



# Higgs Alignment and CP Violation

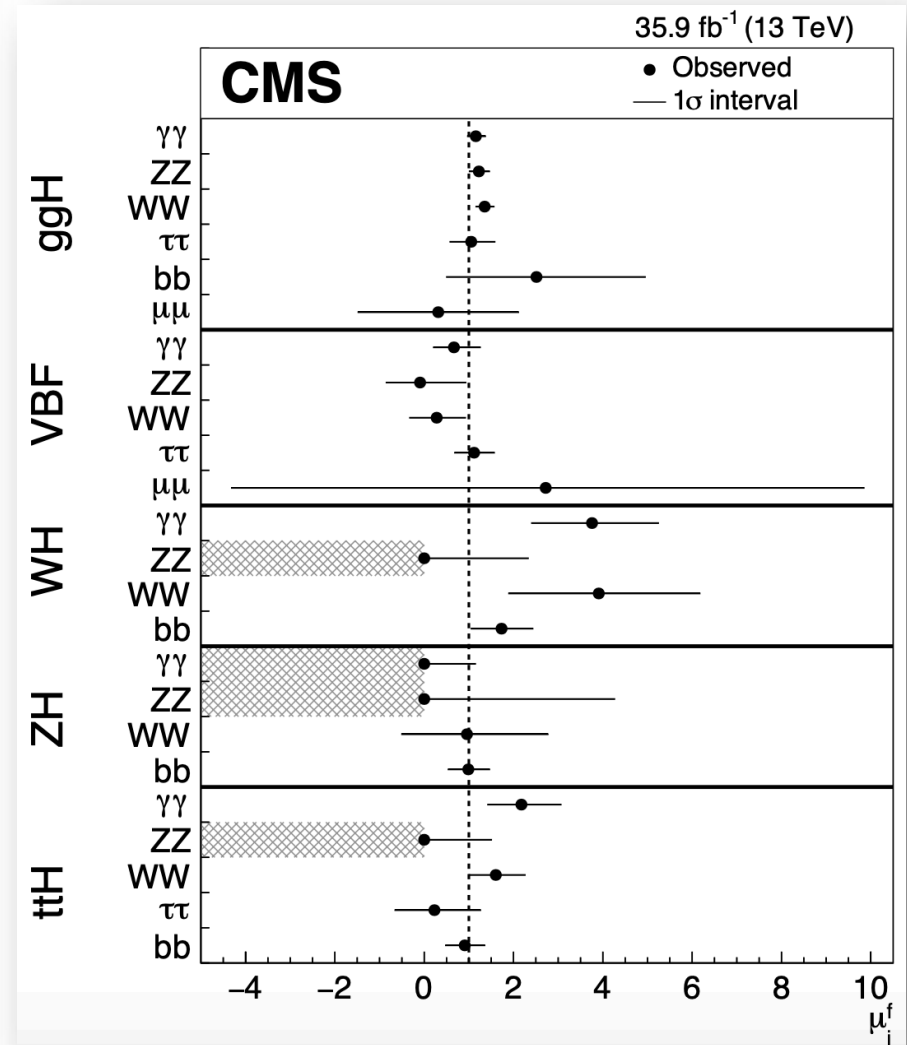
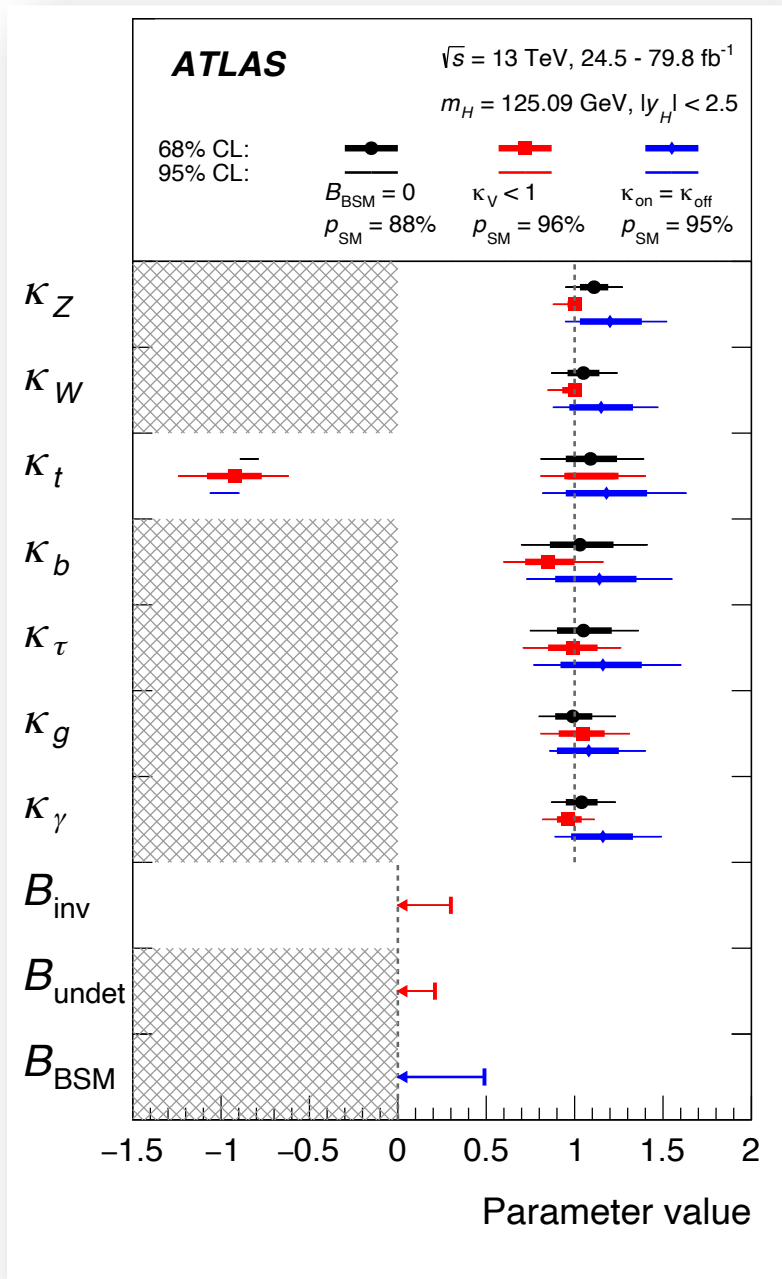
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**Argonne/Northwestern**  
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**HPNP2021**

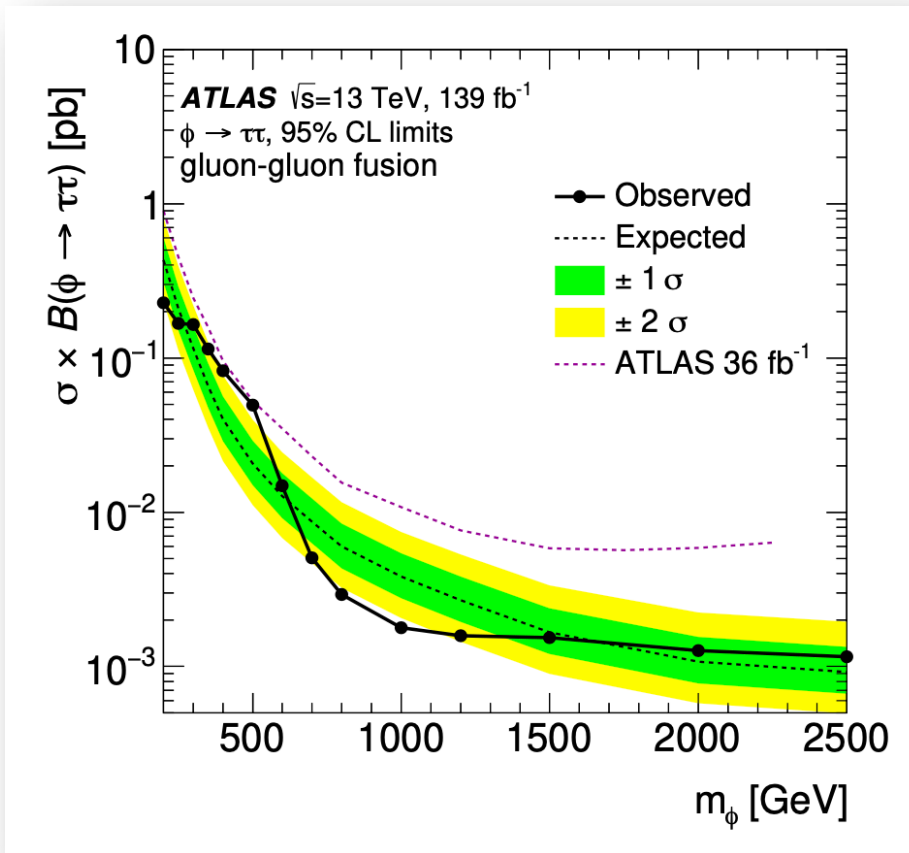
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Works collaborated<sup>1</sup> with:  
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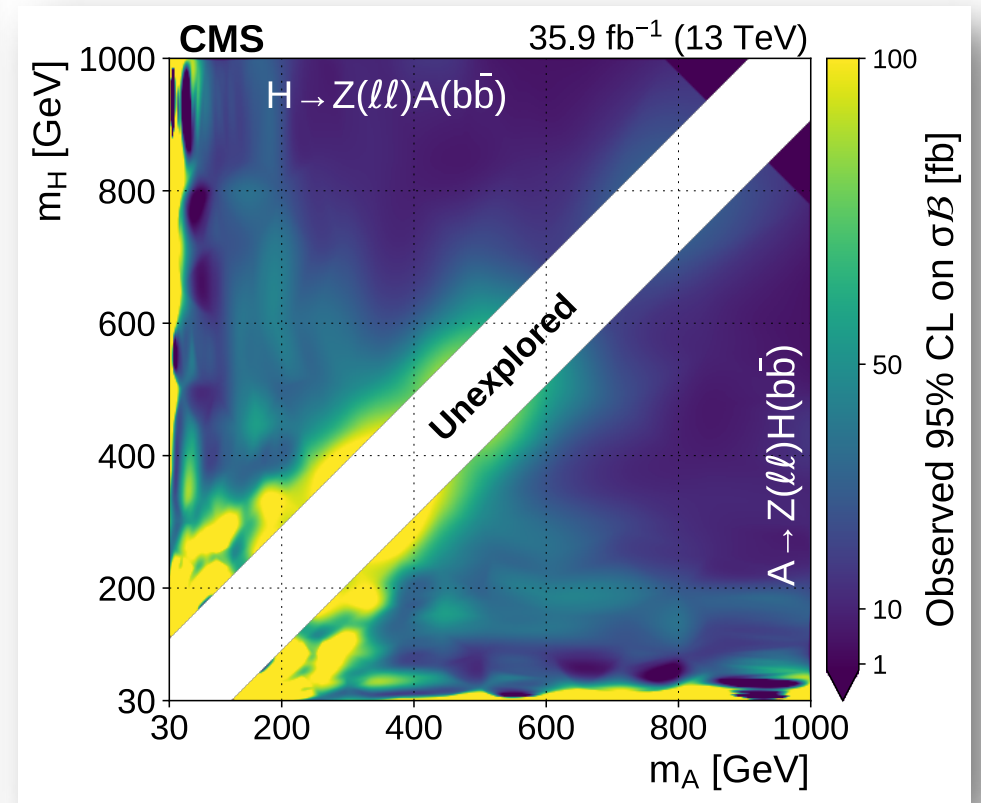
We have come a long way since the discovery of the Higgs boson in 2012:



Searches for additional Higgs bosons (scalars) have come up empty so far:



arXiv:2002.12223



arXiv:1911.03781

Because of the decoupling theorem, these two observations are related:

- A SM-like 125 GeV Higgs
- Absence of new particles at the weak scale

Decoupling theorem:

Effects of heavy new particles on low-energy observables must diminish as the heavy mass tends to infinity.

In the SM, generically, decoupling effect goes like

$$\mathcal{O}\left(\frac{v^2}{M_{\text{new}}^2}\right) \sim 5\% \times \left(\frac{1 \text{ TeV}}{\Lambda}\right)^2$$

For O(15%) accuracy in HVV couplings,  $M_{\text{new}} > \sim 600 \text{ GeV}$ !



## Question:

**If we continue to pursue the precision in the Higgs coupling measurements, is there any value in direct searches for additional, heavy Higgs bosons?**

The answer is a resounding “YES!” as the argument for decoupling is not airtight.

There could be additional heavy Higgs bosons at the weak scale while still having a Standard-Model like 125 GeV Higgs.

It goes by the name of “Alignment without decoupling.”

Gunion and Haber, hep-ph/0207010  
Delgado, Nardini and Quiros: 1303.0800  
Craig, Galloway and Thomas: 1305.2424  
Carena, IL, Shah, Wagner: 1310.2248

- First consider the CP-conserving 2HDM:

$$\begin{aligned}
\mathcal{V} = & m_{11}^2 \Phi_1^\dagger \Phi_1 + m_{22}^2 \Phi_2^\dagger \Phi_2 - [m_{12}^2 \Phi_1^\dagger \Phi_2 + \text{h.c.}] \\
& + \frac{1}{2} \lambda_1 (\Phi_1^\dagger \Phi_1)^2 + \frac{1}{2} \lambda_2 (\Phi_2^\dagger \Phi_2)^2 + \lambda_3 (\Phi_1^\dagger \Phi_1) (\Phi_2^\dagger \Phi_2) + \lambda_4 (\Phi_1^\dagger \Phi_2) (\Phi_2^\dagger \Phi_1) \\
& + \left\{ \frac{1}{2} \lambda_5 (\Phi_1^\dagger \Phi_2)^2 + [\lambda_6 (\Phi_1^\dagger \Phi_1) + \lambda_7 (\Phi_2^\dagger \Phi_2)] \Phi_1^\dagger \Phi_2 + \text{h.c.} \right\} ,
\end{aligned}$$

- There exists a “basis” where all parameters are real. The VEV’s are

$$\langle \Phi_1 \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v_1 \end{pmatrix} , \quad \langle \Phi_2 \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v_2 \end{pmatrix} ,$$

$$v^2 \equiv v_1^2 + v_2^2 = \frac{4m_W^2}{g^2} = (246 \text{ GeV})^2 \quad \tan \beta = \frac{v_2}{v_1}$$

- There are 8 real degrees of freedom:  
3 eaten Goldstones and 5 physical scalars -- 2 charged Higgs, 1 CP-odd neutral Higgs and 2 CP-even neutral Higgs.
- The mixing angle in the CP-even neutral sector is defined as

$$\begin{pmatrix} H \\ h \end{pmatrix} = \begin{pmatrix} c_\alpha & s_\alpha \\ -s_\alpha & c_\alpha \end{pmatrix} \begin{pmatrix} \phi_1^0 \\ \phi_2^0 \end{pmatrix} \equiv R(\alpha) \begin{pmatrix} \phi_1^0 \\ \phi_2^0 \end{pmatrix}$$

- The 2x2 mass matrix can be diagonalized:

$$R^T(\alpha) \begin{pmatrix} m_H^2 & 0 \\ 0 & m_h^2 \end{pmatrix} R(\alpha) = \begin{pmatrix} \mathcal{M}_{11}^2 & \mathcal{M}_{12}^2 \\ \mathcal{M}_{12}^2 & \mathcal{M}_{22}^2 \end{pmatrix}$$

- To see how “alignment without decoupling” arises, recall that the CP-even scalar couplings to  $VV$  are dictated by the respective “strength” of the VEVs:

$$gh_iVV = \frac{1}{2}g^2v_i, \quad i = 1, 2$$

- It is possible to rotate to a basis where where all the VEV is concentrated in one of the scalars:

$$H_1 = \begin{pmatrix} H_1^+ \\ H_1^0 \end{pmatrix} \equiv \frac{v_1\Phi_1 + v_2\Phi_2}{v}, \quad H_2 = \begin{pmatrix} H_2^+ \\ H_2^0 \end{pmatrix} \equiv \frac{-v_2\Phi_1 + v_1\Phi_2}{v},$$

$$\langle H_1^0 \rangle = v/\sqrt{2} \text{ and } \langle H_2^0 \rangle = 0$$

**This is called the Higgs basis and is of singular importance for alignment without decoupling!**



- If parameters in the Lagrangian are such that, in the Higgs basis, the scalar mass matrix is diagonal:

$$\mathcal{M}^2 = \begin{pmatrix} \mathcal{M}_{11}^2 & \mathcal{M}_{12}^2 \\ \mathcal{M}_{12}^2 & \mathcal{M}_{22}^2 \end{pmatrix} \quad \mathcal{M}_{12} \approx 0$$

Then the mass eigenstate that carries the full VEV will be SM-like irrespective of “ $m_A$ ”!

**“Alignment without decoupling” occurs when**

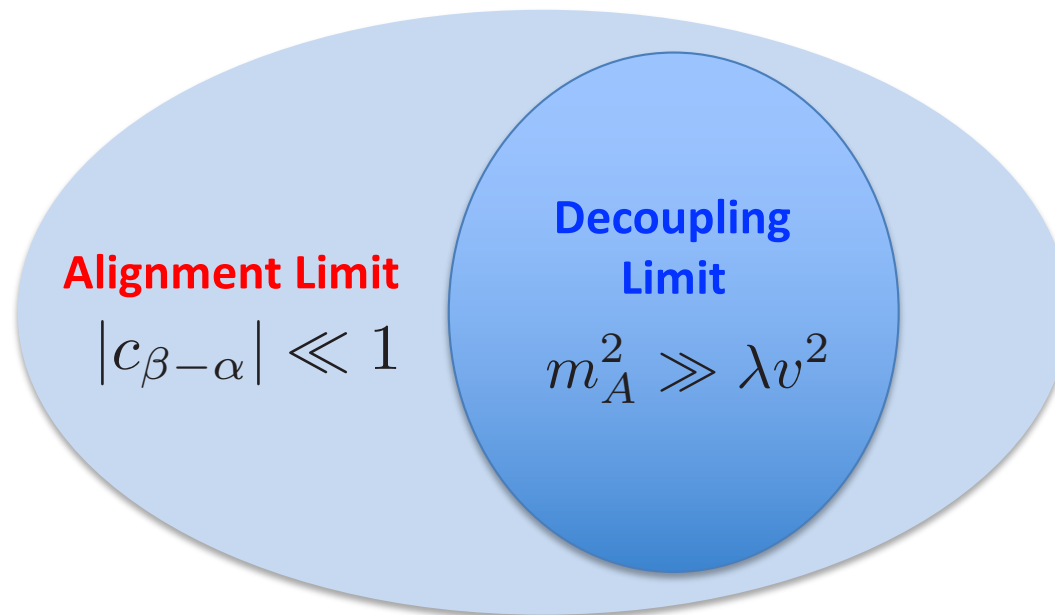
**Higgs basis = Mass eigenbasis**

- In the alignment limit

$$g_{hVV} = g_{hVV}^{\text{SM}} s_{\beta-\alpha} \quad |c_{\beta-\alpha}| \ll 1$$

- The condition is more general than the “decoupling limit”:

$$m_A^2 \gg \lambda v^2$$

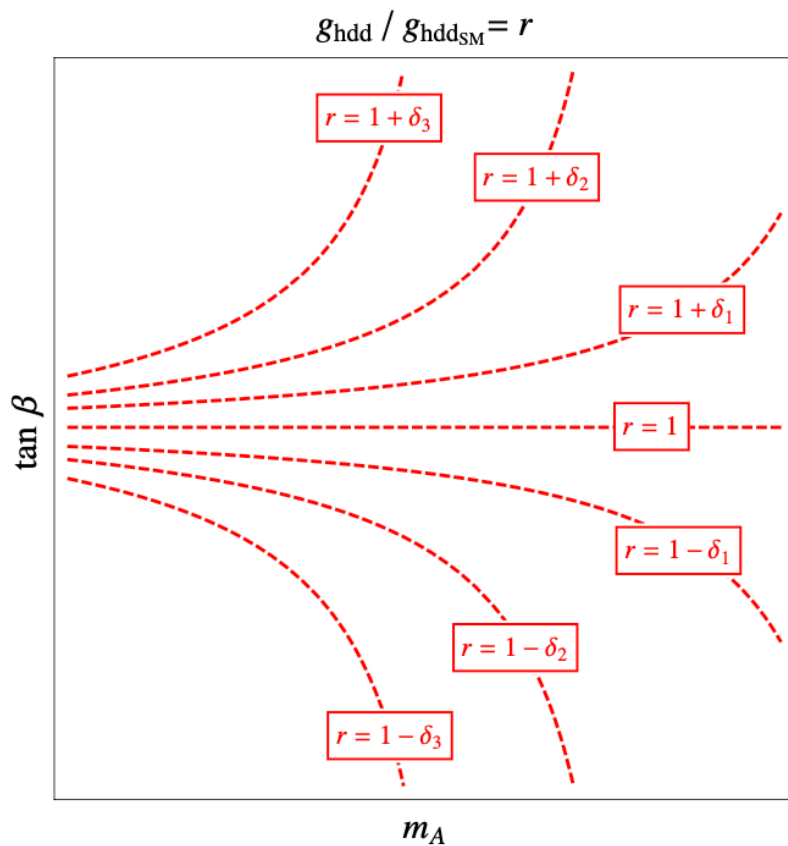


**Experimental data point to an “approximate” alignment limit!**

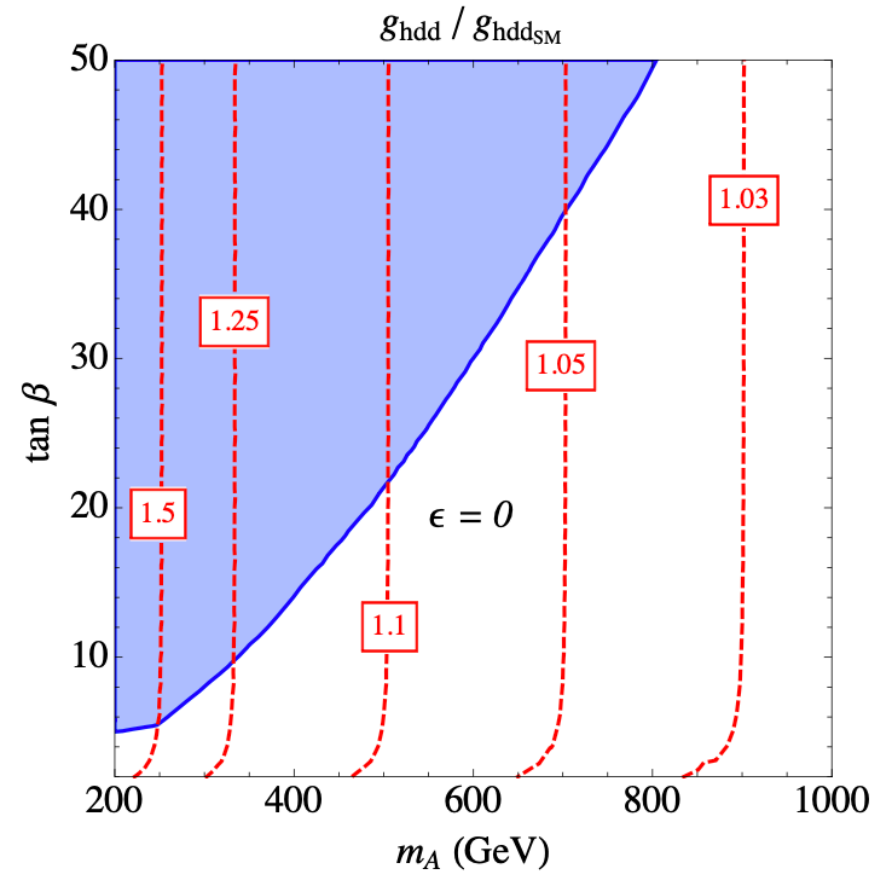
- There are essentially two possibilities to introduce fermions in 2HDM. The more popular one is the Type II model (because of SUSY):

$$g_{hdd} = -\frac{s_\alpha}{c_\beta} g_f$$

$$g_{h\bar{u}u} = \frac{c_\alpha}{s_\beta} g_f$$

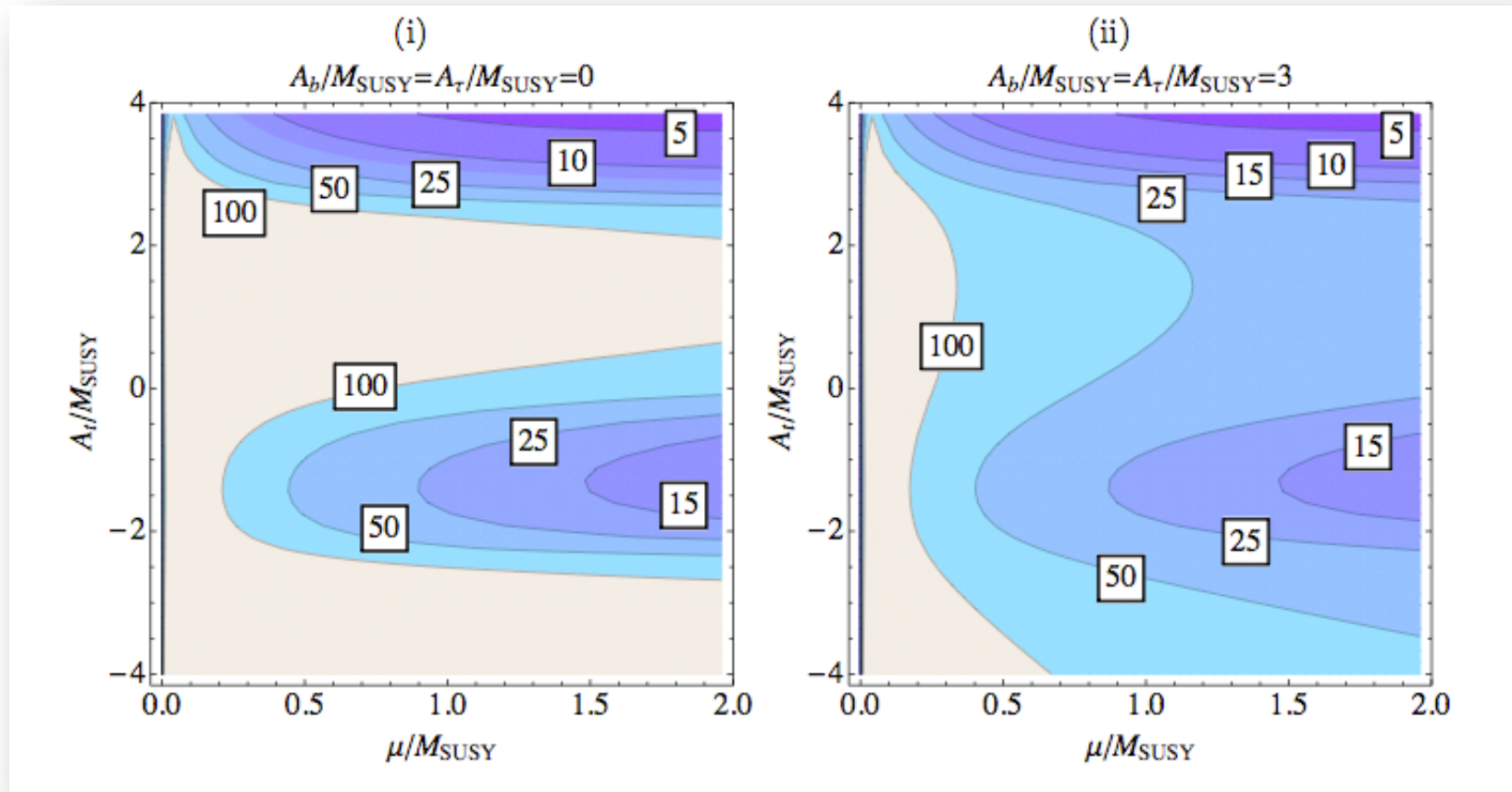


**Alignment without decoupling**



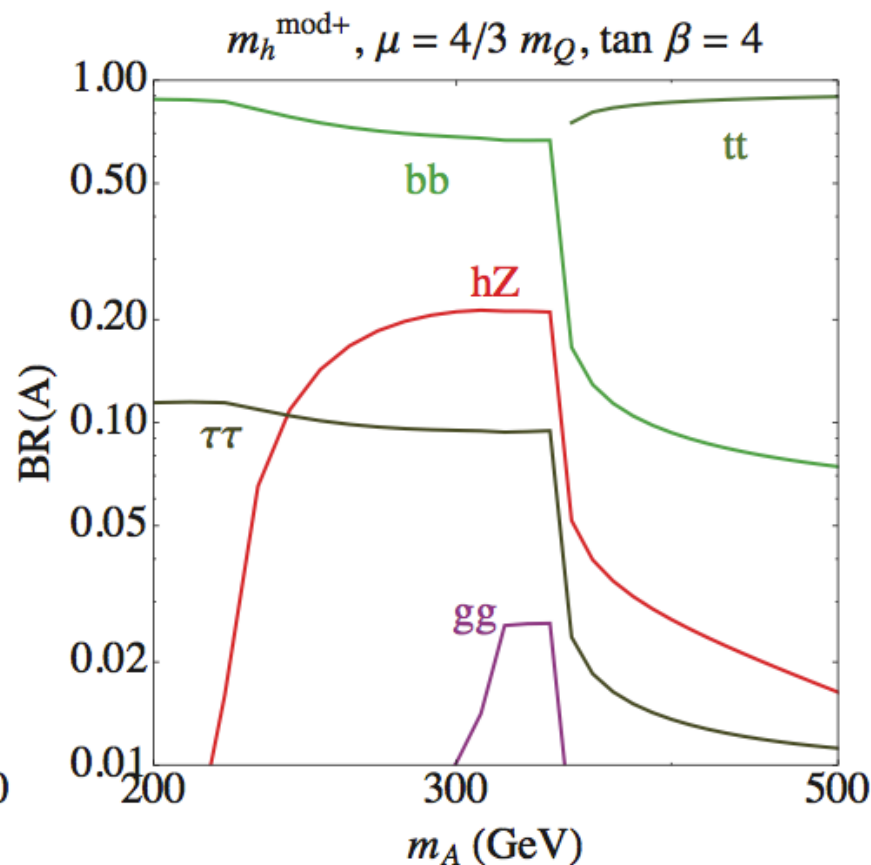
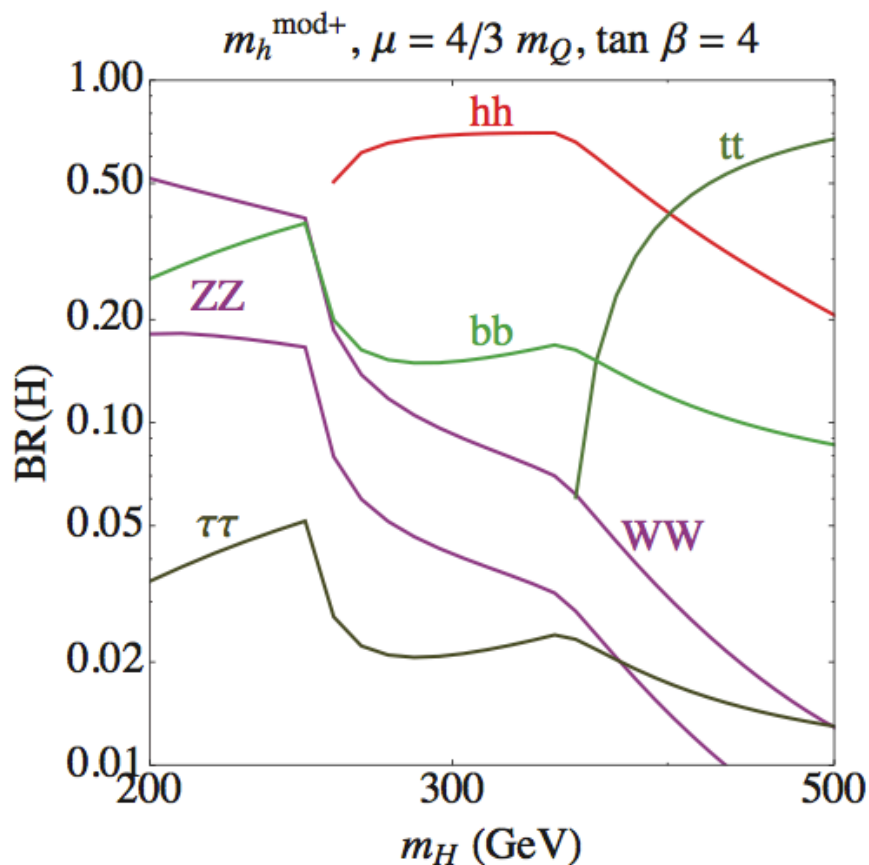
**Decoupling Limit**

Alignment without decoupling is more generic than you think:  
In MSSM it usually happens at moderate  $\tan\beta$ :



In 2HDM and NMSSM, alignment without decoupling usually occurs at low  $\tan\beta < 5$ .

Search strategies for additional scalars could be very different from traditional search channels :



Dominant decay channels are WW, hh and tt, which are different from the most considered bb and tau tau!

- The eventual goal is to study the CP-violating 2HDM, but it is instructive to take a look at NMSSM (MSSM + a singlet scalar).

In the Higgs basis:

$$\langle H_1^0 \rangle = \frac{v}{\sqrt{2}}, \quad \langle H_2^0 \rangle = 0, \quad \langle S \rangle \equiv v_s$$

- The CP-even neutral sector now has 3 scalars:

$$\mathcal{M}_S^2 = \begin{pmatrix} \mathcal{M}_{11}^2 & \mathcal{M}_{12}^2 & \mathcal{M}_{13}^2 \\ \mathcal{M}_{12}^2 & \mathcal{M}_{22}^2 & \mathcal{M}_{23}^2 \\ \mathcal{M}_{13}^2 & \mathcal{M}_{23}^2 & \mathcal{M}_{33}^2 \end{pmatrix}$$

- Exact alignment  $\rightarrow H_1$  is a mass eigenstate:

$$\mathcal{M}_{12}^2 = 0, \quad \mathcal{M}_{13}^2 = 0$$



## Higgs Alignment in CP-violating 2HDM

- The most general Higgs potential

$$\begin{aligned} \mathcal{V} = & m_1^2 \Phi_1^\dagger \Phi_1 + m_2^2 \Phi_2^\dagger \Phi_2 - \left[ m_{12}^2 \Phi_1^\dagger \Phi_2 + \text{h.c.} \right] \\ & + \frac{\lambda_1}{2} (\Phi_1^\dagger \Phi_1)^2 + \frac{\lambda_2}{2} (\Phi_2^\dagger \Phi_2)^2 + \lambda_3 (\Phi_1^\dagger \Phi_1) (\Phi_2^\dagger \Phi_2) + \lambda_4 (\Phi_1^\dagger \Phi_2) (\Phi_2^\dagger \Phi_1) \\ & + \left[ \frac{\lambda_5}{2} (\Phi_1^\dagger \Phi_2)^2 + \lambda_6 (\Phi_1^\dagger \Phi_1) (\Phi_1^\dagger \Phi_2) + \lambda_7 (\Phi_2^\dagger \Phi_2) (\Phi_1^\dagger \Phi_2) + \text{h.c.} \right] , \end{aligned}$$

Since we allow for the possibility of CPX, the following parameters could be complex:

$$\{m_{12}^2, \lambda_5, \lambda_6, \lambda_7\}$$

- Assuming the vacuum preserves  $U(1)_{em}$ :

$$\langle \Phi_1 \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v_1 \end{pmatrix}, \quad \langle \Phi_2 \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v_2 e^{i\xi} \end{pmatrix}$$

A potential phase in  $\langle \Phi_1 \rangle$  is removed by the global hypercharge rotation.

- Minimization of the potential relates some of the parameters:

$$m_{11}^2 = \text{Re}(m_{12}^2 e^{i\xi}) \tan \beta - \frac{1}{2} v^2 [\lambda_1 c_\beta^2 + \lambda_{345} s_\beta^2 + 3 \text{Re}(\lambda_6 e^{i\xi}) s_\beta c_\beta + \text{Re}(\lambda_7 e^{i\xi}) s_\beta^2 \tan \beta]$$

$$m_{22}^2 = \text{Re}(m_{12}^2 e^{i\xi}) \cot \beta - \frac{1}{2} v^2 [\lambda_2 s_\beta^2 + \lambda_{345} c_\beta^2 + \text{Re}(\lambda_6 e^{i\xi}) c_\beta^2 \cot \beta + 3 \text{Re}(\lambda_7 e^{i\xi}) s_\beta c_\beta]$$

$$\text{Im}(m_{12}^2 e^{i\xi}) = \frac{1}{2} v^2 [\text{Im}(\lambda_5 e^{2i\xi}) s_\beta c_\beta + \text{Im}(\lambda_6 e^{i\xi}) c_\beta^2 + \text{Im}(\lambda_7 e^{i\xi}) s_\beta^2]$$

- Recall the definition of the Higgs basis

$$\langle H_1^0 \rangle = \frac{v}{\sqrt{2}} , \quad \langle H_2^0 \rangle = 0$$

- Rotating  $H_2$  by an arbitrary phase leaves the defining relation of Higgs basis invariant. Two different Higgs bases given by

$$H'_1 = H_1 , \quad H'_2 = e^{i(\eta' - \eta)} H_2$$

are physically equivalent “Higgs bases.”

Higgs basis is really a family of bases labelled by  $\eta$ .

- We can further "gauge fix" the residual redundancy by writing the potential as follows:

$$\begin{aligned}
 \mathcal{V} = & Y_1 H_1^\dagger H_1 + Y_2 H_2^\dagger H_2 + \left[ Y_3 e^{-i\eta} H_1^\dagger H_2 + \text{h.c.} \right] \\
 & + \frac{Z_1}{2} (H_1^\dagger H_1)^2 + \frac{Z_2}{2} (H_2^\dagger H_2)^2 + Z_3 (H_1^\dagger H_1) (H_2^\dagger H_2) + Z_4 (H_1^\dagger H_2) (H_2^\dagger H_1) \\
 & + \left[ \frac{Z_5}{2} e^{-2i\eta} (H_1^\dagger H_2)^2 + Z_6 e^{-i\eta} (H_1^\dagger H_1) (H_1^\dagger H_2) + Z_7 e^{-i\eta} (H_2^\dagger H_2) (H_1^\dagger H_2) + \text{h.c.} \right]
 \end{aligned}$$

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**Different choices of parameters now truly represent physically distinct theories!**

- Potentially complex parameters are

$$\{Y_3, Z_5, Z_6, Z_7\}$$

- Minimization condition in the Higgs basis:

$$Y_1 = -\frac{1}{2}Z_1 v^2, \quad Y_3 = -\frac{1}{2}Z_6 v^2$$

The first condition is the definition of “ $v$ ” in the Higgs basis.

The second condition eliminates one complex parameters. So only three are remaining:

$$\{Z_5, Z_6, Z_7\}$$

- If there exists a choice of  $\eta$  such that all three are real, CP is conserved:

$$\text{Im}(Z_5^* Z_6^2) = \text{Im}(Z_5^* Z_7^2) = \text{Im}(Z_6^* Z_7) = 0$$

The importance of  $Z_2$  :

- The most general Yukawa interaction in 2HDM introduce tree-level flavor-changing neutral currents (FCNCs) and is severely constrained by data.
- The tree-level FCNCs can be removed by imposing a  $Z_2$  symmetry such that

$$\Phi_1 \rightarrow \Phi_1 , \quad \Phi_2 \rightarrow -\Phi_2$$

- The following terms in the scalar potential violates the  $Z_2$  symmetry:

$$m_{12}^2 = \lambda_6 = \lambda_7 = 0$$

- But this is too stringent. For the purpose of removing FCNCs,  $Z_2$  can be broken “softly” by mass terms. In the end we only need

$$\lambda_6 = \lambda_7 = 0 , \quad m_{12}^2 \neq 0$$



- The Yukawa interaction

$$- \mathcal{L}_Y = \sum_{i=1,2} \left( \bar{u}_L \Phi_i^{0*} \mathcal{Y}_i^u u_R - \bar{d}_L \mathcal{K}^\dagger \Phi_i^- \mathcal{Y}_i^u u_R + \bar{u}_L \mathcal{K} \Phi_i^+ \mathcal{Y}_i^{d\dagger} d_R + \bar{d}_L \Phi_i^0 \mathcal{Y}_i^{d\dagger} d_R + \text{h.c.} \right)$$

must also respect the  $Z_2$  symmetry, leading to two possibilities:

$$\text{Type I :} \quad \mathcal{Y}_i^u = \mathcal{Y}_i^d = 0 ,$$

$$\text{Type II :} \quad \mathcal{Y}_j^u = \mathcal{Y}_i^d = 0 , i \neq j$$

- In the literature the 2HDM Lagrangian is commonly presented in a “basis” where the  $Z_2$  symmetry is manifest. This is called “ $Z_2$  basis.”

- The complex 2HDM (C2HDM):

A general 2HDM with a softly broken  $Z_2$  symmetry, defined in a basis where  $\lambda_6 = \lambda_7 = 0$  and the VEVs are real and non-negative,  $\xi = 0$ . (This can be achieved by rephasing  $\Phi_2$ .)

- There are a total of 9 parameters in C2HDM:

$$\{v, \tan \beta, \text{Re } m_{12}^2, \lambda_1, \lambda_2, \lambda_3, \lambda_4, \text{Re } \lambda_5, \text{Im } \lambda_5\}$$

- In the Higgs basis the softly broken  $Z_2$  is not manifest and appears as the following constraint on the parameters:

$$(Z_1 - Z_2)[Z_{34}Z_{67}^* - Z_1Z_7^* - Z_2Z_6^* + Z_5^*Z_{67}] - 2Z_{67}^*(|Z_6|^2 - |Z_7|^2) = 0.$$

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- In the end we choose

$$\{v, m_{h_1}, m_{h_2}, m_{h_3}, m_{H^\pm}, \theta_{12}, \theta_{13}, Z_3, \text{Re}[\tilde{Z}_7]\}$$

## Alignment without decoupling in C2HDM

- In the neutral scalar sector, there are 3 physical scalars which can mix. So the mass matrix is 3x3:

$$H_1 = \begin{pmatrix} G^+ \\ \frac{1}{\sqrt{2}} (v + \phi_1^0 + iG^0) \end{pmatrix}, \quad H_2 = \begin{pmatrix} H^+ \\ \frac{1}{\sqrt{2}} (\phi_2^0 + ia^0) \end{pmatrix}$$

$$R \mathcal{M}^2 R^T = \mathcal{M}_D^2 \equiv \text{diag} (m_1^2, m_2^2, m_3^2)$$

- We can parameterize the rotation matrix  $R$  by three "Euler angles:"

$$R = R_{12}R_{13}\bar{R}_{23} = \begin{pmatrix} c_{12} & -s_{12} & 0 \\ s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} c_{13} & 0 & -s_{13} \\ 0 & 1 & 0 \\ s_{13} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \bar{c}_{23} & -\bar{s}_{23} \\ 0 & \bar{s}_{23} & \bar{c}_{23} \end{pmatrix}$$

But  $\bar{\theta}_{23}$  simply rotates  $\phi_2^0$  and  $a^0$ , which corresponds to

$$H_2 \rightarrow e^{i\bar{\theta}_{23}} H_2$$

So it can be re-absorbed into

$$\theta_{23} \equiv \bar{\theta}_{23} + \eta$$

In the end, one can diagonalize the mass matrix using just two angles.

- This consideration motivates absorbing  $R_{23}$  into the mass matrix itself:

$$\widetilde{\mathcal{M}}^2 \equiv \overline{R}_{23} \mathcal{M}^2 \overline{R}_{23}^T$$

$$\widetilde{R} \widetilde{\mathcal{M}}^2 \widetilde{R}^T = \text{diag} (m_1^2, m_2^2, m_3^2) , \quad \widetilde{R} = R_{12} R_{13} = \begin{pmatrix} c_{12}c_{13} & -s_{12} & -c_{12}s_{13} \\ s_{12}c_{13} & c_{12} & -s_{12}s_{13} \\ s_{13} & 0 & c_{13} \end{pmatrix}$$

- The exact alignment limit is given by

$$\widetilde{\mathcal{M}}_{12}^2 = \widetilde{\mathcal{M}}_{13}^2 = 0$$

When this occurs, there is a mass eigenstate which carries the full strength of the VEV and will be SM-like.



The explicit expression:

$$\begin{aligned}\widetilde{\mathcal{M}}^2 &\equiv \overline{R}_{23} \mathcal{M}^2 \overline{R}_{23}^T \\ &= v^2 \begin{pmatrix} Z_1 & \text{Re}[\tilde{Z}_6] & -\text{Im}[\tilde{Z}_6] \\ \text{Re}[\tilde{Z}_6] & \text{Re}[\tilde{Z}_5] + A^2/v^2 & -\frac{1}{2}\text{Im}[\tilde{Z}_5] \\ -\text{Im}[\tilde{Z}_6] & -\frac{1}{2}\text{Im}[\tilde{Z}_5] & A^2/v^2 \end{pmatrix}\end{aligned}$$

$$\tilde{Z}_5 = Z_5 e^{-2i\theta_{23}}, \quad \tilde{Z}_{6/7} = Z_{6/7} e^{-i\theta_{23}}, \quad \theta_{23} = \eta + \bar{\theta}_{23}$$

Alignment conditions:

$$\text{Re}[\tilde{Z}_6] = \text{Im}[\tilde{Z}_6] = 0$$

Mass eigenstates:

$$\begin{pmatrix} h_3 \\ h_2 \\ h_1 \end{pmatrix} = \tilde{R} \begin{pmatrix} \phi_1^0 \\ \tilde{\phi}_2^0 \\ \tilde{\phi}_3^0 \end{pmatrix} = \tilde{R} \begin{pmatrix} \phi_1^0 \\ c_{23} \phi_2^0 - s_{23} a^0 \\ s_{23} \phi_2^0 + c_{23} a^0 \end{pmatrix}$$

$$m_{h_1} \leq m_{h_2} \leq m_{h_3} \quad m_{h_1} = 125 \text{ GeV}$$

We are interested in the interplay between the Higgs alignment and CPX in C2HDM. There are two important experimental observations:

- The 125 GeV Higgs is *SM-like*.
- EDM places stringent constraints on CPX.

These motivates considering the *small departures* from

- The exact alignment limit
- The exact CP-conserving limit.

## Two CP-conserving limits:

- The CP-conserving conditions

$$\text{Im}(Z_5^* Z_6^2) = \text{Im}(Z_5^* Z_7^2) = \text{Im}(Z_6^* Z_7) = 0$$

give rise to two CP-conserving limits:

$$\text{CPC1} : \text{Im}[\tilde{Z}_5] = \text{Im}[\tilde{Z}_6] = \text{Im}[\tilde{Z}_7] = 0$$

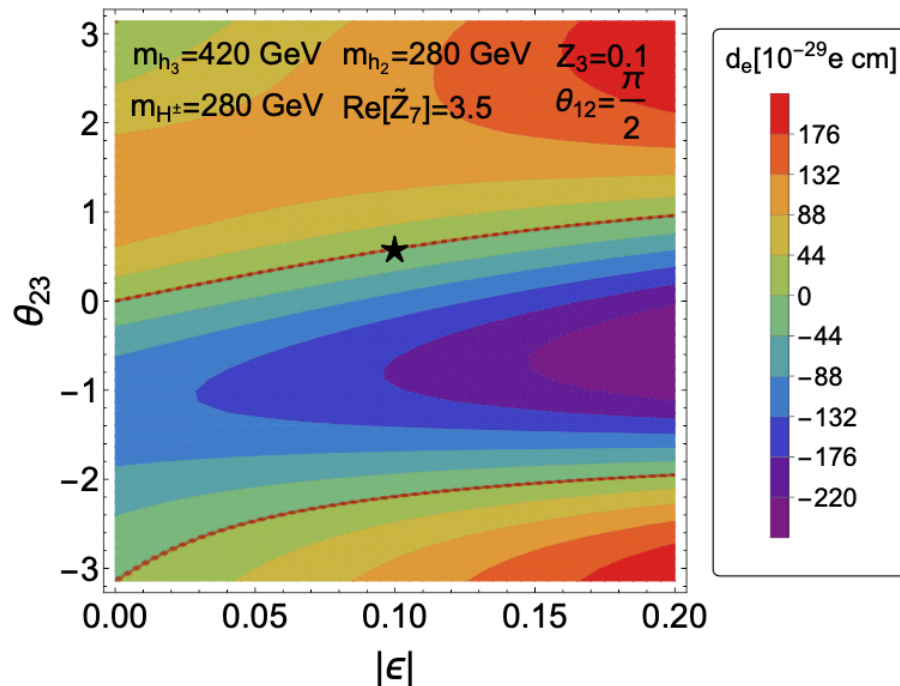
$$\text{CPC2} : \text{Im}[\tilde{Z}_5] = \text{Re}[\tilde{Z}_6] = \text{Re}[\tilde{Z}_7] = 0$$

- In the CP-limit, there are two CP-even scalars (SM-like Higgs and H) and one CP-odd scalar (A). Only the two CP-even scalars could mix.
- In small departure from the CP-limit, each mass eigenstate retains its dominant “CP-character,” with small mixtures with other scalars.

- In CPC1, the SM-like Higgs has a small mixture with the CP-odd component in  $H_2$ . In this case the small CPV implies small departures from the alignment limit. EDM gives

$$\epsilon \sim \mathcal{O}(10^{-4})$$

- In CPC 2, the SM-like Higgs has a small mixture with the CP-even component in  $H_2$ . In this case the CP-limit is independent of the alignment limit!



# Collider phenomenology

The benchmark:  $\{Z_3, \text{Re}[\tilde{Z}_7], \theta_{12}, \theta_{23}, \epsilon\} = \{0.1, 3.5, \pi/2, 0.59, -0.1\}$ ,  
 $\{m_{h_3}, m_{h_2}, m_{H^\pm}\} = \{420, 280, 280\}$  GeV . (21)

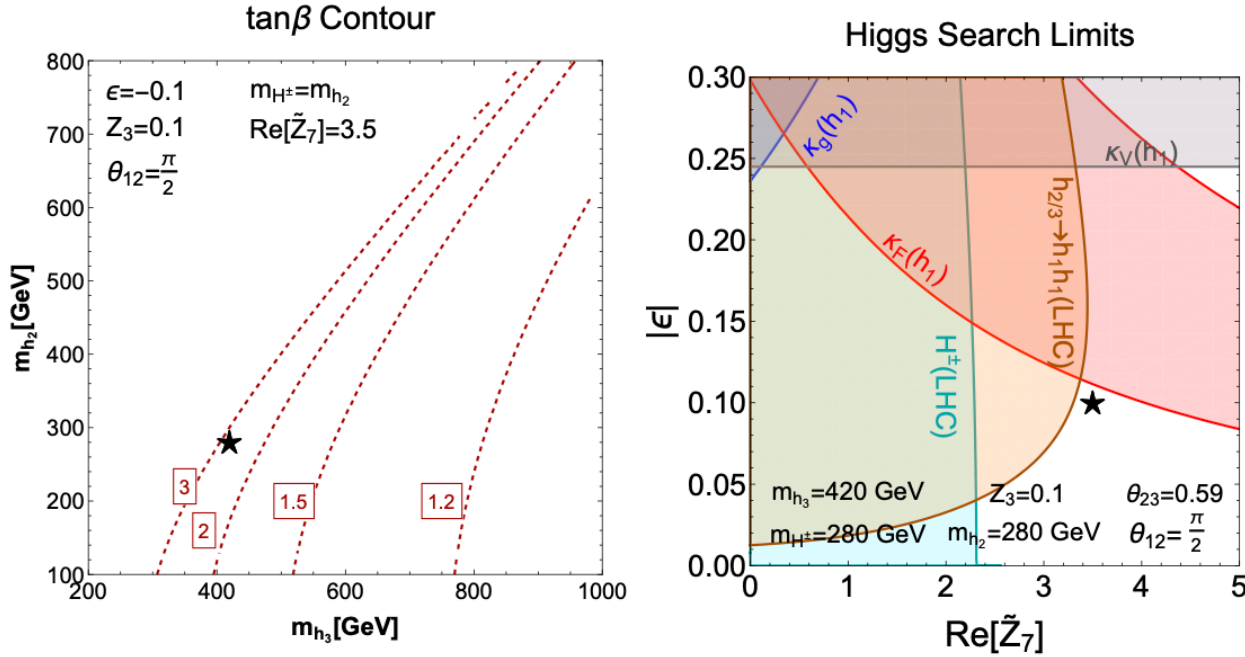


FIG. 1: *Left:*  $\tan\beta$  contours in the  $m_{h_2} - m_{h_3}$  plane. *Right:* LHC constraints on  $|\epsilon|$  from Higgs couplings with gluons ( $\kappa_g$ ), vector bosons ( $\kappa_V$ ), fermions ( $\kappa_F$ ) and photons ( $\kappa_\gamma$ ), as well as searches for  $H^\pm \rightarrow tb$  (cyan) and  $h_{2/3} \rightarrow h_1 h_1$  (orange). Stars denote our benchmark point.

- Heavy Higgs decays

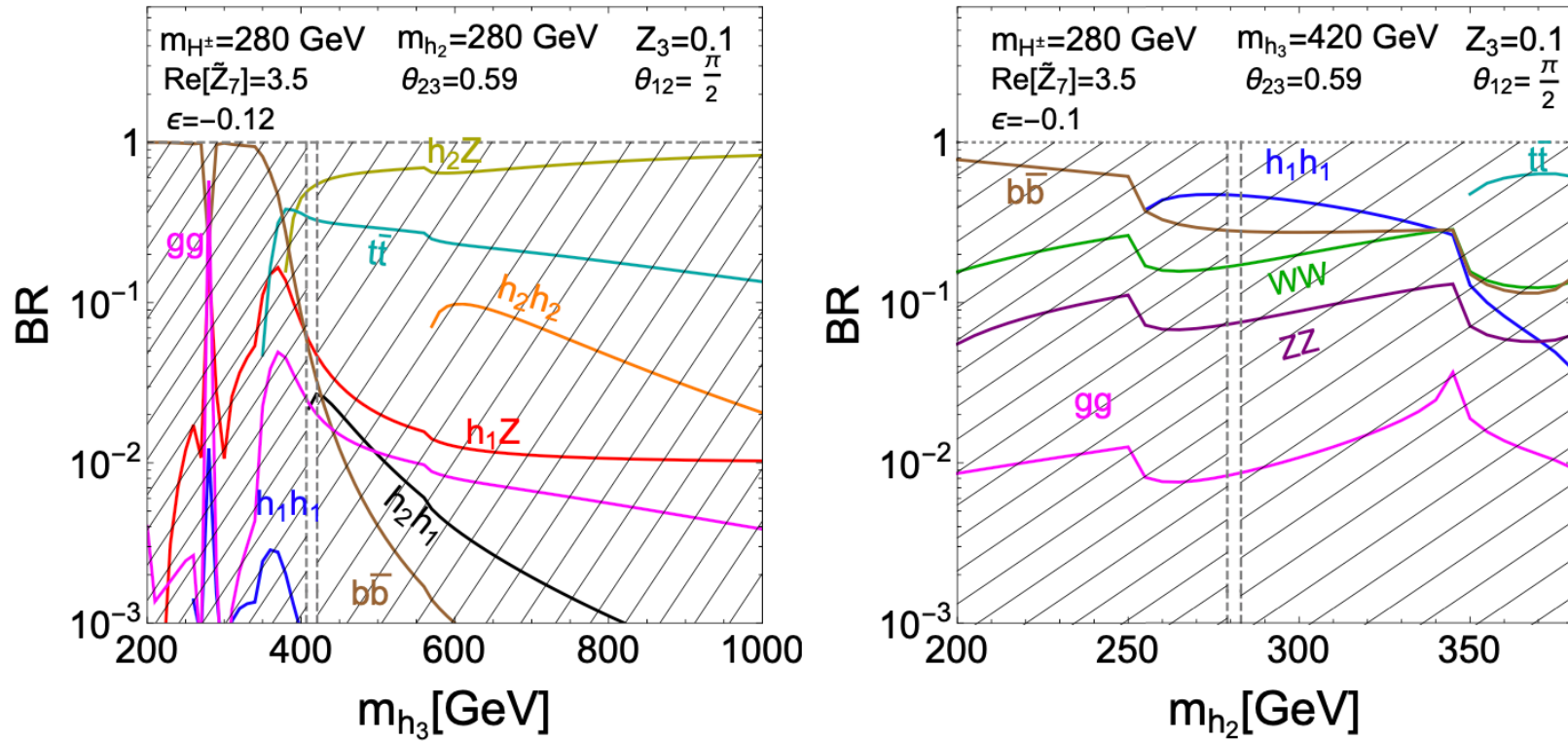


FIG. 3: Branching ratios for  $h_3$  (left) and  $h_2$  (right) for the listed parameters. Grey dashed lines denote mass spectra in tension with eEDM constraints for chosen set of parameters.



- The most interesting pattern is the Higgs-to-Higgs decay:

$$h_3 \rightarrow h_2 h_1$$

- This decay is CP-violating and vanishes in the exact alignment limit:

$$g_{h_1 h_2 h_3} = \epsilon v \operatorname{Re}[\tilde{Z}_7 e^{-2i\theta_{12}}]$$

**The mere existence of this decay is indicative of CPV!**

- Final state with three 125 GeV Higgs bosons is very distinct, and has not been searched for at the LHC!

$$\sigma(gg \rightarrow h_2) \simeq 3.2 \text{ pb} , \quad \sigma(gg \rightarrow h_3) \simeq 1.7 \text{ pb}$$

- At the High Luminosity LHC with 3000 /fb, the CP-violating triple Higgs event could have a large rate:

$$N(h_3 \rightarrow h_2 h_1 \rightarrow h_1 h_1 h_1) = 7 \times 10^4$$

**Now is a good time to start an experimental program on triple Higgs final states!**

## Conclusion:

- There is an interesting interplay between alignment limit and CP-conserving limit in C2HDM. In one case, the alignment limit is identical with the CP-limit, while in the other case they are independent.
- There is a smoking-gun signal for CP violation at the LHC in C2HDM, without recourse to angular distributions, by searching for CP-violating triple Higgs bosons!