

# Parameter Inference from Event Ensembles and the Top-Quark Mass

Katherine Fraser

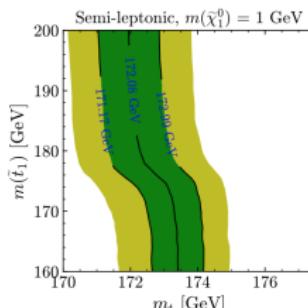
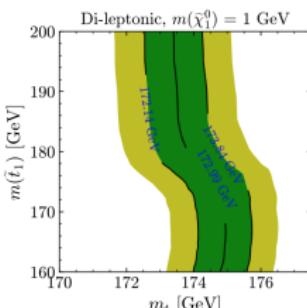
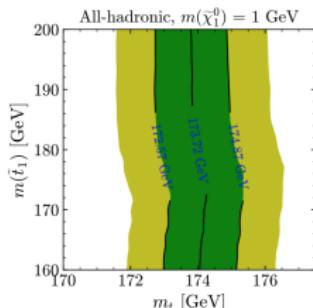
Department of Physics  
Harvard University  
kfraser@g.harvard.edu



ML4Jets 2021  
arXiv: 2011.04666, Submitted to JHEP  
with F. Flesher, C. Hutchinson, B. Ostdiek, M. Schwartz

# Motivation

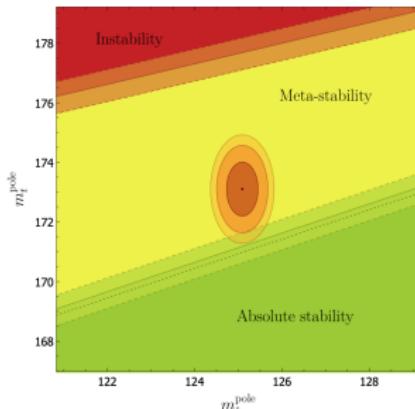
Why precision top measurement?



[Cohen, Majewski,  
Ostdiek, Zheng:  
1909.09670]

[Andreassen, Frost,  
Schwartz:1707.08124]

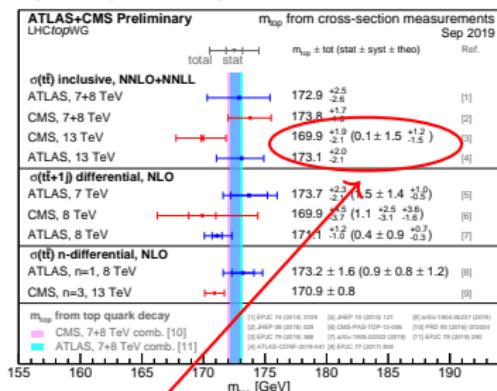
- ▶ Want to understand the SM
- ▶ Can be used to search for BSM physics like SUSY
- ▶ Affects vacuum stability



# The Top Mass Measurement

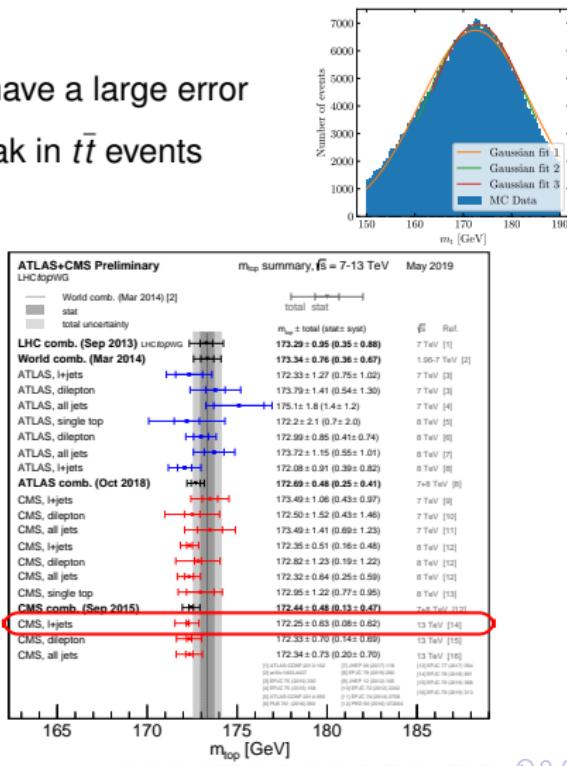
- Measurements using the total cross section have a large error
- Best measurements fit the invariant mass peak in  $t\bar{t}$  events

[LHC TopWG twiki]



Large Error  
 $\mathcal{O}(\text{GeV})$

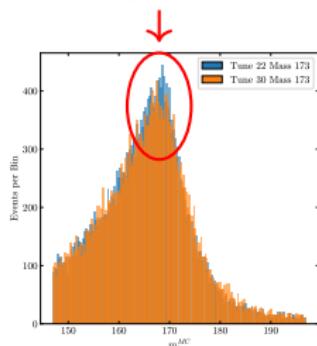
Small Error  
 $\mathcal{O}(600 \text{ MeV})$



# The Monte Carlo Mass

- Fit of the peak is the MC mass.
- Changes in fit due to dependence on unphysical simulation parameters (tunes) is the error.
- Goal: to eliminate dependence of the top quark MC mass on tune.

Peak Depends on tune



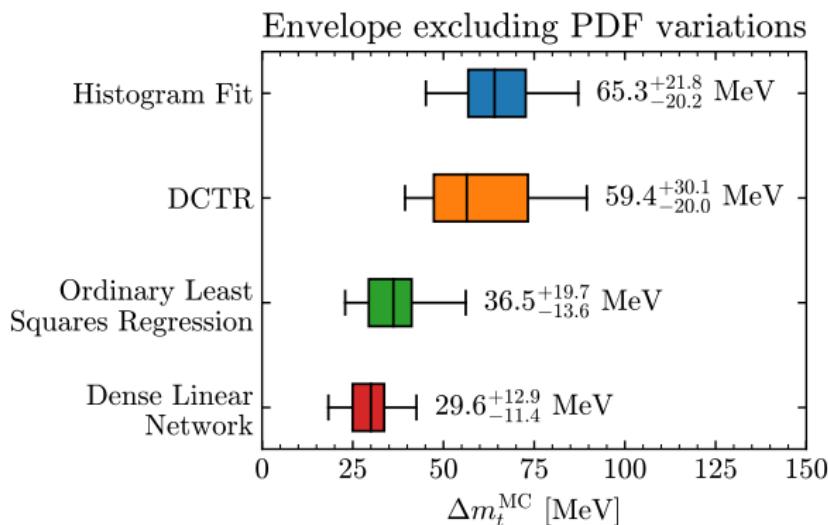
	2D approach $\delta m^{\text{2D}}_{\text{[GeV]}}$	1D approach $\delta m^{\text{1D}}_{\text{[GeV]}}$	Hybrid $\delta m^{\text{hyb}}_{\text{[GeV]}}$	$\delta \text{JSF}^{\text{hyb}}$ [%]
<i>Experimental uncertainties</i>				
Method calibration	0.05	<0.1	0.05	0.05
JEC (quad. sum)	0.13	0.2	0.18	0.3
- Inter Calibration	(−0.02)	(<0.1)	(+0.16)	(−0.04)
- MPFI InSitu	(−0.01)	(<0.1)	(+0.23)	(−0.07)
- Uncorrelated	(−0.13)	(+0.2)	(+0.78)	(+0.16)
Jet energy resolution	−0.20	+0.3	+0.09	−0.12
b tagging	+0.03	<0.1	+0.01	+0.03
Pileup	−0.08	+0.1	+0.02	−0.05
Non-tt background	+0.04	−0.1	−0.02	+0.02
<i>Modeling uncertainties</i>				
JEC Flavor (linear sum)	−0.42	+0.1	−0.31	−0.39
- light quarks (uds)	(+0.10)	(−0.1)	(−0.01)	(+0.06)
- charm	(+0.02)	(<0.1)	(−0.01)	(+0.01)
- bottom	(−0.32)	(−0.1)	(−0.31)	(−0.32)
- gluon	(−0.22)	(+0.3)	(+0.02)	(−0.15)
b jet modeling (quad. sum)	0.13	0.1	0.09	0.12
- b frag. Bowler-Lund	(−0.07)	(+0.1)	(−0.01)	(−0.05)
- b frag. Peterson	(+0.04)	(<0.1)	(+0.05)	(+0.04)
- semileptonic B decays	(+0.11)	(<0.1)	(+0.08)	(+0.10)
PDF	0.02	<0.1	0.02	0.02
Ren. and fact. scales	0.02	0.1	0.02	0.01
ME/PS matching	$−0.08 \pm 0.09$	+0.1	$+0.03 \pm 0.05$	$−0.05 \pm 0.07$
ME generator	$+0.15 \pm 0.23$	+0.2	$+0.32 \pm 0.14$	$+0.20 \pm 0.19$
ISR PS scale	$+0.07 \pm 0.09$	+0.1	$+0.10 \pm 0.05$	$+0.06 \pm 0.07$
FSR PS scale	$+0.24 \pm 0.06$	−0.4	$−0.22 \pm 0.04$	$+0.13 \pm 0.05$
Top quark $p_T$	+0.02	−0.1	−0.06	−0.01
Underlying event	$−0.10 \pm 0.08$	+0.1	$+0.01 \pm 0.05$	$−0.07 \pm 0.07$
Early resonance decays	$−0.22 \pm 0.09$	+0.8	$+0.42 \pm 0.05$	$−0.03 \pm 0.07$
Color reconnection	$+0.34 \pm 0.09$	−0.1	$+0.23 \pm 0.06$	$+0.31 \pm 0.08$
Total systematic	0.75	1.1	1.10	0.62
Statistical (expected)	0.09	0.1	0.06	0.08
<b>Total (expected)</b>	<b>0.76</b>	<b>1.1</b>	<b>1.10</b>	<b>0.63</b>
				0.8

[CMS: EPJC 78 (2018) 891 (1805.01428)]

Tunes:  
coordinated  
changes of  
simulation  
parameters

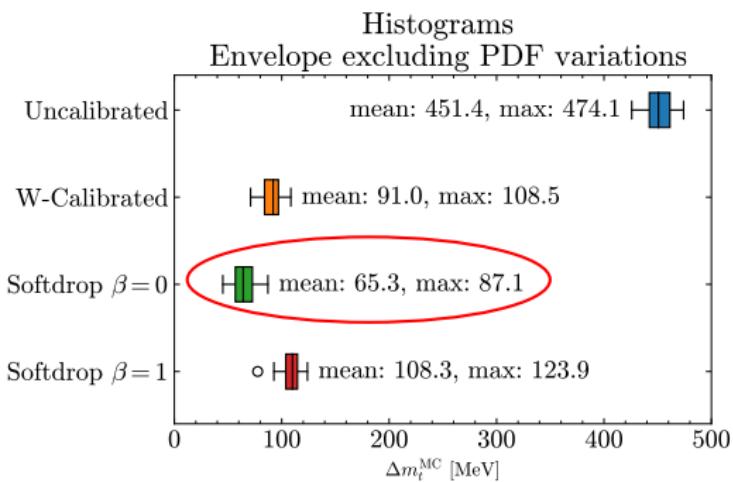
## Results and Outline

Methods to decrease the uncertainty on the top quark monte carlo (MC) mass:



## Previous Work - Histogram Fits with Grooming

- ▶ To extract the top-quark MC mass, iteratively fit histograms.
- ▶ Uncertainty  $\sim 500 - 800$  MeV;  $\sim 100$  MeV with W-calibration:  
 $(m_{\text{calibrated}} = m_{3J} \frac{m_W}{m_{2J}})$
- ▶ Error can be further reduced by grooming with soft drop ( $\sim 30\%$ ) [Andreassen, Schwartz: 1705.07135]



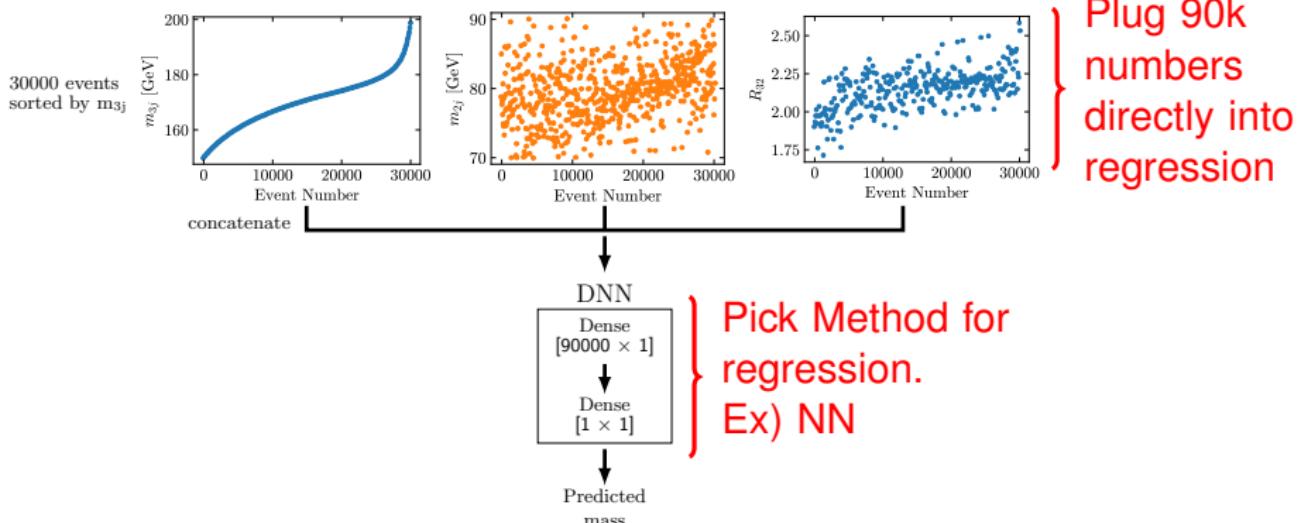
## How can we do better?

Curve fitting reduces histograms to a very small number of parameters that are dependent on the parameterization.

Can we use more of the distribution? Eliminate dependence on parameterization?

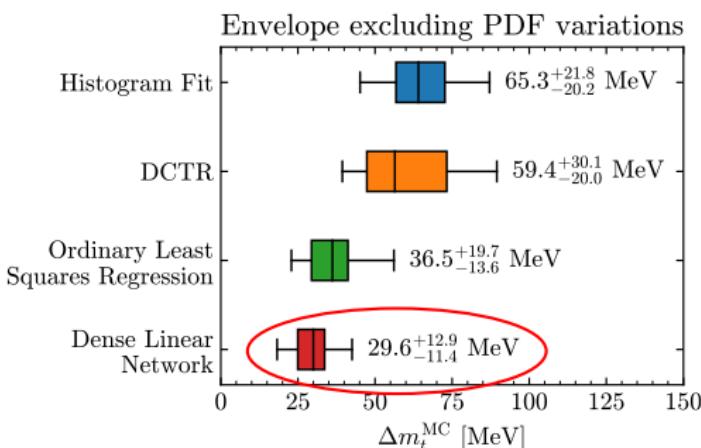
We can! Do regression on an ensemble of events without a binned histogram.

# Regression on Event Ensembles



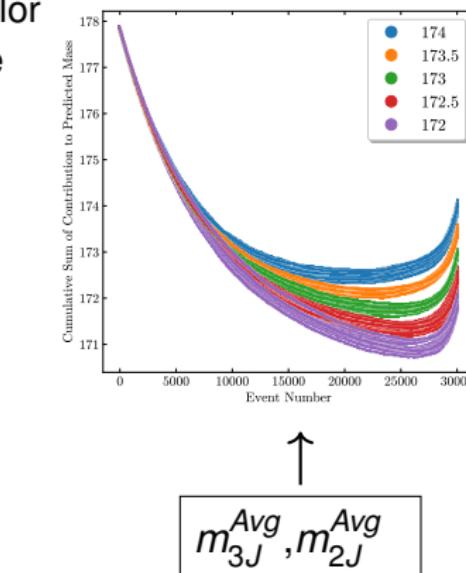
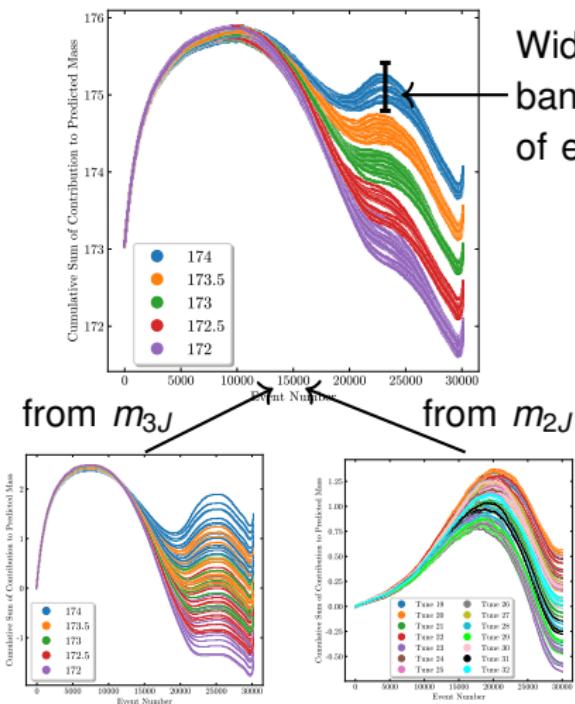
# Regression on Event Ensembles

- ▶ Improves by a factor of  $\sim 2$
- ▶ Modifications don't help, including:
  - ▶ Different inputs
  - ▶ Different network structures
  - ▶ Ordinary Least Squares regression



# Understanding the Improvement

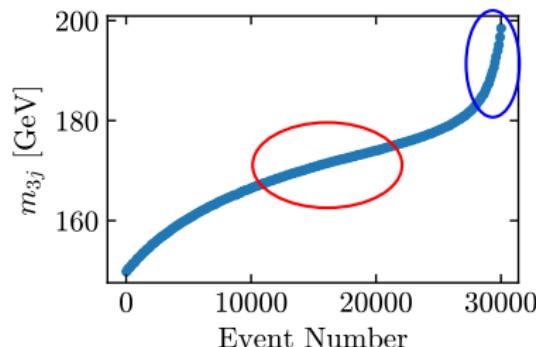
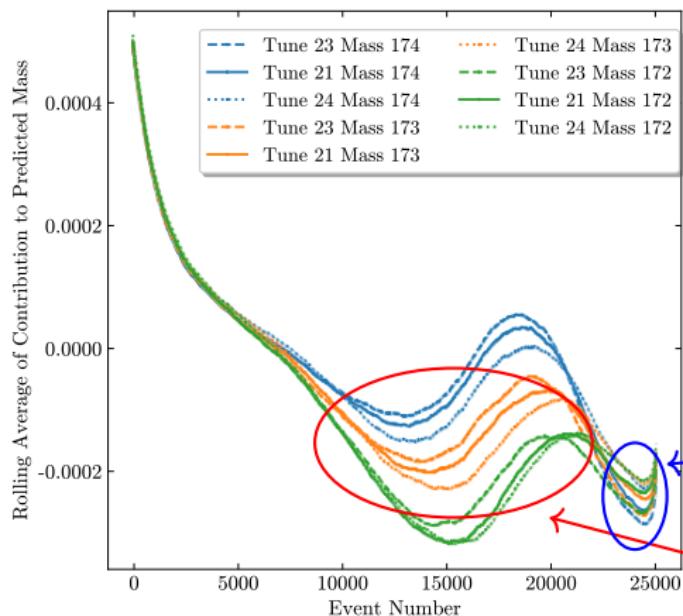
The full network can use tails to correct for differences in tune:



[14 lines of each color: one for each tune]

# A Closer Look at $M_{3J}$

$M_{3J}$  contribution:



Learn the  
mass  
from the  
peak

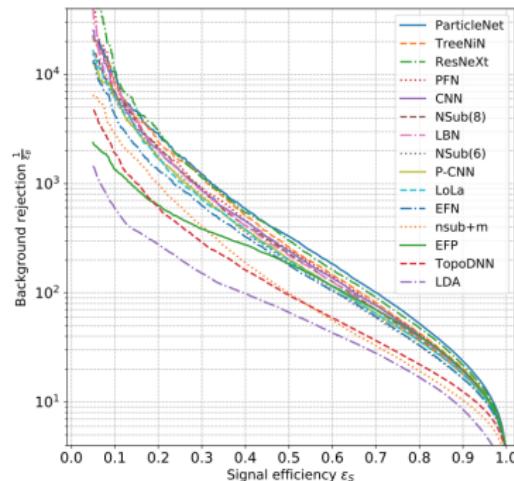
Correct for  
tunes  
away from  
peak

# Can Modern ML Help?

- ▶ Low level info helps for other applications such as top tagging
- ▶ Can't easily include low level info directly into regression
- ▶ Other options: reduce to Energy Flow Polynomials, regression using classifiers, DCTR

[Komiske, Metodiev, Thaler: 1712.07124]

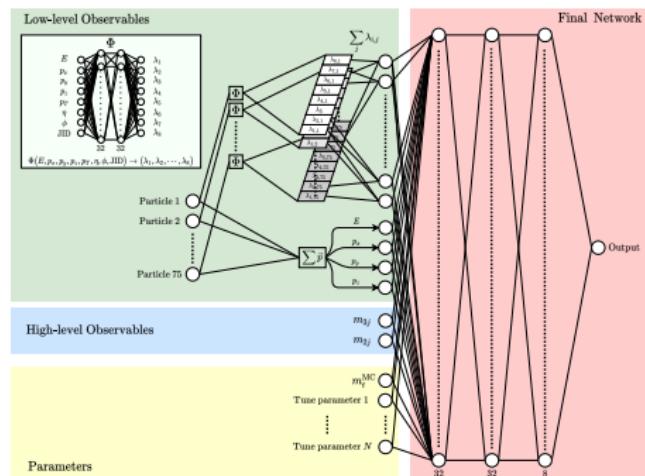
[Nachman, Thaler: 2101.07263]



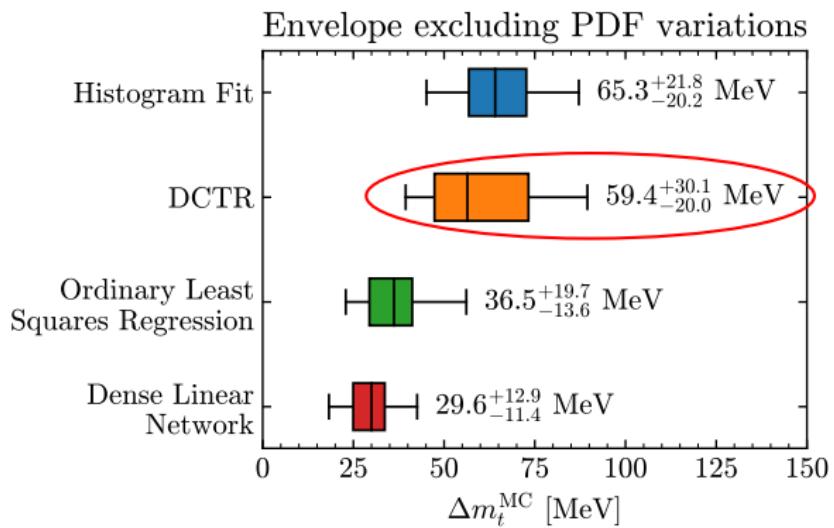
[Comparing ML Top Taggers Performance:  
Kasieczka et al, 1902.09914]

# A DCTR Study

- ▶ DCTR is a modern ML method [Andreassen, Nachman: 1907.08209]
- ▶ Relies on parameterized classifiers, which can take as input HLV, low level input, and parameters
- ▶ Works because loss of a classifier = likelihood ratio



# DCTR Results



# Summary

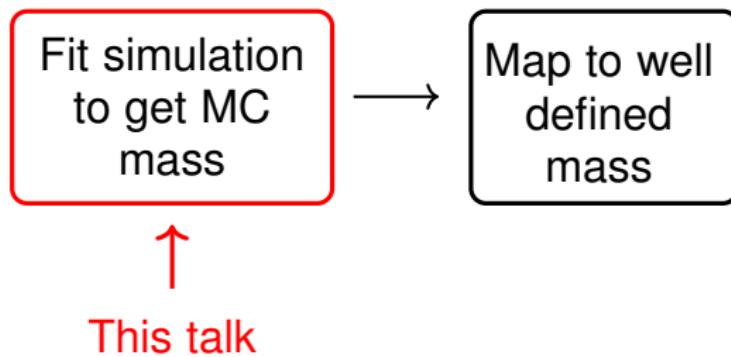
1. **The degeneracy between mass and tune is a significant source of error in measuring  $m_t$ .**
2. Three strategies for reducing this error: (1) Jet Grooming [1705.07135] (2) Regression on event ensembles (3) Modern ML DCTR method. **Regression on event ensembles reduces error by a factor of  $\sim 2$  compared to other methods.**
3. **Regression on event ensembles could potentially be useful for other measurements.** [Ex. W polarization: Kim, Martin: 2102.05124]

# Back Up Slides

# A Two Step Process

Two steps in measuring the top mass:

1. Fit to the peak in simulation to get the Monte Carlo (MC) mass
2. Map the MC mass to a well-defined mass scheme like  $\overline{ms}$  by treating it like the pole mass



# ATLAS A14 7 TeV Tunes

Variation	Tunes	ColorRec	$\alpha_S^{\text{MPI}}$	$p_{T0}^{\text{Ref}}$
VarPDF	19-22	1.71	0.126	1.56
Var1	21, 23, 24	[1.69,1.73]	[0.121,0.131]	1.56
Var2	21, 25, 26	1.71	0.126	[1.50,1.60]
Var3a	21, 27, 28	1.71	[0.125,0.127]	[1.51,1.67]
Var3b	21, 29, 30	1.71	0.126	1.56
Var3c	21, 31, 32	1.71	0.126	1.56

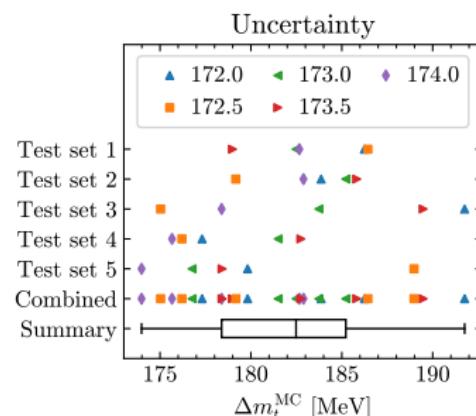
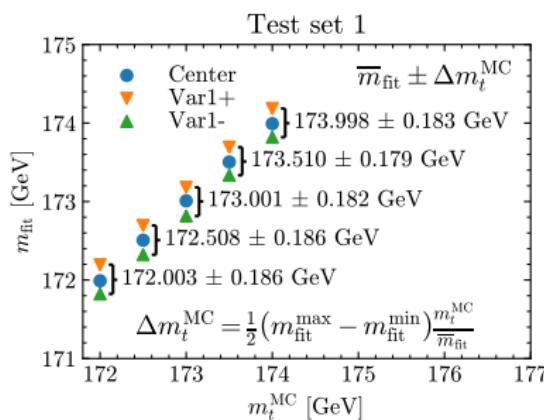
  

Variation	$p_T^{\text{dampFudge}}$	$\alpha_S^{\text{FSR}}$	$p_T^{\text{maxFudge}}$	$\alpha_S^{\text{ISR}}$
VarPDF	1.05	0.127	0.91	0.127
Var1	1.05	0.127	0.91	0.127
Var2	[1.04,1.08]	[0.124,0.136]	0.91	0.127
Var3a	[0.93,1.36]	[0.124,0.136]	[0.88,0.98]	0.127
Var3b	[1.04,1.07]	[0.114,0.138]	[0.83,1.00]	[0.126,0.129]
Var3c	1.05	0.127	0.91	[0.115,0.140]

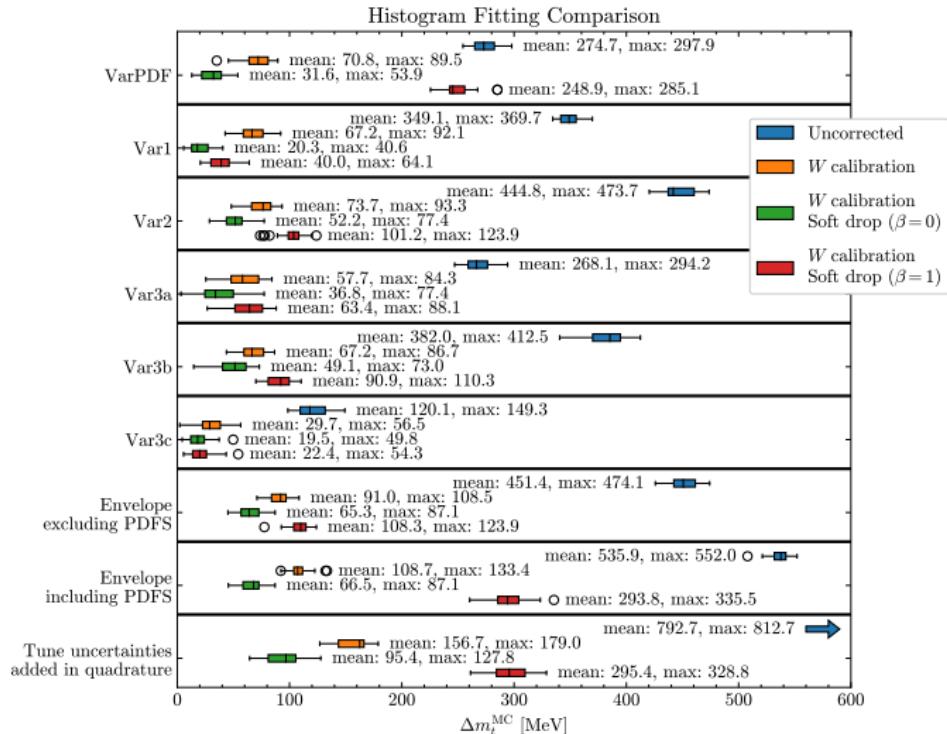
# Defining the Error

For a set of tunes with fixed input mass, the error is defined to be

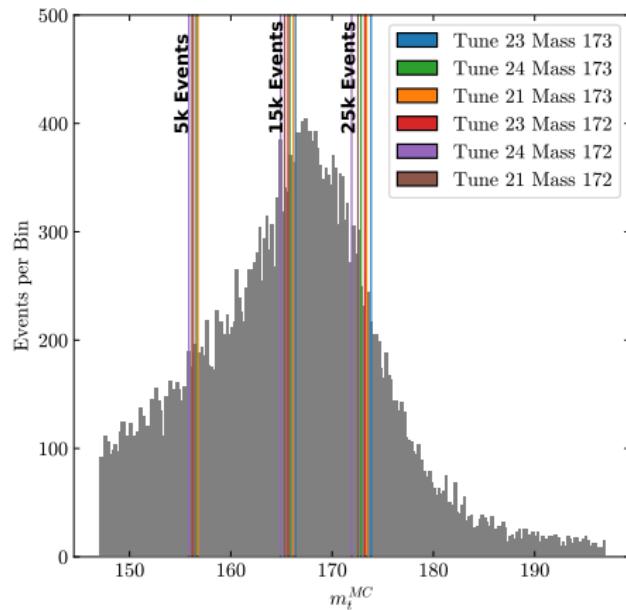
$$\Delta m_t^{MC} = \frac{1}{2} (m_{\text{fit}}^{\max} - m_{\text{fit}}^{\min}) \frac{m_t^{MC}}{\bar{m}_t^{\text{fit}}}.$$



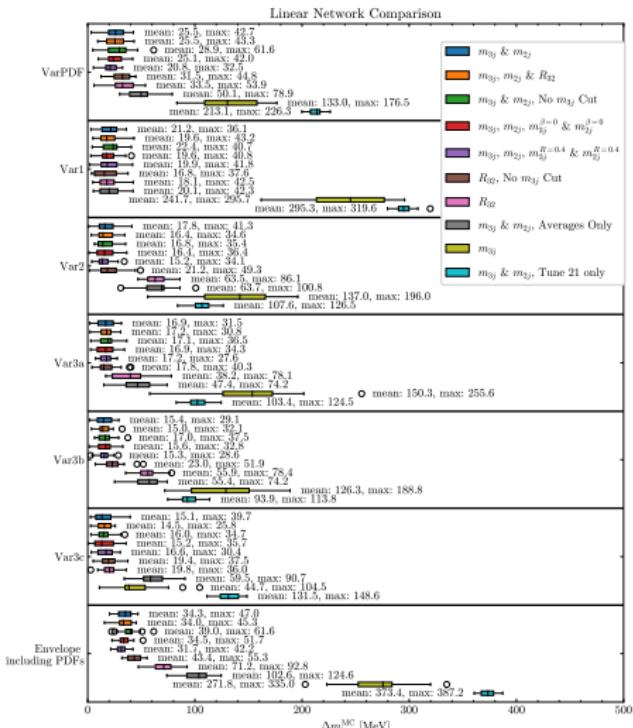
# Histogram Full Results



# Event Histograms

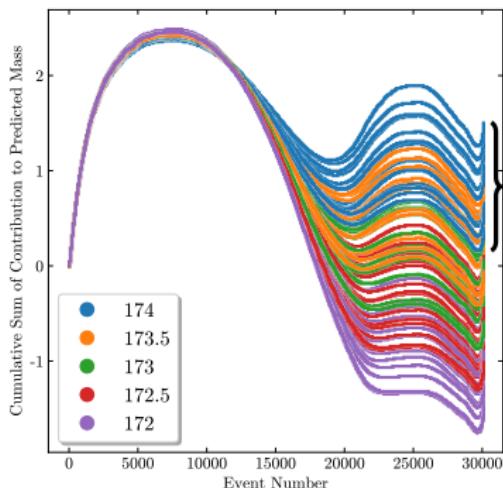


# Linear Network Full Results



# Understanding the Improvement

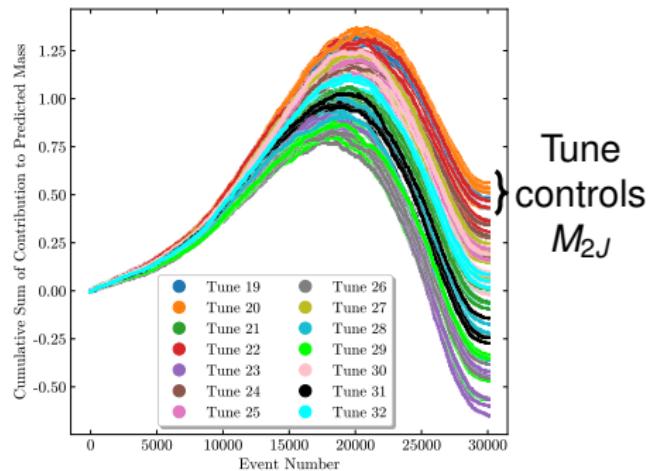
$M_{3J}$  contribution:



14 lines of each color  
(one for each tune)

Mass controls  
 $M_{3J}$

$M_{2J}$  contribution:



5 lines of each color  
(one for each mass)

# DCTR in Practice

Two Steps:

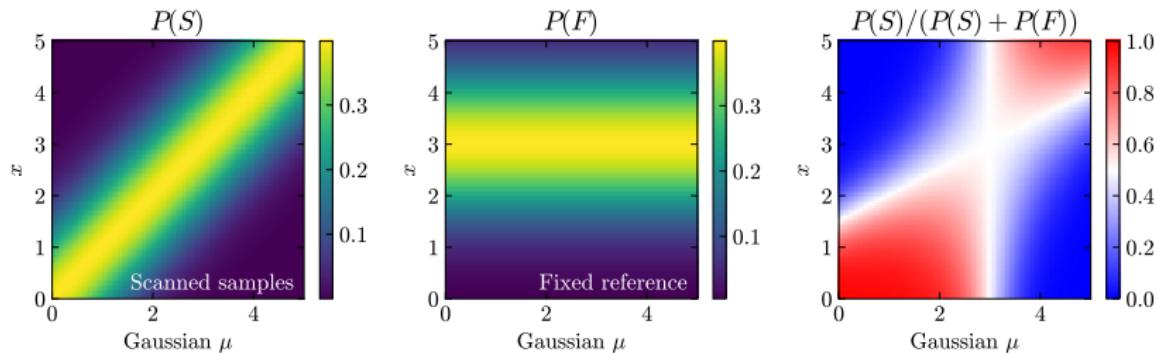
1. Train a parameterized classifier to learn the likelihood ratio
2. Evaluate classifier for an unknown sample and a reference sample, trying multiple input parameters for the unknown sample.

Likelihood is maximized at true parameters used to generate the unknown sample

# DCTR Gaussian Example: Training Step

- ▶ 2 Training Samples:
  1. Samples S from Gaussian with mean  $\mu$  random, label  $\mu$
  2. Samples U from Gaussian with mean  $\mu$  fixed, random label
- ▶ Output of Classifier gives ratio of probability densities:

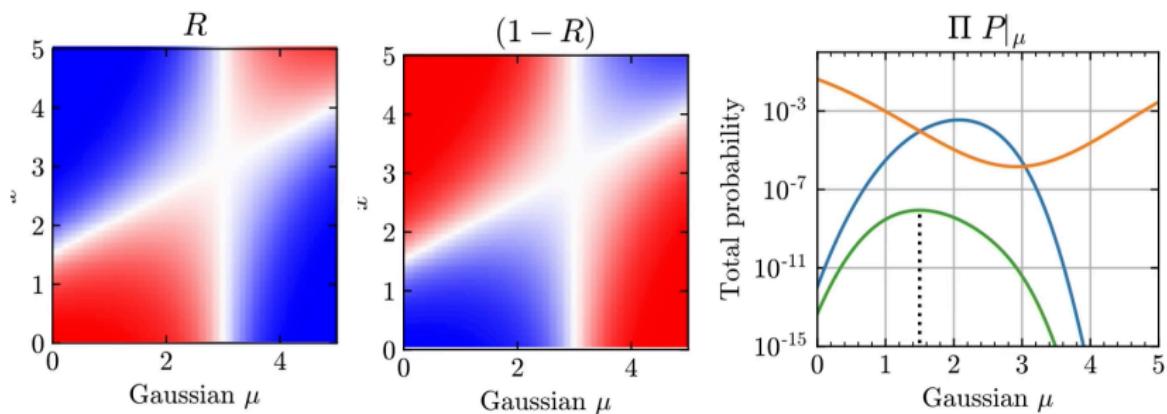
$$R(\mu, x) = \frac{P(S(\mu, x))}{P(S(\mu, x)) + P(U(\mu, x))}$$



## More DCTR Results: Evaluation Step

To infer most probable parameter, maximize  $C(\mu)$ :

$$C(\mu) = \prod_{x \in T} R(\mu, x) \prod_{x \in F} (1 - R(\mu, x))$$



In Example: Reference  $\mu = 3$ , New  $\mu = 1.5$