Bounds on non-geodesics from the Swampland Distance Conjecture



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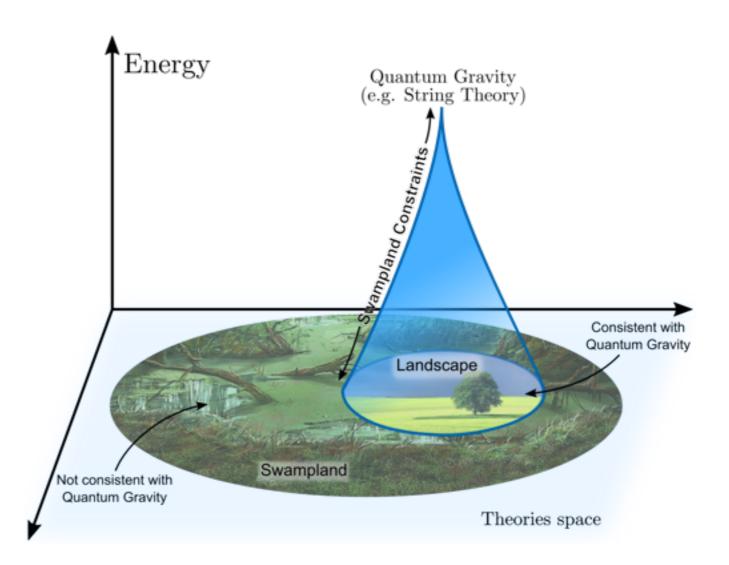
Harvard University

2012.00034 with Calderon-Infante, Uranga 2006.15154 with Lanza, Marchesano, Martucci + previous work with Gendler, Grimm, Li, Palti

Cosmology 2021, January 5th, London

Swampland:

Apparently consistent (anomaly-free) quantum effective field theories that cannot be UV embedded in quantum gravity (they cannot arise from string theory)



Not everything is possible in string theory/quantum gravity!!!

Goal of the Swampland program:

What are the constraints that an effective theory must satisfy to be consistent with quantum gravity?

What distinguishes the landscape from the swampland?

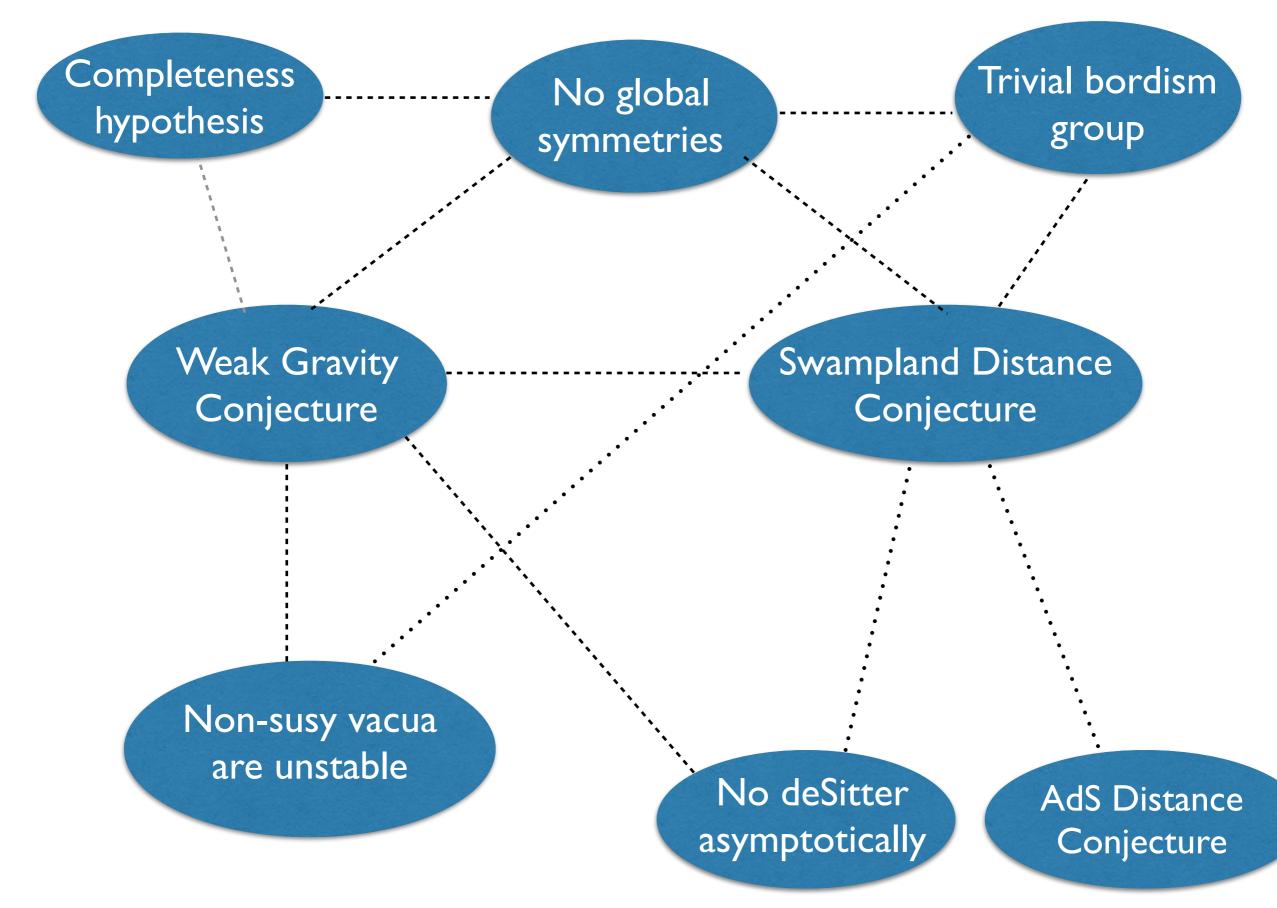


Potential phenomenological implications

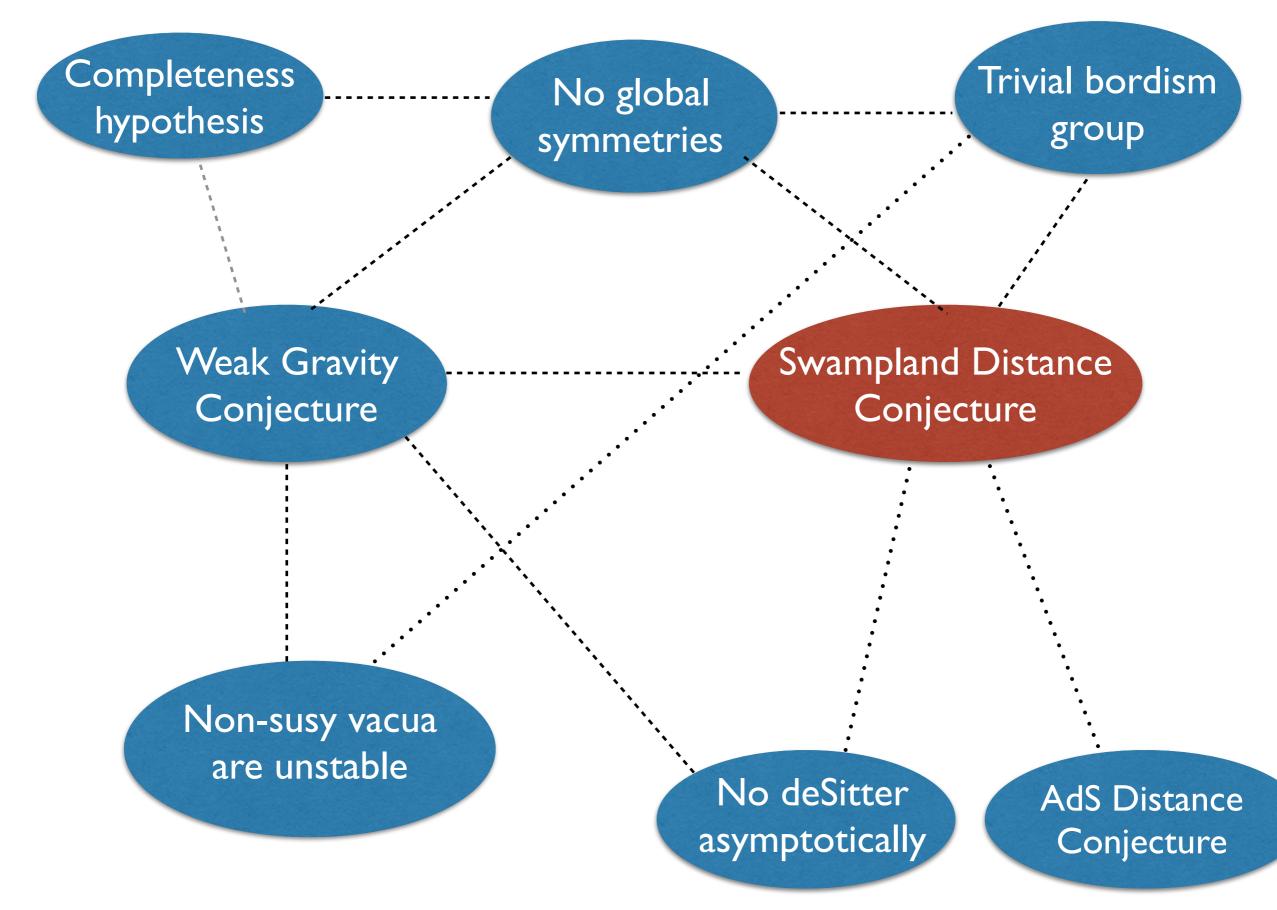
Guiding principles to construct BSM models

When the second second

Swampland Conjectures



Swampland Conjectures





(I) Review of Distance Conjecture

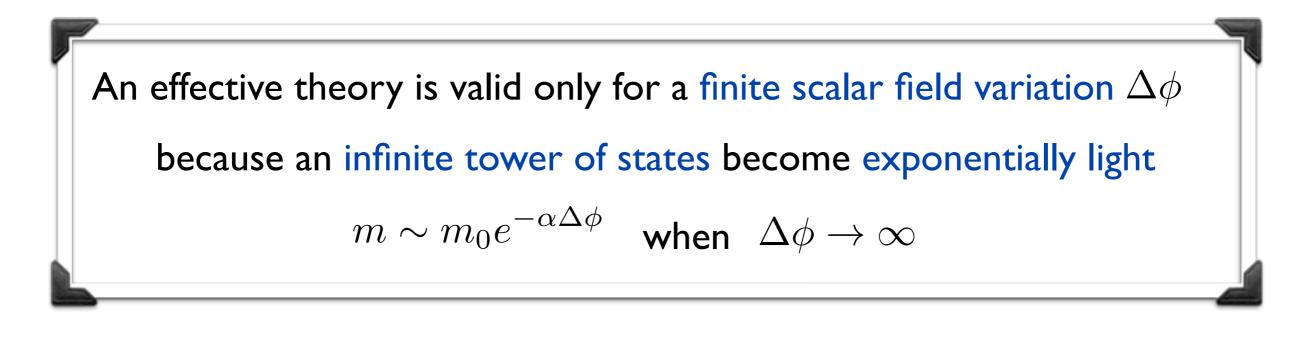
(2) Bounds on non-geodesics in the presence of a potential

Convex Hull SDC (in analogy to scalar WGC)

(3) Sharpening the value of order one factors

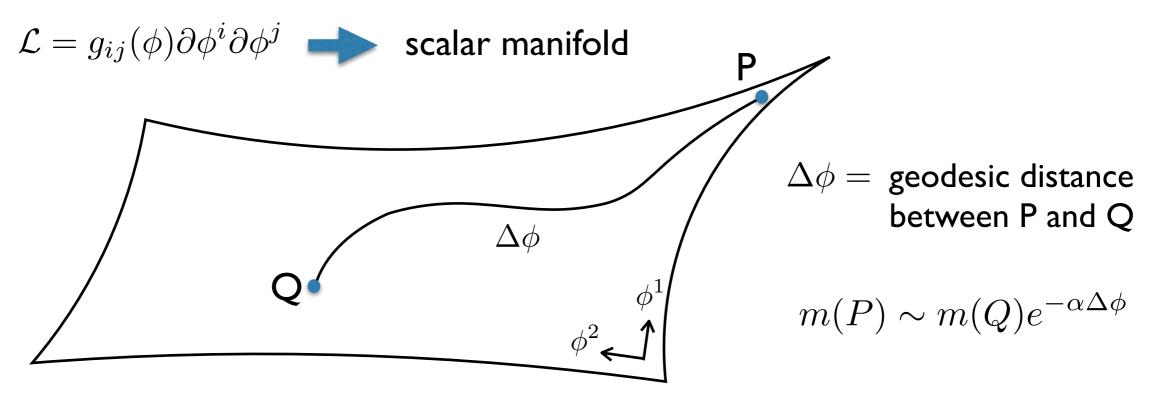
(I) Distance conjecture

Swampland Distance Conjecture (SDC)

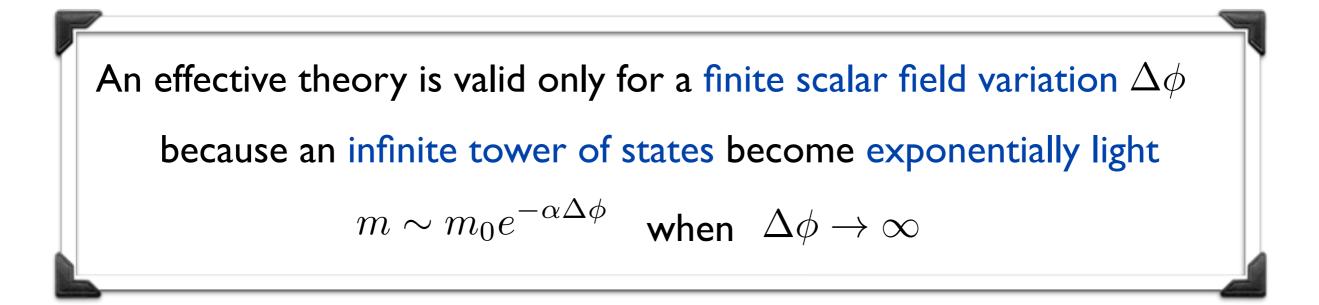


[Ooguri-Vafa'06]

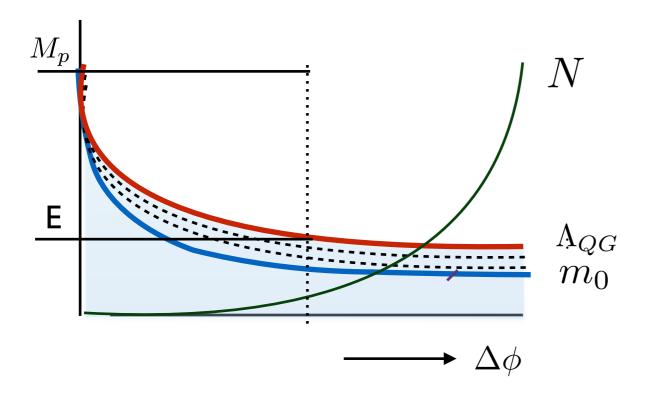
Consider the moduli space of an effective theory:



Swampland Distance Conjecture (SDC)



This signals the breakdown of the effective theory:



$$\Lambda_{QG} \sim M_p \, \exp(-\alpha \Delta \phi)$$

Species scale:
$$\Lambda_{QG} = \frac{M_p}{\sqrt{N}}$$
[Dvali'07]

(scale at which QG effects become important)

String theory evidence

Recent works:

[Grimm, Palti, IV'18] [Grimm, Palti, Li'18] [Gendler, IV'20]

- 4 N=2 theories: Calabi-Yau compactifications of Type II Proven for towers of BPS states $\Rightarrow \alpha \ge \frac{1}{\sqrt{6}}$
- 5d/6d N=1 theories: F-theory CY compactifications [Lee,Lerche,Weigand'18-19] [Corvilain, Grimm, IV'18]
 Tensionless strings (wrapping M2-branes)
- 4d N=1 theories: Orientifold flux compactifications BPS strings [Lanza,Marchesano,Martucci, IV'20]

uncover interesting relations between swampland conjectures and theorems of algebraic geometry (limiting mixed hodge structures), BPS counting and modular forms

see also [Cecotti'20][Marchesano,Wiesner'19] [Grimm,van de Heisteeg'19][Baume,Marchesano,Wiesner'19] [Font,Herraez,Ibanez'19][Grimm et al'20] [Lee et al'20]

Phenomenological implications

It gives an upper bound on the scalar field range that can be described by an effective field theory with finite cut-off

$$\Delta \phi \le \frac{1}{\alpha} \log \frac{M_p}{\Lambda}$$

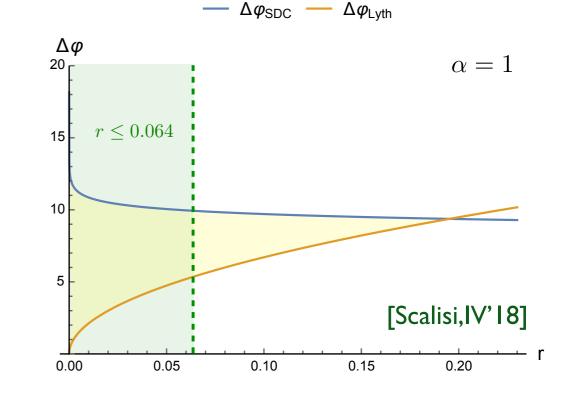
Refined SDC:
$$lpha \sim \mathcal{O}(1)$$

Large field inflation
Cosmological relaxation of the EW scale

For $H \leq \Lambda$: $\Delta \phi \leq \frac{1}{\alpha} \log \frac{M_p}{H} = \frac{1}{\alpha} \log \sqrt{\frac{2}{\pi^2 A_s r}}$

Opposite scaling than Lyth bound!

Large field inflation is not ruled out but constrained



Cosmological signatures of the tower?

Relevant questions for phenomenology

A lot of evidence for the SDC in theories with extended supersymmetry

What happens in the presence of a potential and for non-geodesic trajectories?

Undetermined O(I) factor in the conjecture

 $m \sim m_0 e^{-\alpha \Delta \phi}$, $\alpha \sim \mathcal{O}(1)$

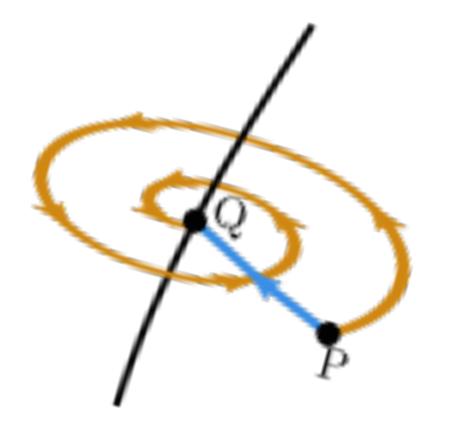
Can we be precise about its value?

(2) Bounds on non-geodesics

[Calderon-Infante, Uranga, IV '20]

Caveat...

What about non-geodesic trajectories?

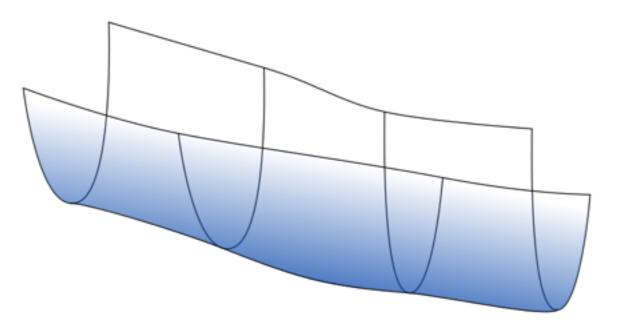


Very important for inflation!

Caveat... ...but notice

The SDC constraints geodesics in pseudo-moduli spaces

(as long as masses are small compared to cut-off)



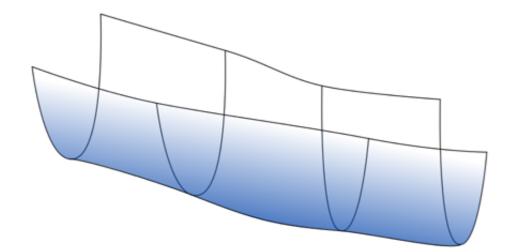
Valleys of the potential should also obey the SDC

Puzzle

But... Notion of geodesic changes at different energy scales in the presence of a potential

 \mathcal{M} : moduli space before adding the potential

 $\overline{\mathcal{M}}$: moduli space after adding the potential



SDC might be satisfied in $\,\mathcal{M}\,$ but not in $\overline{\mathcal{M}}\,$



At which energy regime should we apply the SDC?

Proposal

SDC should apply at any energy scale, i.e. in any EFT valid at any intermediate energy scale

Implication:

Consistency of SDC at any energy scale



Constraints on potentials consistent with quantum gravity

(it restricts the amount of non-geodesicity allowed for trajectories along valleys of the potential)

Example

Hyperbolic moduli space: $\mathcal{L} \supset \frac{n^2}{s^2} \left(\partial_\mu s \partial^\mu s + \partial_\mu \phi \partial^\mu \phi \right)$

Tower: $M \sim s^{-a} \sim \exp\left(-\alpha_{\text{geod}}\Delta\right)$, $\alpha_{\text{geod}} = \frac{a}{n}$

SDC satisfied along (geodesic) saxionic trajectories

Consider trajectory $\phi = f(s)$ $d\Delta = \frac{n}{s}\sqrt{1 + f'(s)^2} ds$

• f'(s)
ightarrow 0 Asymptotically geodesic trajectory $lpha = lpha_{
m geod}$.

•
$$f'(s) \to \beta \equiv \text{const.}$$
 Critical trajectory $\alpha = \frac{\alpha_{\text{geod}}}{\sqrt{1+\beta^2}}$
• $f'(s) \to \infty$ Swampy trajectory (No exponential decay)

Geometric formulation

- \mathbb{G} : subspace spanned by tangent vectors of asymptotically geodesic trajectories
- ${\mathbb M}$: subspace spanned by gradient vectors of logM for all towers of states

For any vector in \mathbb{G} , there must be at least one non-orthogonal vector in \mathbb{M} (i.e. a suitable tower of states becoming massless)



Geometric formulation

Exponential rate of the tower:
$$\alpha(\Delta) = -\frac{d \log M}{d\Delta} = -T^i \partial_i \log M$$

Stronger version: Lower bound on $\alpha(\Delta)$
Proposals: $\alpha \ge \frac{1}{\sqrt{2n}}$ for CY_n ; $\alpha \ge \frac{1}{\sqrt{(d-2)(d-3)}}$; $\alpha \ge \frac{1}{2} \left(\frac{Q}{m}\right)_{\text{extr.}}$
[Grimm, Palti, IV'18] [Gendler, IV'20] [Bdroya, Vafa'19] [Andriot et al'20] [Gendler, IV'20] [Lanza et al'20]

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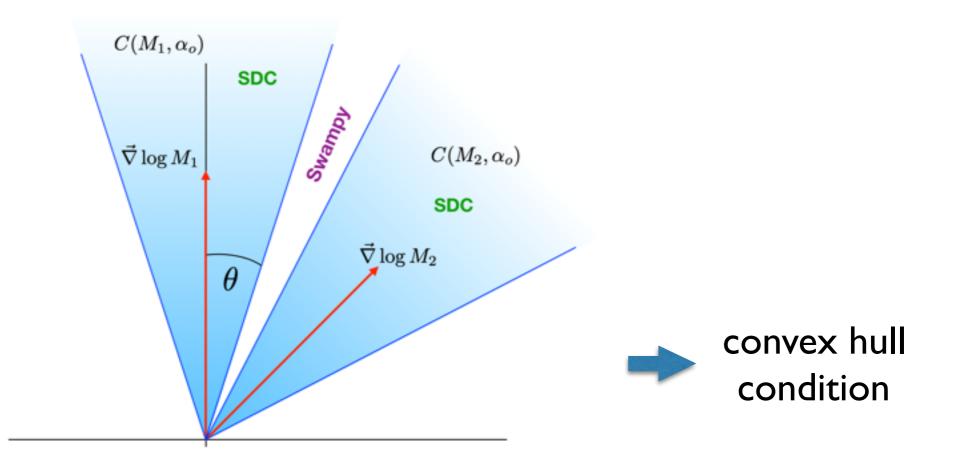
For any vector in \mathbb{G} , there must be at least one non-orthogonal vector in \mathbb{M} (i.e. a suitable tower of states becoming massless) with $\alpha(\Delta) \ge \alpha_0$



Trajectories allowed by SDC

A tower allows the SDC to be satisfied along trajectories with a certain level of non-geodesicity

 $\mathcal{T}_{SDC} = \bigcup \mathcal{C}_{M_i}(\alpha_0)$



SDC satisfied if $\mathbb{G} \subset \mathcal{T}_{SDC}$

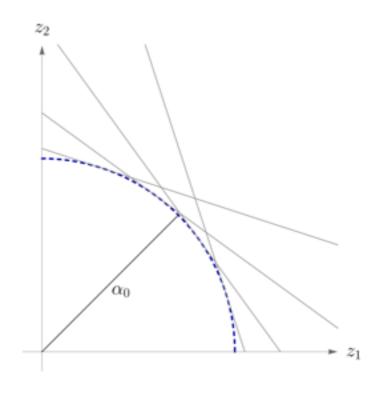
Convex Hull SDC

Define scalar charge to mass ratio: $\vec{z} = -g^{-\frac{1}{2}} \vec{\nabla} \log M$ (in analogy to WGC) Yukawa scalar force: $\mathcal{L} \supset M^2(\phi)\chi^2 \simeq 2M\partial_\phi M \phi\chi^2 + \dots$ Exponential rate of the tower: $\alpha(\Delta) = \vec{n} \cdot \vec{z}$ scalar charge G : space of possible "charge" directions

SDC: For every charge direction, there must exist a charged infinite tower of states with $\alpha(\Delta) \ge \alpha_0$

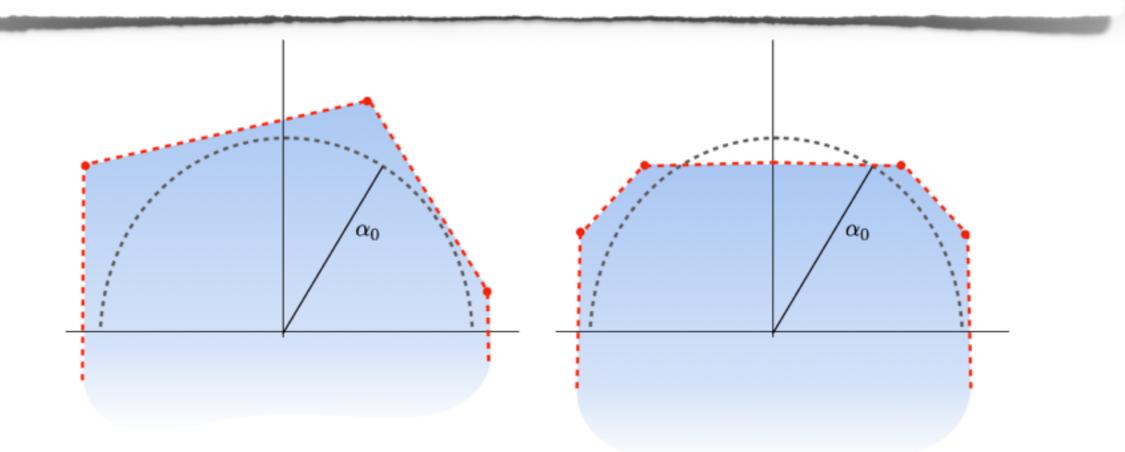
Define "extremal states" as those satisfying $\Vec{n}\cdot ec{z} = lpha_0$

"extremal region" = ball of radius α_0



Convex Hull SDC

Convex Hull SDC: the SDC is satisfied by any trajectory with exponential rate α_0 if the convex hull of the vectors $\vec{z_i}$ contains the extremal region, namely the unit ball of radius α_0



It resembles a Scalar WGC $|\vec{z}| \geq \mathcal{O}(1)$ [Palti 16]

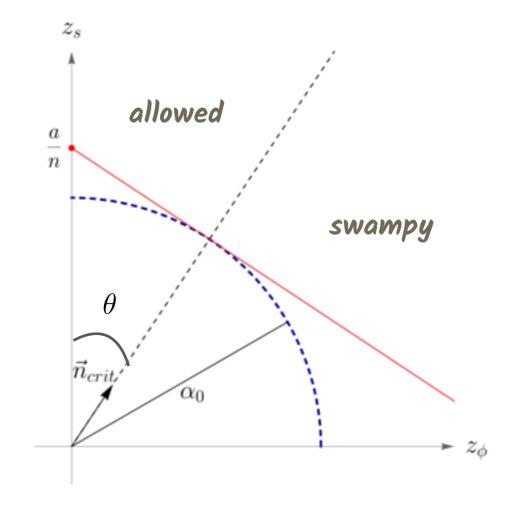
Convex Hull SDC

SDC can be used to constrain either:

- the spectra of the theory, by requiring as many towers as needed to satisfy the convex hull condition,
- or the possible trajectories along which the SDC can be satisfied for a fixed set of towers and, therefore, the scalar potentials consistent with quantum gravity.

Example

Hyperbolic moduli space: $\mathcal{L} \supset \frac{n^2}{s^2} \left(\partial_\mu s \partial^\mu s + \partial_\mu \phi \partial^\mu \phi \right)$ Tower: $M \sim s^{-a} \sim \exp\left(-\alpha \Delta\right)$, $\alpha = \frac{a}{n}$ \Longrightarrow $\vec{z} = (0, a/n)$



 $\beta_{\max} = (\cos \theta)^{-2} - 1 = \left(\frac{a}{n\alpha_0}\right)^2 - 1$

Critical paths:

$$\phi = f(s) , \ f'(s) \to \beta \equiv \text{const.}$$

 $\vec{n} = \frac{1}{\sqrt{1+\beta^2}} \left(\beta, 1\right)$

Those with $\beta \leq \beta_{\max}$ will satisfy the SDC

$$\alpha_{\rm crit.} = \frac{a}{n\sqrt{1+\beta^2}}$$

Evidence in string theory

Calabi-Yau flux compactifications of Type II string theory:

Symptotic behaviour of field metric:

$$K = -\log(p_d(s^j) + \mathcal{O}(e^{2\pi i t^j}))$$

$$d\Delta^2 = \sum_i \frac{n_i^2}{(s^i)^2} \left[(ds^i)^2 + (d\phi^i)^2 \right] + \dots \qquad \text{Hyperbolic behaviour}$$

Solution Asymptotic behaviour of flux scalar potential:

$$V(\kappa s^{i}, \kappa \phi^{i}) \simeq \kappa^{n_{i}} V(s^{i}, \phi^{i})$$

$$\partial_{s^{i}} V = 0 \rightarrow s^{i} = \beta \phi^{i} + \dots$$
[Grimm,Li,IV'19] with β a **flux-independent** parameter.

Evidence in string theory

Calabi-Yau flux compactifications of Type II string theory:

lead to the most generic potentials allowing for maximum nongeodesicity of the potential valleys while respecting the SDC along them.

$$\Delta \phi \leq \frac{1}{\alpha} \log \frac{M_p}{\Lambda} \qquad \qquad \alpha = \frac{\alpha_{\text{geod}}}{\sqrt{1 + \beta^2}}$$

confirming backreaction issues found in [Baume, Palti'16] [I.V.,'16]

Hence, the SDC also constraints axionic trajectories!

Open tasks: Check other compactifications

e.g. [McAllister,Silversten,Westphal,Warse''14]

Go beyond parametric control

(3) Sharpening order one factors

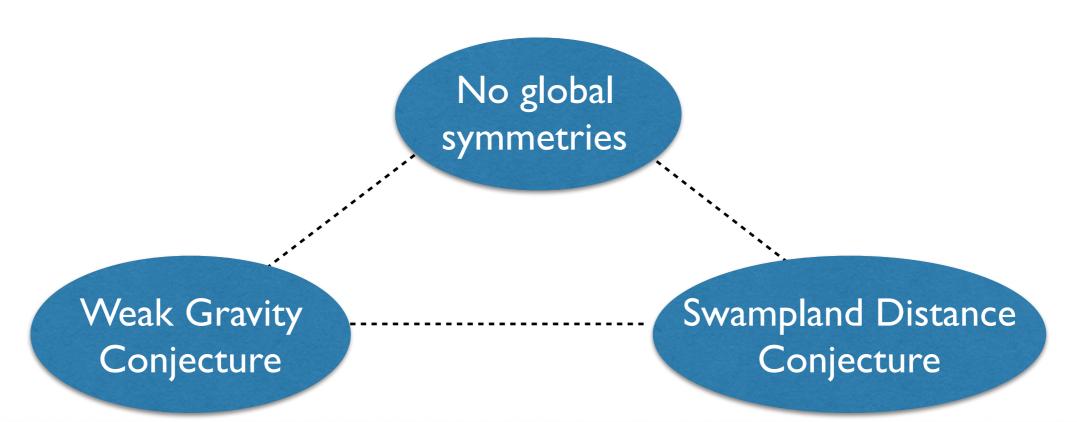
[Lanza, Marchesano, Martucci, IV '20]

[Gendler, IV '20]

Sharpening order one factors

So far, the infinite tower of states is always charged under some p-form gauge field that becomes weakly coupled asymptotically.

g
ightarrow 0 at $\Delta \phi
ightarrow \infty$



Same tower satisfies the SDC and the WGC and acts as a quantum gravity obstruction to restore a global symmetry

Exponential rate fixed by black hole extremality bound!

N=I 4d EFTs

String compactifications suggest that an approximate axionic shift symmetry emerges at infinite field distances

string

Consider a BPS string charged under B_2 (dual to the axion)

• Saxions are driven by the string backreaction to infinite distance at the string core!

$$s(r) = s_0 + \frac{e}{2\pi} \log \frac{r}{r_0} \qquad \phi = \phi_0 + \frac{e\theta}{2\pi} \qquad r =$$

- The string becomes weakly coupled and tensionless as $s^i \to \infty$

String Backreaction \longleftrightarrow RG flow \longleftrightarrow trajectory in field space [Polchinski'14]

N=I 4d EFTs

Weakly coupled axionic strings Infinite field distance limits

(Proposal: All infinite distance limits of a 4d EFT can be realised as an RG flow endpoint of an axionic string) [Lanza, Marchesano, Martucci, IV '20]

If the string satisfies the WGC: $Q \ge \gamma T$ $\Lambda_{max}^2 \equiv T_{max} \le T_0 \exp(-\gamma d_{max})$ The cut-off due to the tower of string modes decreases exponentially with the distance

We derive the SDC!

Exponential rate:

$$m \sim m_0 e^{-\alpha \Delta \phi} \quad \Longrightarrow \quad \alpha = \frac{\gamma}{2} \geq \frac{1}{\sqrt{2n_i}}$$

$$using K = -\log(s_1^{n_1} s_2^{n_2} \dots)$$

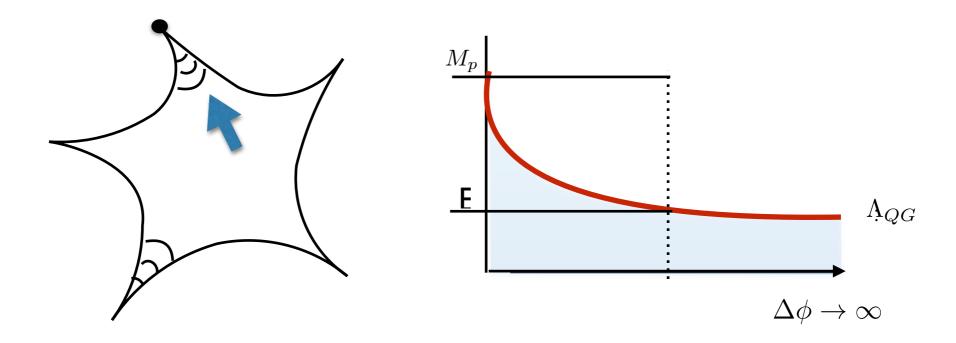
Summary

- Consistency of SDC at any energy scale implies constraints on nongeodesic trajectories and, therefore, the scalar potentials consistent with quantum gravity.
- The SDC can be formulated as a convex hull condition on the scalar charge to mass ratio of the towers, in analogy to the WGC.
- CY flux string compactifications lead to potentials realising the maximum level of non-geodesicity consistent with the SDC.
- The exponential rate of the tower is bounded by black hole extremality bound if there is a vanishing gauge coupling asymptotically.
 Example: towers from BPS strings in N=1 4d EFTs

Thank you!

back-up slides

Asymptotic limits in moduli spaces



These limits seem under control from the point of view of QFT but still, the EFT must break down when approaching the boundary by quantum gravity effects

Approximate global symmetries, Weakly coupled gauge theories, Large field ranges...

... come at a price.

Swampland conjectures:

- Infinite towers of massless states WGC, SDC
- Runaway potentials dSC

Dual formulation in terms of 2,3-forms

$$\begin{aligned} \text{4D N=I EFT:} \quad S &= \int \left(\frac{M_P^2}{2} R - M_P^2 K_{\alpha \overline{\beta}} \, d\phi^\alpha \wedge * d \overline{\phi}^{\overline{\beta}} - V \right) \\ (s^i, a^i) \to (l_i, B_{2i}) \qquad f_a \to C_3^a \\ -\frac{1}{2} \int G^{ij} \left(M_P^2 \, d\ell_i \wedge * d\ell_j + \frac{1}{M_P^2} H_{3i} \wedge * H_{3j} \right) \qquad -\int \frac{1}{2} T_{ab} F_a^a * F_4^b \\ G_{ij} &\equiv \frac{1}{2} \frac{\partial^2 K}{\partial s^i \partial s^j} \qquad V = \frac{1}{2} T^{ab} f_a f_b \end{aligned}$$

Field metric = 2-form gauge couplings

Potential = 3-form gauge couplings

No force identities

We add BPS charged objects:
$$-\int d^{p+1}\xi T(\phi)\sqrt{-h} + e \int B_{p+1}$$

Strings \checkmark $T_{\mathbf{e}} = M_{\mathbf{P}}^2 |e^i \ell_i|, \qquad Q_{\mathbf{e}} = M_{\mathbf{P}}\sqrt{G_{ij}e^i e^j}$
Membranes \checkmark $T_{\mathbf{q}} = 2M_{\mathbf{P}}^3 e^{\frac{1}{2}K} |q_a \Pi^a|, \qquad Q_{\mathbf{q}} = M_{\mathbf{P}}\sqrt{T^{ab}q_a q_b}$

They satisfy (off-shell):

$$\|\partial T_{\rm str}\|^2 = M_{\rm P}^2 Q_{\rm e}^2$$
$$\|\partial T_{\rm mem}\|^2 - \frac{3}{2} T_{\rm mem}^2 = M_{\rm P}^2 Q_{\rm q}^2$$

They look as a no-force condition: (see also [Herraez'20])

$$G^{ij}\partial_i T\partial_j T + \frac{(p+1)(1-p)}{2}T^2 = F^{ab}q_aq_b$$

Interpretation

Low codimension objects \rightarrow change asymptotic structure of vacuum

How to define T and Q?

Localised operators entering the EFT rather than states of a vacuum

$$-\int d^{p+1}\xi\,T(\phi)\sqrt{-h} + e\int B_{p+1}$$
 [Polchinski']

4]

Brane couplings should be regarded as defined at the EFT cut-off Λ

Classical back reaction \longrightarrow Classical RG flow $T(\Lambda)$ codim brane coupling > 2 irrelevant 2 marginally relevant

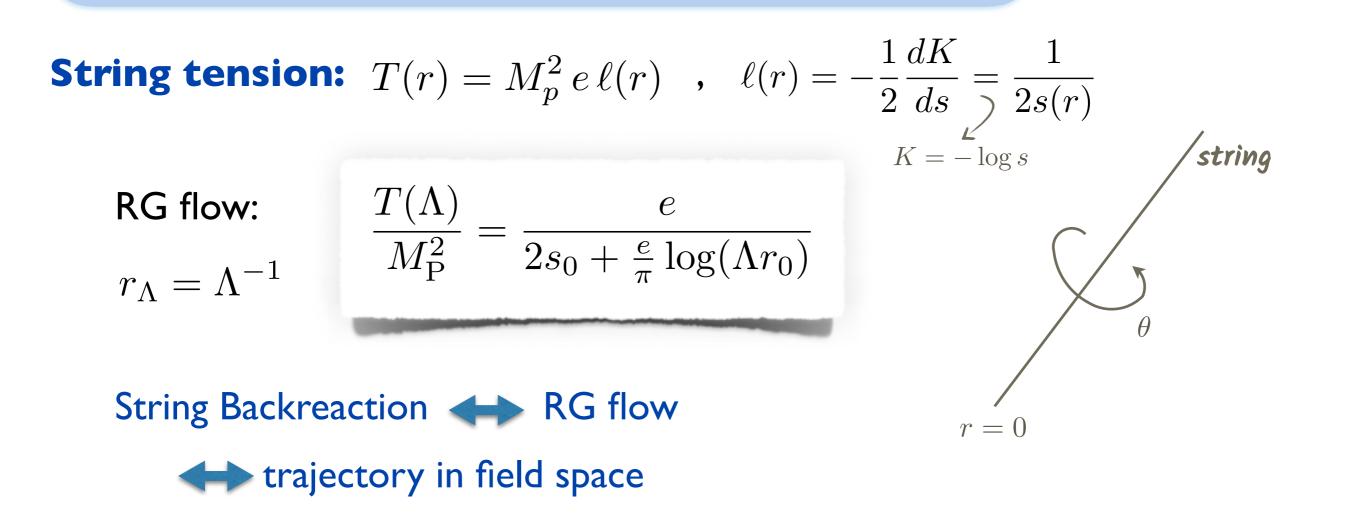
relevant

Strings

Metric Ansatz: $ds^2 = -dt^2 + dx^2 + e^{2D}dzd\bar{z}$

String induces a flow of the scalars t = is + a

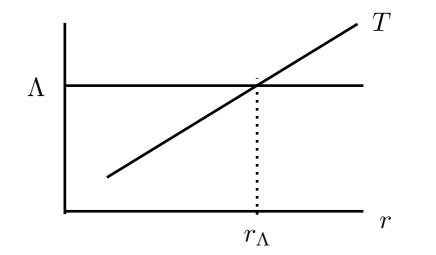
$$s(r) = s_0 + \frac{e}{2\pi} \log \frac{r}{r_0}$$
 , $a = a_0 + \frac{e\theta}{2\pi}$



Strings

$$\begin{array}{ll} \operatorname{Recall:} & \frac{T(\Lambda)}{M_p^2} = \frac{e}{\frac{M_p^2}{T(\Lambda_0)} + \frac{e}{\pi} \log \frac{\Lambda}{\Lambda_0}} \\ & \clubsuit \ \operatorname{At} \ \Lambda \to 0 \ (r \to \infty): \ T(\Lambda) \to \infty \\ & \ \operatorname{EFT} \ \operatorname{breaks} \ \operatorname{down} \ \operatorname{at} \ \ \Lambda_{strong} = \Lambda \exp(-\frac{\pi M_p^2}{T(\Lambda)}) \\ & \ \And \ \operatorname{At} \ \Lambda \to \infty \ (r \to 0): \ \ T(\Lambda) \to 0 \ \ , \ \ s(r_\Lambda) \to \infty \end{array}$$

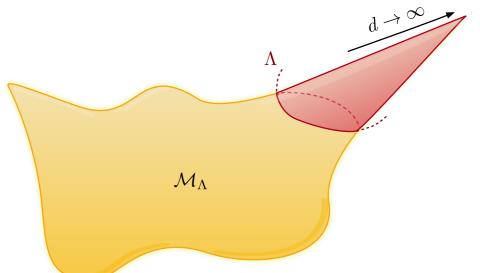
EFT breaks down at $\Lambda_{max} = T(\Lambda_{max})^{1/2}$



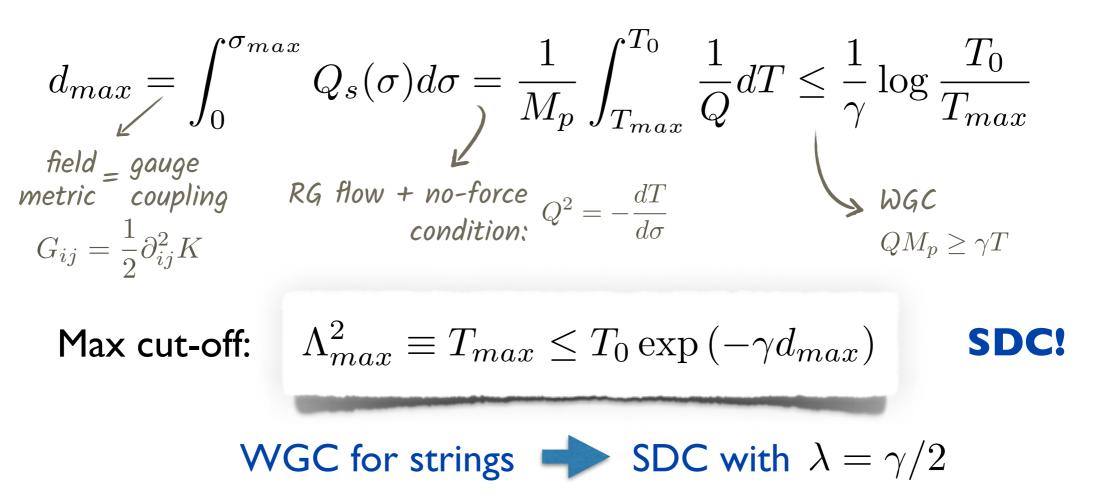
Derivation of SDC

For a given $\Lambda,$ the moduli space accessible by the EFT is finite since it breaks down when

$$\Lambda_{max}^2 = T(\Lambda_{max})$$



Field distance from r_0 to $r_{max} = \Lambda_{max}^{-1}$:



DASC

Weakly coupled axionic strings Infinite field distance limits

Distant Axionic String Conjecture (DASC):

All infinite distance limits of a 4d EFT can be realised as an RG flow endpoint of a fundamental axionic string

Evidence from String theory: [Lanza, Marchesano, Martucci, IV'to appear]

Higher dimensional spaces: only a subset of BPS strings are weakly coupled $\mathcal{C}_{S}^{\mathrm{EFT}} = \{ \mathbf{e} \in N_{\mathbb{Z}} | \langle \mathbf{m}, \mathbf{e} \rangle \geq 0 \ \forall \mathbf{m} \in \mathcal{C}_{I} \} \subset \mathcal{C}_{S}$ instanton charges string charges

If $\mathbf{e} \in \mathcal{C}_S^{\text{EFT}}$ \longrightarrow weakly coupled string : tensionless at infinite distance If $\mathbf{e} \in \mathcal{C}_S - \mathcal{C}_S^{\text{EFT}}$ \longrightarrow strongly coupled string : tensionless at finite distance

WGC for strings



- All BPS strings satisfy $\|\partial T_{\rm str}\|^2 = M_{\rm P}^2 Q_{\rm e}^2$
- Satisfying WGC $\ Q \geq \gamma \ T$ is a non-trivial condition on the Kahler metric

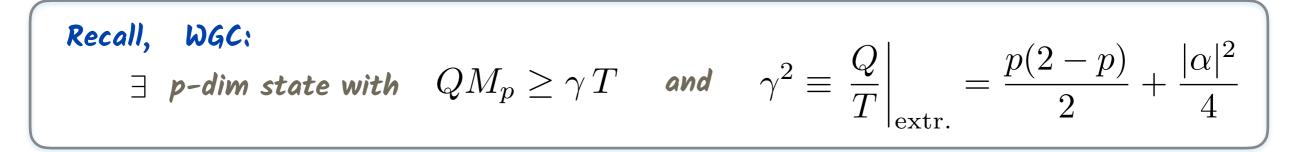
Check: Strings at the asymptotic limits of field space satisfy WGC

(we replace asymptotic behaviour of $K = -\log(s_1^{n_1}s_2^{n_2}\dots)$)

$$\lambda = \frac{1}{\sqrt{2n_i}}$$

(Same type of bound than for N=2)

In terms of extremality factors



• SDC tower coming from BPS string in N=1 4d:

$$m \sim m_0 e^{-\lambda \Delta \phi} \quad \Longrightarrow \quad \lambda = \frac{\gamma}{2} = \frac{|\alpha_s|}{4}$$

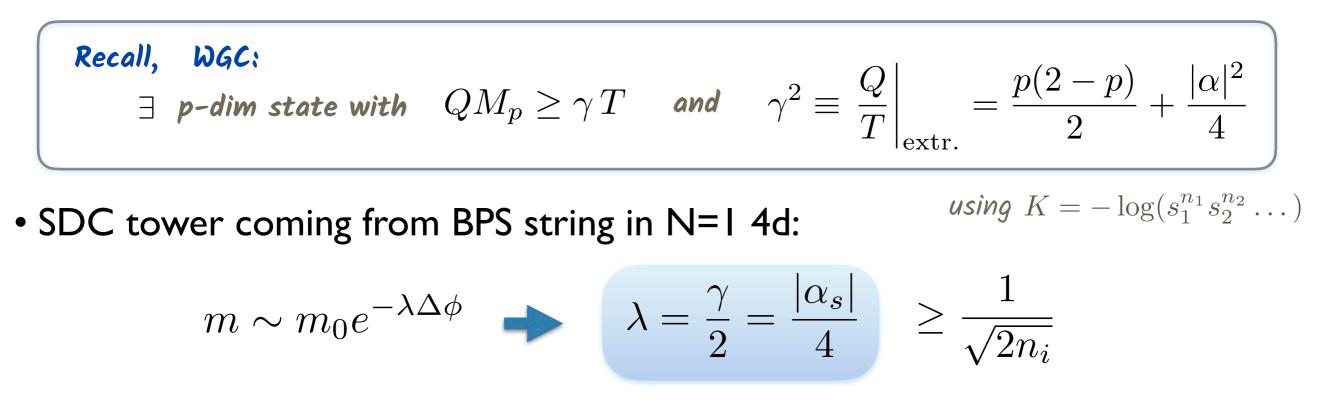
• Flux induces N=1 potential dual to the charge of a BPS membrane:

$$|\nabla V| \ge cV \quad \Longrightarrow \quad c = |\alpha_m|$$
if membrane is extremal

• SDC tower coming from BPS particles in N=2 4d: [Gendler, IV' 20]

$$m \sim m_0 e^{-\lambda \Delta \phi} \quad \Longrightarrow \quad \lambda = \frac{|\alpha_p|}{2}$$

In terms of geometric data



• Flux induces N=1 potential dual to the charge of a BPS membrane:

$$|\nabla V| \ge cV \implies c = |\alpha_m| \ge \frac{2}{n_i} \qquad \frac{|\nabla m|^2}{m^2} \sim \frac{|\nabla V|}{V} ?$$
if membrane is extremal (see also [Andriot et al'20])

• SDC tower coming from BPS particles in N=2 4d: [Gendler, IV' 20]

$$m \sim m_0 e^{-\lambda \Delta \phi} \quad \Longrightarrow \quad \lambda = \frac{|\alpha_p|}{2} \quad \ge \frac{1}{\sqrt{2n_i}}$$

Membranes

Classical backreaction:
$$T_{\mathbf{q}}^{\text{eff}}(\Lambda) = \frac{T_{\mathbf{q}}}{1 - \frac{kT_{\mathbf{q}}}{2M_{P}^{2}\Lambda}}$$

The charge parametrises the scalar potential: $Q^2 = T^{ab}q_aq_b = 2V(q)$

$$\|\partial T_{\rm m}\|^2 - \frac{3}{2}T_{\rm m}^2 = M_{\rm P}^2 Q_{\rm m}^2$$
 no-force conditions $e^K(||DW||^2 - 3W^2) = V(q)$ N=1 sugra

If
$$\partial_{\alpha}T_{\mathbf{q}} = K_{\alpha}\sigma_{\alpha}T_{\mathbf{q}} \rightarrow Q_m(\Lambda)M_p = \gamma T_m(\Lambda)$$
 extremal with
 $\gamma = \frac{|\alpha^2|}{4} - \frac{3}{2}$

These extremal membranes satisfy $\|\partial Q_m^2\| = c \ Q_m^2$

diatonic factor $T_{ab} \sim e^{-\alpha \phi}$

$$\blacksquare \|\partial V^2\| = c \ V^2 \text{ with } c = |\alpha| \text{ dS conjecture!}$$

Membranes

Result:

Saturating WGC - No deSitter conjecture

What membranes saturate WGC?

At the asymptotic limits, we can identify some membranes saturating indeed the WGC with 9

$$\gamma^2 = \sum_i 2n_i \sigma_i^2 - \frac{3}{2} \quad \text{using } K = -\log(s_1^{n_1} s_2^{n_2} \dots)$$

$$c = |\alpha| = 2\sqrt{\sum_i 2n_i \sigma_i^2} \ge \sum_i \frac{2}{n_i}$$

Consistent with no-go theorem for dS at asymptotic limits [Grimm,Li, IV'19]

Could $\frac{|\nabla M|^2}{M^2} \sim \frac{|\nabla V|}{V}$? [Andriot et al'20] Recall that in N=2: $\lambda = \alpha/2$ (exp rate of I-form gauge coupling)