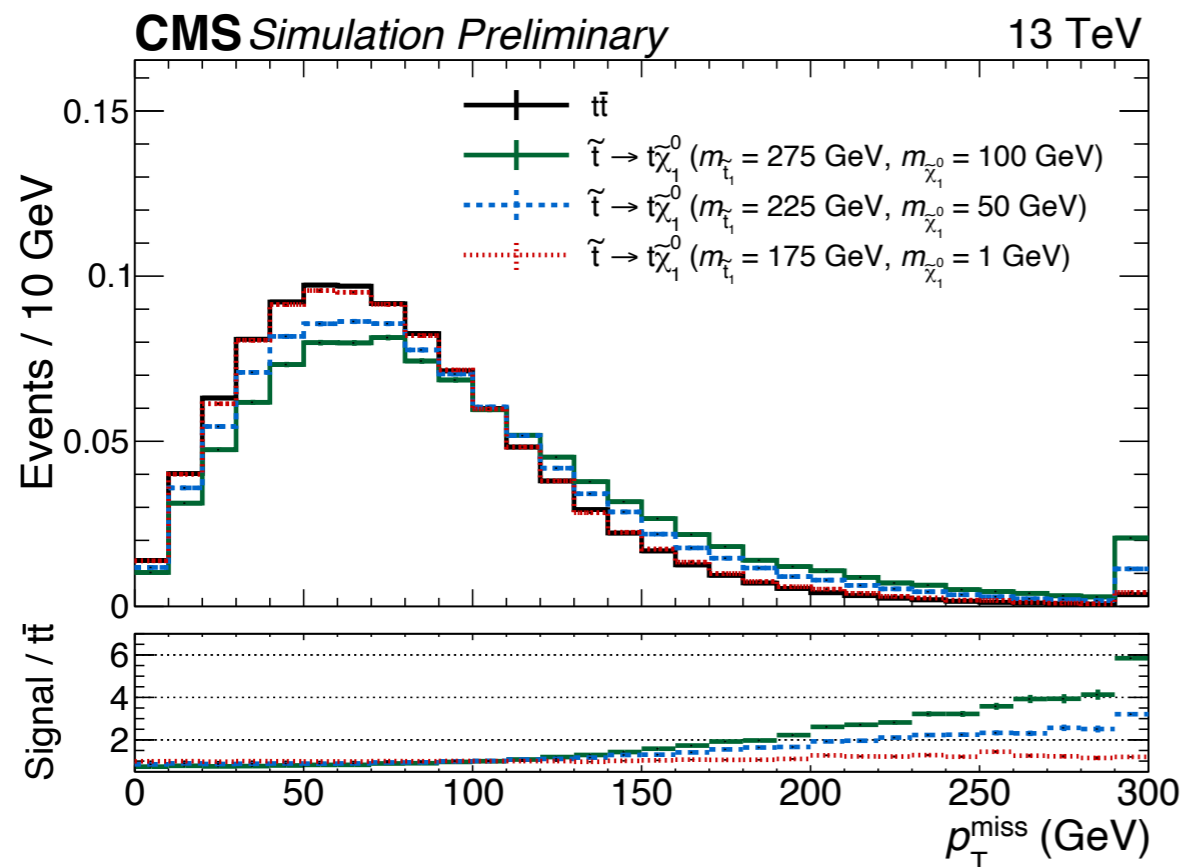
# WHAT ABOUT LIGHT STOPS?

- If  $\Delta m$  between top squark and LSP is close to top quark mass  $\rightarrow$  kinematics of signal and  $t\bar{t}$  background very similar
  - Take special care of top corridor!
  - Standard background estimation techniques break down
  - Large SUSY scan uses fast detector simulation for feasibility to generate  $O(100M)$  events per signal model and year
  - CMS kept top corridor blinded in previous top squark publications
- A dedicated search in the dilepton channel was designed to only target this region



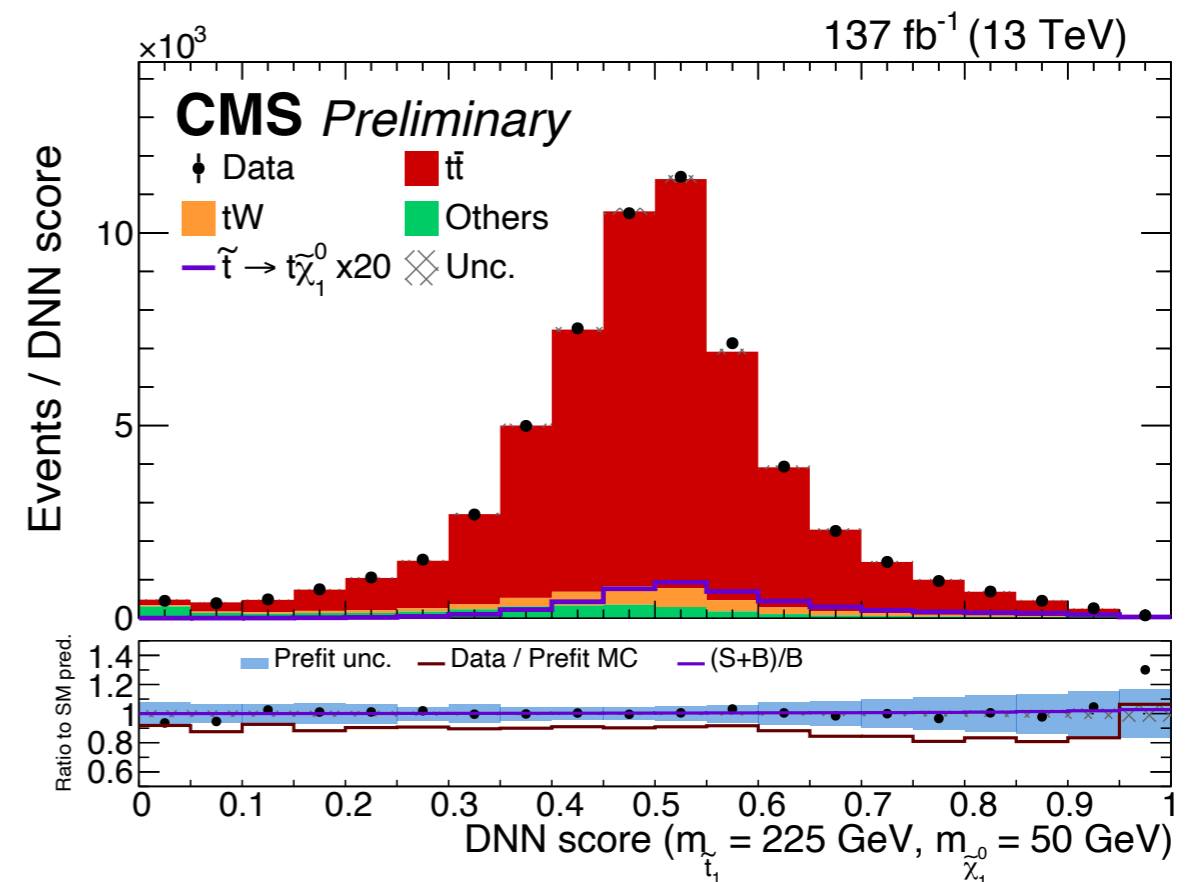
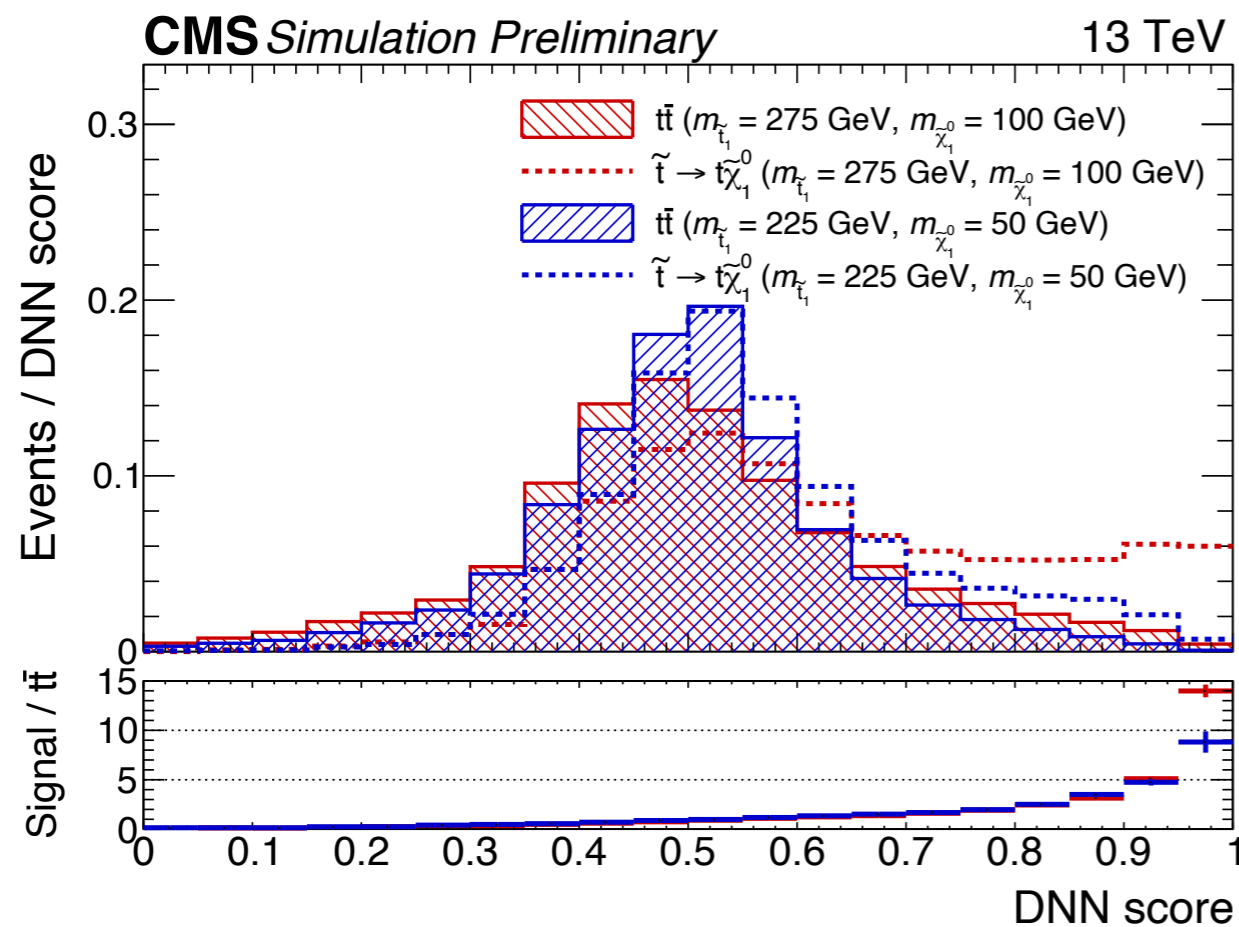
# KINEMATICS

- Degenerate case with  $m(\text{stop}) = 175 \text{ GeV}$ ,  $m(\text{LSP}) = 1 \text{ GeV}$  maximally similar to SM
  - Sensitivity only through measurement of the  $t\bar{t}$  x-sec
- Small kinematic differences for other points, e.g.  $p_T^{\text{miss}}$ ,  $M_{T2}(\text{II})$ 
  - Fully exploited by using parametric DNN: stop and LSP mass are fed to NN  $\rightarrow$  optimized model for each signal mass point



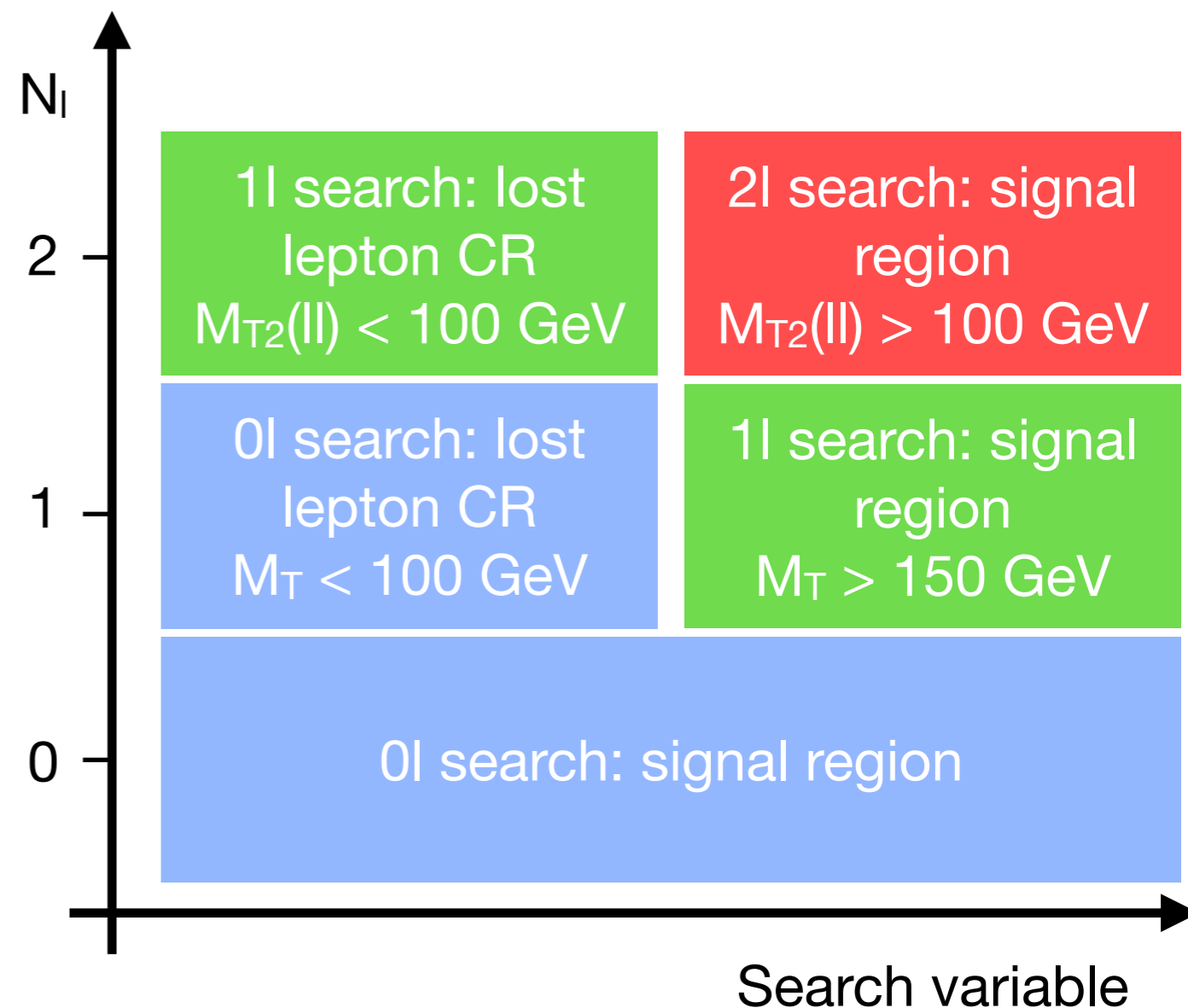
# PARAMETRIC DNN RESULTS

- 11 variables used as inputs additional to the stop and LSP mass
- Parametric DNN leads to mass-point dependent background shapes
- Good discriminating power of the DNN over the full range of signal models
- No significant excess observed

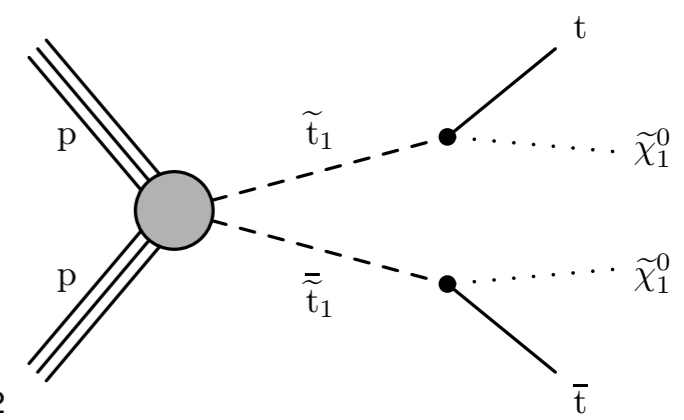


# PUTTING THE PIECES TOGETHER

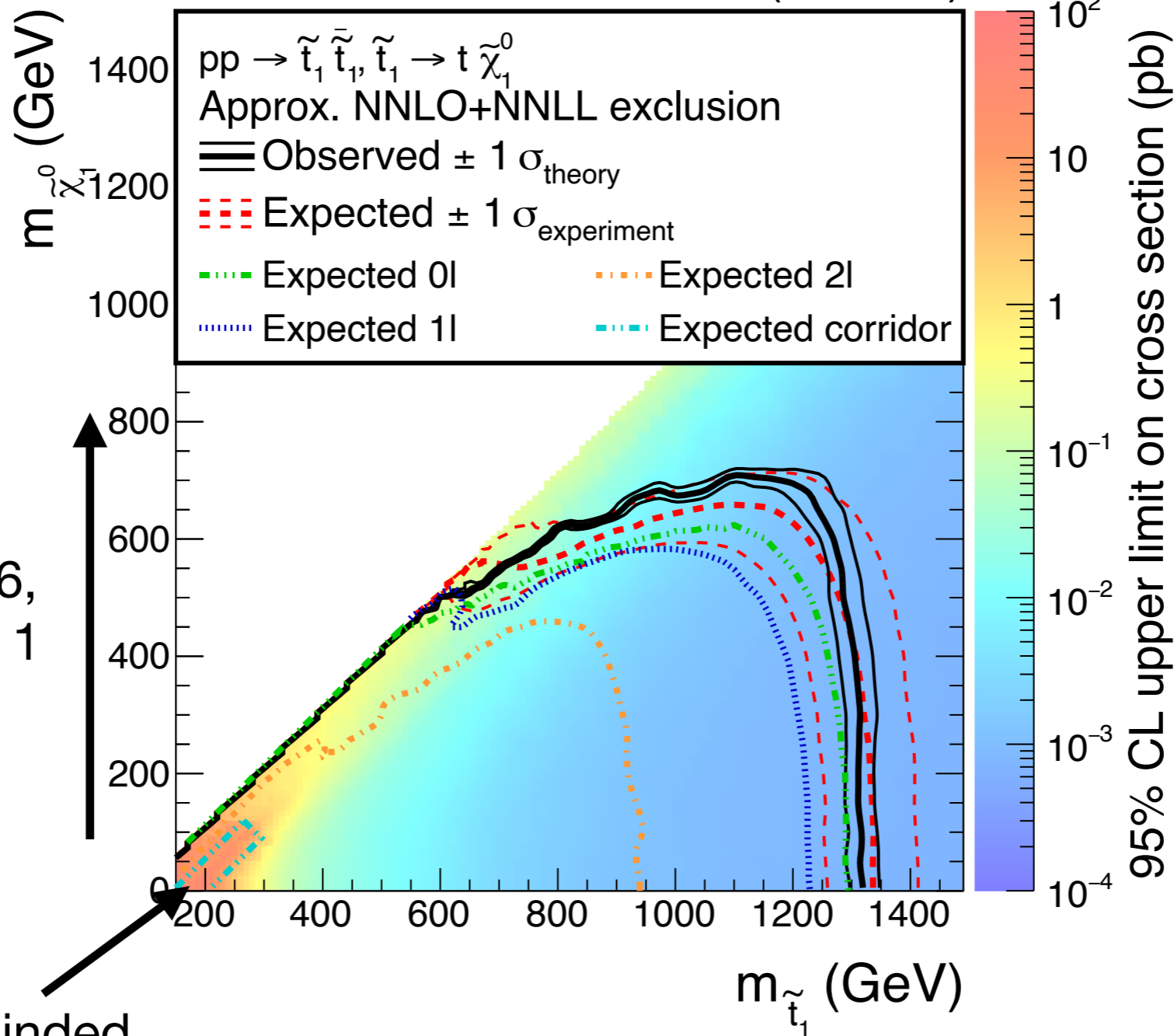
- Right from the beginning of legacy Run 2 stop searches: Coordinate the different searches to **avoid overlap of signal and control regions**
- Individual searches rely on **orthogonal control samples** to estimate backgrounds, e.g. lost lepton
- **Carefully examine correlation patterns** of all systematic uncertainties



# COMBINED RESULTS



**CMS** Preliminary 137 fb<sup>-1</sup> (13 TeV)



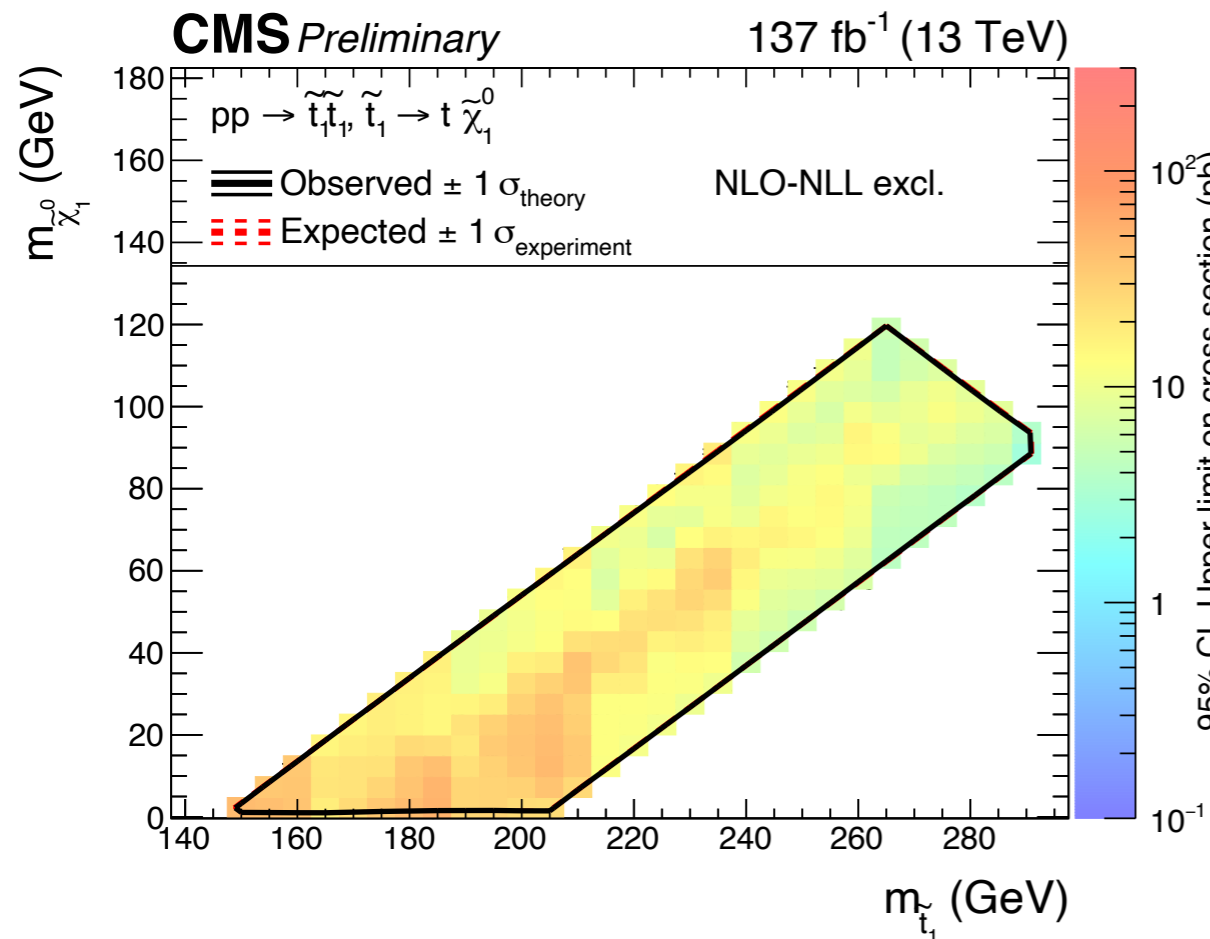
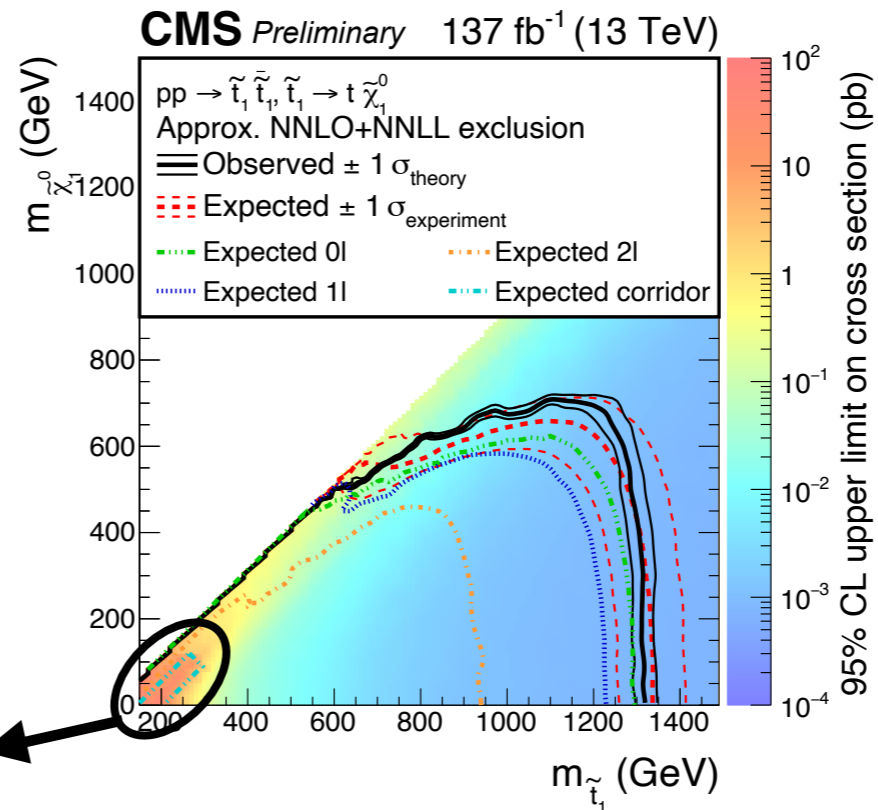
improvement by  
 ~200 GeV wrt 2016,  
 ~400 GeV wrt Run 1

top corridor unblinded  
 & fully excluded at  
 95% CL

pushed limits by ~300 GeV wrt 2016, ~500 GeV wrt Run 1

# COMBINED RESULTS: CORRIDOR

- Corridor not fully excluded in previous dedicated searches

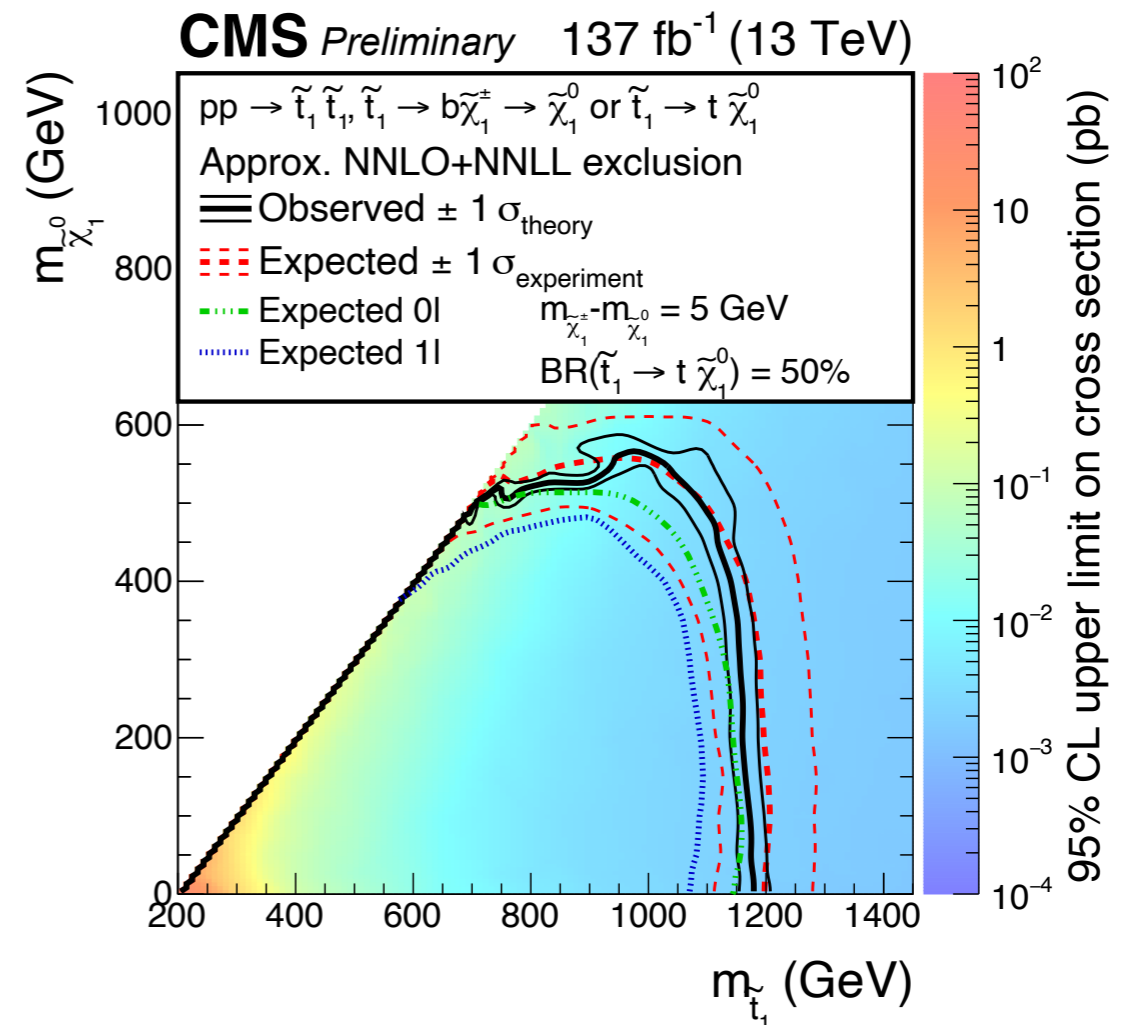
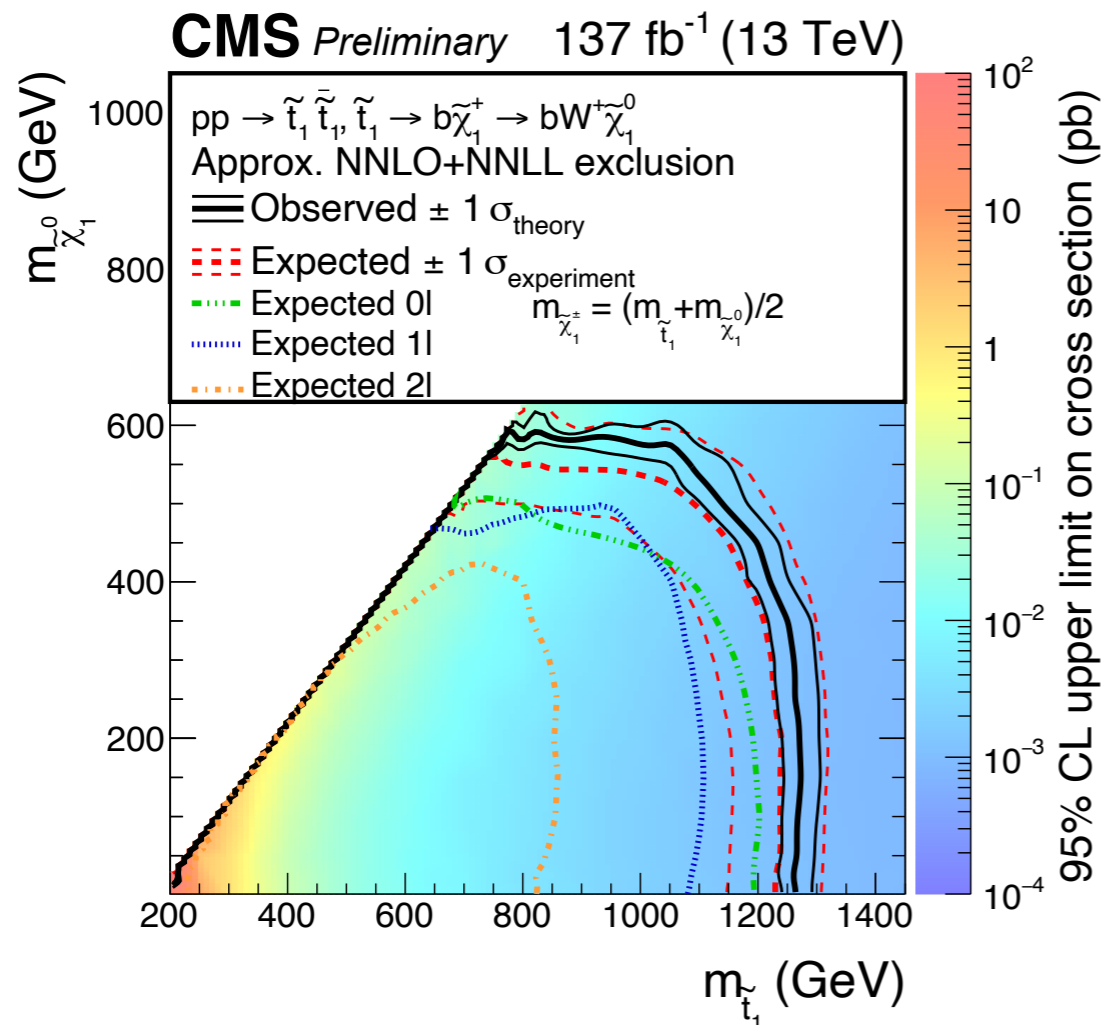


- Numerous improvements, way beyond the larger data sets, have led to ever tighter constraints on top squark pair production



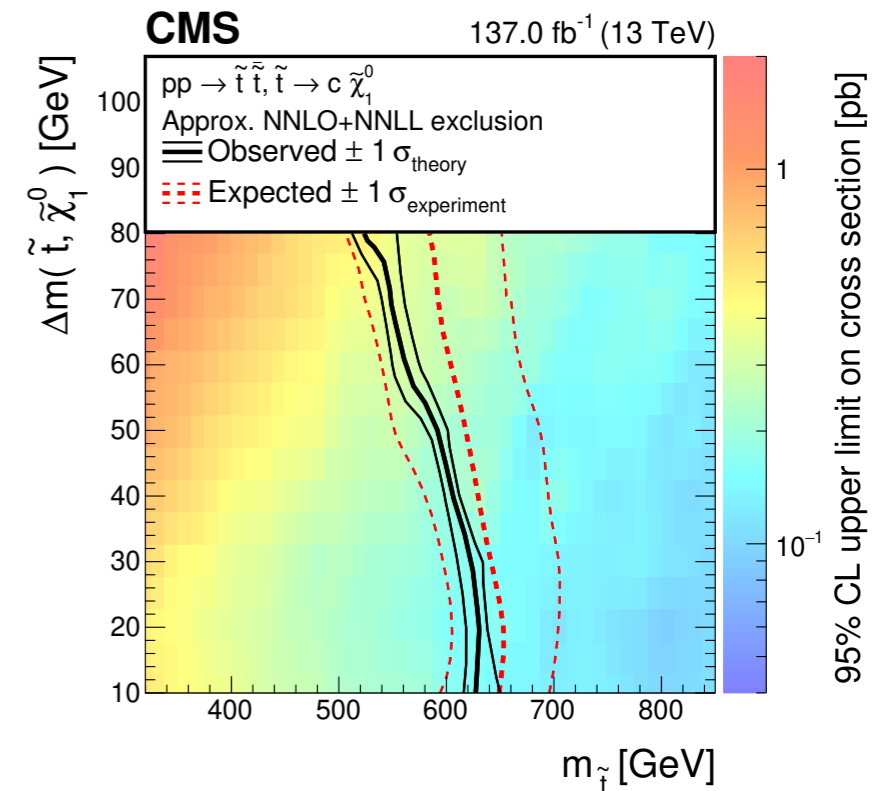
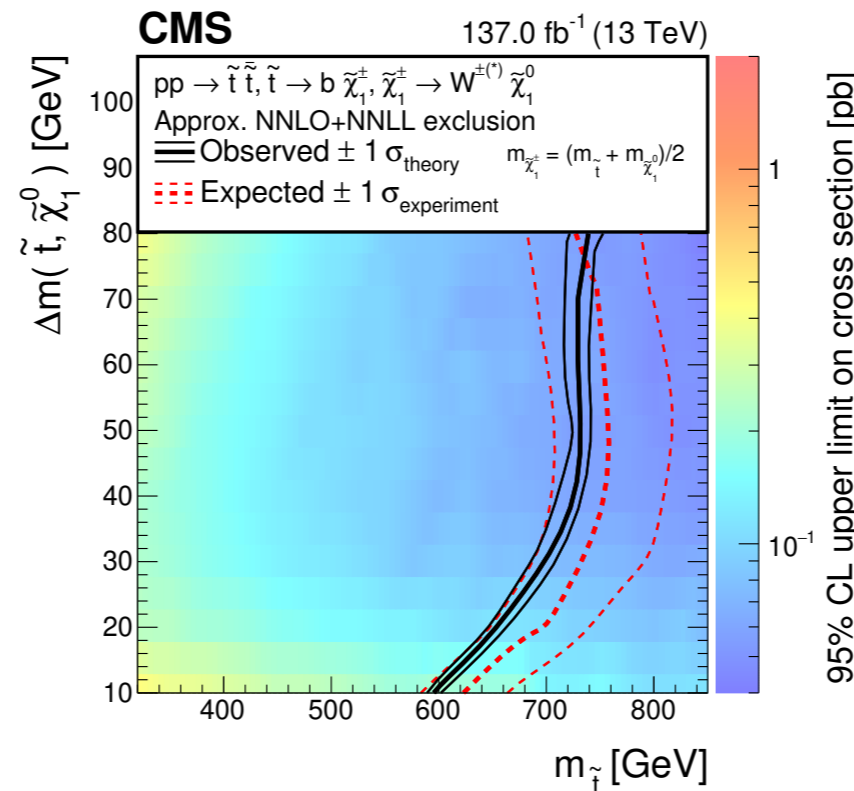
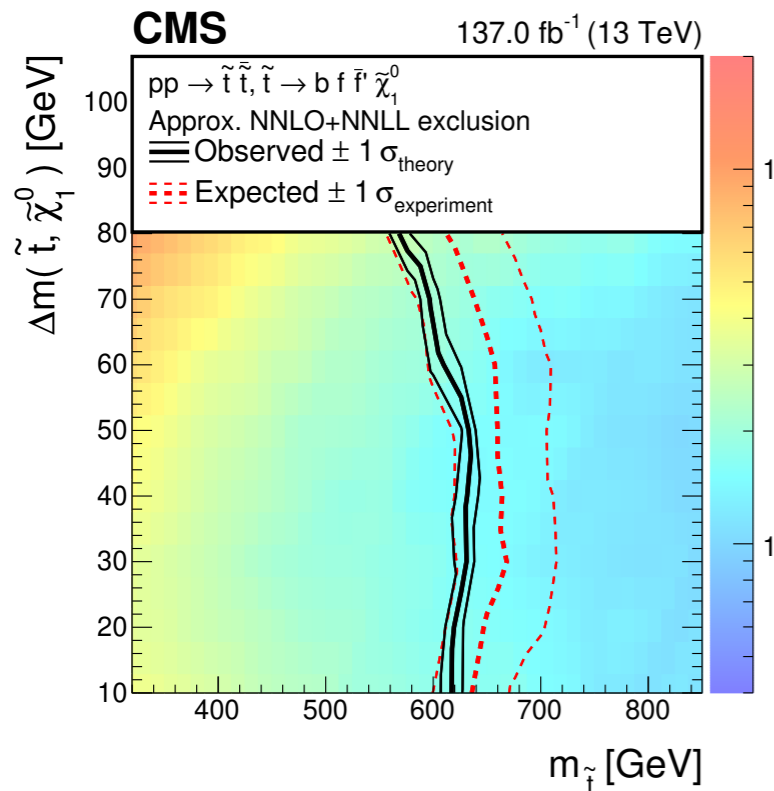
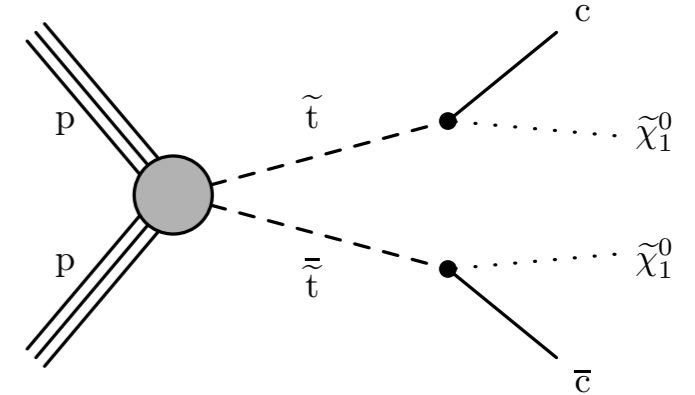
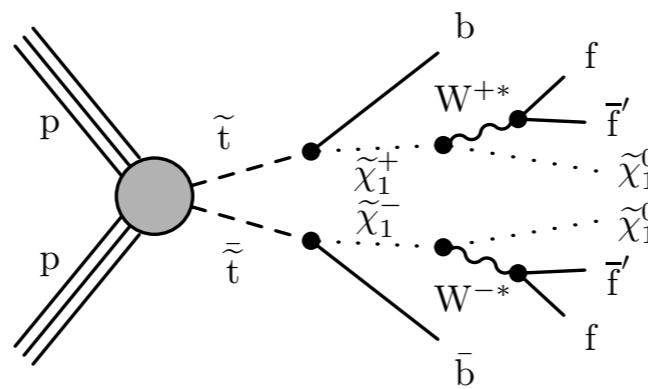
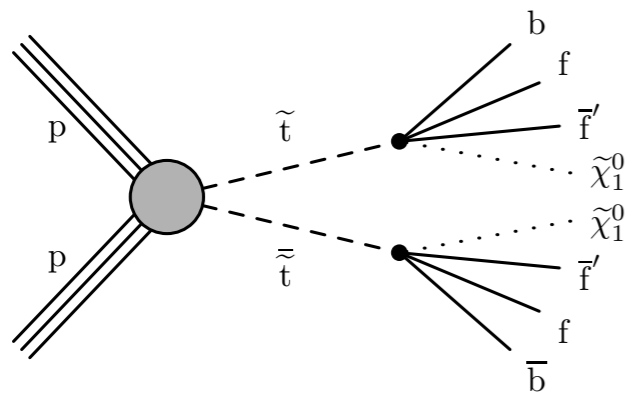
# ADDITIONAL SIGNAL MODELS

- Models with intermediate chargino in top squark decay chain



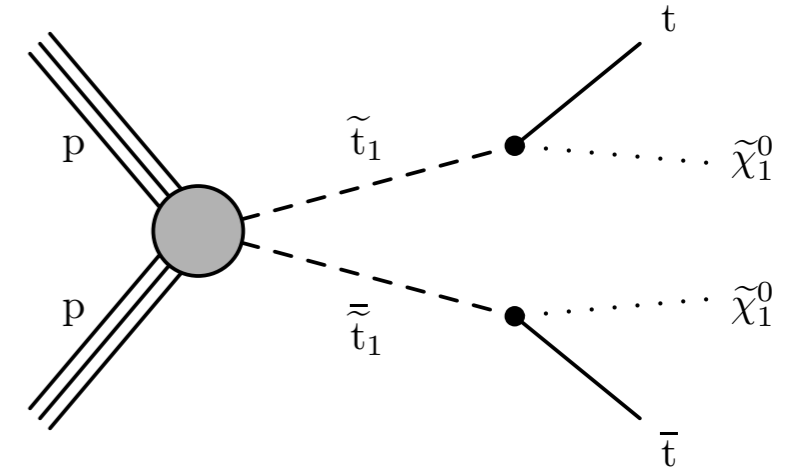
# ADDITIONAL SIGNAL MODELS

- Signal models with  $\Delta m < m_W$ 
  - Decays of top squarks via off-shell top quarks or W bosons

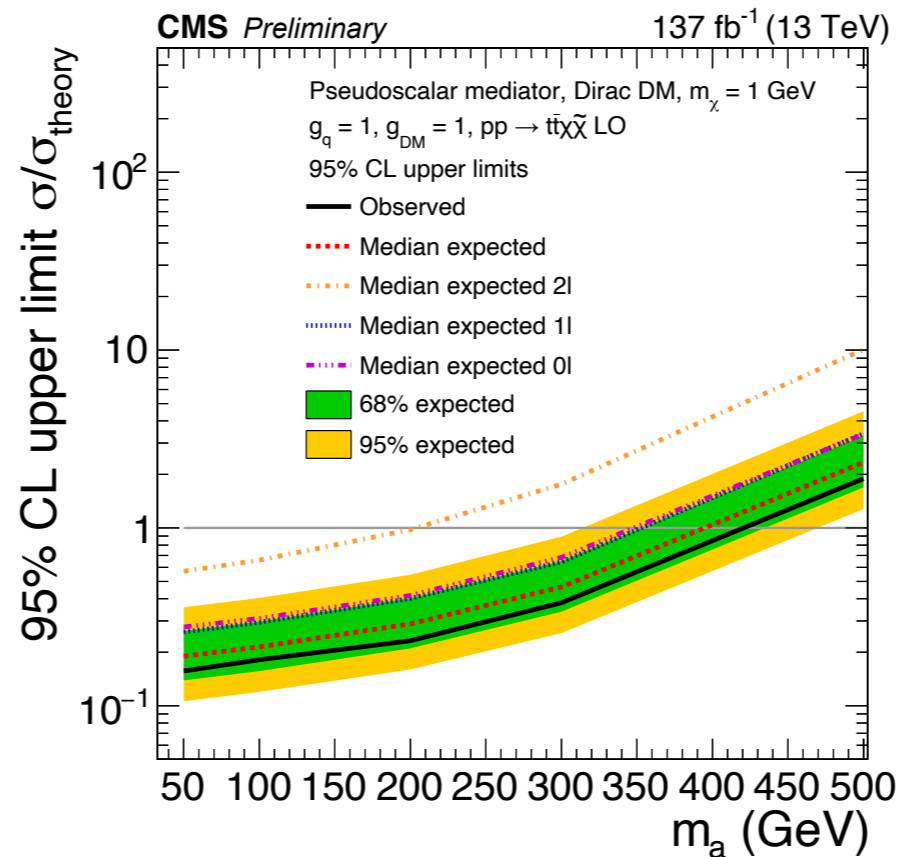
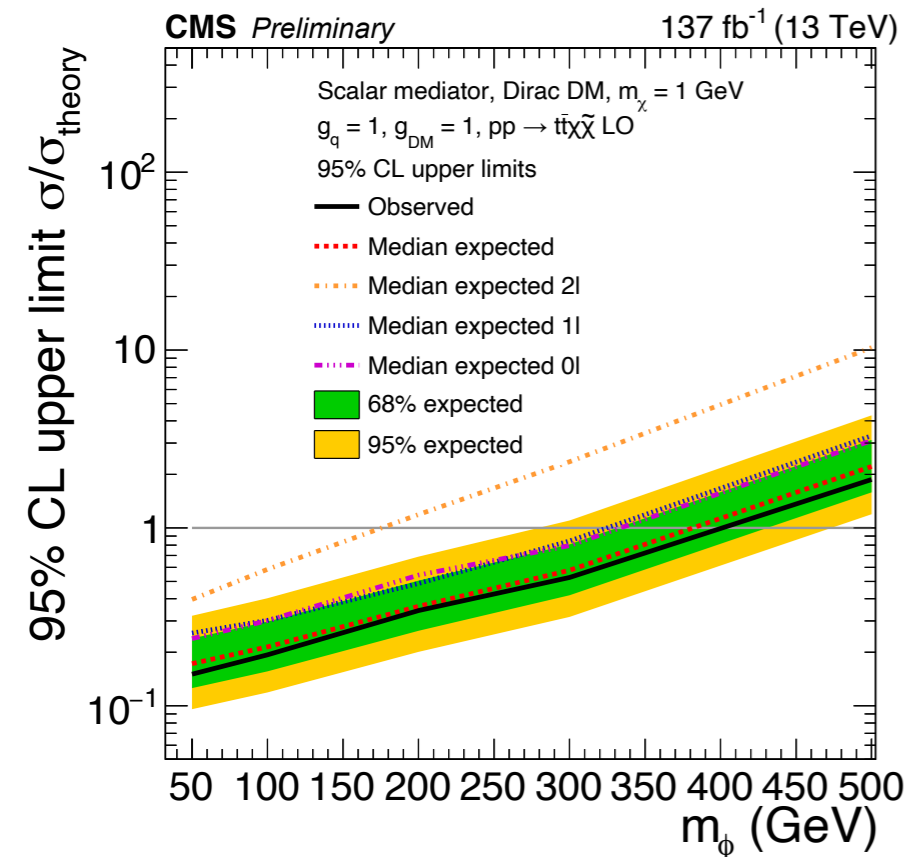
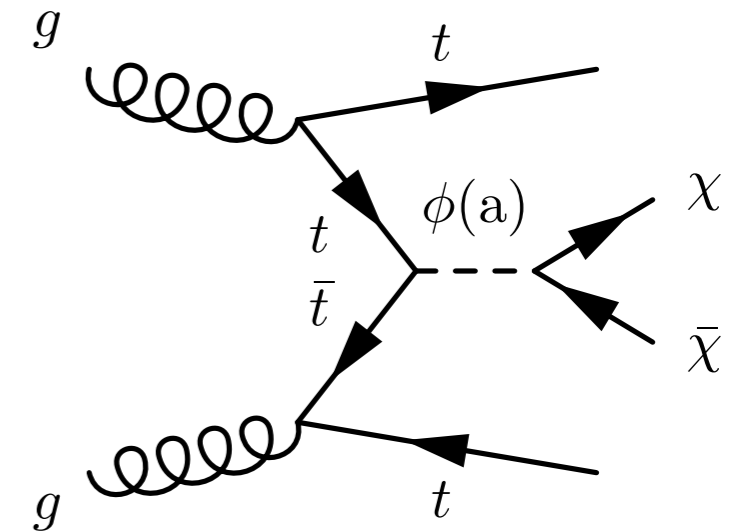


# INCLUSIVE SEARCHES

- Searches are designed to be inclusive
- Other signal models produce similar final states, e.g. mediated dark matter production in association with  $t\bar{t}$ :  $pp \rightarrow t\bar{t}\chi\bar{\chi}$
- Assumes scalar/pseudoscalar mediator with couplings similar to SM Higgs boson
  - Currently best limits for this model



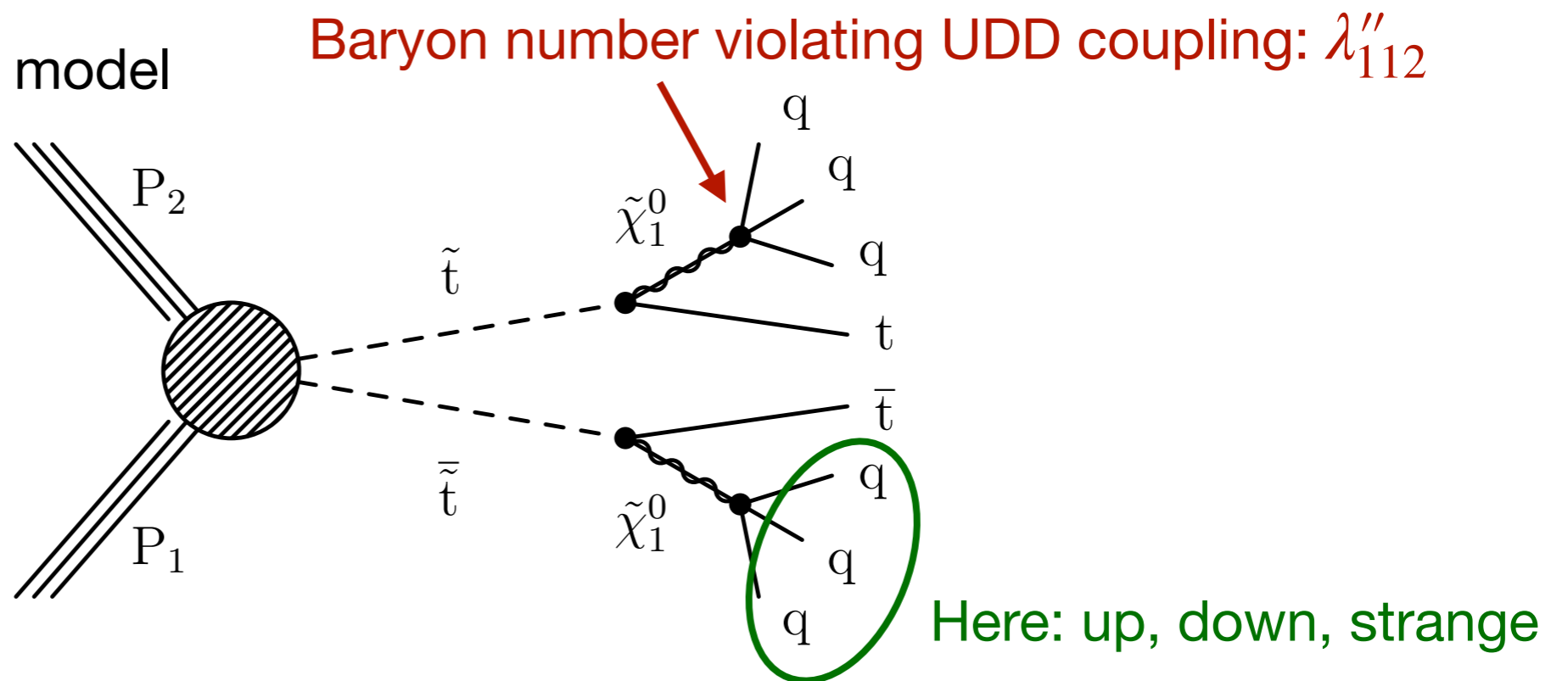
$t\bar{t}$  +  $p_T^{\text{miss}}$



# WHAT IF ...?

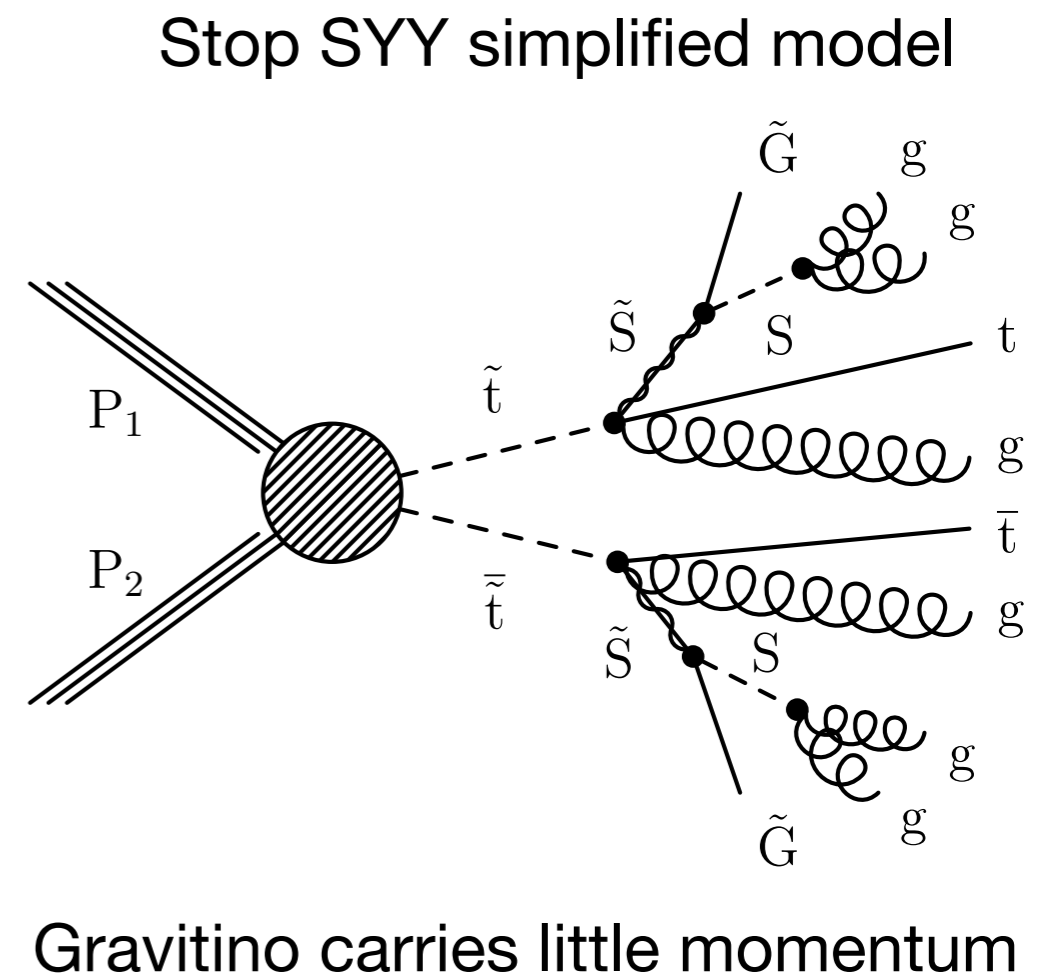
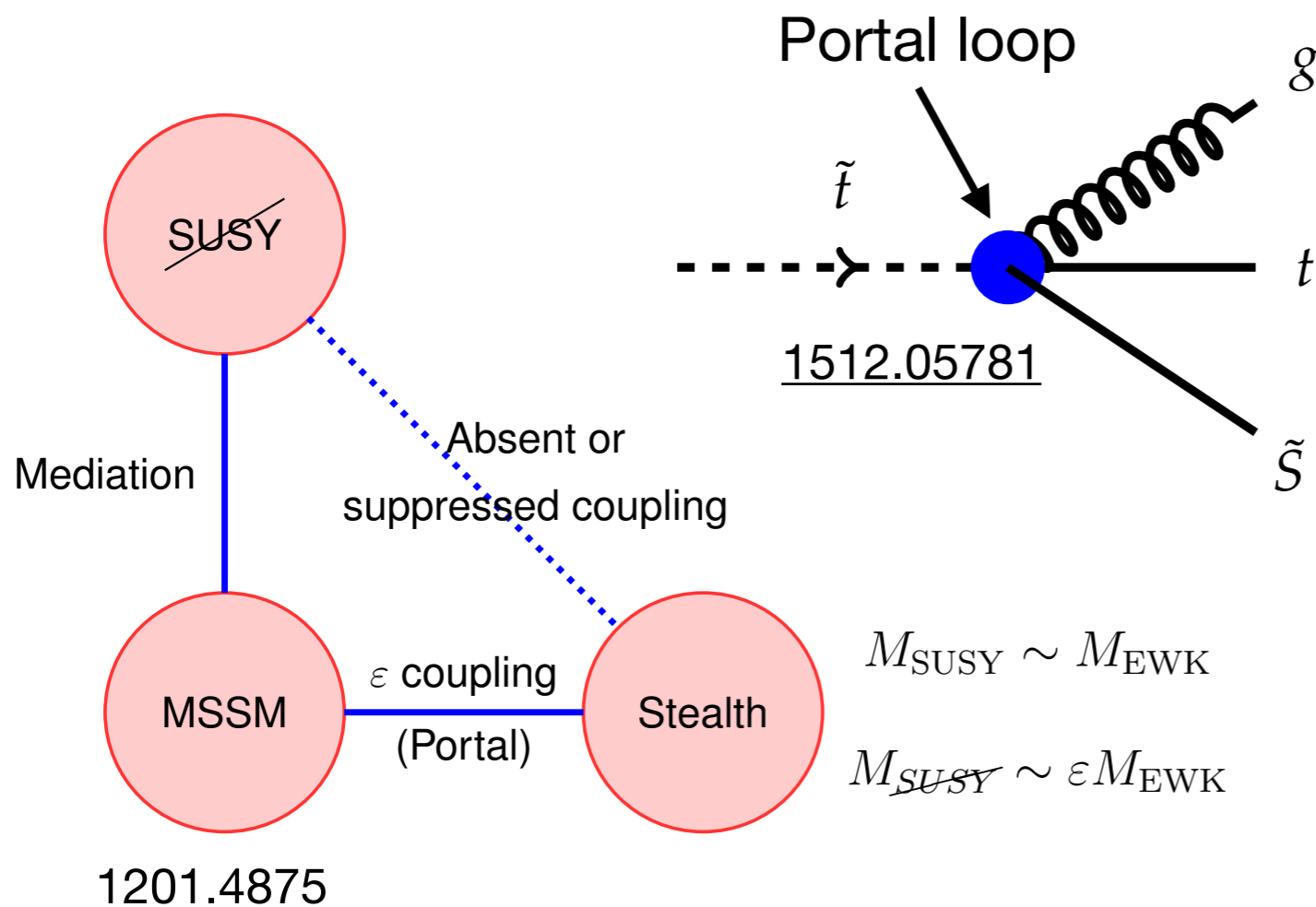
- What if R-parity is violated (RPV SUSY)?
- Searches are inclusive but rely on  $p_T^{\text{miss}}$  → not present if LSP decays back into stable SM particles
  - E.g. through interaction terms that do not conserve B or L, decay via off-shell squark
- Couplings:  $\lambda''_{ijk}$  with i, j, k corresponding to generation of quarks

Simplified RPV model



# WHAT IF ...?

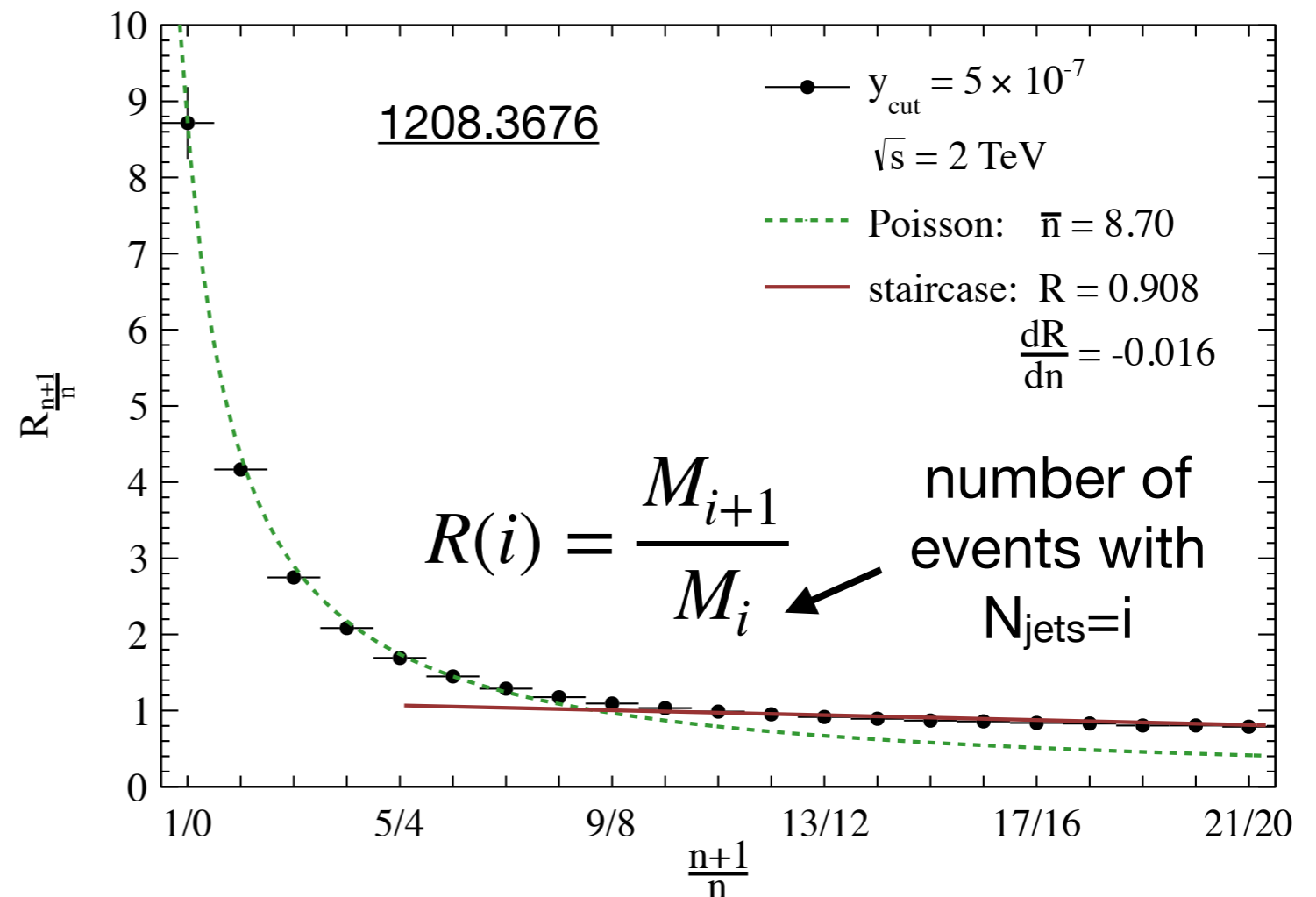
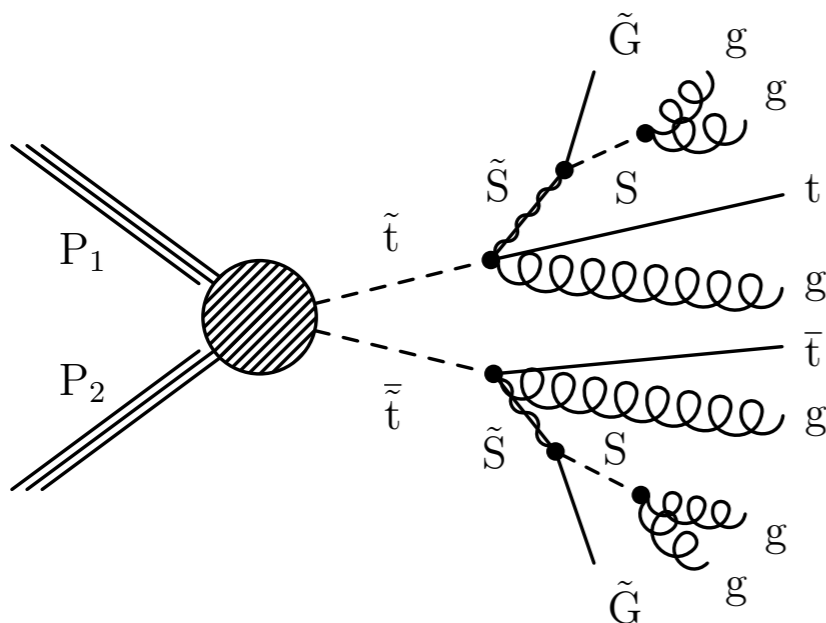
- Several ways to end up with low  $p_T^{\text{miss}}$ , not just previous RPV model
- Another example: R-parity conserving SUSY with Stealth sector, coupled to MSSM via portal
- Small mass splitting between superpartners in stealth sector



# SEARCH FOR RPV/STEALTH STOPS

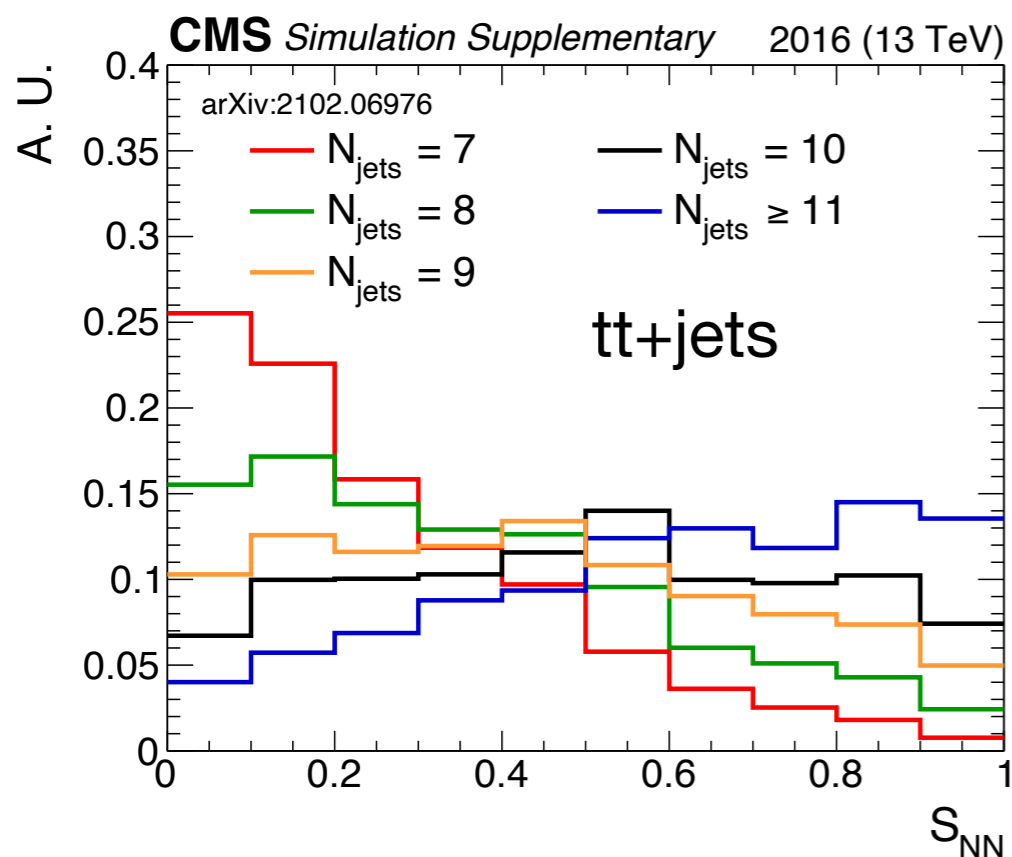
- Final state:  $t\bar{t}$ +jets
  - Select events with single lepton to suppress QCD multijet production
- Most distinct feature: jet multiplicity  $N_{\text{jets}}$   $\rightarrow$  difficult to model
- Parametrize  $N_{\text{jets}}$  with jet scaling function  $R(i)$  which can be well modeled by functional form

$t\bar{t}$  with many additional light flavor jets

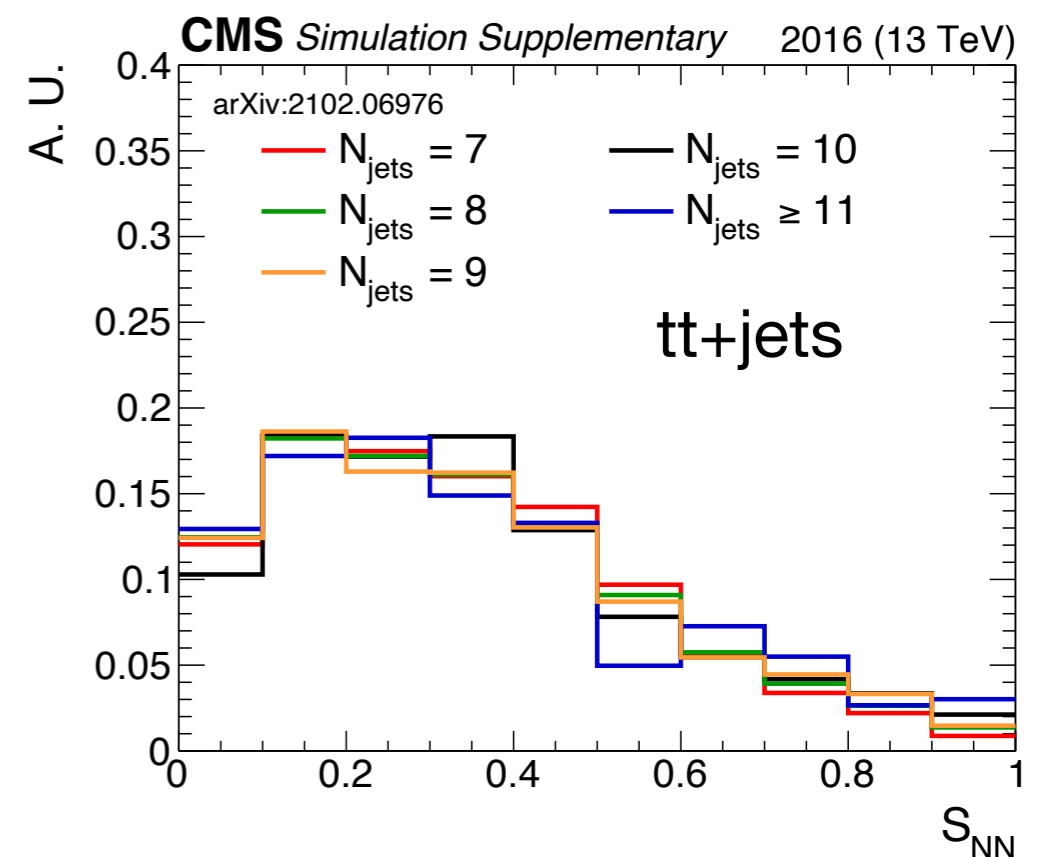


# NEURAL NETWORK VS SM $tt+JETS$

- Event shape and kinematic variables used in a NN, score  $S_{NN}$ 
  - $S_{NN}$  correlated with  $N_{jets}$
- Gradient reversal is used to decorrelate  $S_{NN}$  and  $N_{jets}$
- Allows to use  $N_{jets}$  spectrum in the signal extraction fit in 4 bins of  $S_{NN}$

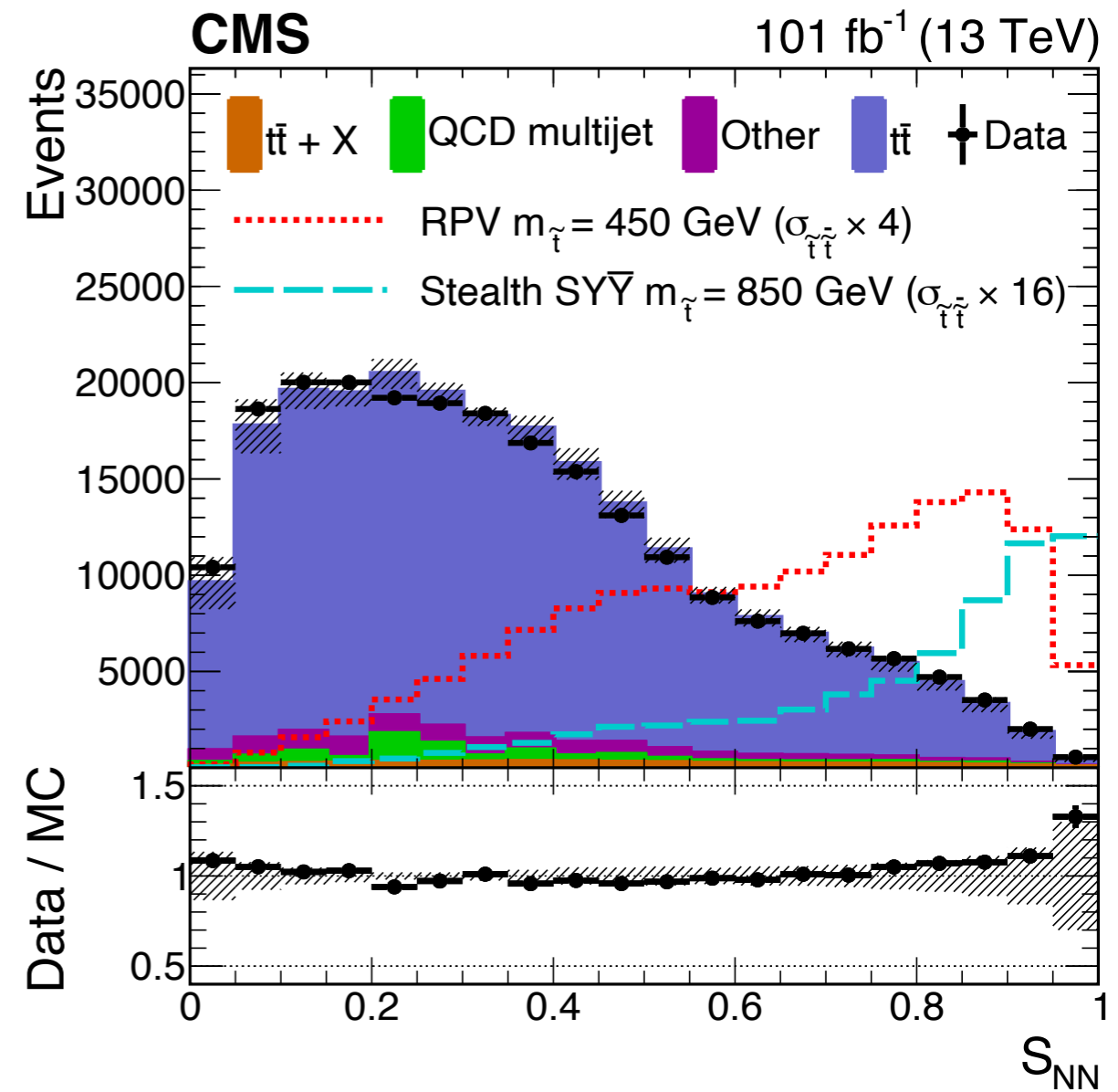
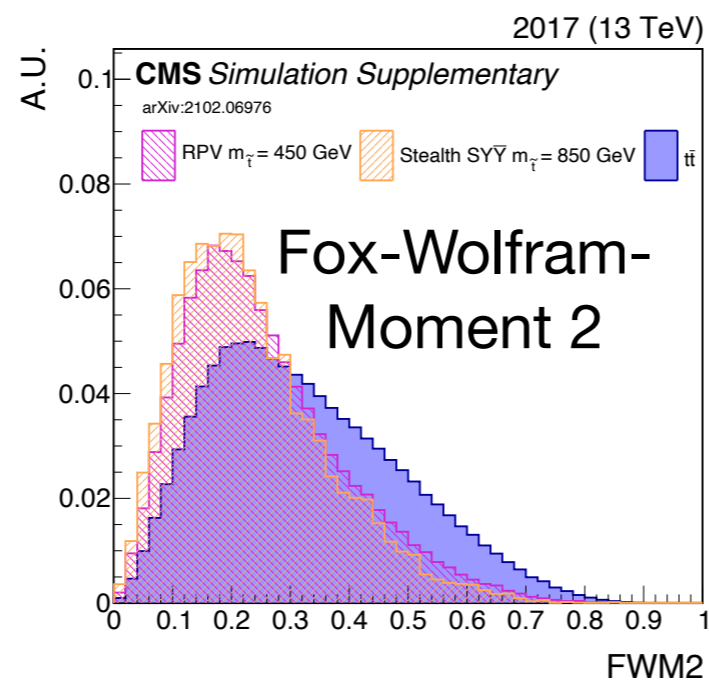
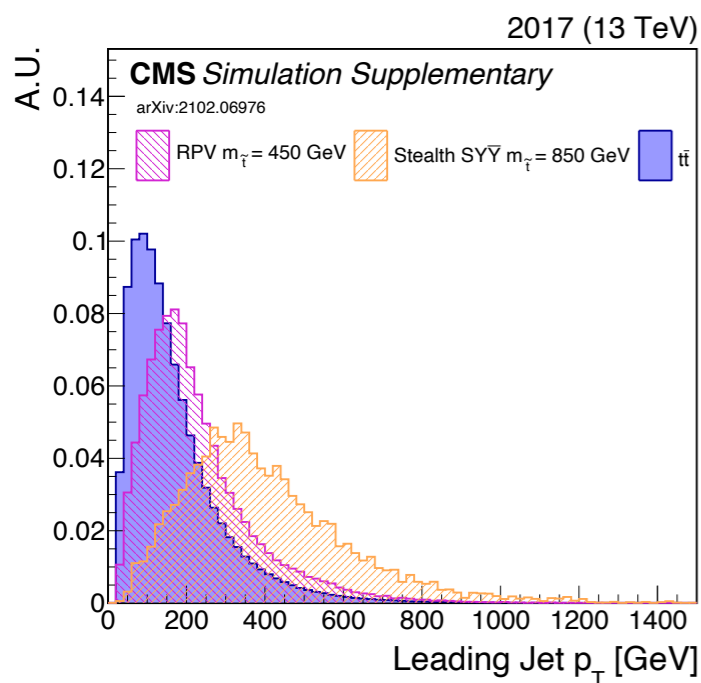


gradient reversal  
→



# DNN TRAINING AND RESPONSE

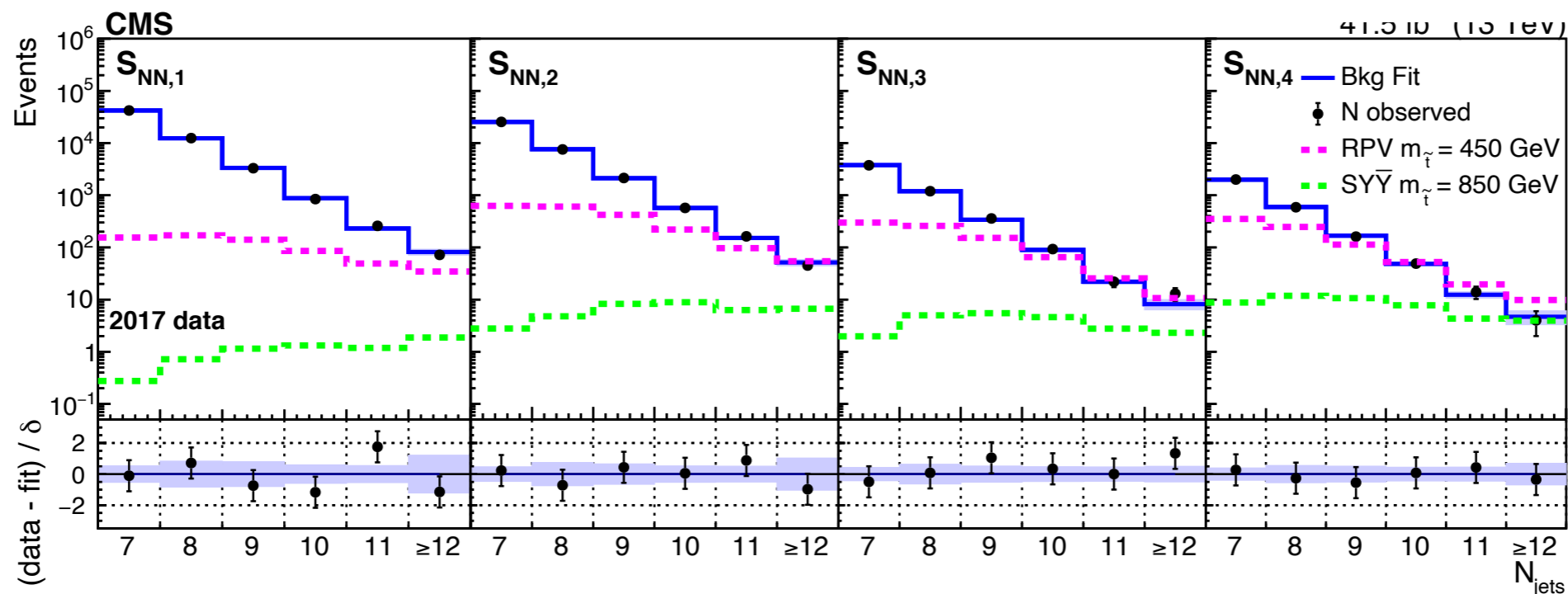
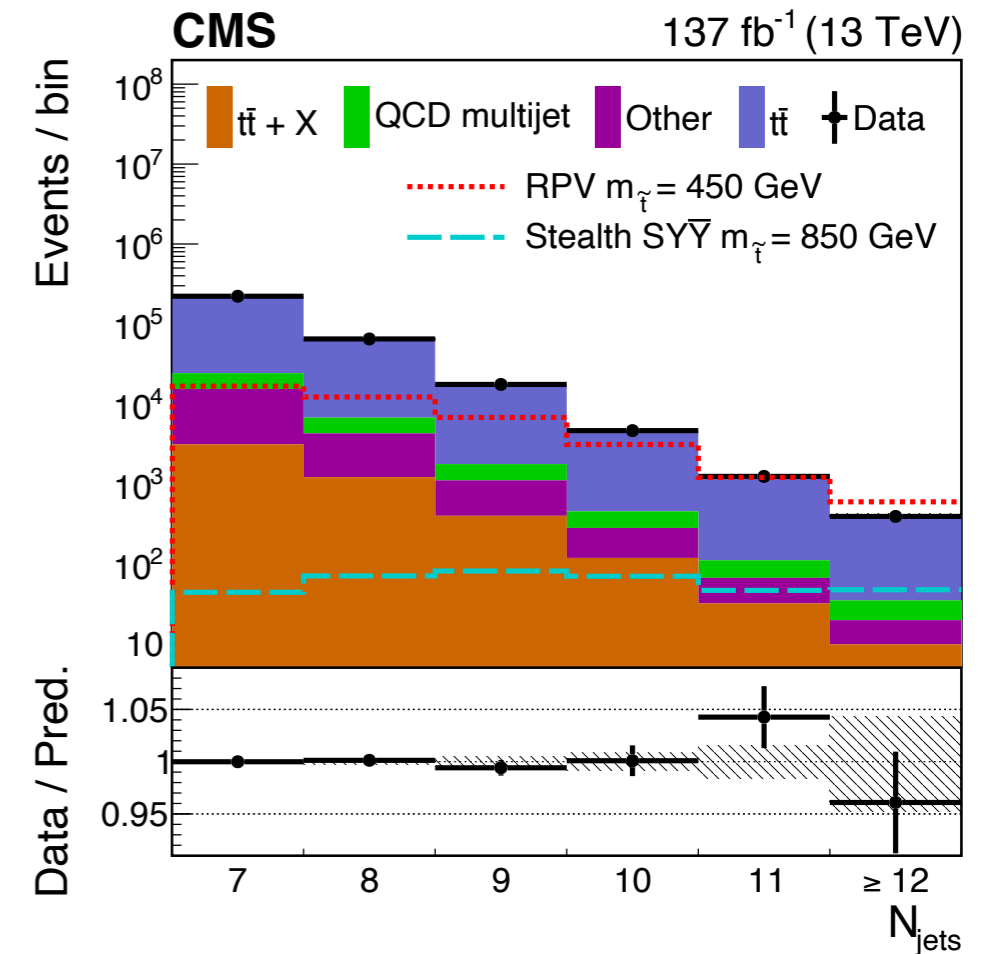
- NN training done on mix of signal models with  $m(\text{stop})$  350-850 GeV
- Agreement of data and simulation within uncertainty





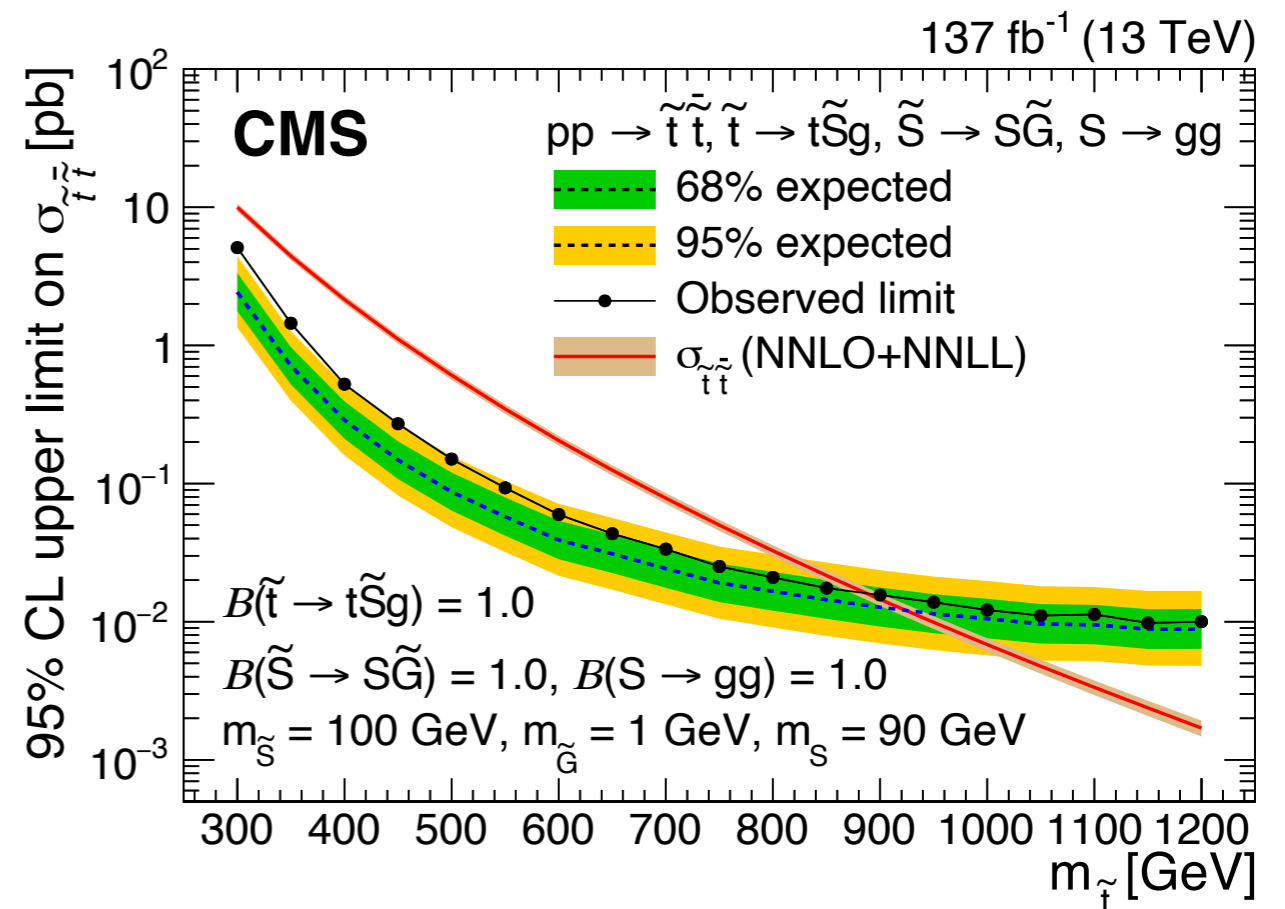
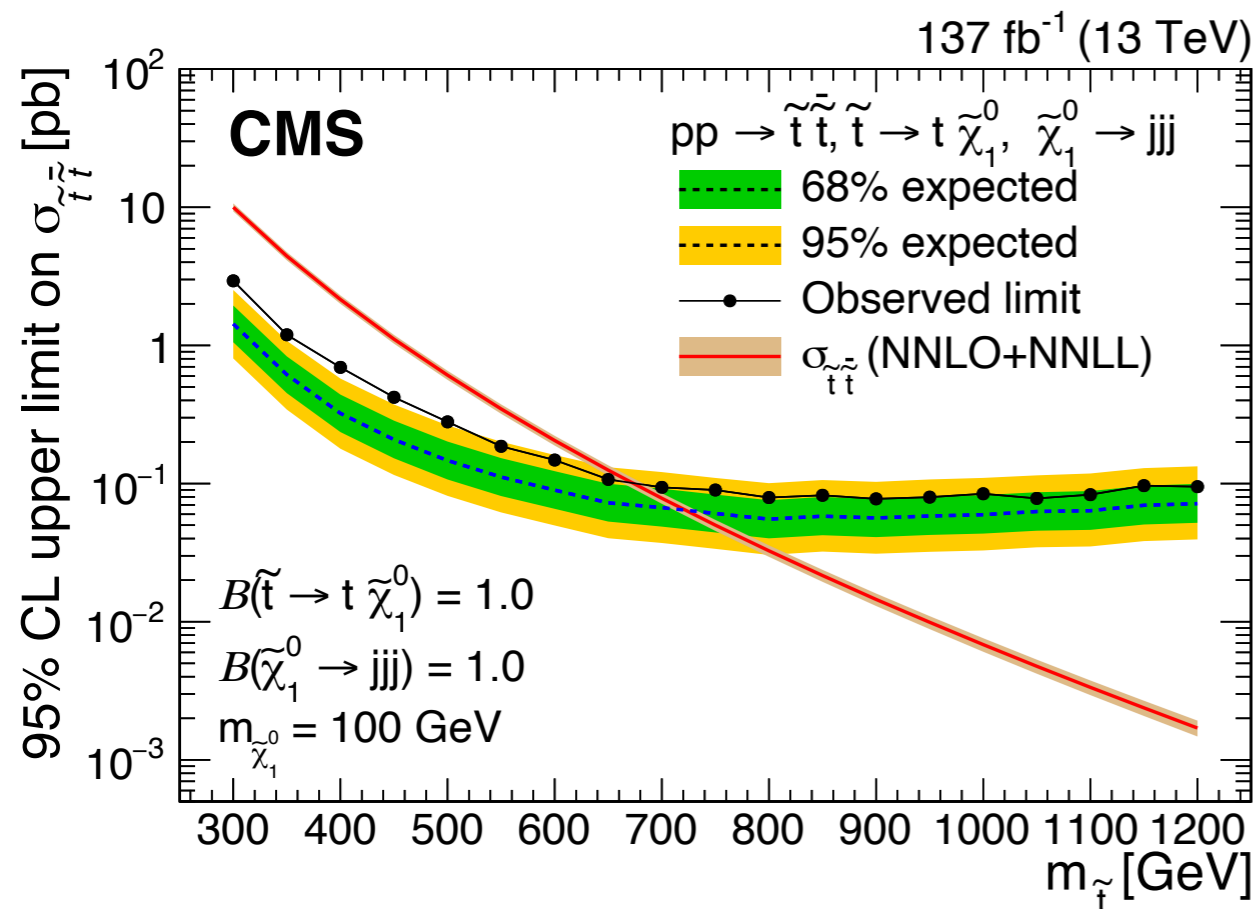
# RESULTS

- Fits of functional form describing  $N_{\text{jets}}$  to data
  - Using 4  $S_{\text{NN}}$  bins in 4 data taking eras
- Agreement of background only fit in combined  $S_{\text{NN}}$  bins and years
- Similar agreement in individual regions / eras



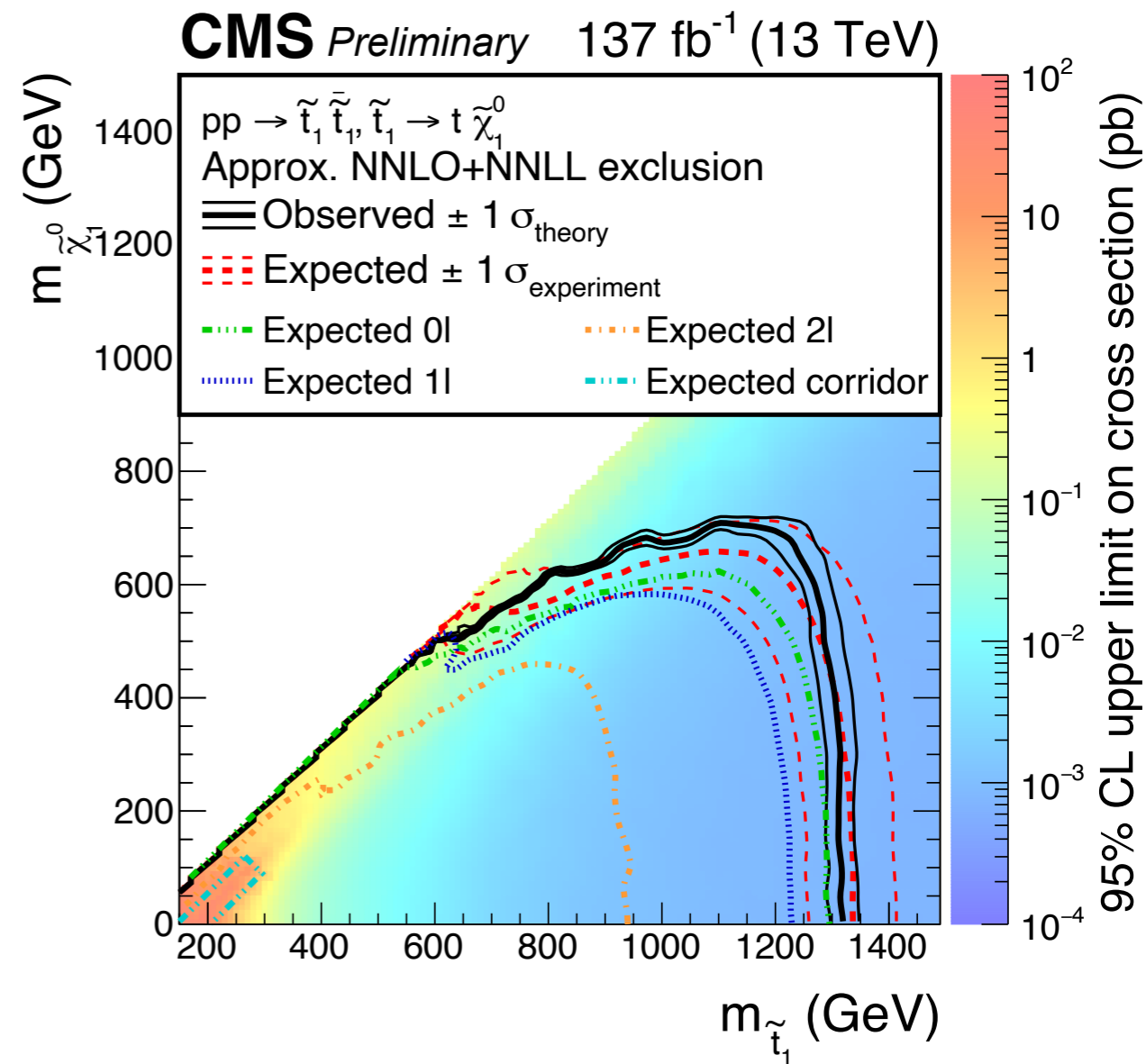
# INTERPRETATIONS

- Results interpreted in RPV and stealth SUSY model as function of  $m(\text{stop})$
- Largest local significances of  $2.8\sigma$  for RPV model with  $m(\text{stop}) = 400$  GeV,  $2.5\sigma$  for stealth SUSY with  $m(\text{stop}) = 350$  GeV



# CONCLUSIONS

- New developments in search strategies and tools have greatly improved the constraints on top squarks
  - Boosted object tagging, soft b-tagging,  $p_T^{\text{miss}}$  significance, ...
  - Dedicated top corridor search allows to also constrain very particular region of parameter space
  - From 800 GeV in  $m(\text{stop})$  in Run 1 to above 1300 GeV
- Novel search for RPV and stealth top squarks exhibits excellent sensitivity to previously uncovered signal scenarios



# BACKUP

# BIBLIOGRAPHY

CMS has conducted various searches for top squarks during Run 2 of the LHC (2015 - 2018):

Search for top squark production in fully-hadronic final states, submitted to PRD

Search for direct top squark pair production in events with one lepton, jets, and missing transverse momentum, JHEP 05 (2020) 032

Search for top squark pair production using dilepton final states, Eur.Phys.J.C 81 (2021)

Combined searches for the production of supersymmetric top quark partners, CMS-SUS-20-002

Search for top squarks in final states with two top quarks and several light-flavor jets, submitted to PRD

# SUPERSYMMETRY

Standard model

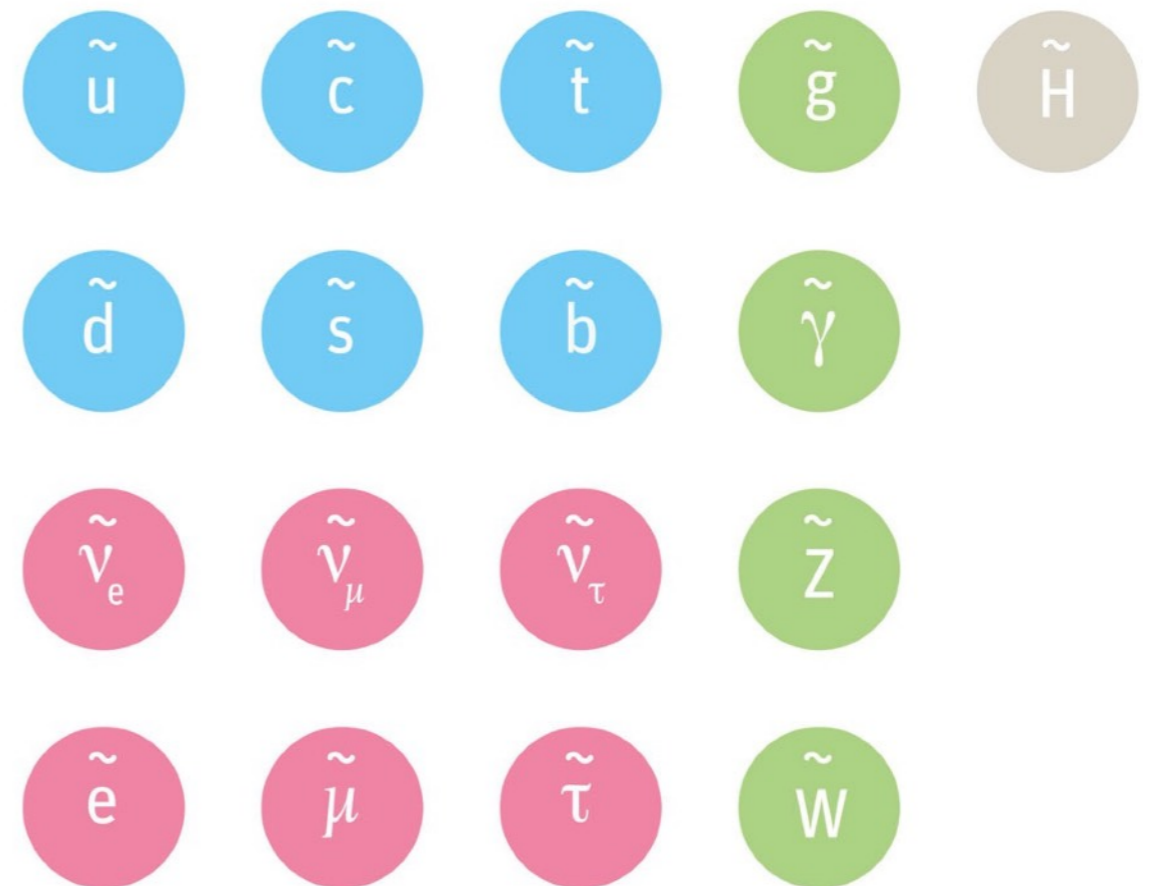
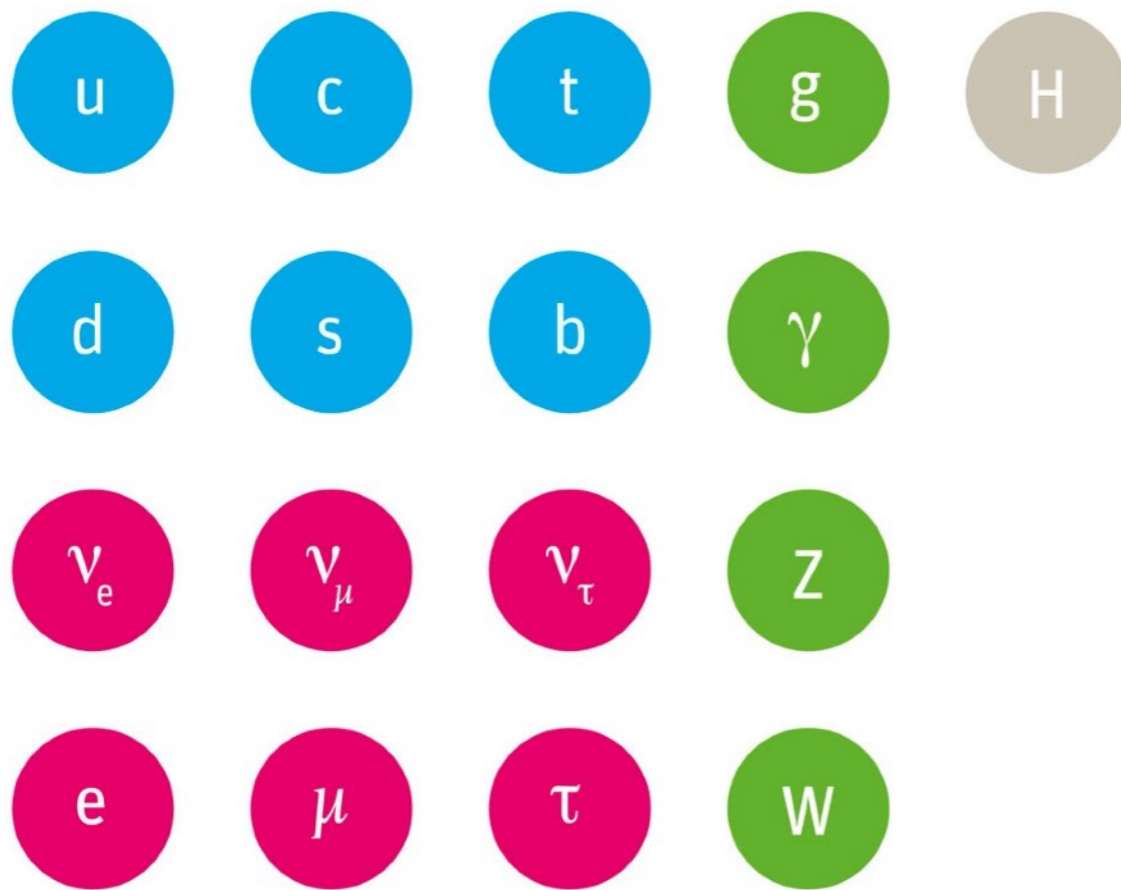
Supersymmetric partners

Fermions

Bosons

Bosons

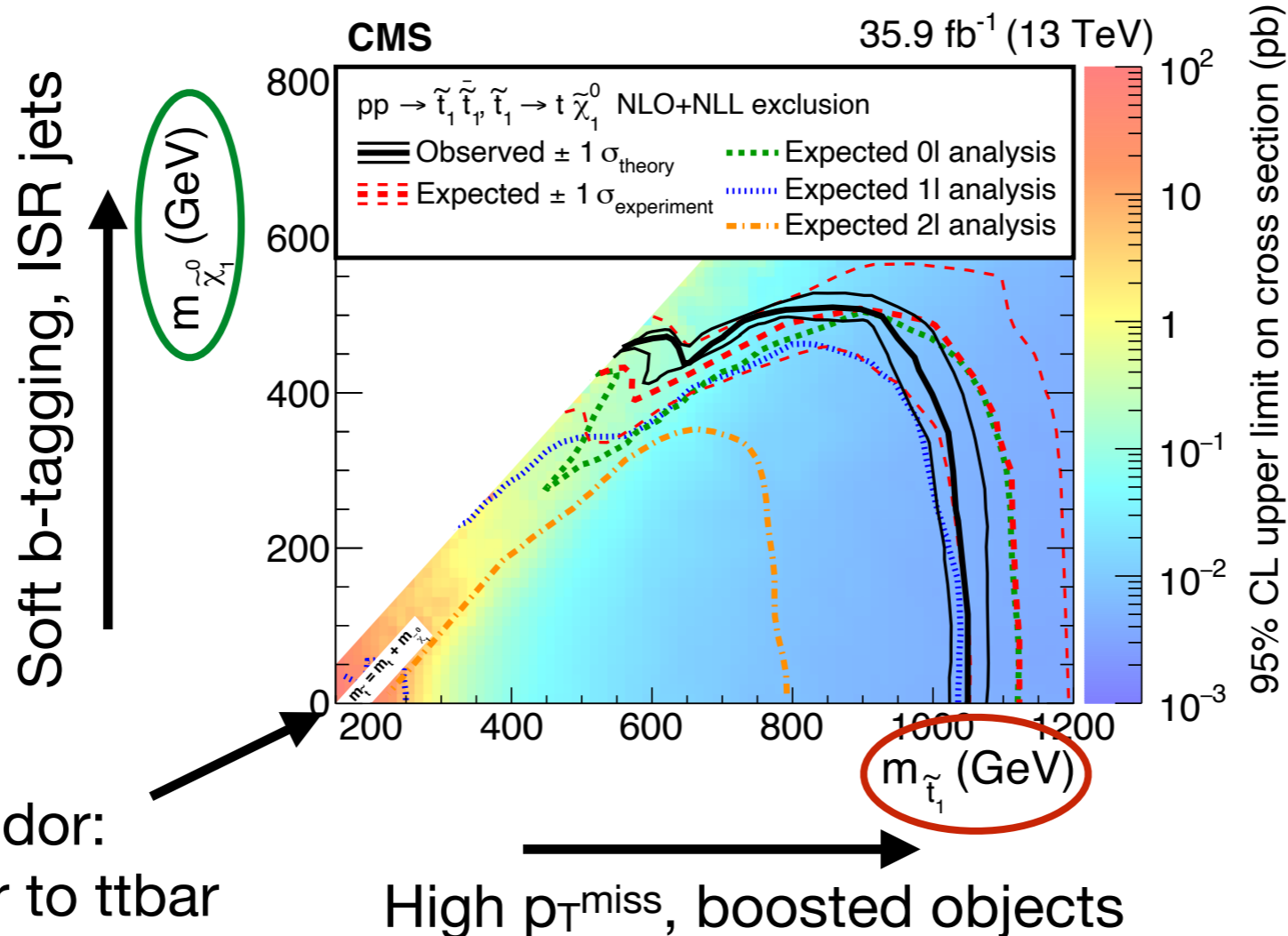
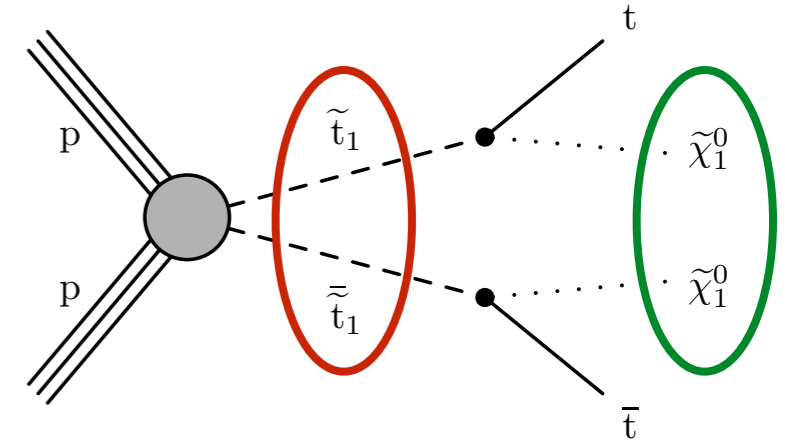
Fermions



“light” ← soft SUSY breaking → “heavy”

# STOPS AT THE BEGINNING OF RUN 2

- Simplified model assuming R parity conservation: top squark pair production, prompt decay to a top quark and the stable lightest neutralino (LSP)  $\rightarrow$  two parameters to scan
- Different challenges depending on  $\Delta m$  between the particles



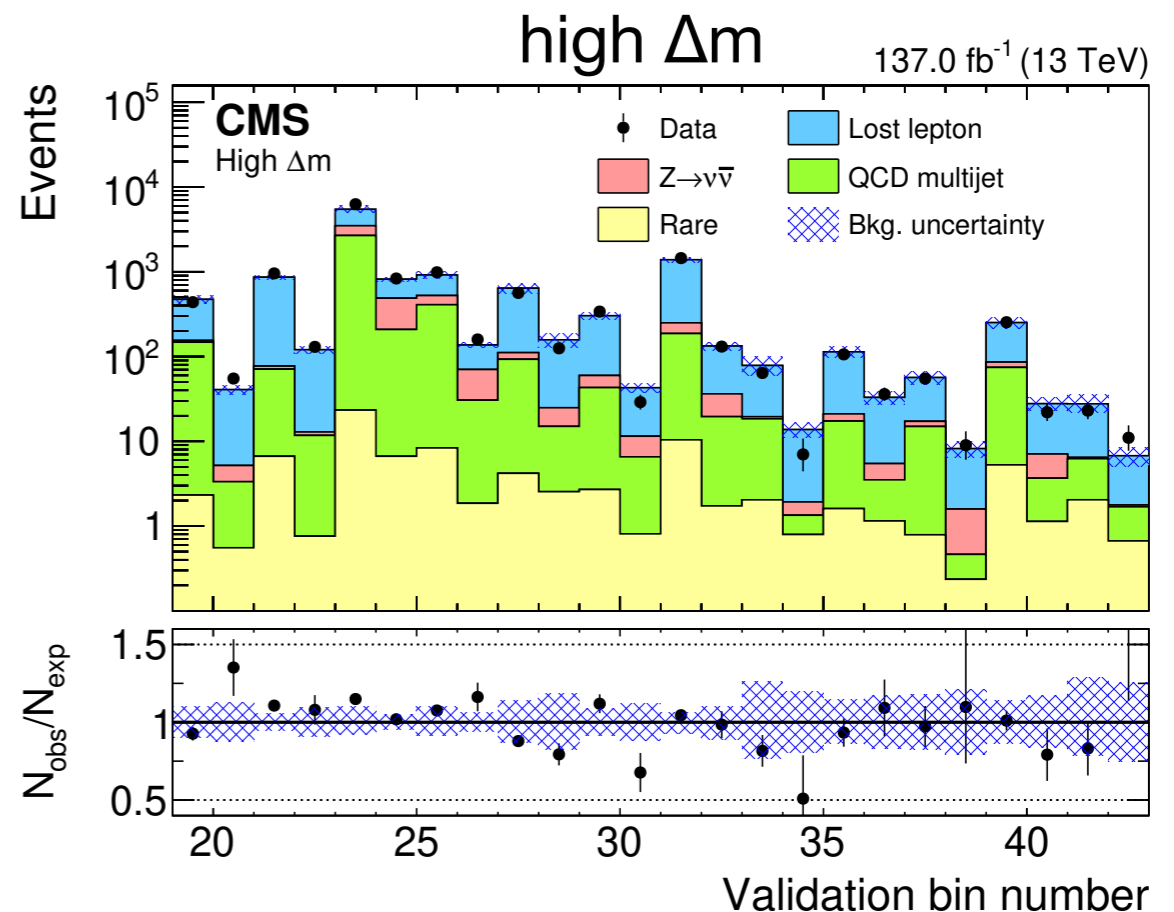
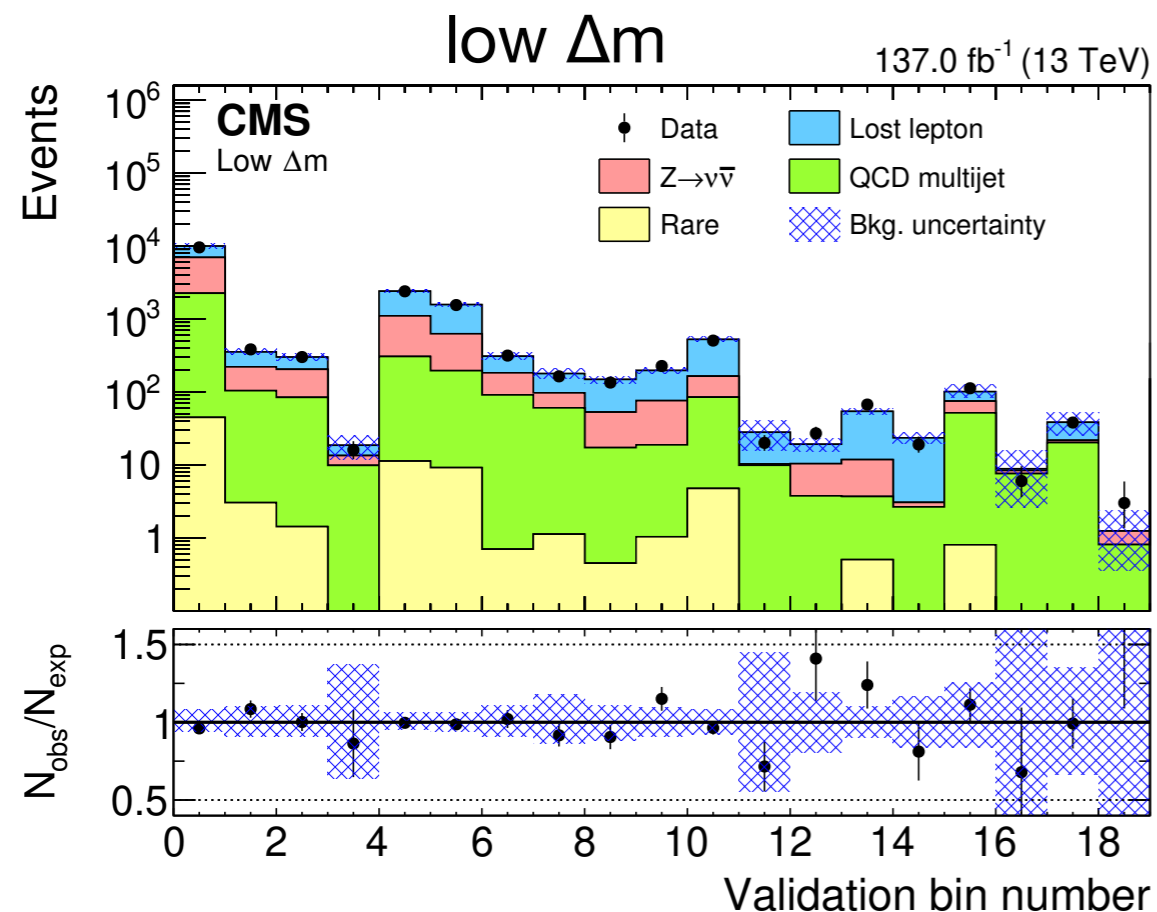
# SIGNAL REGIONS ALL HADRONIC

$m_T^b$ [GeV]	$N_j$	$N_b$	$N_t$	$N_W$	$N_{res}$	$H_T$ [GeV]	$p_T^{miss}$ [GeV]	Bin number
<175	$\geq 7$	1	$\geq 0$	$\geq 0$	$\geq 1$	>300	[250, 300, 400, 500, $\infty$ ]	53–56
<175	$\geq 7$	$\geq 2$	$\geq 0$	$\geq 0$	$\geq 1$	>300	[250, 300, 400, 500, $\infty$ ]	57–60
>175	$\geq 5$	1	0	0	0	>1000	[250, 350, 450, 550, $\infty$ ]	61–64
>175	$\geq 5$	$\geq 2$	0	0	0	>1000	[250, 350, 450, 550, $\infty$ ]	65–68
>175	$\geq 5$	1	$\geq 1$	0	0	300–1000	[250, 550, 650, $\infty$ ]	69–71
>175	$\geq 5$	1	$\geq 1$	0	0	1000–1500	[250, 550, 650, $\infty$ ]	72–74
>175	$\geq 5$	1	$\geq 1$	0	0	>1500	[250, 550, 650, $\infty$ ]	75–77
>175	$\geq 5$	1	0	$\geq 1$	0	300–1300	[250, 350, 450, $\infty$ ]	78–80
>175	$\geq 5$	1	0	$\geq 1$	0	>1300	[250, 350, 450, $\infty$ ]	81–83
>175	$\geq 5$	1	0	0	$\geq 1$	300–1000	[250, 350, 450, 550, 650, $\infty$ ]	84–88
>175	$\geq 5$	1	0	0	$\geq 1$	1000–1500	[250, 350, 450, 550, 650, $\infty$ ]	89–93
>175	$\geq 5$	1	0	0	$\geq 1$	>1500	[250, 350, 450, 550, 650, $\infty$ ]	94–98
>175	$\geq 5$	1	$\geq 1$	$\geq 1$	0	>300	[250, 550, $\infty$ ]	99–100
>175	$\geq 5$	1	$\geq 1$	0	$\geq 1$	>300	[250, 550, $\infty$ ]	101–102
>175	$\geq 5$	1	0	$\geq 1$	$\geq 1$	>300	[250, 550, $\infty$ ]	103–104
>175	$\geq 5$	2	1	0	0	300–1000	[250, 550, 650, $\infty$ ]	105–107
>175	$\geq 5$	2	1	0	0	1000–1500	[250, 550, 650, $\infty$ ]	108–110
>175	$\geq 5$	2	1	0	0	>1500	[250, 550, 650, $\infty$ ]	111–113
>175	$\geq 5$	2	0	1	0	300–1300	[250, 350, 450, $\infty$ ]	114–116
>175	$\geq 5$	2	0	1	0	>1300	[250, 350, 450, $\infty$ ]	117–119
>175	$\geq 5$	2	0	0	1	300–1000	[250, 350, 450, 550, 650, $\infty$ ]	120–124
>175	$\geq 5$	2	0	0	1	1000–1500	[250, 350, 450, 550, 650, $\infty$ ]	125–129
>175	$\geq 5$	2	0	0	1	>1500	[250, 350, 450, 550, 650, $\infty$ ]	130–134
>175	$\geq 5$	2	1	1	0	>300	[250, 550, $\infty$ ]	135–136
>175	$\geq 5$	2	1	0	1	300–1300	[250, 350, 450, $\infty$ ]	137–139
>175	$\geq 5$	2	1	0	1	>1300	[250, 350, 450, $\infty$ ]	140–142
>175	$\geq 5$	2	0	1	1	>300	[250, 550, $\infty$ ]	143–144
>175	$\geq 5$	2	2	0	0	>300	[250, 450, $\infty$ ]	145–146
>175	$\geq 5$	2	0	2	0	>300	>250	147
>175	$\geq 5$	2	0	0	2	300–1300	[250, 450, $\infty$ ]	148–149
>175	$\geq 5$	2	0	0	2	>1300	[250, 450, $\infty$ ]	150–151
>175	$\geq 5$	2	$N_t + N_W + N_{res} \geq 3$			>300	>250	152
>175	$\geq 5$	$\geq 3$	1	0	0	300–1000	[250, 350, 550, $\infty$ ]	153–155
>175	$\geq 5$	$\geq 3$	1	0	0	1000–1500	[250, 350, 550, $\infty$ ]	156–158
>175	$\geq 5$	$\geq 3$	1	0	0	>1500	[250, 350, 550, $\infty$ ]	159–161
>175	$\geq 5$	$\geq 3$	0	1	0	>300	[250, 350, 550, $\infty$ ]	162–164
>175	$\geq 5$	$\geq 3$	0	0	1	300–1000	[250, 350, 550, $\infty$ ]	165–167
>175	$\geq 5$	$\geq 3$	0	0	1	1000–1500	[250, 350, 550, $\infty$ ]	168–170
>175	$\geq 5$	$\geq 3$	0	0	1	>1500	[250, 350, 550, $\infty$ ]	171–173
>175	$\geq 5$	$\geq 3$	1	1	0	>300	>250	174
>175	$\geq 5$	$\geq 3$	1	0	1	>300	[250, 350, $\infty$ ]	175–176
>175	$\geq 5$	$\geq 3$	0	1	1	>300	>250	177
>175	$\geq 5$	$\geq 3$	2	0	0	>300	>250	178
>175	$\geq 5$	$\geq 3$	0	2	0	>300	>250	179
>175	$\geq 5$	$\geq 3$	0	0	2	>300	[250, 350, $\infty$ ]	180–181
>175	$\geq 5$	$\geq 3$	$N_t + N_W + N_{res} \geq 3$			>300	>250	182

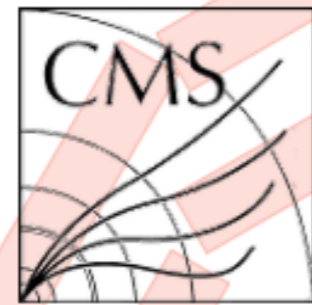


# VALIDATION

- Background estimates validated in dedicated signal depleted samples orthogonal to signal regions
  - Kinematically similar to signal regions
- Inverting separation requirement of jets and  $p_T^{\text{miss}}$



# CANDIDATE EVENTS



CMS Experiment at LHC, CERN  
Data recorded: Mon Oct 1 20:06:18 2018 CDT  
Run/Event: 323841 / 471815433  
Lumi section: 288  
Orbit/Crossing: 75414248 / 2645

Merged top  
M=176 GeV

Merged Top  
pt: 545.0  
eta: -0.31  
phi: 2.19

Additional  
AK4 jets

MET  
pt: 292.58  
phi: -0.29

Merged Top  
pt: 423.25  
eta: -0.36  
phi: -1.23

Merged top  
M=155 GeV

# Z → INV BACKGROUND

- Z → νν events have large genuine  $p_T^{\text{miss}}$
- Two data control samples used to estimate Z → νν background
  - Z → ll to extract normalization factor  $R_Z$
  - γ+jets for shape correction factor  $S_\gamma$

$$N_{\text{pred}}^{Z(\nu\bar{\nu})+\text{jets}} = R_Z S_\gamma N_{\text{MC}}^{Z(\nu\bar{\nu})+\text{jets}}$$

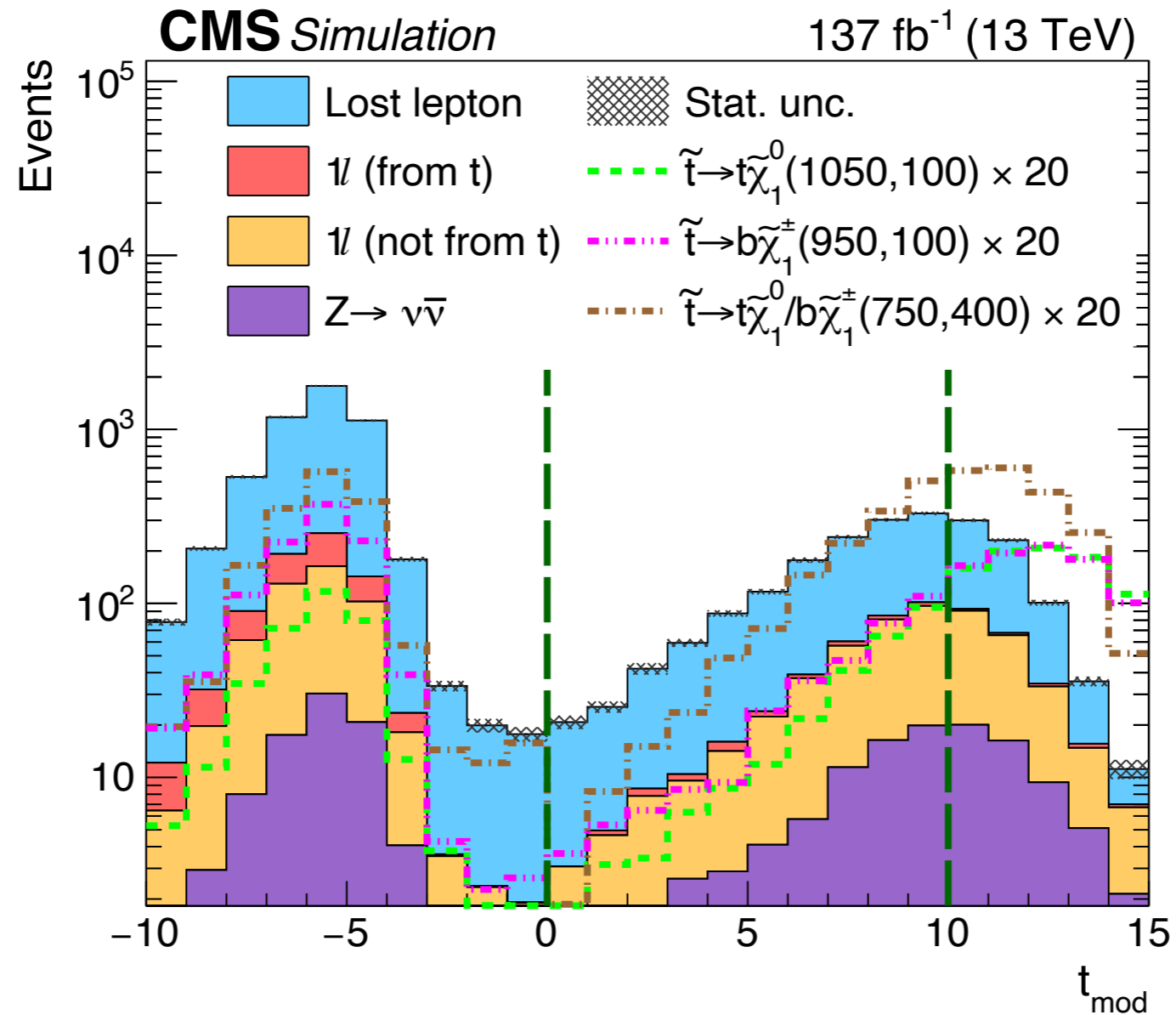
# SINGLE LEPTON SEARCH

Label	$N_j$	$t_{\text{mod}}$	$M_{\ell b}$ [GeV]	ttagging category	$p_{\text{T}}^{\text{miss}}$ bins [GeV]
A0				—	[600, 750, $+\infty$ ]
A1	2–3	$>10$	$\leq 175$	U	[350, 450, 600]
A2				M	[250, 600]
B	2–3	$>10$	$>175$	—	[250, 450, 700, $+\infty$ ]
C	$\geq 4$	$\leq 0$	$\leq 175$	—	[350, 450, 550, 650, 800, $+\infty$ ]
D	$\geq 4$	$\leq 0$	$>175$	—	[250, 350, 450, 600, $+\infty$ ]
E0				—	[450, 600, $+\infty$ ]
E1	$\geq 4$	0–10	$\leq 175$	U	[250, 350, 450]
E2				M	[250, 350, 450]
E3				R	[250, 350, 450]
F	$\geq 4$	0–10	$>175$	—	[250, 350, 450, $+\infty$ ]
G0				—	[450, 550, 750, $+\infty$ ]
G1	$\geq 4$	$>10$	$\leq 175$	U	[250, 350, 450]
G2				M	[250, 350, 450]
G3				R	[250, 350, 450]
H	$\geq 4$	$>10$	$>175$	—	[250, 500, $+\infty$ ]

# SINGLE LEPTON SEARCH

Source	Signal	Lost lepton	$1\ell$ (not from t)	$Z \rightarrow \nu\bar{\nu}$
Data statistical uncertainty	—	5–50%	4–30%	—
Simulation statistical uncertainty	6–36%	3–68%	5–70%	4–41%
$t\bar{t}$ $p_T^{\text{miss}}$ modeling	—	3–50%	—	—
Signal $p_T^{\text{miss}}$ modeling	1–25%	—	—	—
QCD scales	1–5%	0–3%	2–5%	1–40%
Parton distribution	—	0–4%	1–8%	1–12%
Pileup	1–5%	1–8%	0–5%	0–7%
Luminosity	2.3–2.5%	—	—	2.3–2.5%
$W + b(\bar{b})$ cross section	—	—	20–40%	—
$t\bar{t}Z$ cross section	—	—	—	5–10%
System recoil (ISR)	1–13%	0–3%	—	—
Jet energy scale	2–24%	1–16%	1–34%	1–28%
$p_T^{\text{miss}}$ resolution	—	1–10%	1–5%	—
Trigger	2–3%	1–3%	—	2–3%
Lepton efficiency	3–4%	2–12%	—	1–2%
Merged t tagging efficiency	3–6%	—	—	5–10%
Resolved t tagging efficiency	5–6%	—	—	3–5%
b tagging efficiency	0–2%	0–1%	1–7%	1–10%
Soft b tagging efficiency	2–3%	0–1%	0–1%	0–5%

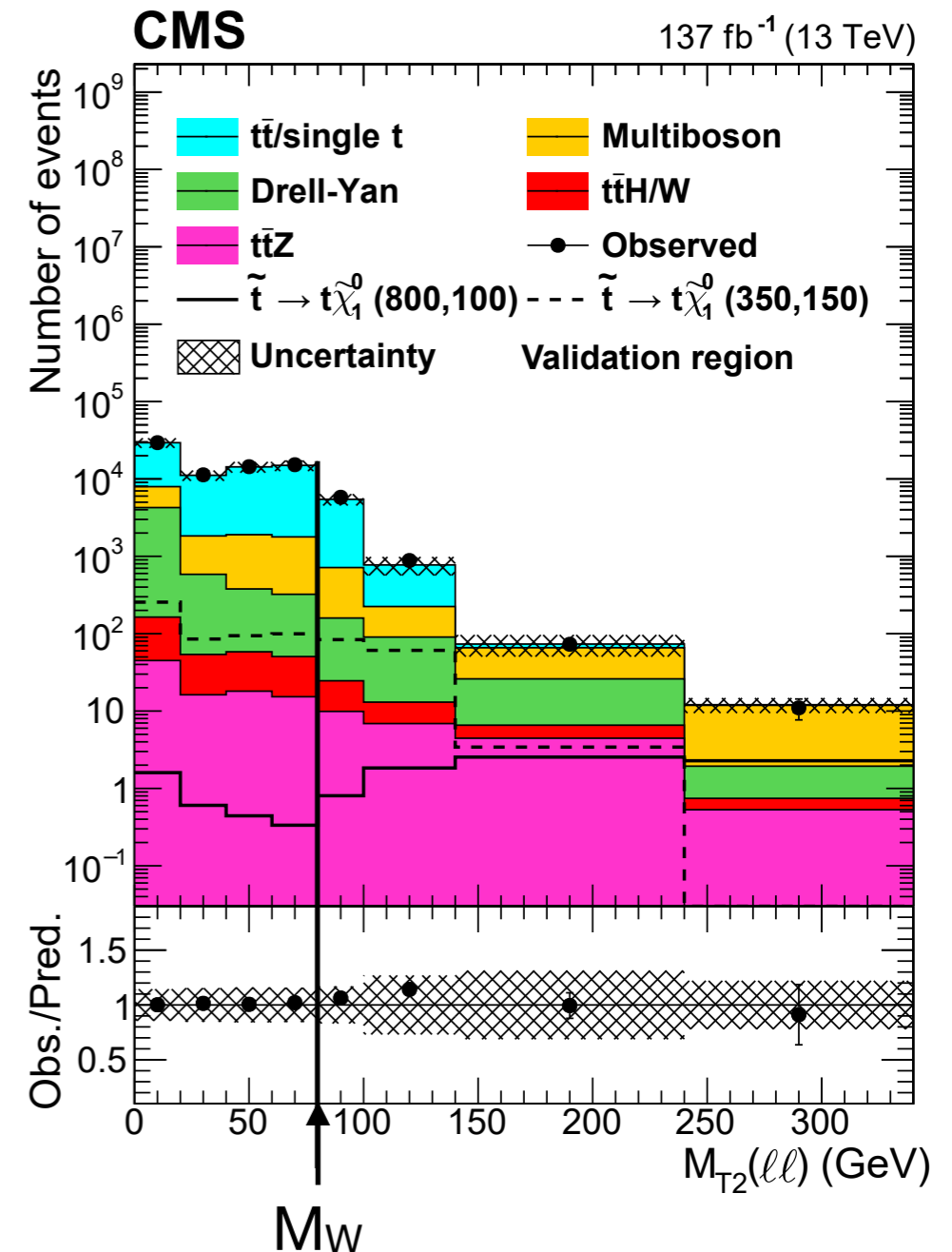
# MODIFIED TOPNESS



$$t_{\text{mod}} = \ln(\min S), \text{ with } S = \frac{\left(m_W^2 - (p_\nu + p_\ell)^2\right)^2}{a_W^4} + \frac{\left(m_t^2 - (p_b + p_W)^2\right)^2}{a_t^4},$$

# STOP SEARCH IN DILEPTONS

- Top quark pair production (ttbar) can result in final state with two leptons and two neutrinos  $\rightarrow$  genuine  $p_T^{\text{miss}}$
- Exploit fact that leptons and neutrinos come from W bosons
  - Transverse mass  $M_{T2}(\ell\ell)$
- In a perfect world, ttbar events contained in  $M_{T2}(\ell\ell) < M_W$  region
- Several detector effects can promote events over this threshold
  - Extensive studies conducted



$$M_{T2}(\ell\ell) = \min_{\vec{p}_T^{\text{miss}1} + \vec{p}_T^{\text{miss}2} = \vec{p}_T^{\text{miss}}} \left( \max \left[ M_T(\vec{p}_T^{\text{vis}1}, \vec{p}_T^{\text{miss}1}), M_T(\vec{p}_T^{\text{vis}2}, \vec{p}_T^{\text{miss}2}) \right] \right)$$

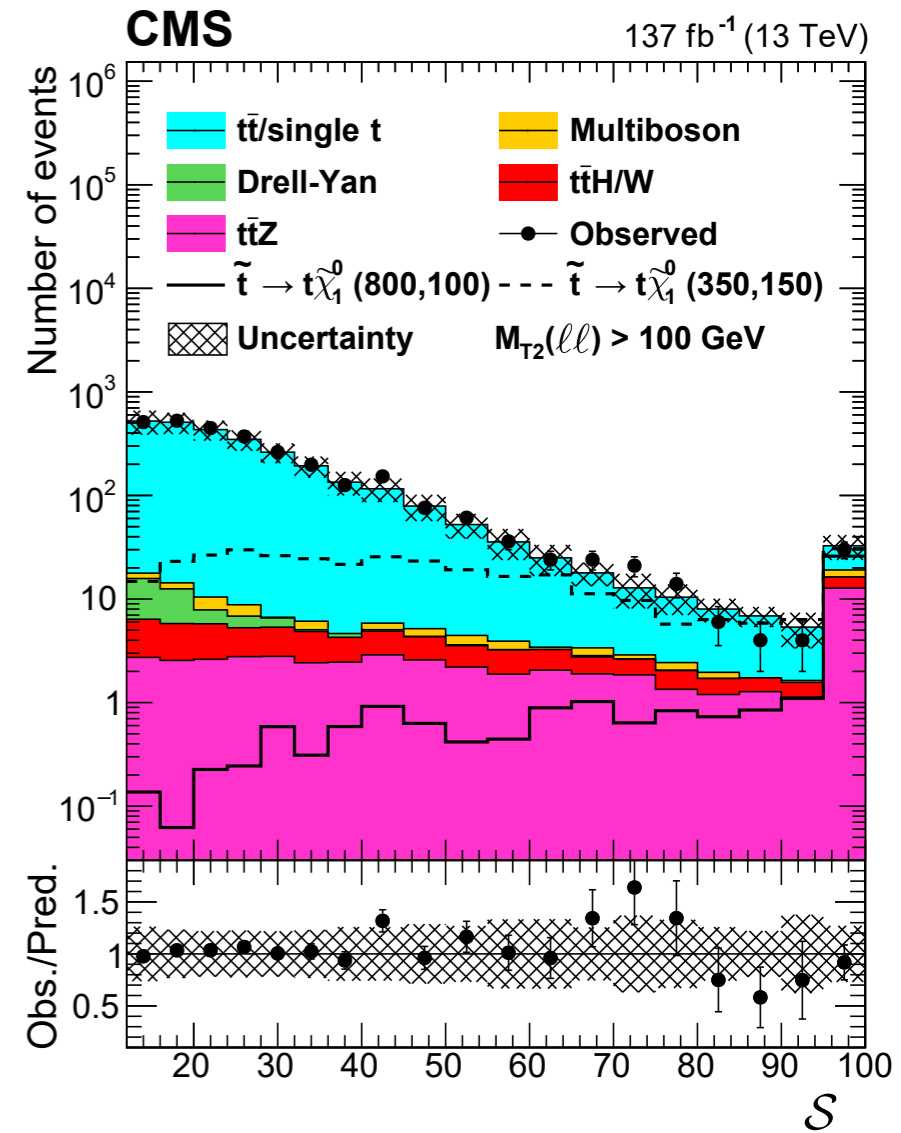
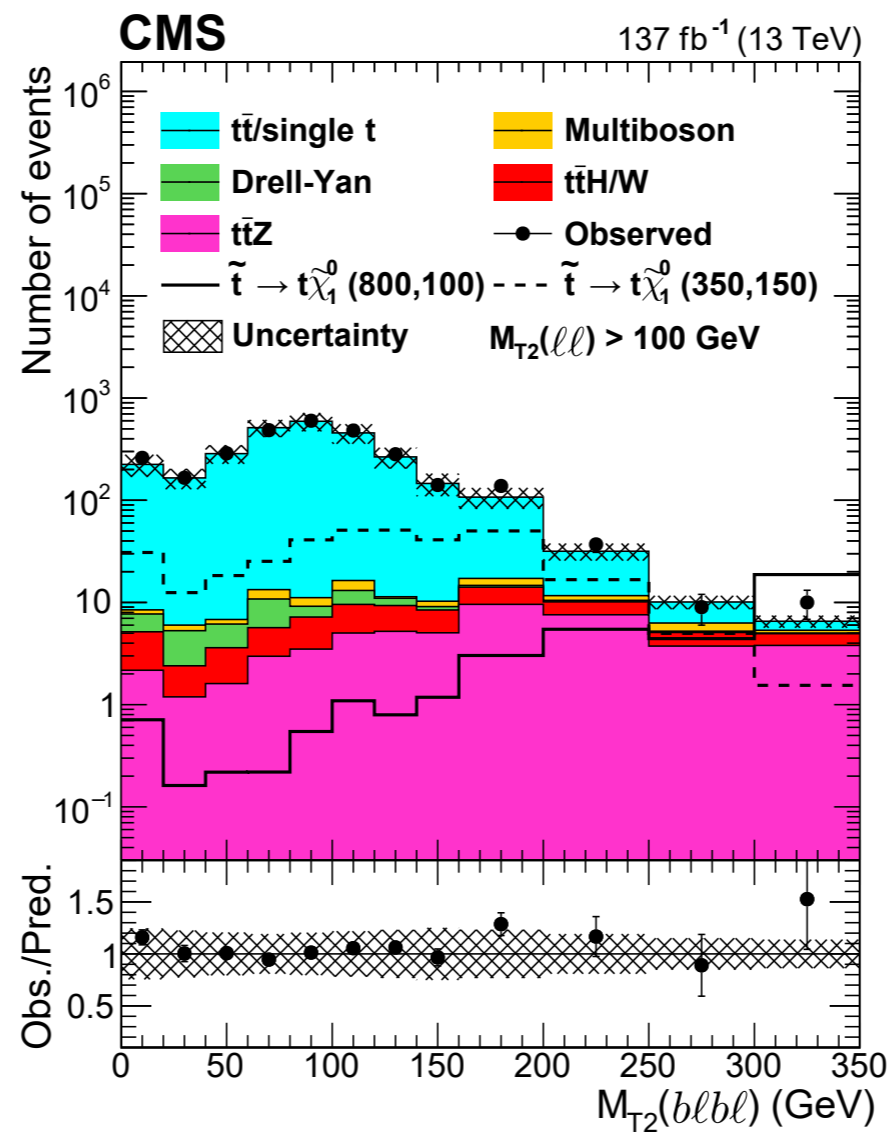
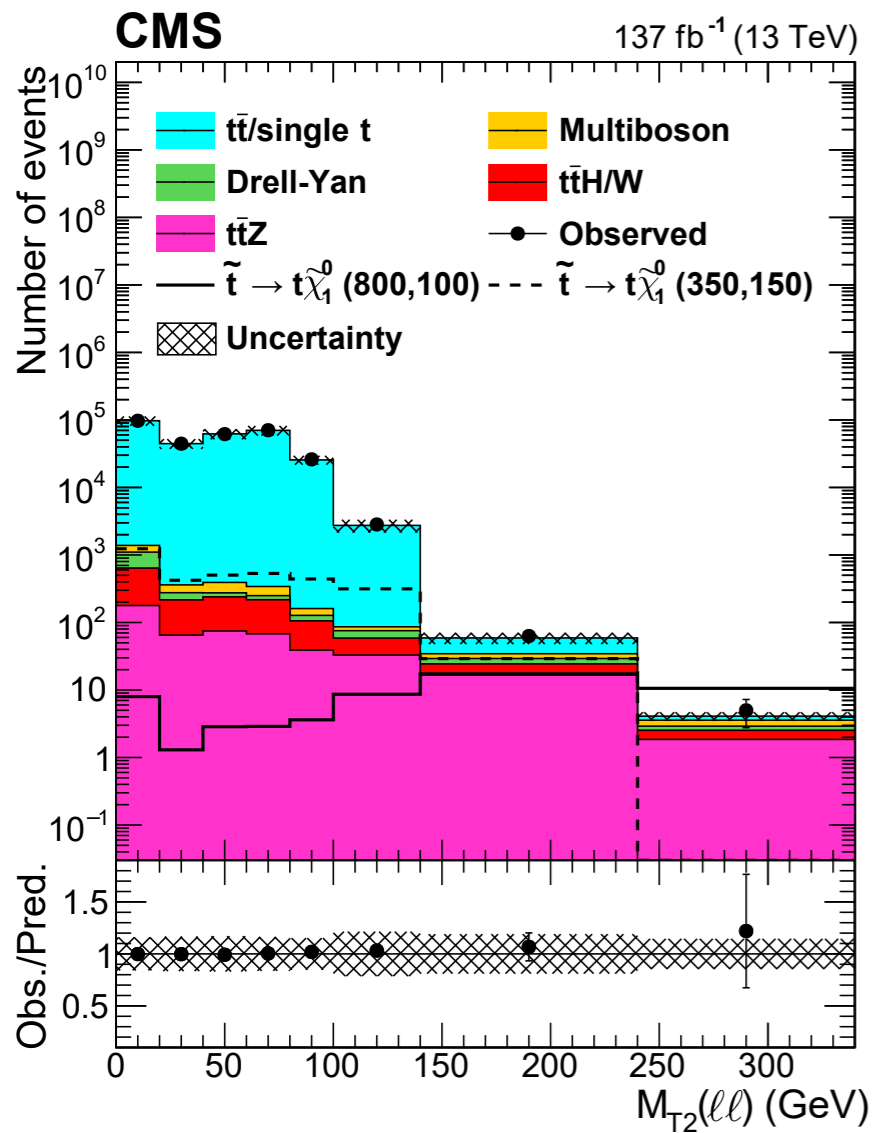
# DILEPTON SEARCH

Name	Definition	Systematic uncertainty	Typical (%)	Max (%)
TTCRSF	$M_{T2}(\ell\ell) < 100 \text{ GeV}$ , SF leptons, $ m(\ell\ell) - m_Z  > 15 \text{ GeV}$	Integrated luminosity	2	2
TTCRDF	$M_{T2}(\ell\ell) < 100 \text{ GeV}$ , DF leptons	Pileup modeling	5	7
TTZ2j2b	$N_{\text{jets}} = 2, N_b \geq 2$	Jet energy scale	4	20
TTZ3j1b	$N_\ell = 3, \mathcal{S} \geq 0, \geq 1 \text{ SF lepton pair}$	Jet energy resolution	3	4
TTZ3j2b	with $ m(\ell\ell) - m_Z  < 10 \text{ GeV}$	btagging efficiency	2	3
TTZ4j1b	$N_{\text{jets}} \geq 4, N_b = 1$	btagging mistag rate	1	7
TTZ4j2b	$N_{\text{jets}} \geq 4, N_b \geq 2$	Trigger efficiency	1	2
CR0-CR12	Same as SR0-SR12 in Table ??, but SF leptons, $ m(\ell\ell) - m_Z  < 15 \text{ GeV}$ and $N_b = 0$	Lepton identification efficiency	3	5
		Modeling of unclustered energy	3	7
		Non-Gaussian jet mismeasurements	6	6
		Misidentified or nonprompt leptons	5	5
		$t\bar{t}$ normalization	9	9
		$t\bar{t}Z$ normalization	10	14
		Multiboson background normalization	4	8
		$t\bar{t}H/W$ background normalization	5	8
		Drell–Yan normalization	3	8
		Parton distribution functions	2	4
		$\mu_R$ and $\mu_F$ choice	7	11

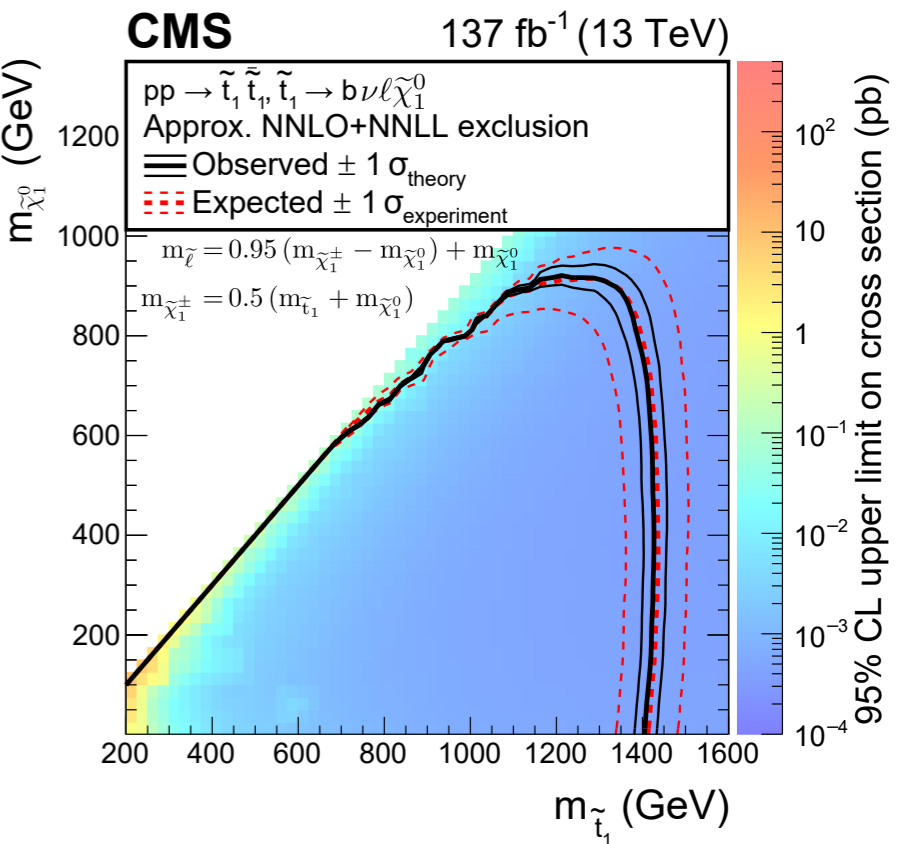
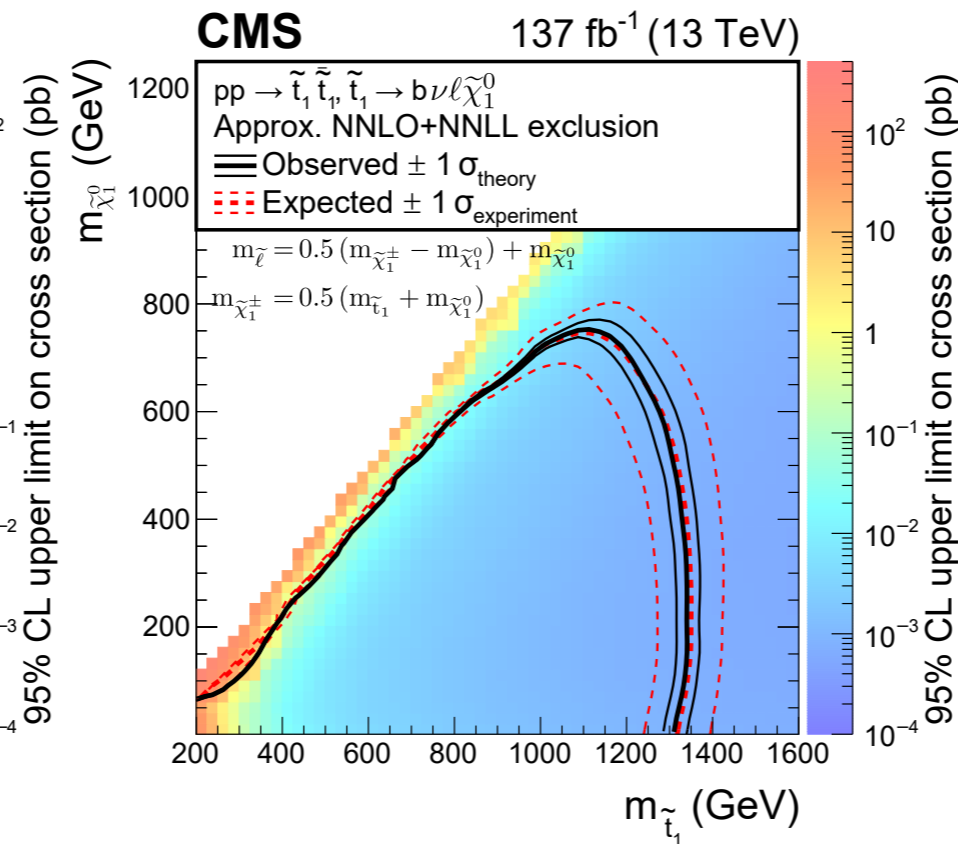
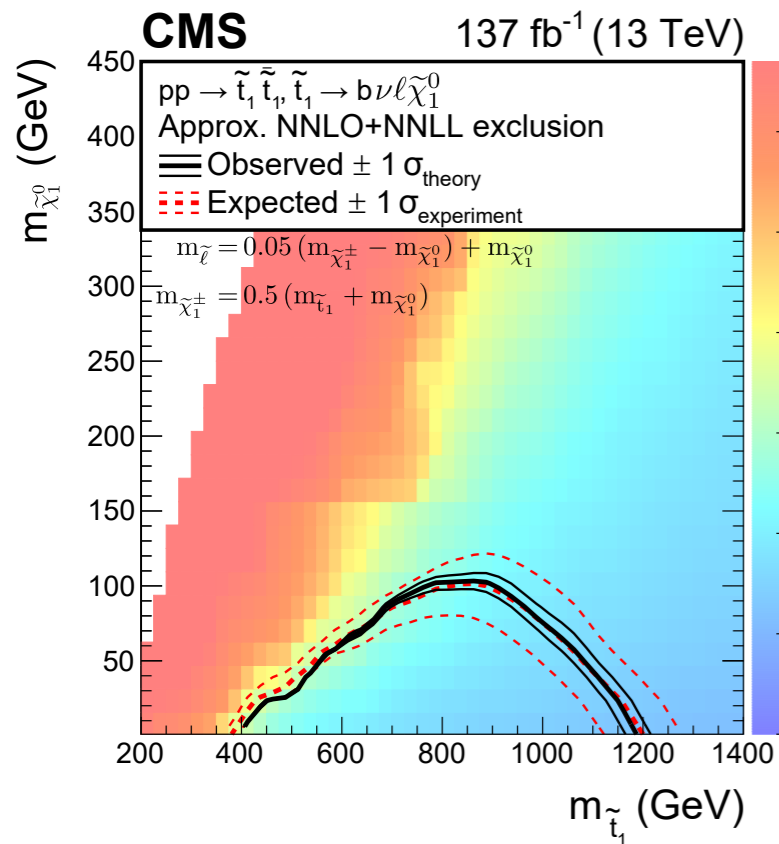
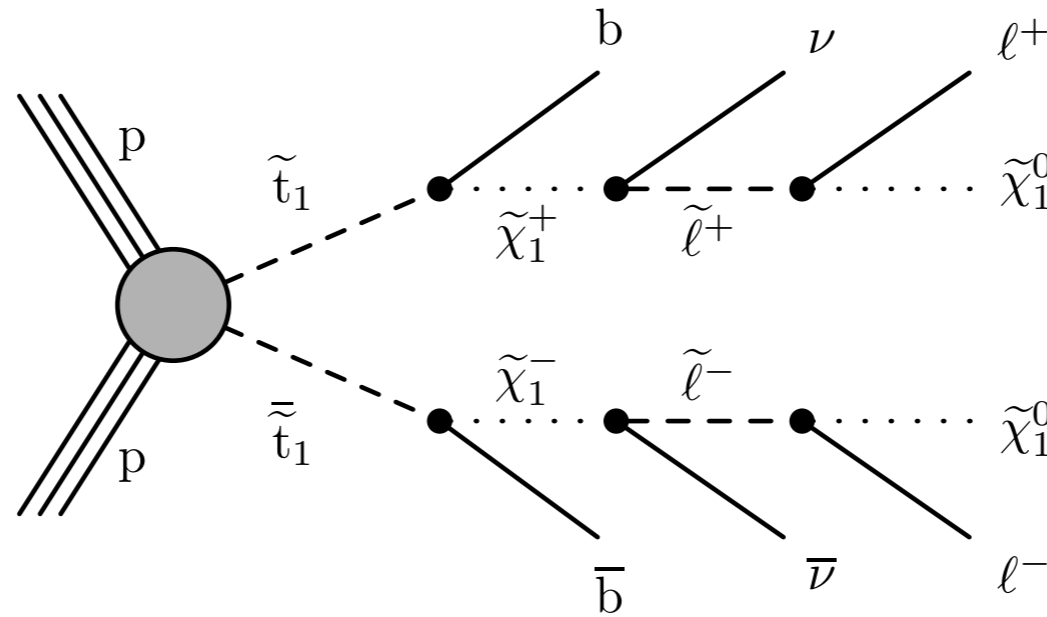
$M_{T2}(blbl)$ (GeV)	$\mathcal{S}$	$100 < M_{T2}(\ell\ell) < 140 \text{ GeV}$	$140 < M_{T2}(\ell\ell) < 240 \text{ GeV}$	$M_{T2}(\ell\ell) > 240 \text{ GeV}$
0–100	12–50	SR0	SR6	
	>50	SR1	SR7	
100–200	12–50	SR2	SR8	
	>50	SR3	SR9	SR12
>200	12–50	SR4	SR10	
	>50	SR5	SR11	



# DILEPTON SEARCH

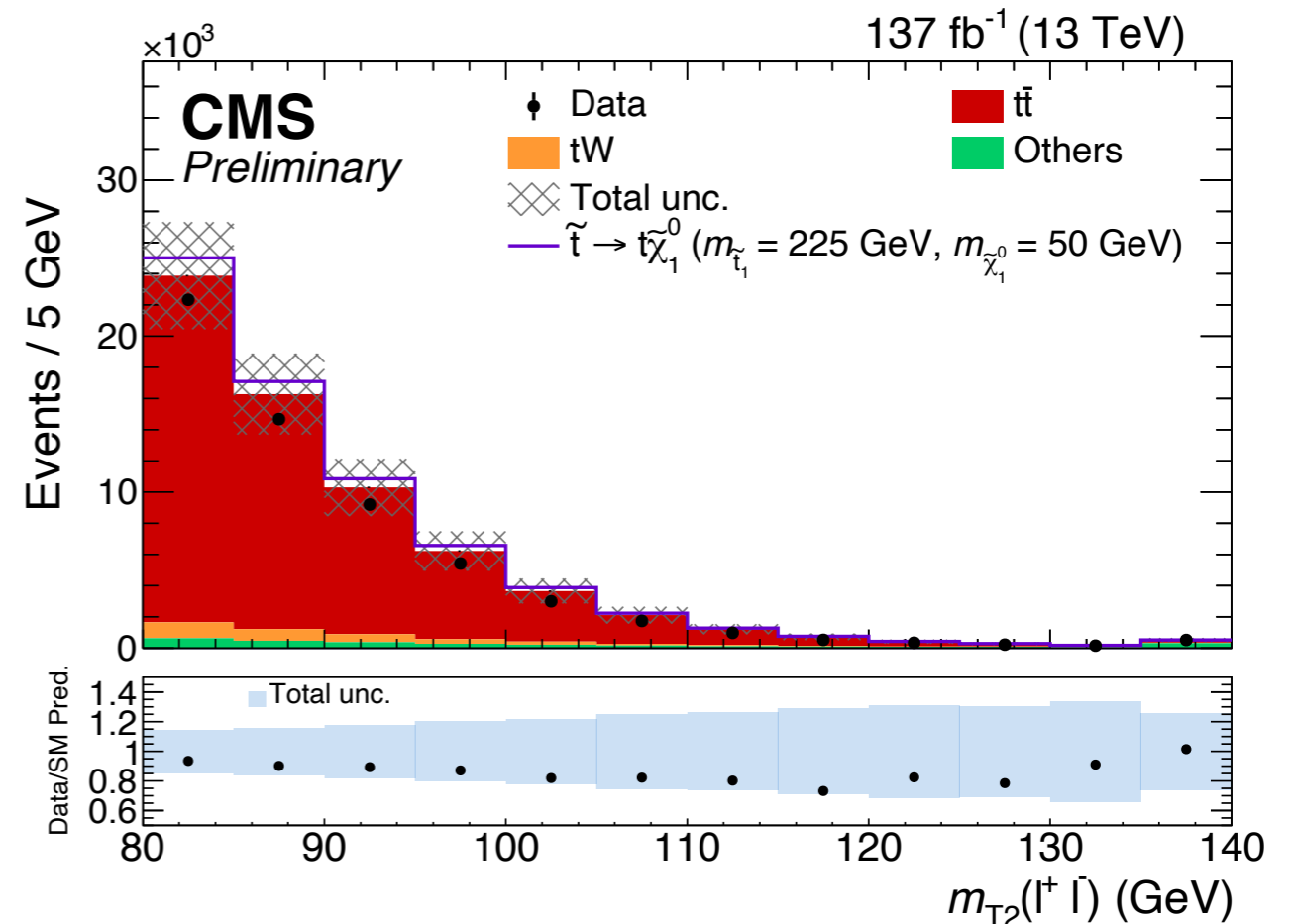


# T8BLLNUNU



# CORRIDOR SEARCH

Source	Uncertainties (%)	
	$t\bar{t}$	signal
Muon efficiencies		0.5
Electron efficiencies		1.5
Trigger modeling		1.2
Muon energy scale		1.4
b-tagging efficiency		3
Jet energy resolution	16	7.0
Jet energy scale	7.5	5.7
Unclustered energy	4.2	5.0
Pileup modeling	3.2	1.5
Size of the MC sample	3	25



Source	Average for $t\bar{t}$ (%)
PDFs and $\alpha_S$ (acceptance)	1.0
$\mu_F, \mu_R$ scales (acceptance)	3.8
Initial-state radiation	0.6
Final-state radiation	3.4
Top $p_T$	1.3
Matrix element/parton shower matching	2
Underlying event	1.5
Top mass (acceptance)	1.5

# SEARCH FOR RPV/STEALTH STOPS

- Final state: tt+jets
  - Select events with single lepton to suppress QCD
- Most distinct feature: jet multiplicity  $N_{\text{jets}}$  → difficult to model
- Parametrize  $N_{\text{jets}}$  with jet scaling function  $R(i)$
- Ratio can be well modeled by functional form

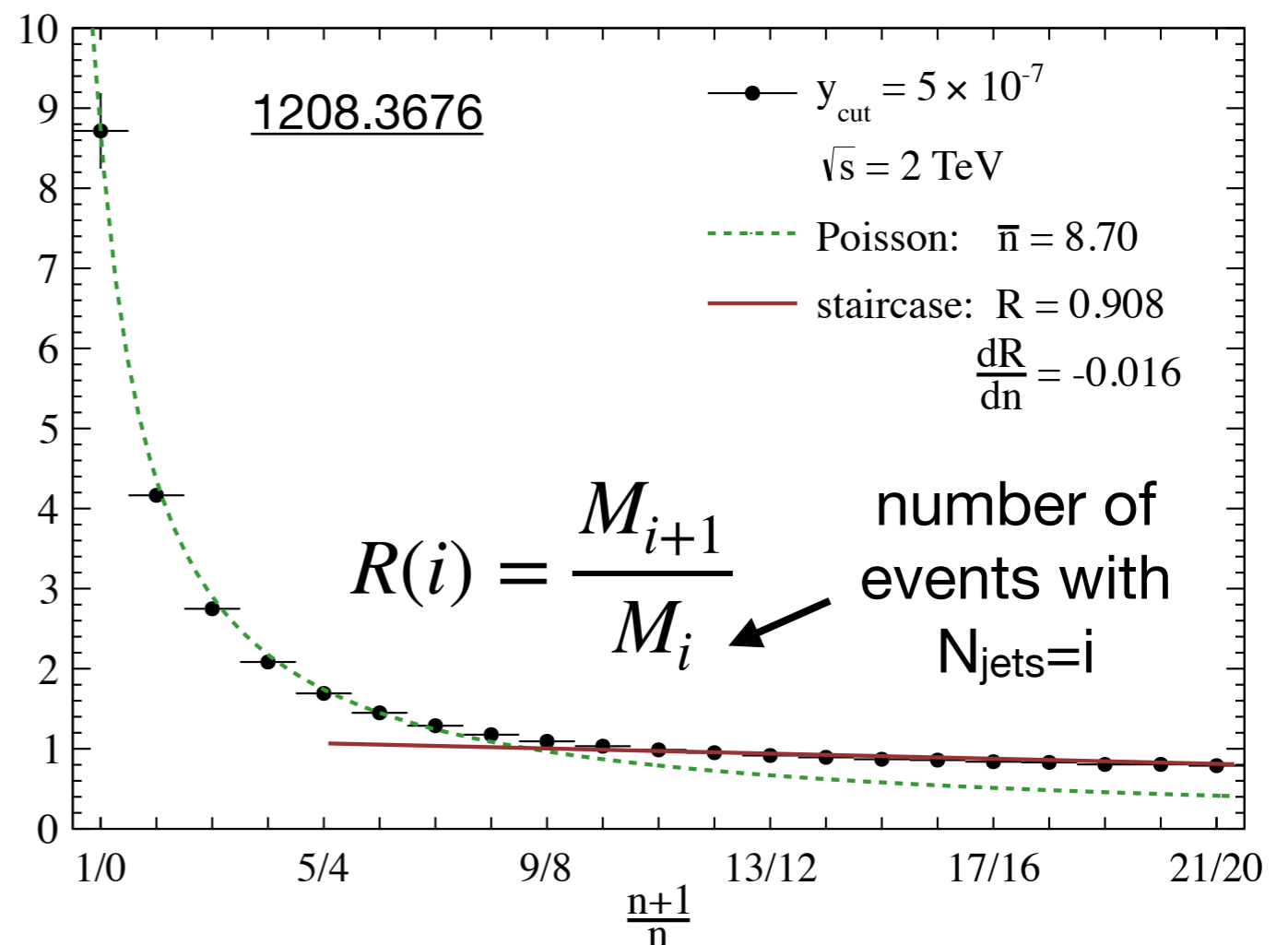
$$f(i) = a_2 + \left[ \frac{(a_1 - a_2)^{i-7}}{(a_0 - a_2)^{i-9}} \right]^{1/2}$$

with

$$a_0 = f(7), \quad a_1 = f(9), \quad a_2 = \lim_{i \rightarrow \infty} f(i) \quad R_{n+1}$$

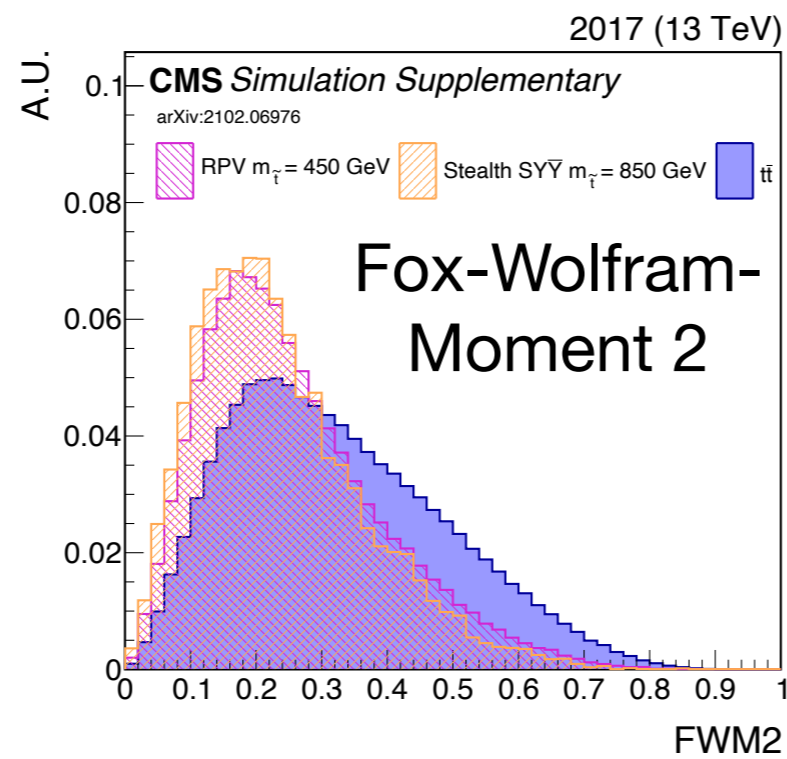
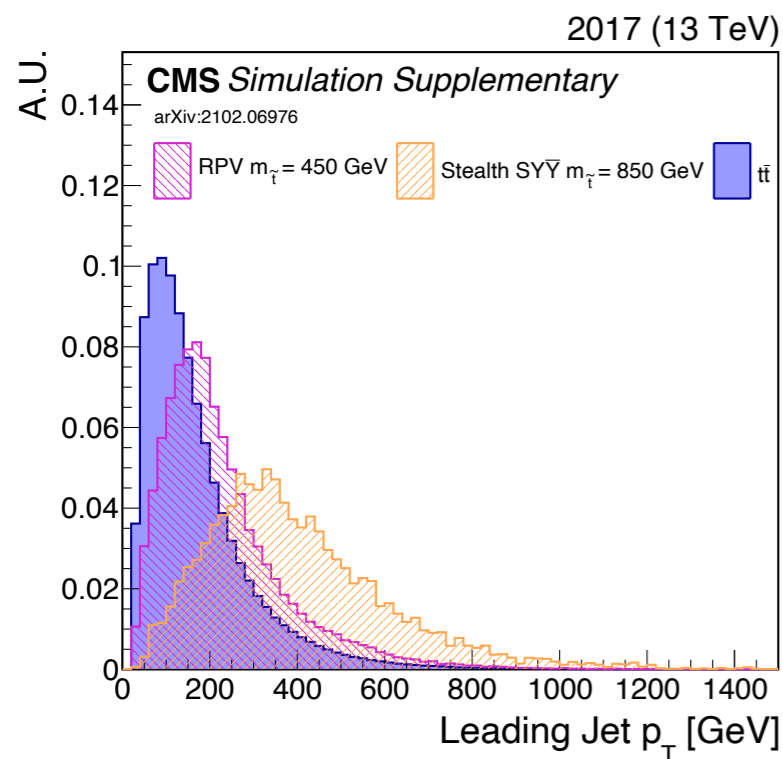
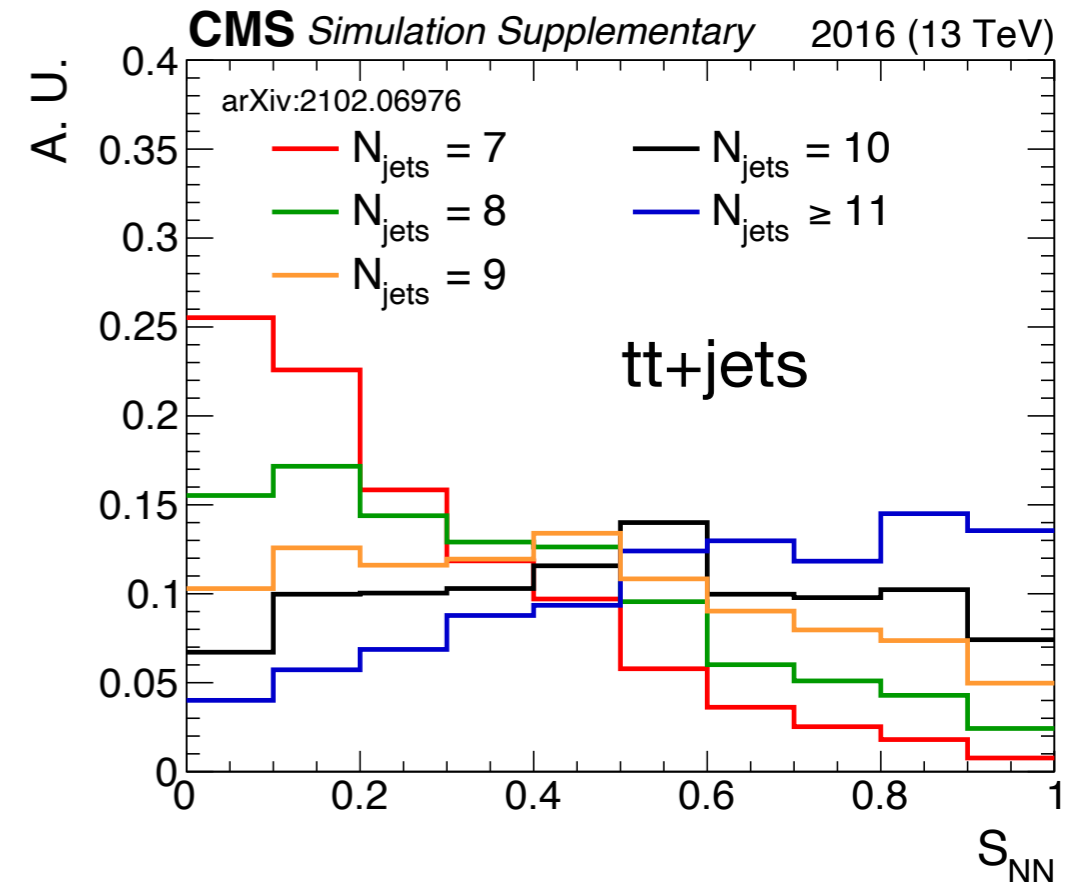
$N_{\text{jets}}$  distribution in each  $S_{NN,j}$  bin given by recursive expression, with free parameter  $Y_7^j$

$$M_i^j = Y_7^j \prod_{k=7}^{i-1} f(k)$$



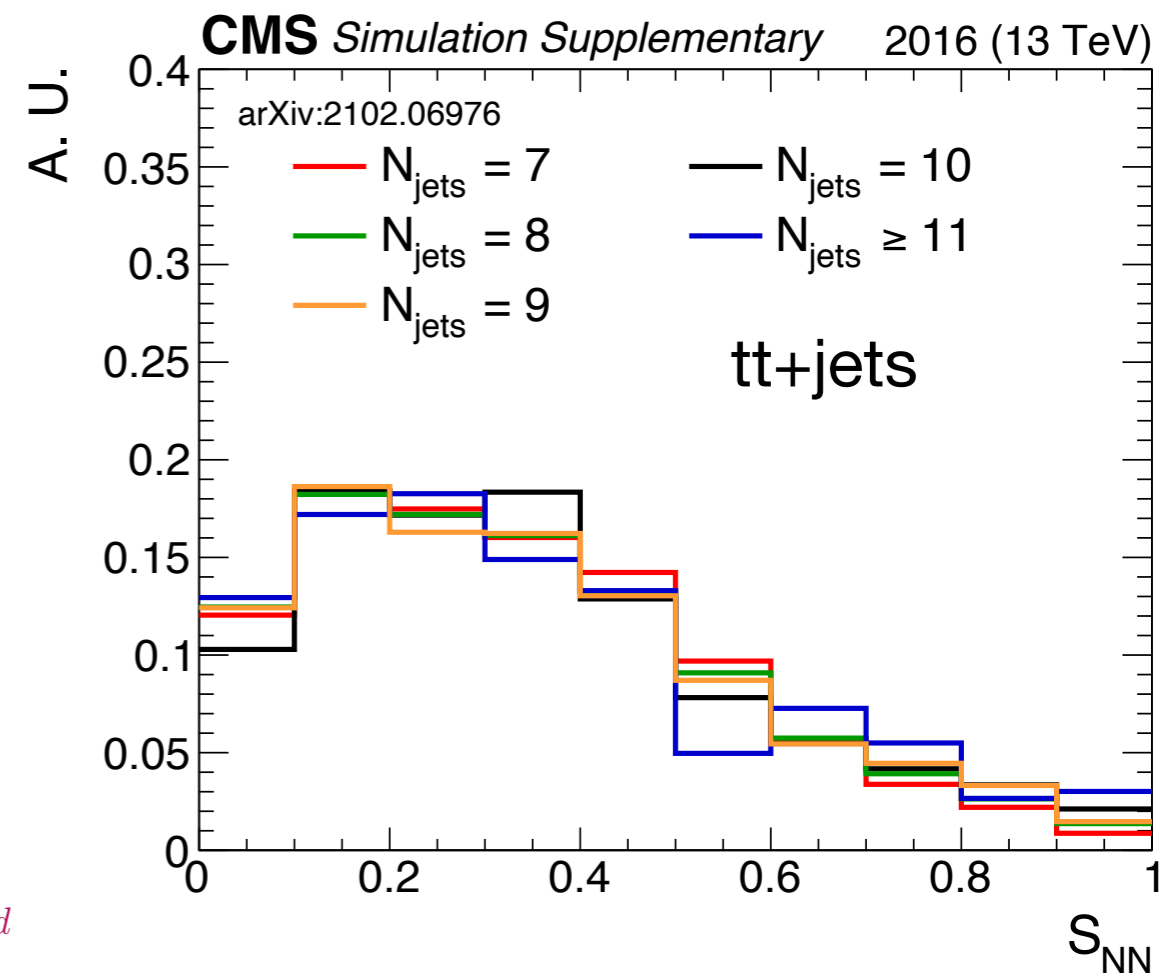
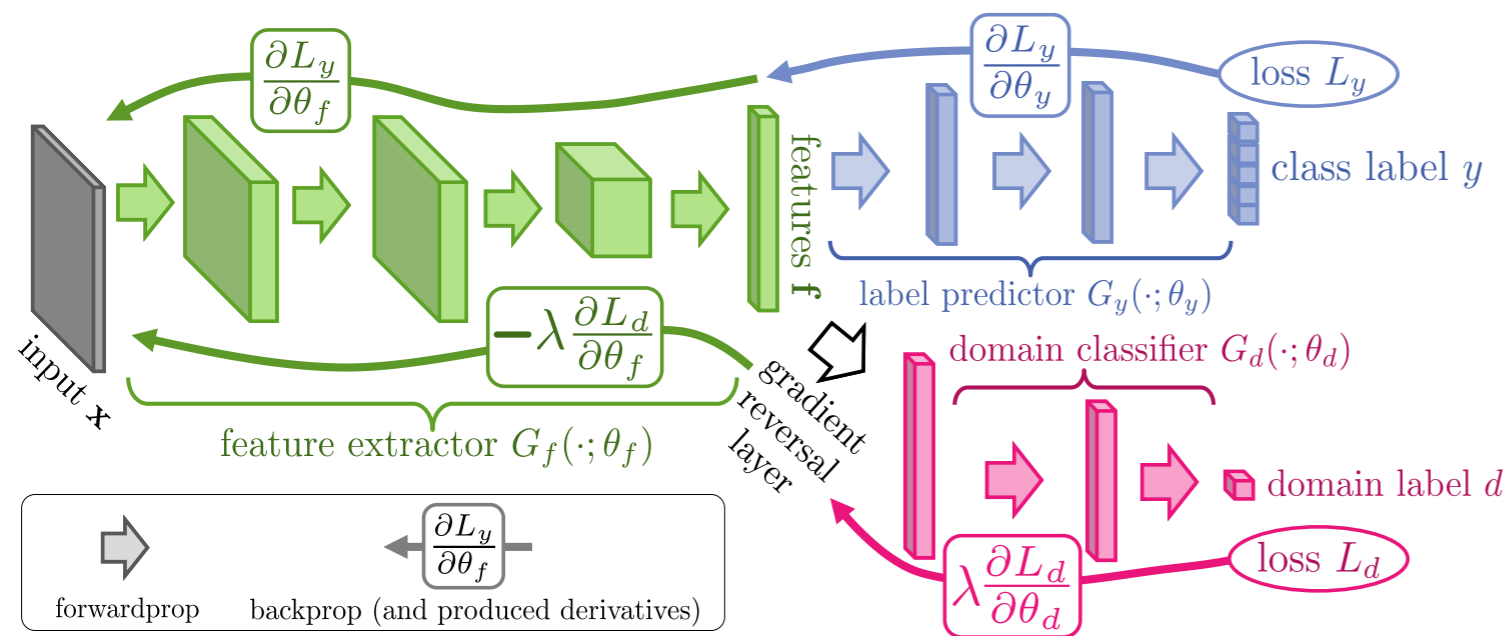
# NEURAL NETWORK VS SM $t\bar{t}$ +JETS

- Feed event shape and kinematic variables into a NN producing score  $S_{NN}$
- Problem:  $S_{NN}$  correlated with  $N_{jets}$
- $S_{NN}$  of  $t\bar{t}$ +jets with high  $N_{jets}$  more signal lik
- Gradient reversal is used to decorrelate DNN response  $S_{NN}$  and  $N_{jets}$
- Allows to use  $N_{jets}$  spectrum in the signal extraction fit in 4 bins of  $S_{NN}$



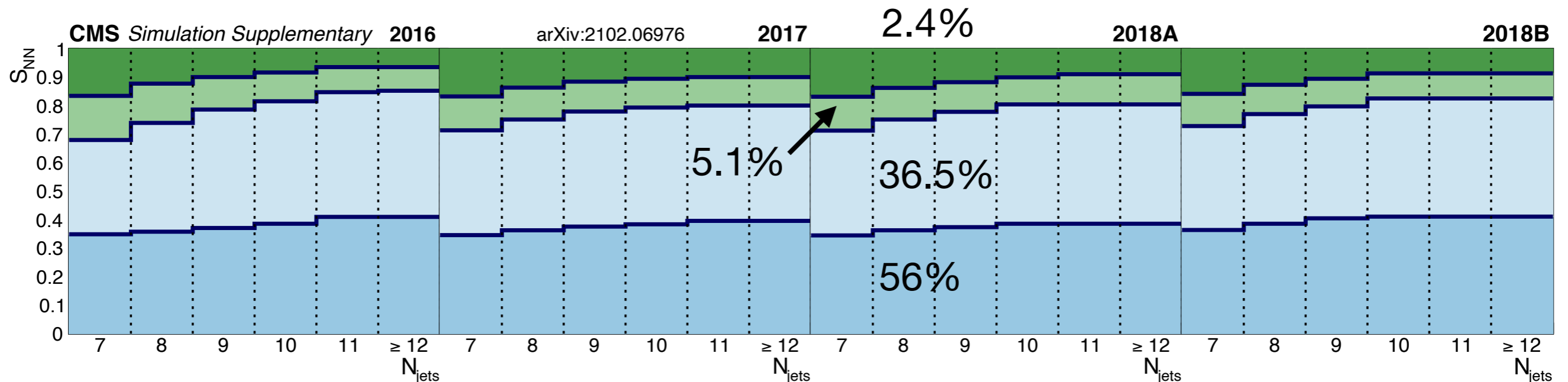
# DECOUPLING DNN FROM $N_{\text{JETS}}$

- $S_{\text{NN}}$  of  $tt+\text{jets}$  with high  $N_{\text{jets}}$  more signal like
- Gradient reversal is used to decorrelate DNN response  $S_{\text{NN}}$  and  $N_{\text{jets}}$
- Allows to use  $N_{\text{jets}}$  spectrum in the signal extraction fit in 4 bins of  $S_{\text{NN}}$

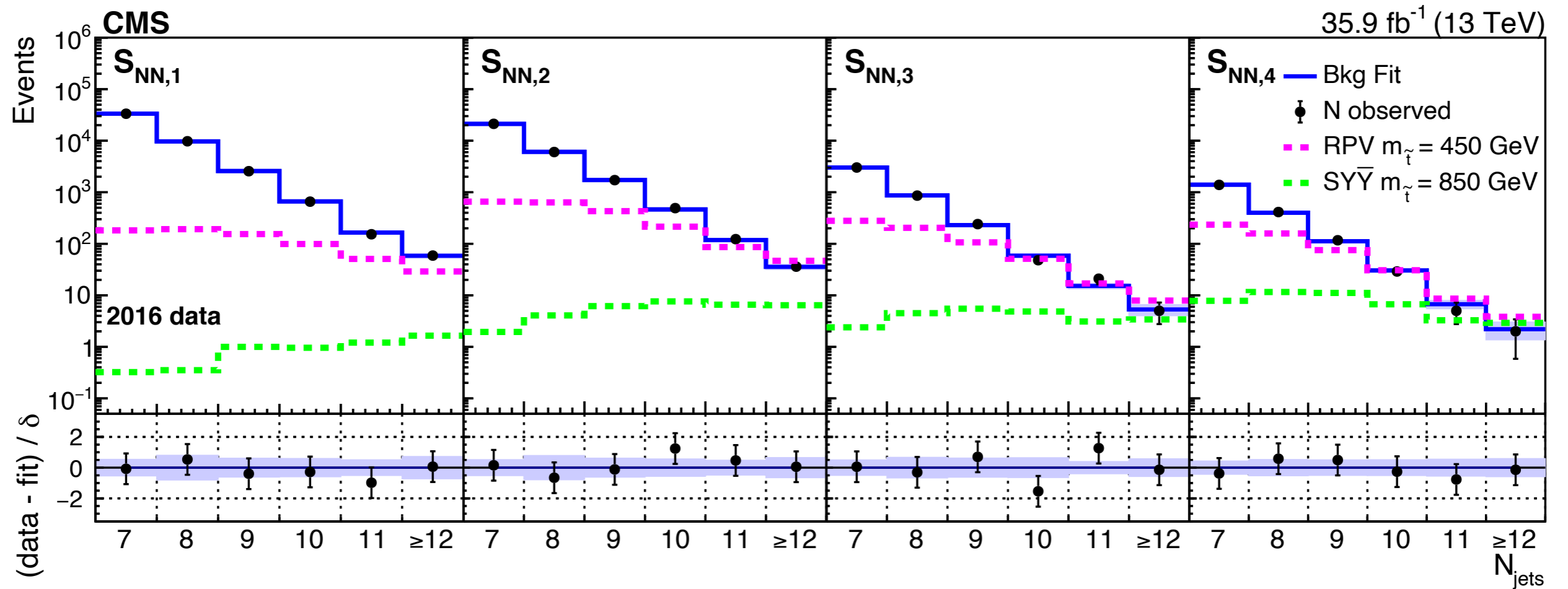


# $N_{\text{JETS}}$ VS $S_{\text{NN}}$ BINNING

- SNN bin boundaries chosen to maximize expected significance for RPV model with  $m(\text{stop}) = 550 \text{ GeV}$ 
  - Constraint: fraction of simulated  $tt+\text{jets}$  events in each  $S_{\text{NN}}$  bin is same, e.g. 56% in  $S_{\text{NN},1}$
  - Removes residual dependency of  $N_{\text{jets}}$  on  $S_{\text{NN}}$
- Source of systematic uncertainty: Is this binning assumption also applicable in data?

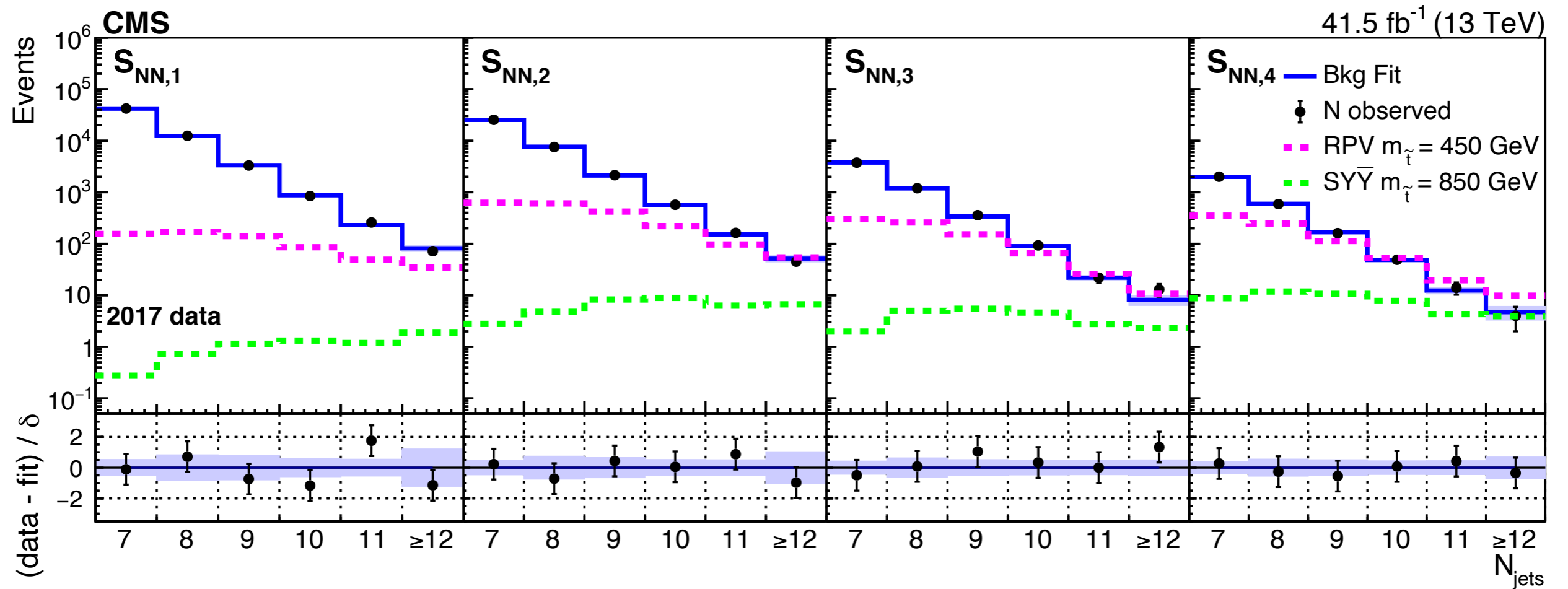


# RPV/STEALTH 2016

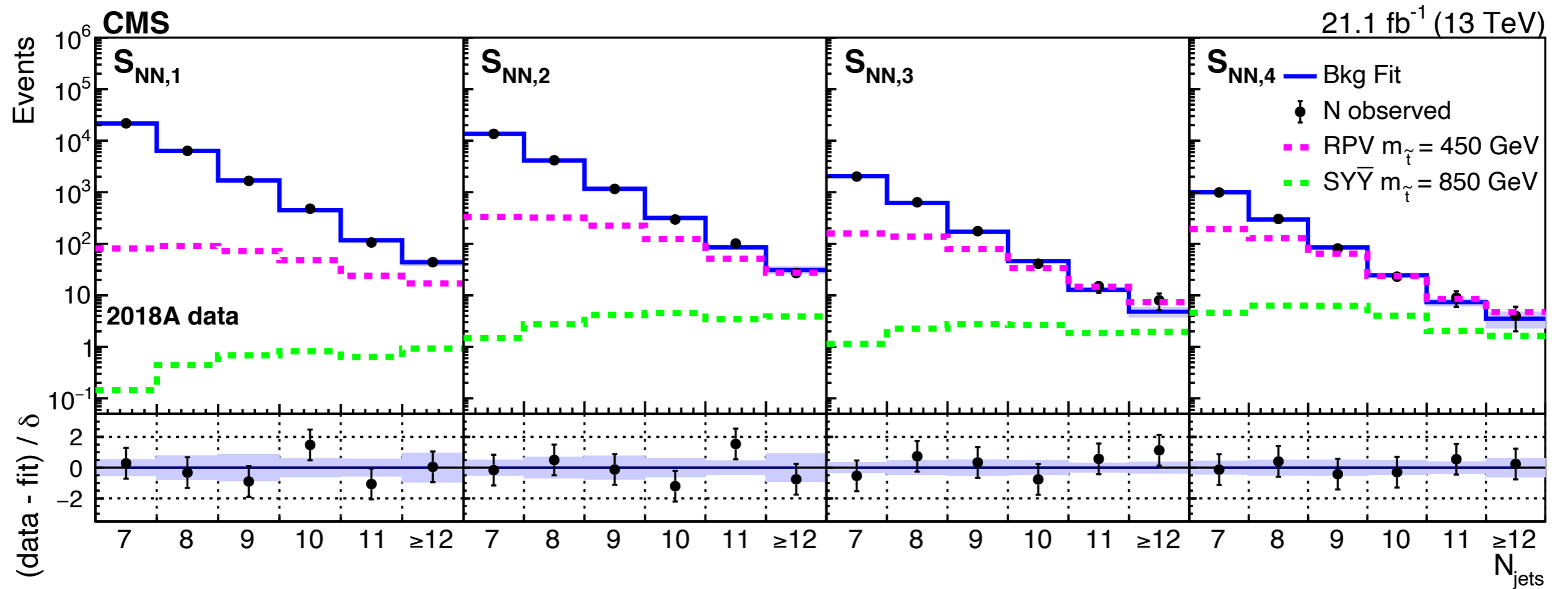




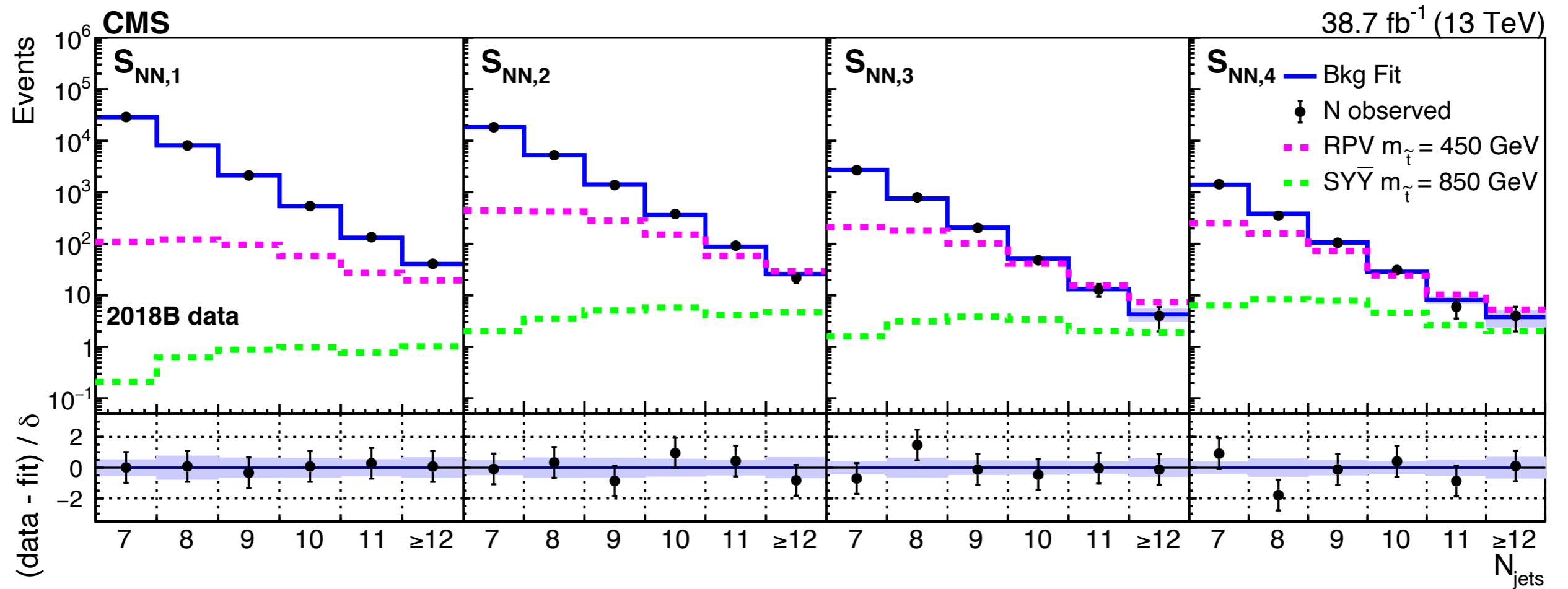
# RPV/STEALTH 2017



# RPV/STEALTH 2018A



# RPV/STEALTH 2018B

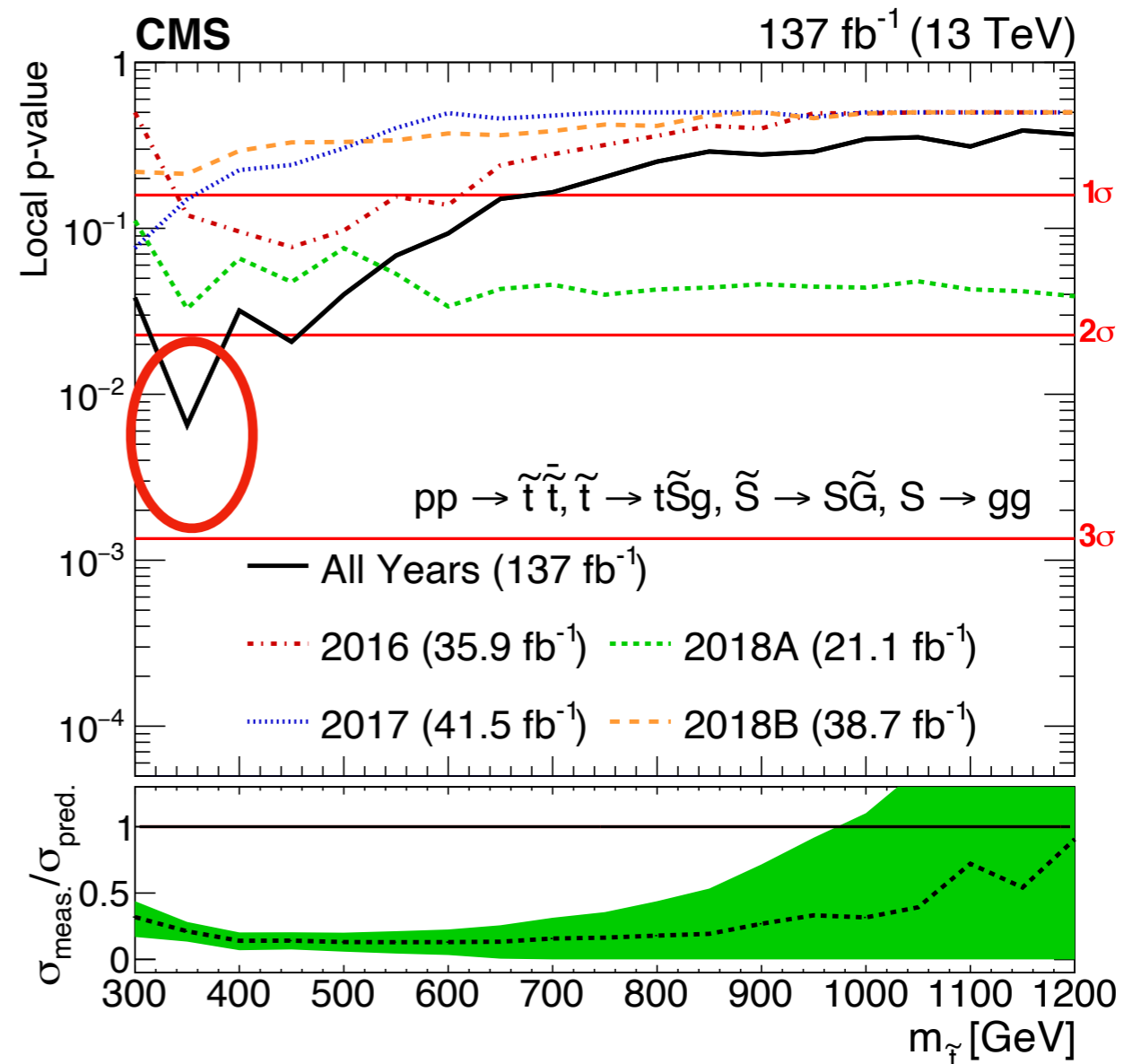
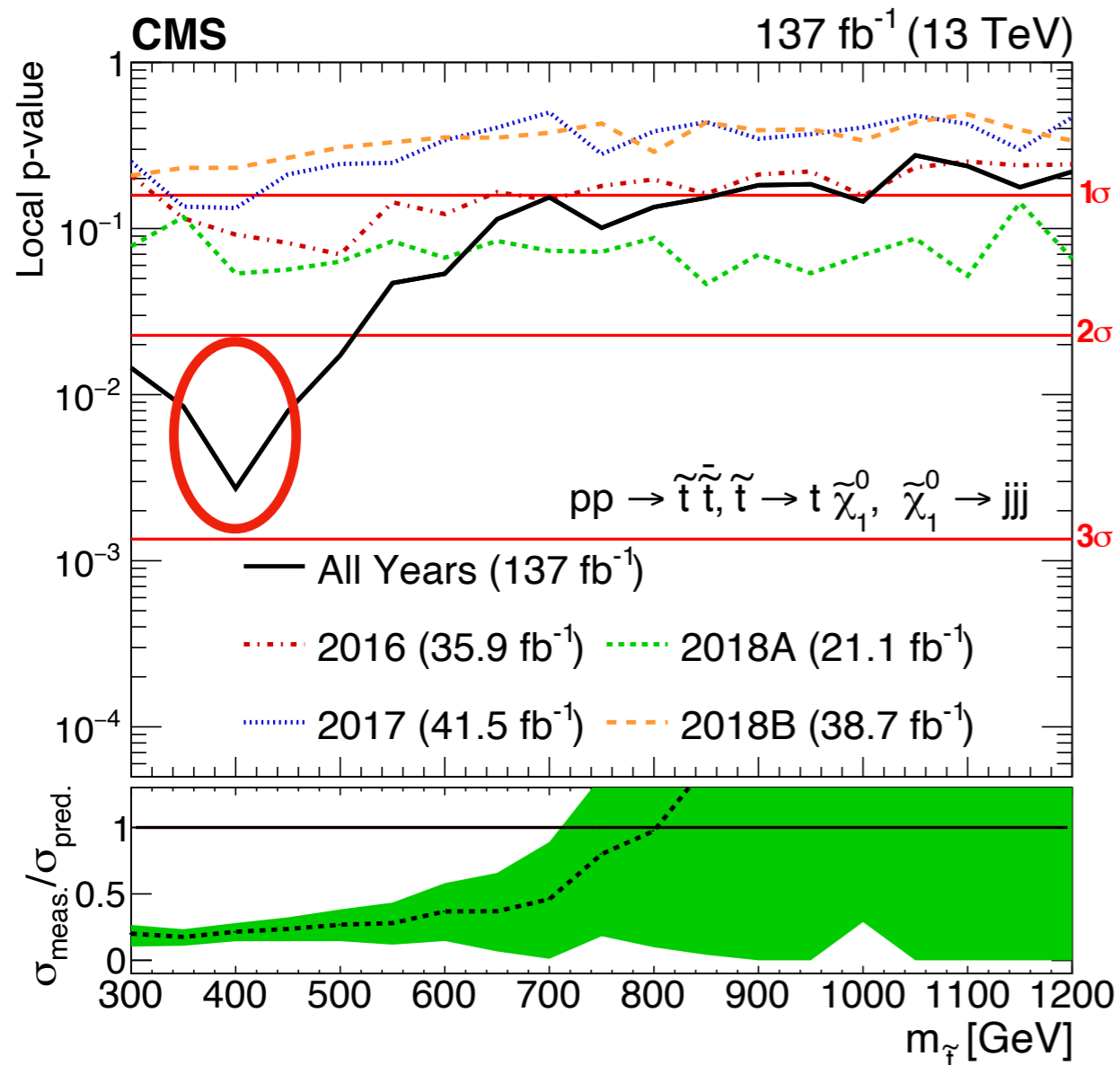


# RPV/STEALTH SYSTEMATICS

Source of uncertainty	$t\bar{t}$ background	Minor background	RPV signal
PDFs	0–1 (2)	0–1 (8)	0–2 (7)
$(\mu_R, \mu_F)$ scales	0–2 (5)	1–8 (18)	0–3 (4)
ISR	0–4 (15)	—	—
FSR	0–8 (27)	—	—
Color reconnection	0–10 (44)	—	—
ME-PS	0–14 (82)	—	—
UE tune	0–7 (100)	—	—
Pileup	0–2 (7)	0–7 (28)	0–2 (4)
JES	0–4 (18)	5–21 (100)	1–11 (31)
JER	0–2 (10)	1–15 (100)	0–6 (14)
btagging	0–1 (3)	0–2 (12)	0–2 (2)
Lepton efficiencies	0–1 (1)	3–5 (5)	3–4 (4)
$H_T$ primary	0–5 (17)	—	—
$H_T$ validation	0–1 (4)	0–6 (10)	—
$H_T$ $H_T$ -parameterization	0–2 (9)	—	—
$H_T$ $N_{\text{jets}}$ -parameterization	0–7 (27)	—	—
Jet $p_T$	0–4 (15)	—	—
Jet mass	0–4 (15)	—	—
$N_{\text{jets}}$ shape invariance	0–12 (37)	—	—
Integrated luminosity	—	2.3–2.5	2.3–2.5
Theoretical cross section	—	30	—

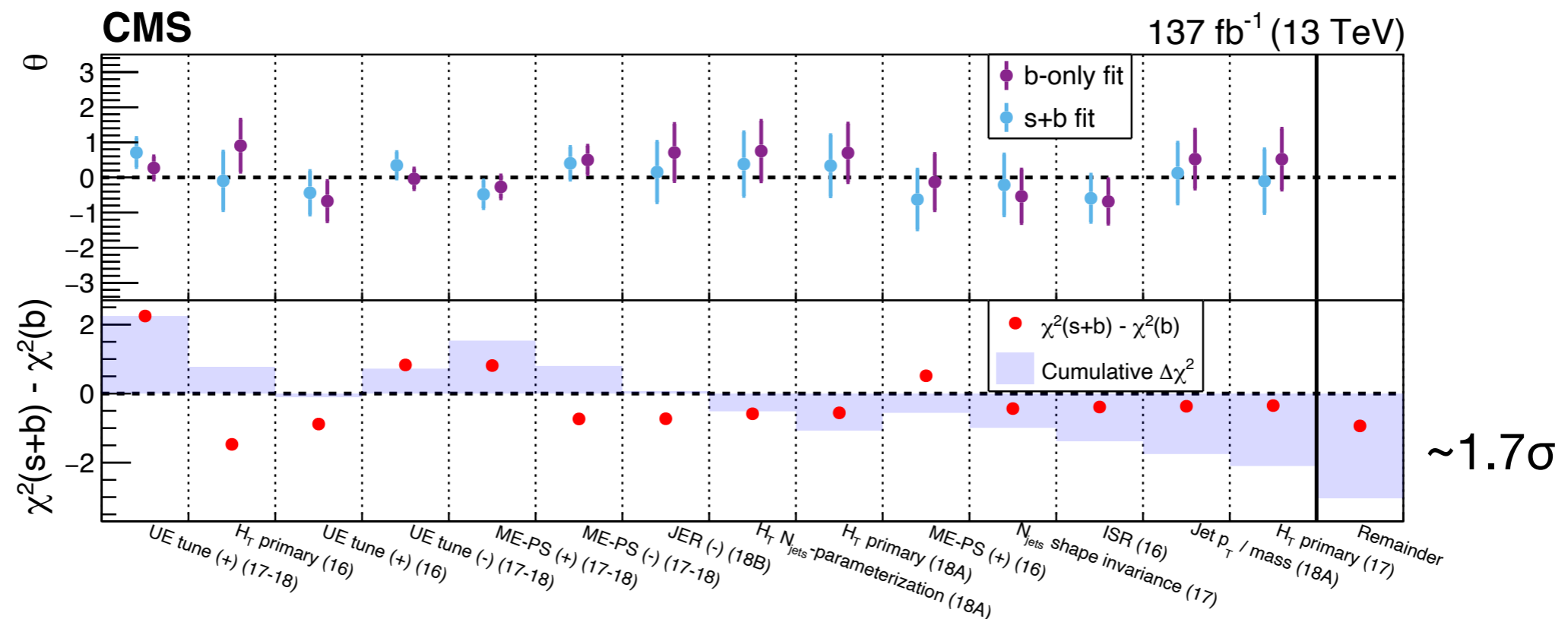
# LOCAL SIGNIFICANCE

- Local significance of excess  $2.8\sigma$  for RPV model with  $m(\text{stop}) = 400 \text{ GeV}$ ,  $2.5\sigma$  for stealth SUSY with  $m(\text{stop}) = 350 \text{ GeV}$
- Significance not visible in individual years
- Best fit signal strength  $0.21 \pm 0.07$



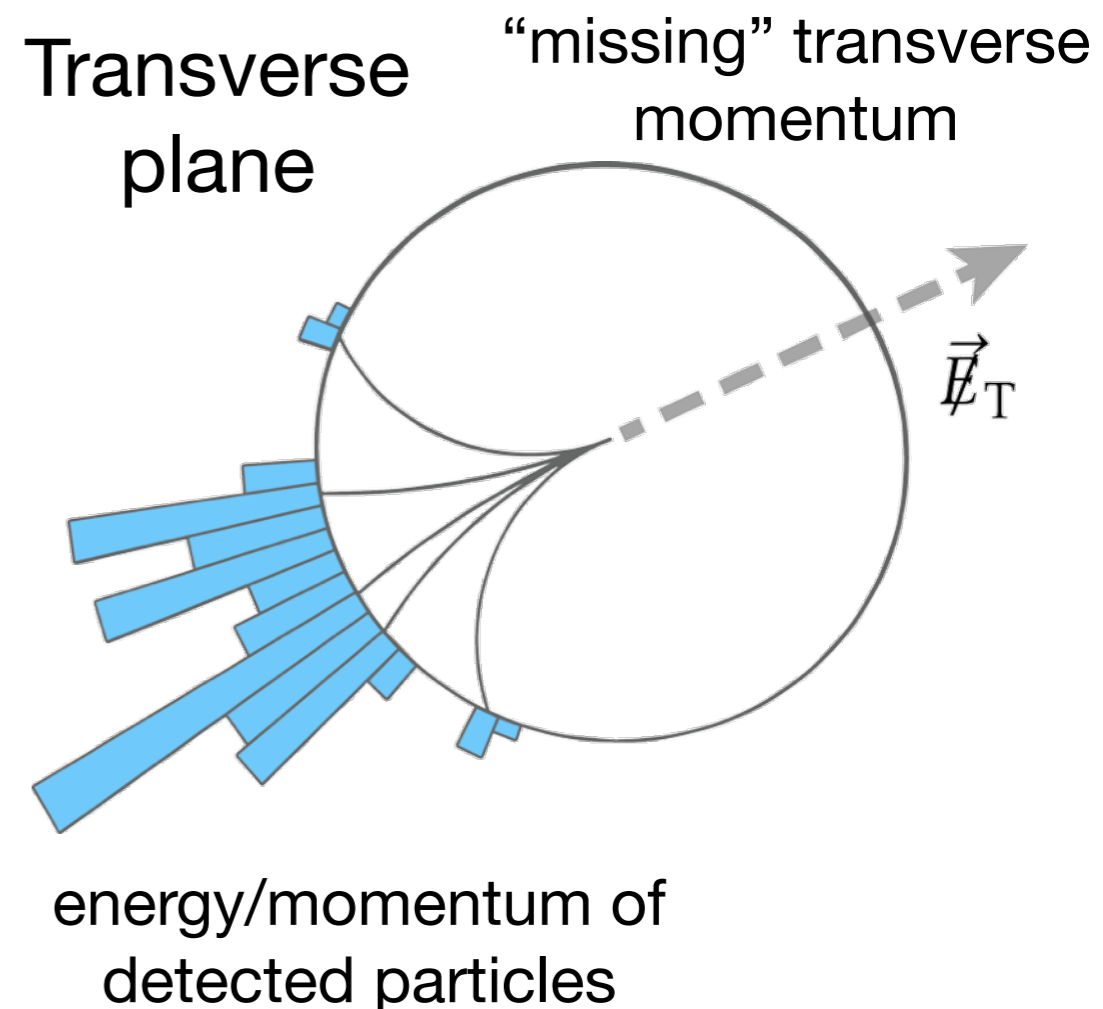
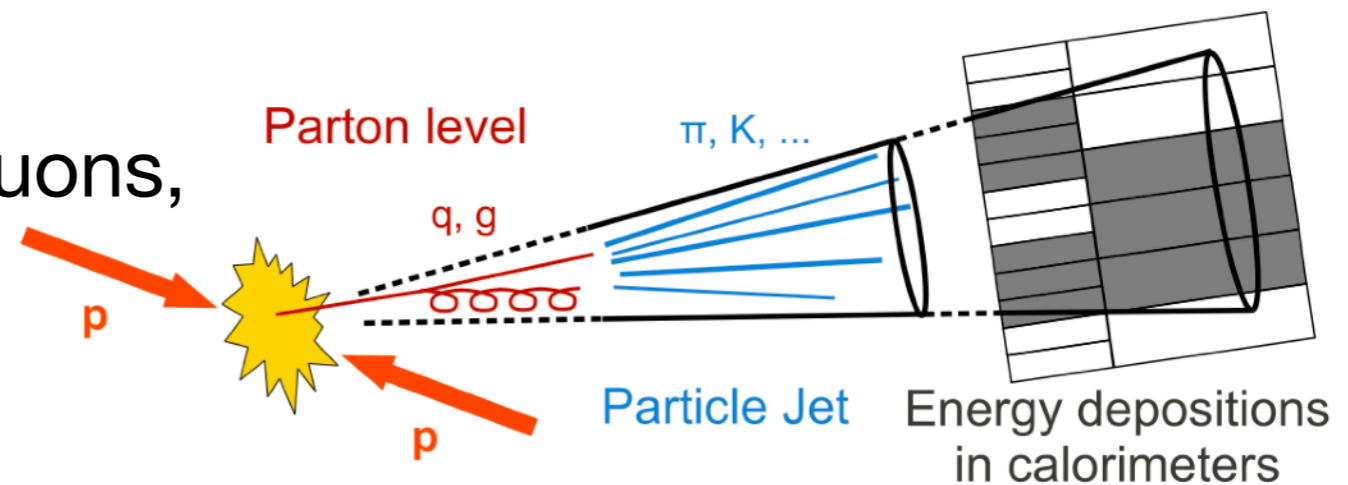
# SOURCE OF LOCAL SIGNIFICANCE

- No significant excess of observation over background only fit observed, so where does the significance come from?
  - Agreement improves when fitting S+B model, accounting for  $\sim 1.1\sigma$
  - Significantly smaller pulls for S+B fit wrt background only fit



# SEEING THE INVISIBLE

- Direct detection of electrons, muons, photons and jets (experimental signature of quarks and gluons)
- Indirect detection of weakly interacting particles like neutrinos
  - Sum of particle momenta in transverse plane has to be conserved
  - Non-zero sum  $\rightarrow$  undetected particles: neutrinos (or WIMPs?)
  - Highly dependent on performance and precision of all subdetectors



# CMS DETECTOR

