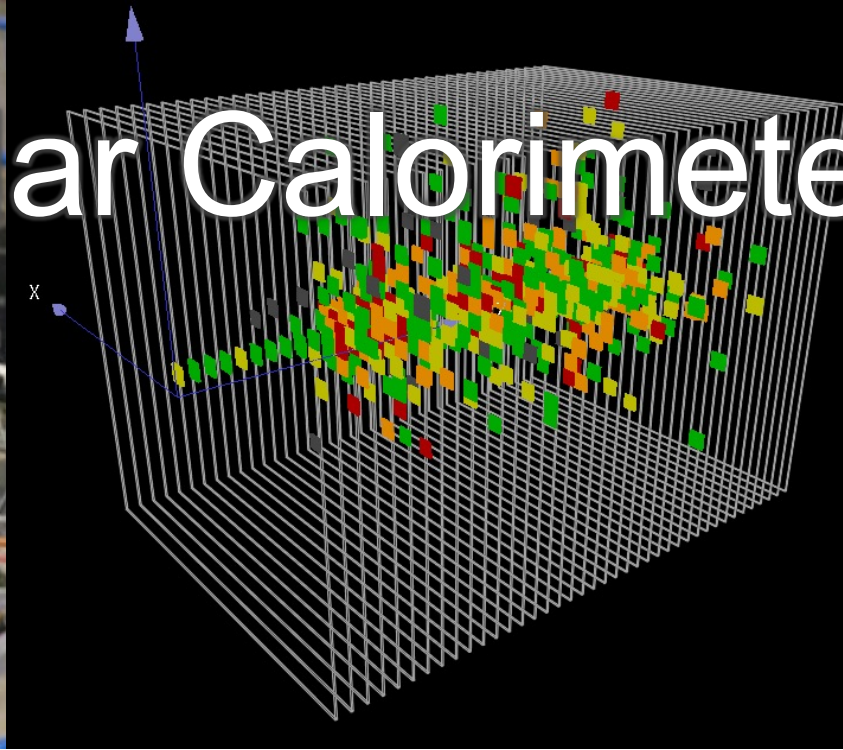
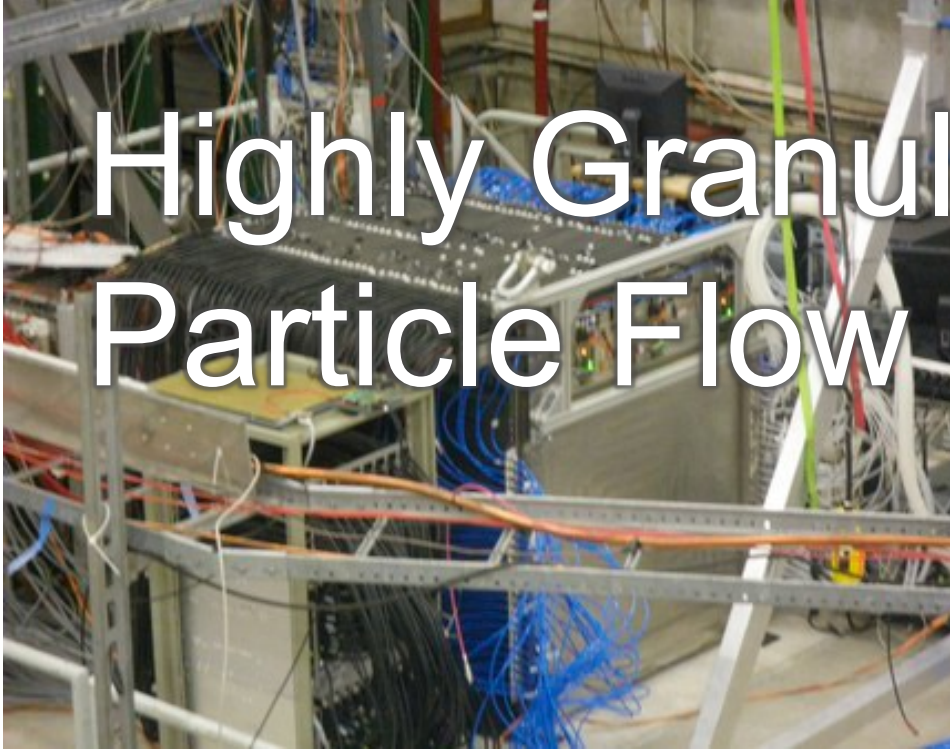


# Highly Granular Calorimeters for Particle Flow



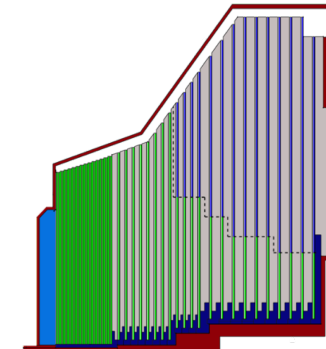
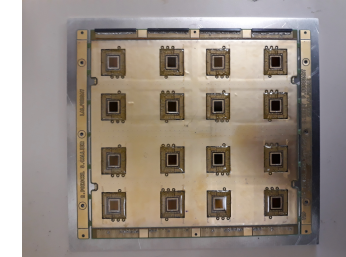
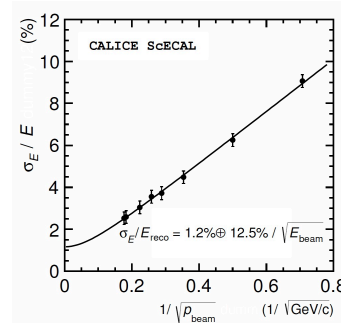
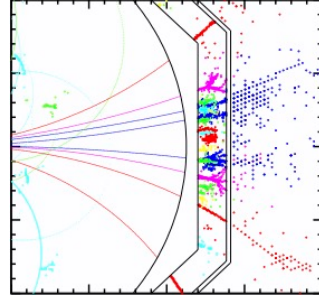
Katja Krüger (DESY)

TIPP 2021

28 May 2021

# Outline

- Motivation
- Performance
  - Energy resolution
  - Shower Imaging
- Technological prototypes
- Highly granular calorimeters beyond Higgs factories
- Summary

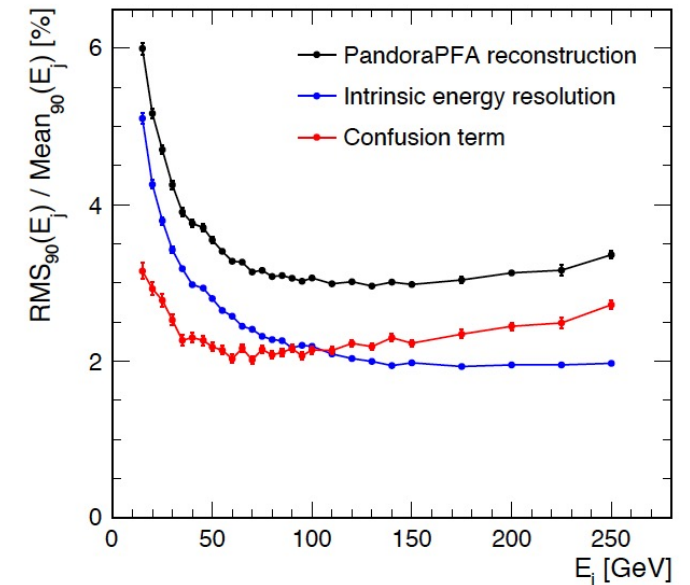
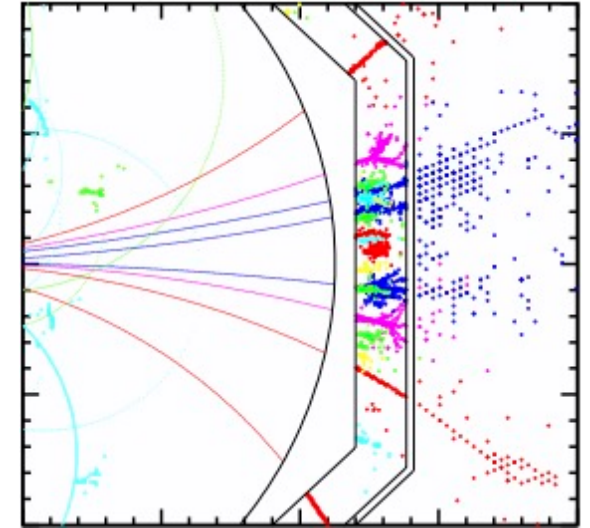


# Motivation

# Calorimeters for Particle Flow Algorithms

## Design considerations

- Goal at Higgs Factories: want to distinguish  $Z \rightarrow \text{jet jet}$  from  $W \rightarrow \text{jet jet}$
- Requires jet energy resolution of  $\sigma(E)/E \approx 3\text{-}4\%$
- can be reached by particle flow algorithms (PFA)
  - for each particle within a jet: use the subdetector with optimal resolution
  - need to avoid double counting and wrong merging
- need an **imaging calorimeter**!
- requirements for the calorimeter:
  - **highly granular**
  - reconstruction of neutral particles: **good energy resolution**
  - calorimeter has to be within magnet coil: **very compact**

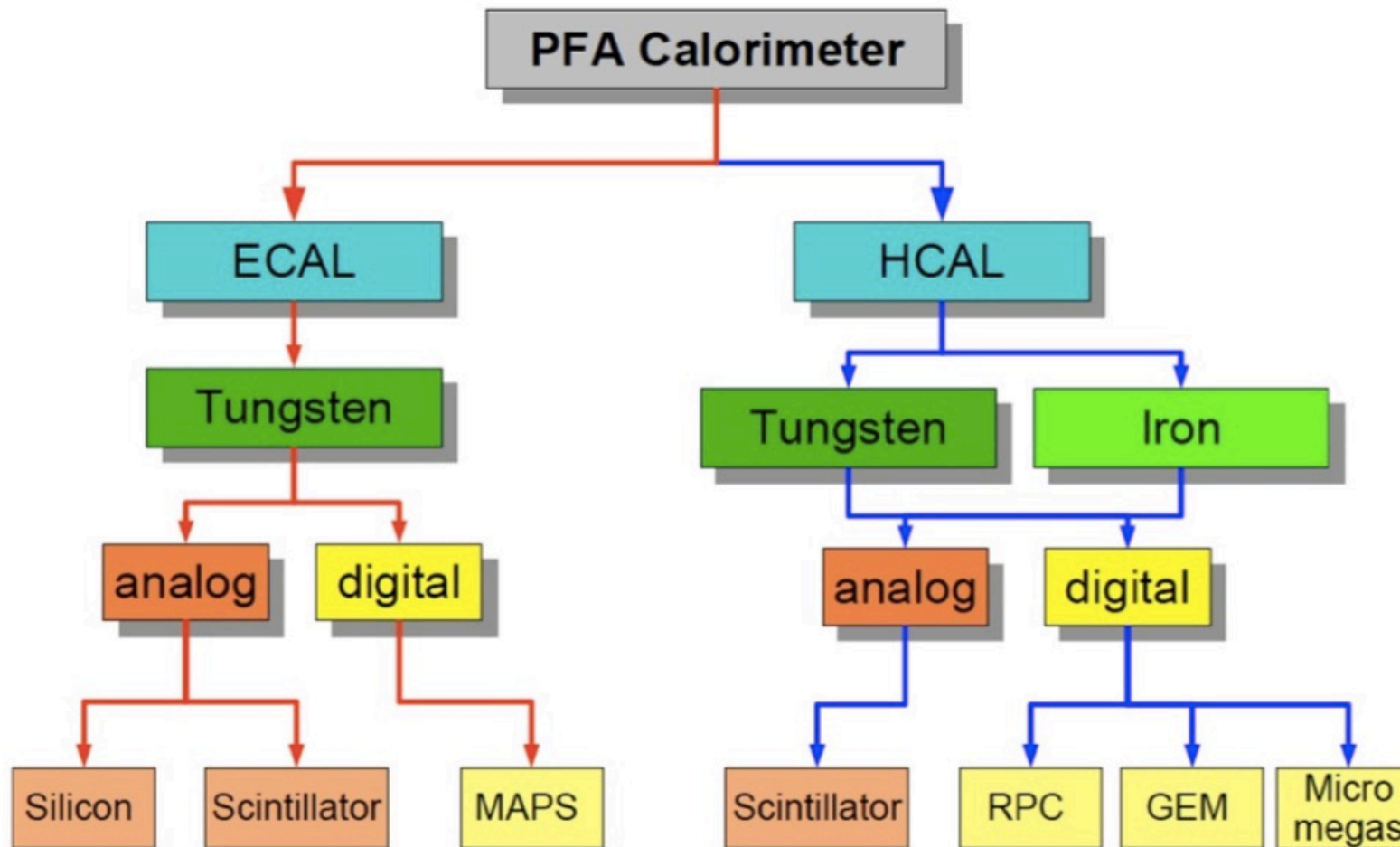




# Technologies for Particle Flow Calorimeters



CALICE:  
R&D Collaboration  
For Highly Granular  
PFA Calorimeters



Absorber material

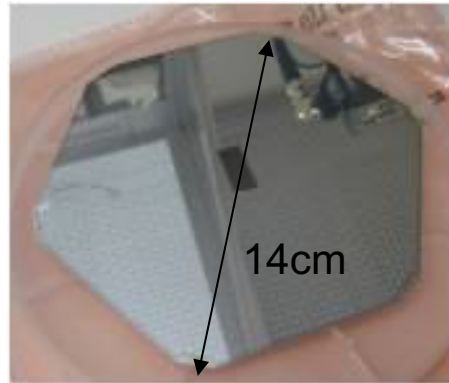
Readout method

Active technology

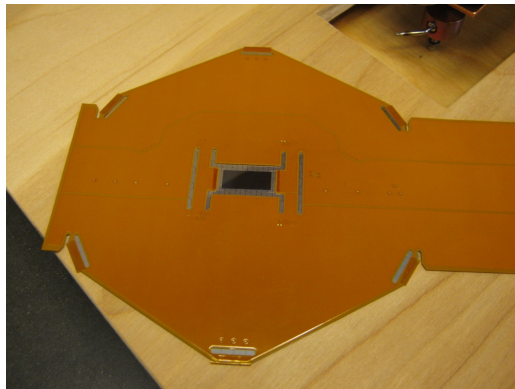
# Electromagnetic Calorimeter Concepts

## Technology Options

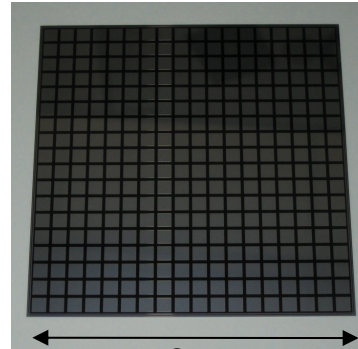
Silicon



1024 pixel

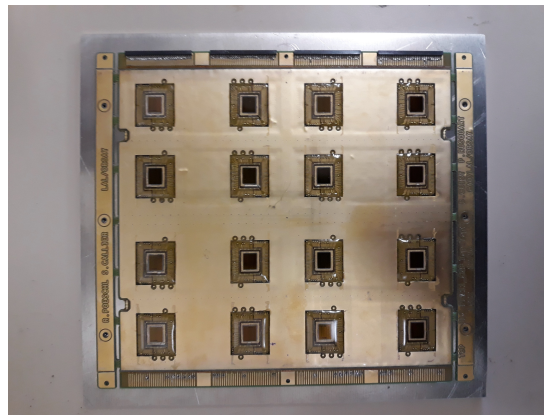


Silicon



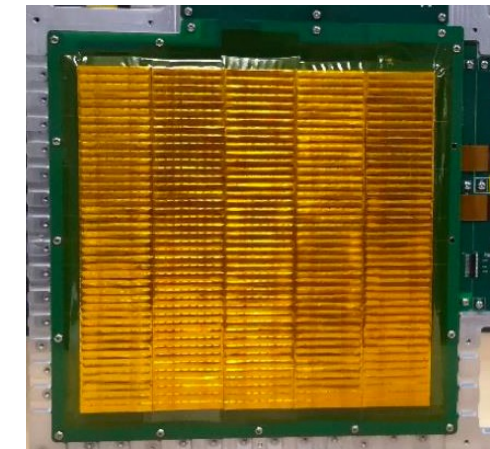
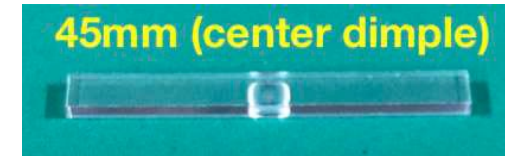
9cm

256 pixel



CALICE SiECAL

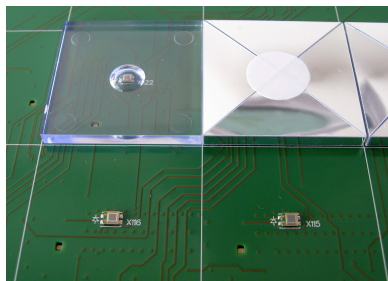
Scintillator



CALICE SciECAL

# Hadronic Calorimeter Concepts

## Technology Options

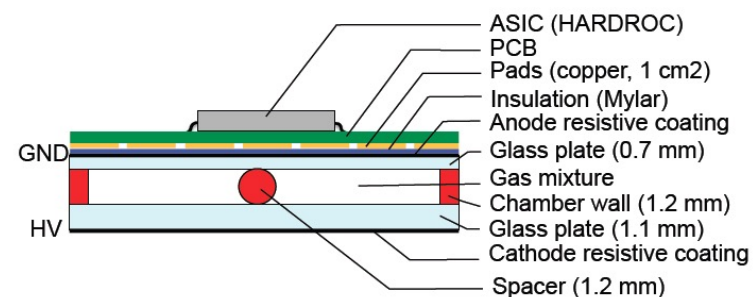


**Scintillator tiles** read out  
by SiPMs

3\*3 cm<sup>2</sup> tiles

readout: 12 bit (analog)

**CALICE AHCAL**



**Resistive Plate Chamber:** local gas amplification  
between 2 glass plates with high voltage

1\*1 cm<sup>2</sup> readout pads

readout: 1 bit (digital)

readout: 2 bit (semi-digital)

**CALICE DHCAL**

**CALICE SDHCAL**

# Performance Measures

What can we measure? How can we measure it?

CALICE Strategy:

- Demonstrate performance with physics prototypes
- Demonstrate scalability with technological prototypes

Performance measurements in beam tests:

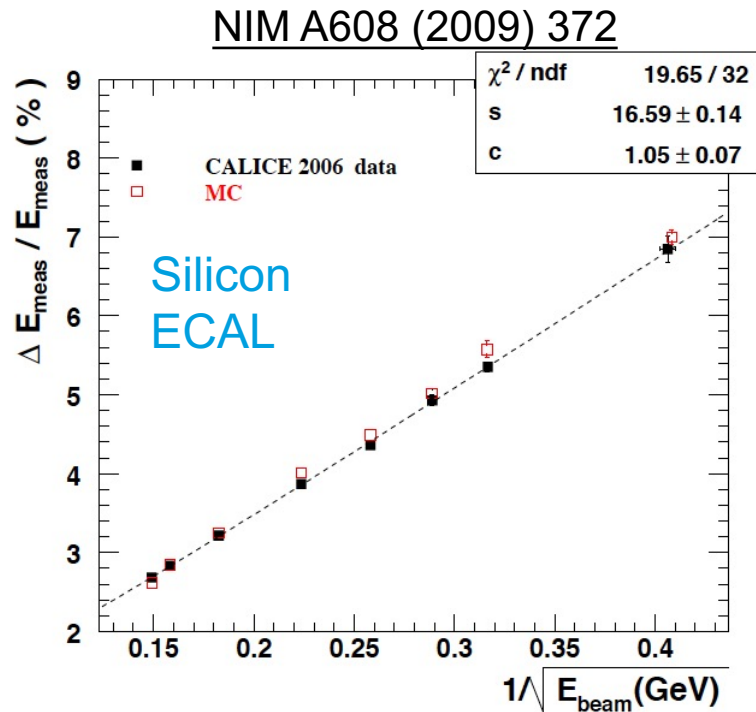
- In test beams you get only single particles, no jets → direct measurement of the jet energy resolution not possible
- Nevertheless, measurements in beam tests provide important information:
  - **energy resolution for single particles** → one important ingredient in the JER
  - **comparison of shower shapes in data and simulation** (Geant4) → important for realistic performance of Particle Flow Algorithms for jets in simulation
  - **tests of the Particle Flow Algorithms with overlaid showers**

**Performance:**  
**Energy resolution**

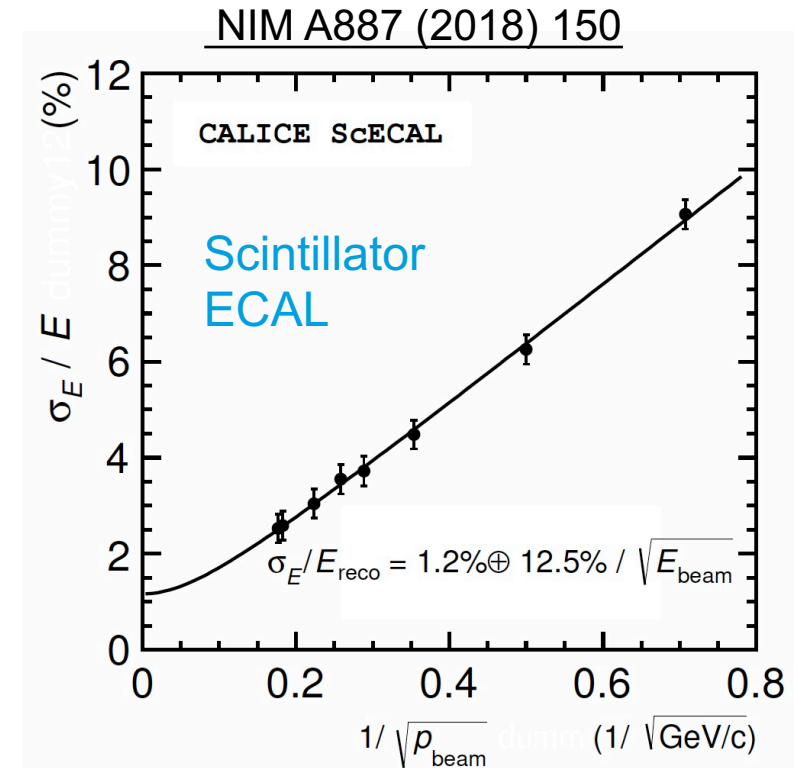


# Energy resolution for electrons

## CALICE ECALs



$$\frac{\sigma(E)}{E} = \frac{(16.6 \pm 0.1)\%}{\sqrt{E}} \oplus (1.1 \pm 0.1)\%$$



$$\frac{\sigma(E)}{E} = \frac{(12.5 \pm 0.4)\%}{\sqrt{E}} \oplus (1.2^{+0.6}_{-0.7})\%$$

reasonable energy resolution for electromagnetic showers

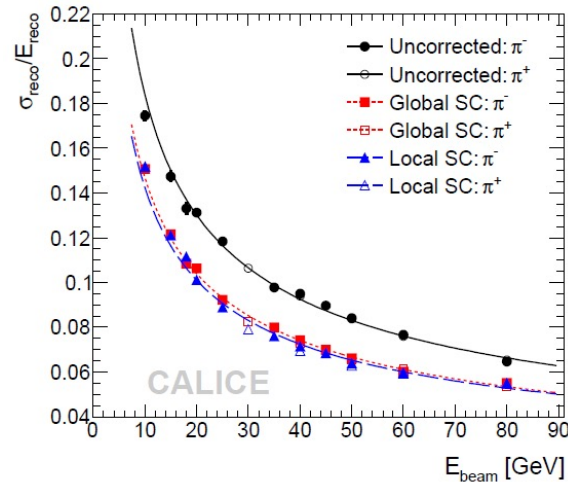
PFA ECALs are optimised for granularity, not single particle energy resolution

# Energy resolution for charged pions

## CALICE HCALs

JINST 7 (2012) P09017

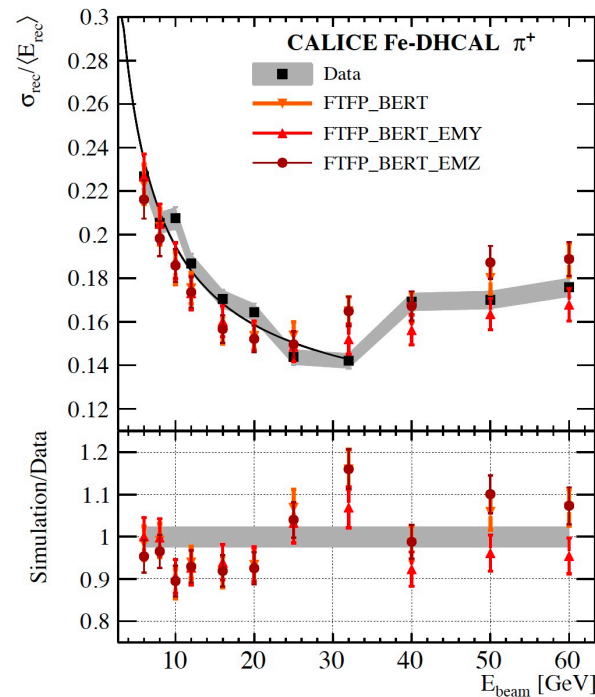
### Analog HCAL



software compensation  
improves stochastic term:  
 $58\%/\sqrt{E} \rightarrow 45\%/\sqrt{E}$

NIM A939 (2019) 89

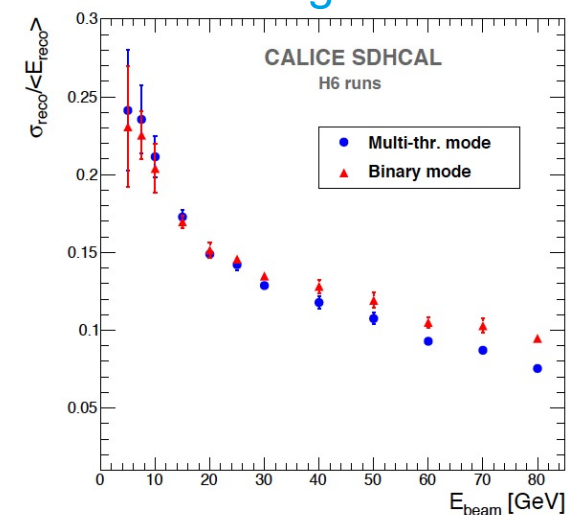
### Digital HCAL



resolution doesn't improve  
beyond ~30 GeV

JINST 11 (2016) P04001

### Semi-Digital HCAL



measurement with  
**1** or **3** thresholds

3 thresholds improve  
resolution at large energies

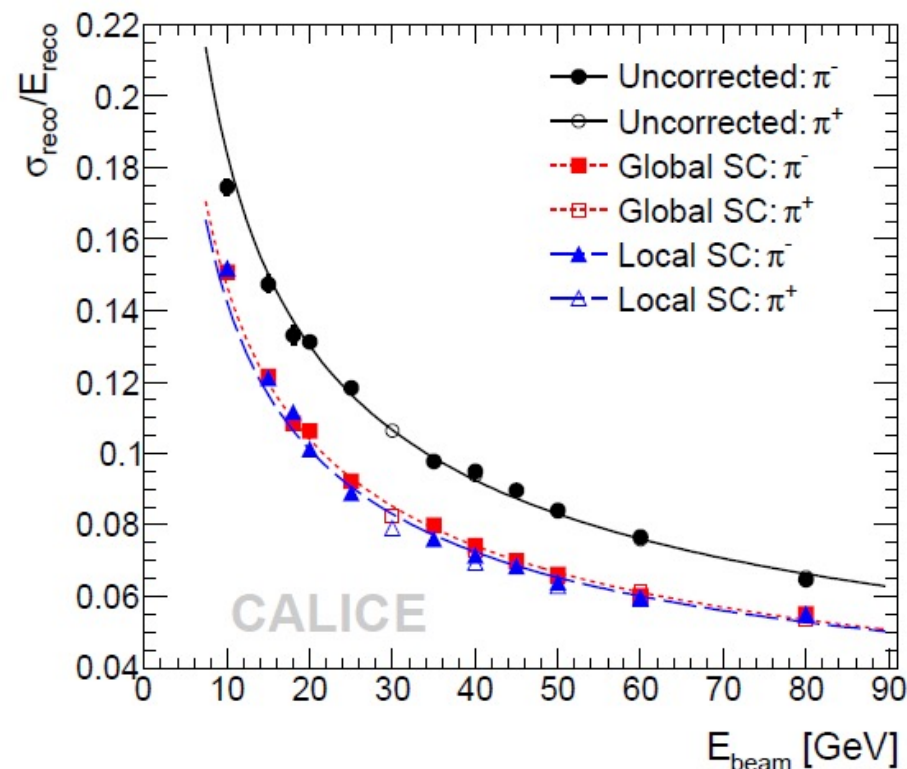
# Energy resolution for charged pions

## The power of high granularity

### Software compensation (SC):

- non-compensating calorimeters show different signals for electromagnetic and hadronic showers
- hadronic showers include electromagnetic sub-showers
- in the reconstruction, use different weights for electromagnetic and hadronic sub-showers
- Significant improvement of energy resolution

JINST 7 (2012) P09017



$$\frac{\sigma(E)}{E} = \frac{(44.3 \pm 0.3)\%}{\sqrt{E}} \oplus (1.8 \pm 0.3)\% \oplus \frac{0.18 \text{ GeV}}{E}$$

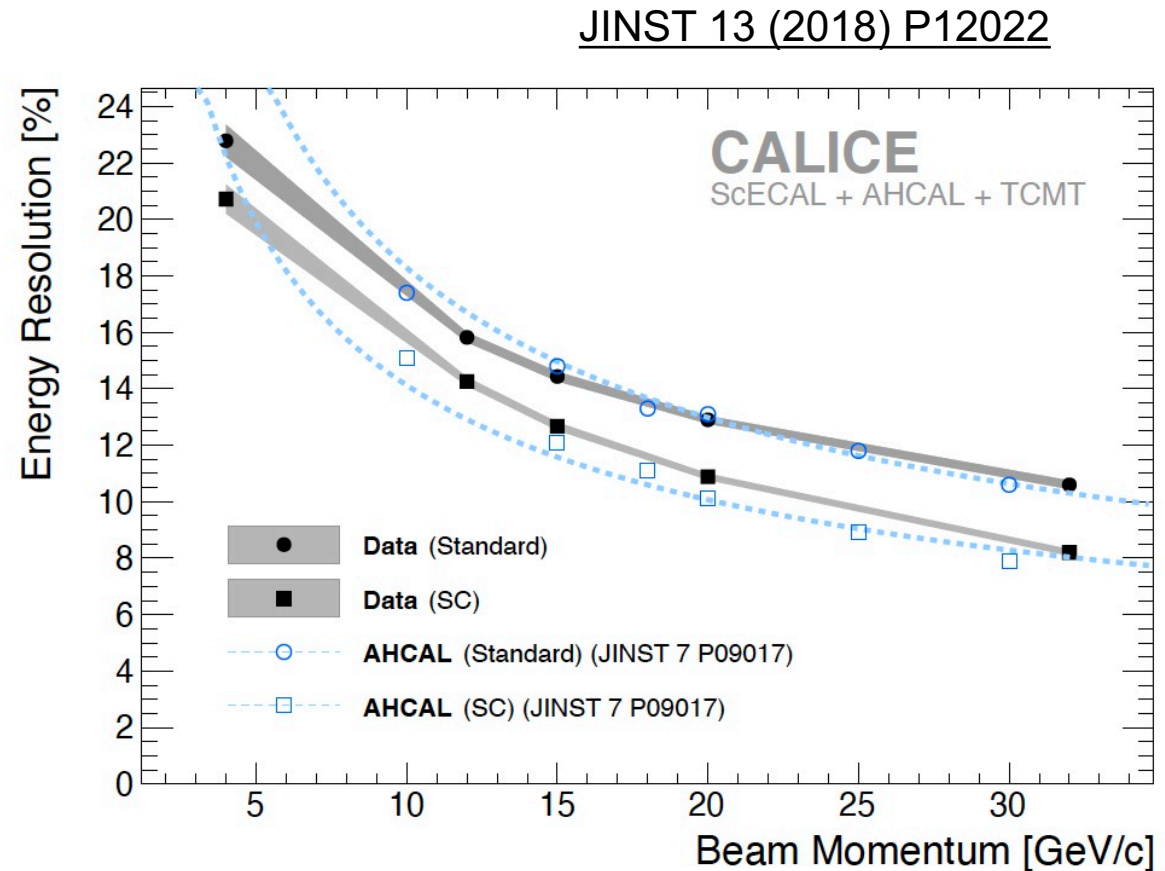
# Performance of combined scintillator calorimeter system

## Energy reconstruction in a highly granular calorimeter system

in a real calorimeter system, hadrons are not measured purely in HCAL, but in ECAL + HCAL (+ tailcatcher)

ECAL and HCAL typically have different absorber, sampling ratio, active material

combined system of scintillator-tungsten ECAL + scintillator-steel AHCAL has **very similar performance** to AHCAL alone



# Performance: Shower Imaging

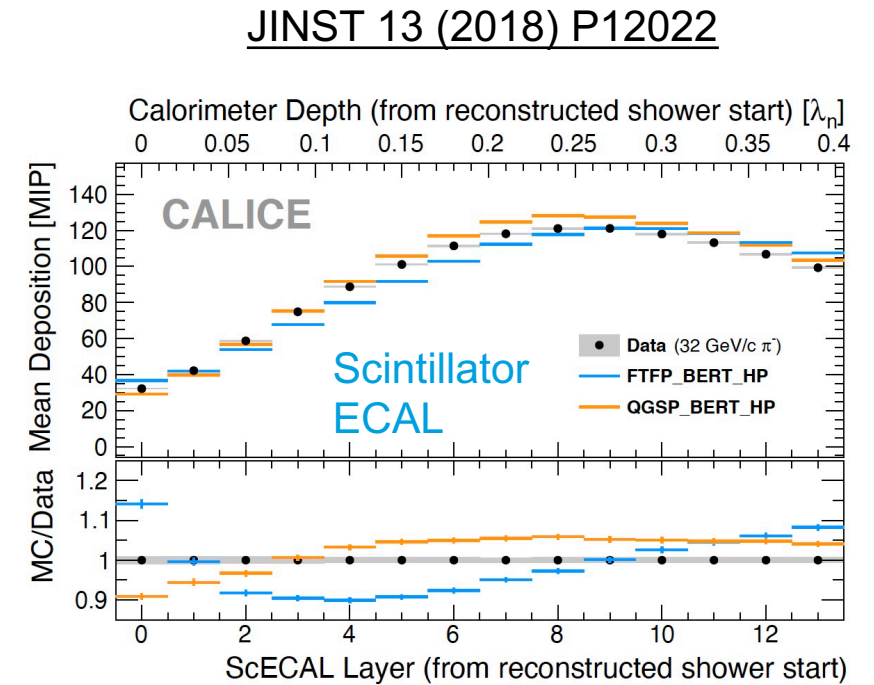
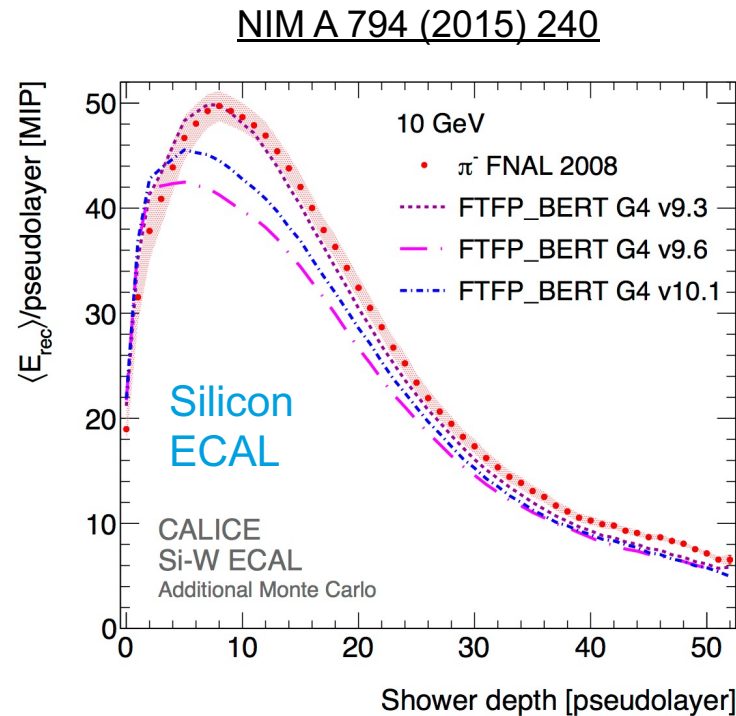
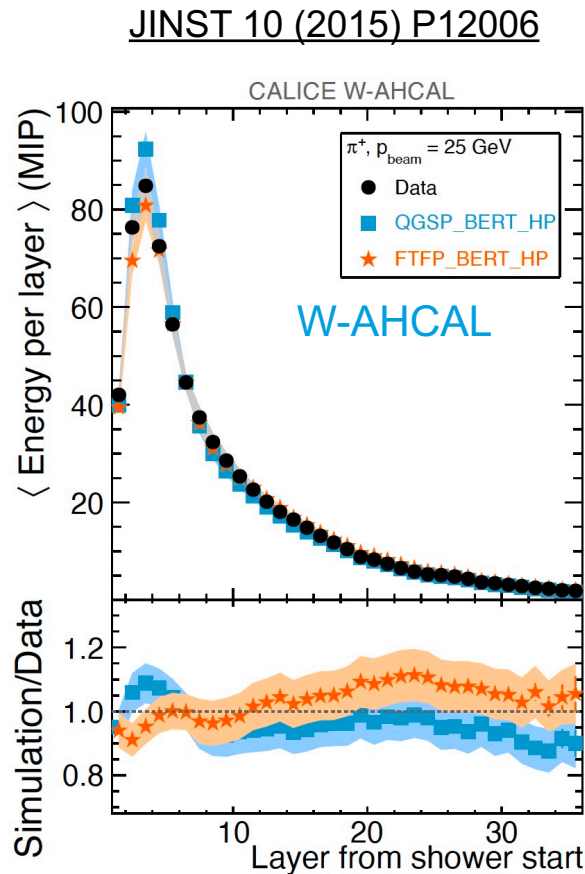


# Shower Profiles

## Examples: Pion showers in tungsten calorimeters

High granularity allows determination of shower start → measure detailed hadron shower profiles

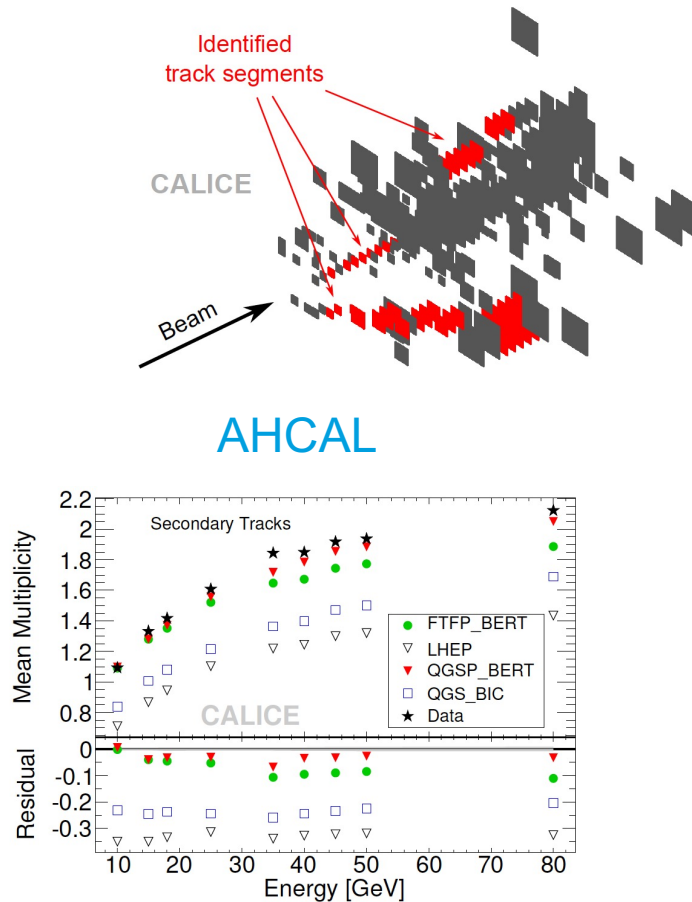
Description by simulations typically within ~10% → important for Particle Flow performance



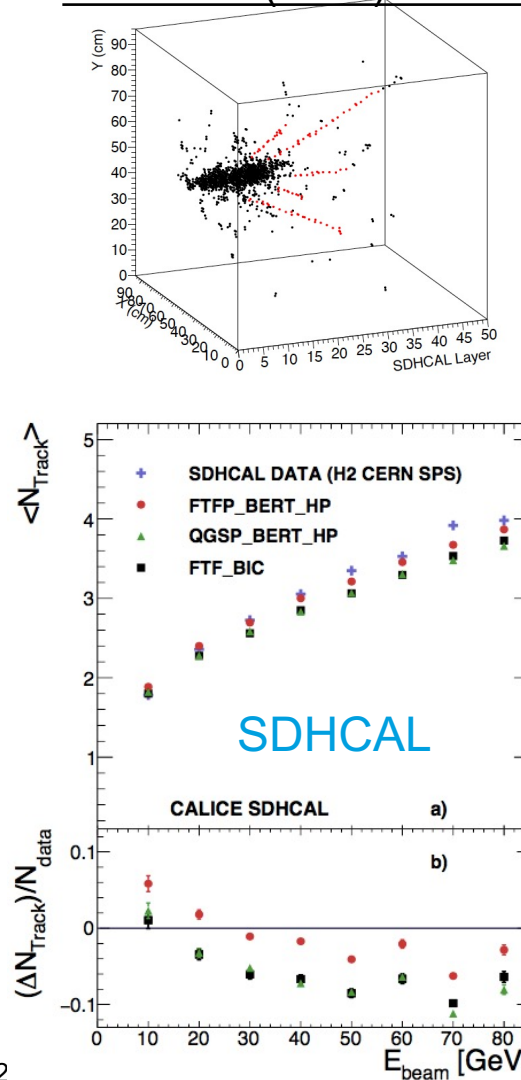
# Track Segments within hadron showers

## Substructure of hadron showers

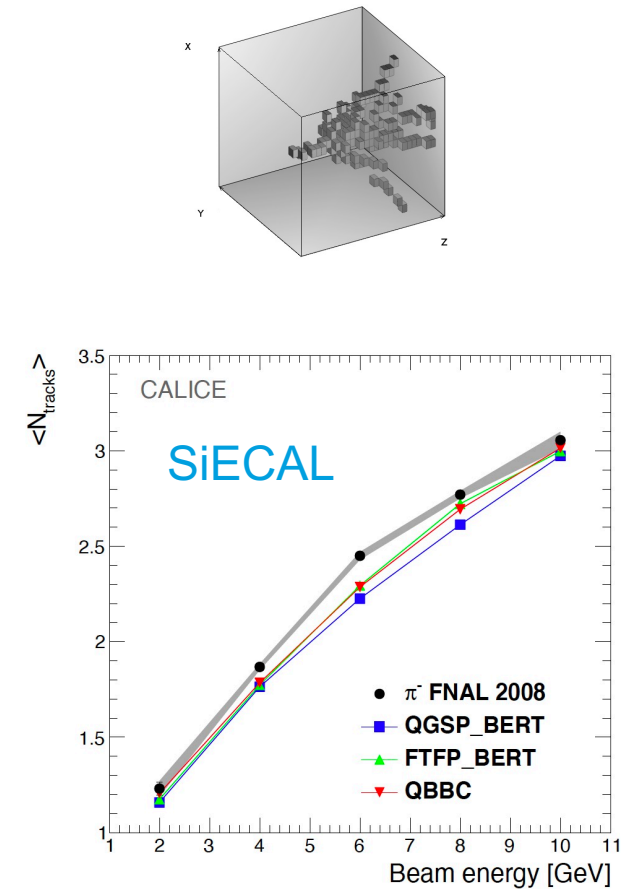
JINST 8 (2013) P09001



JINST 12 (2017) P05009



NIM A937 (2019) 41



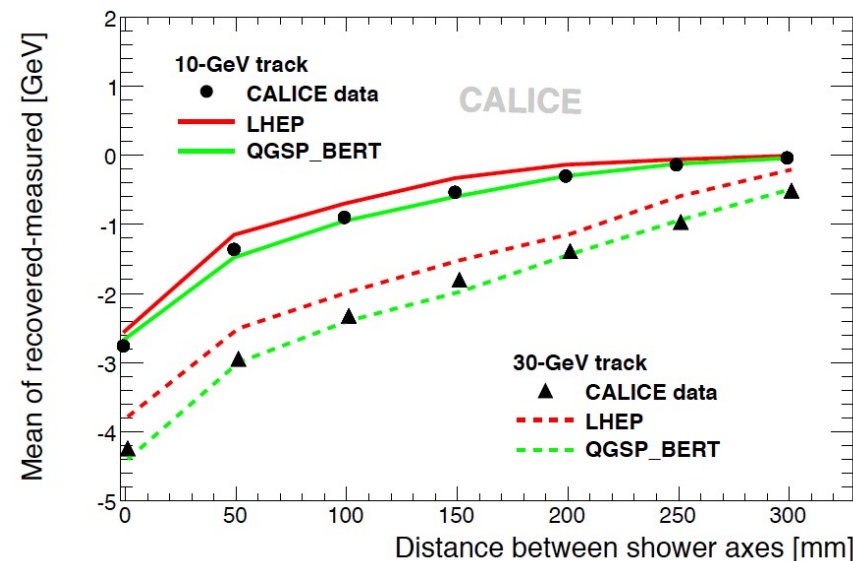
# Shower Separation

## Particle Flow Algorithms at work

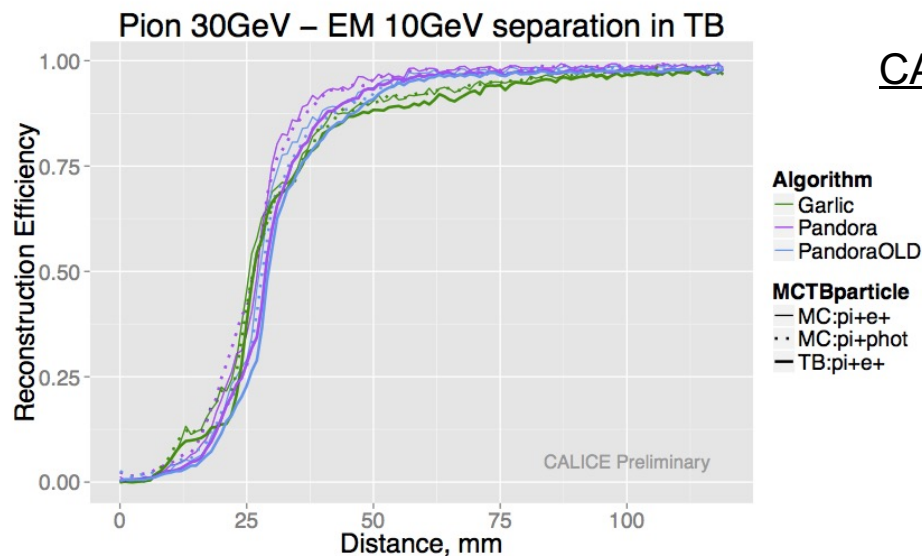
Overlay of showers in measured and simulated events

- Charged and neutral hadron showers
- Electromagnetic and charged hadron shower

Performance of Particle Flow Algorithms well described by simulation



JINST 6 (2011)  
P07005



CAN-057

# Technological Prototypes



# SDHCAL Technological Prototype

## Recent developments

SDHCAL prototype has **integrated electronics** already

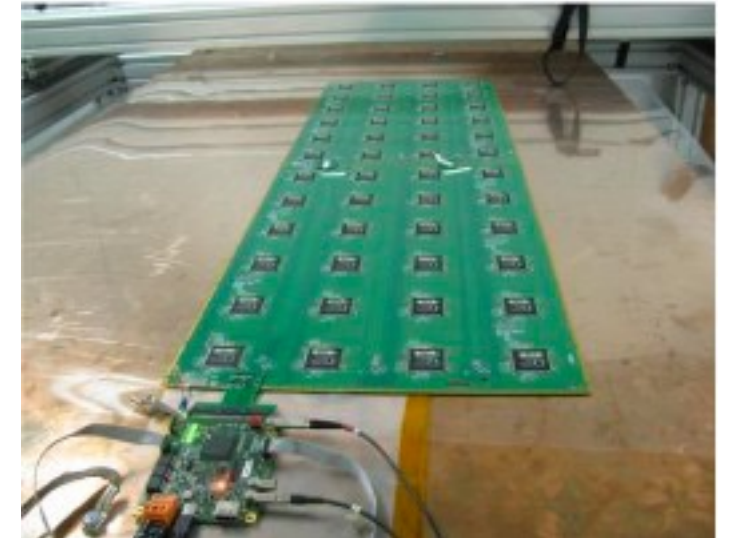
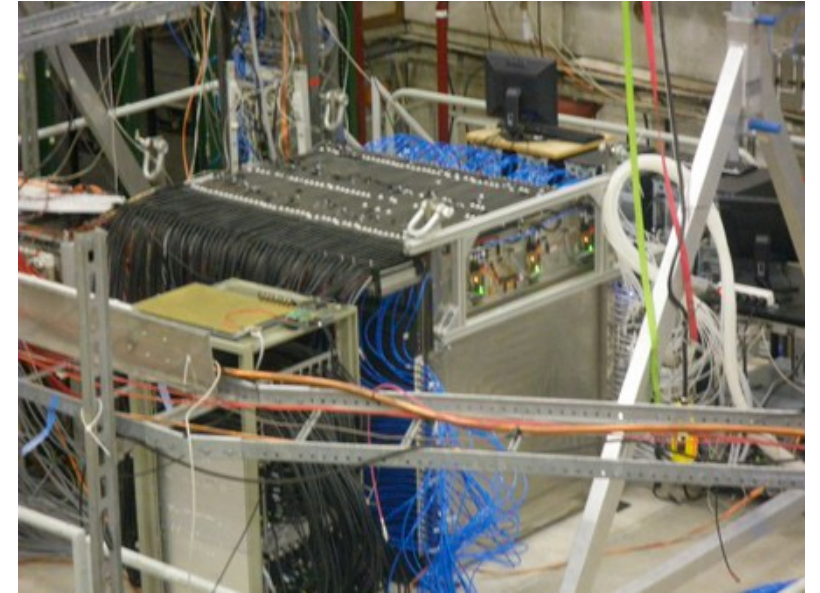
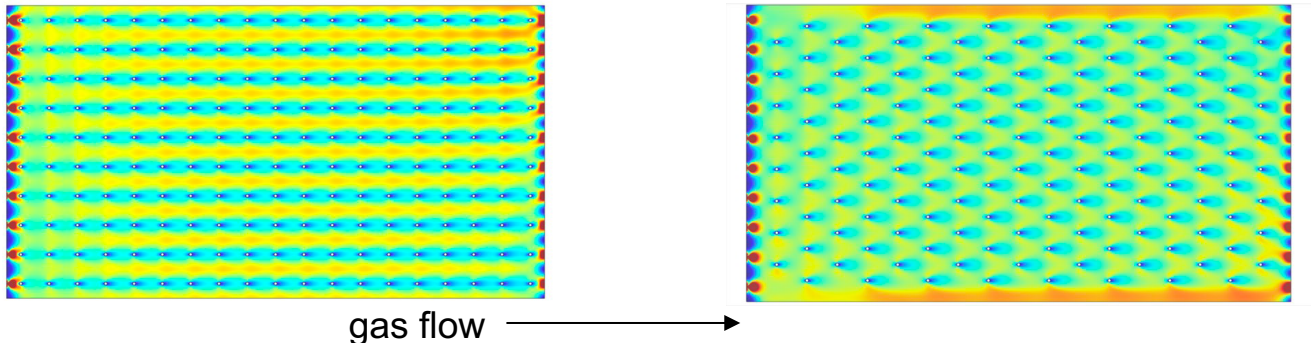
- 48 layers with  $\sim 440.000$  channels

Aspects for **scalability** to collider detector

- Layer size will increase from  $1 \times 1 \text{ m}^2$  to up to  $1 \times 2 \text{ m}^2$   
→ optimize gas flow and spacers
- Minimise size of interface electronics

Improved **time resolution**

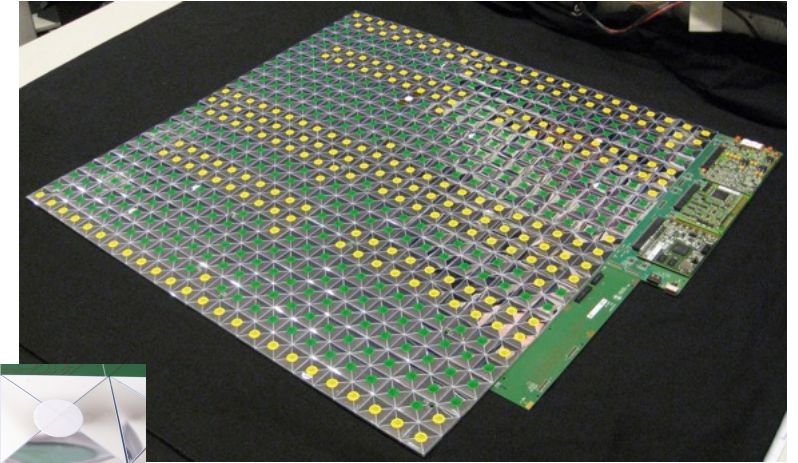
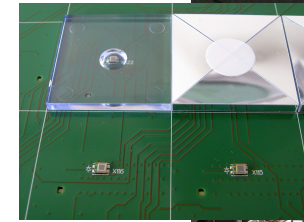
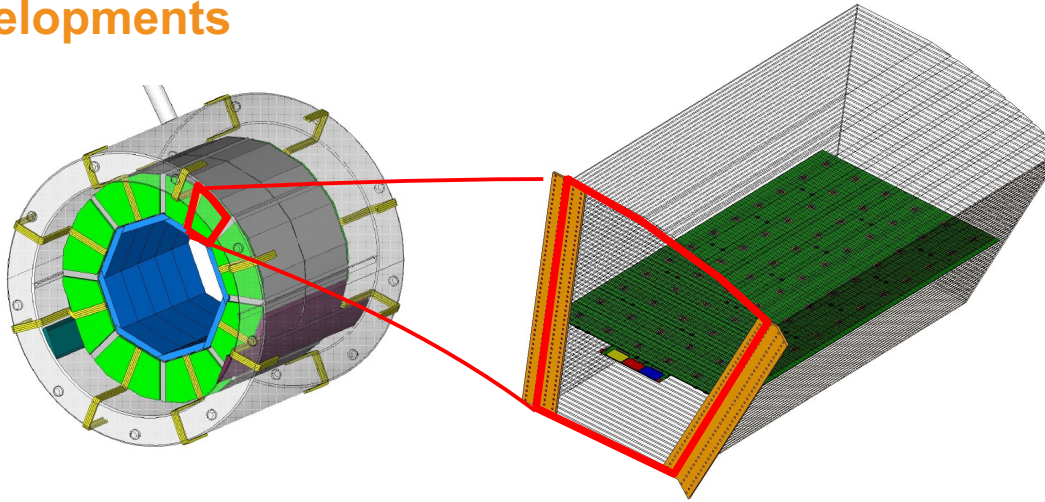
- Multi-gap RPCs can reach  $\sim 100 \text{ ps}$
- Plan to build a timing layer and integrate it into SDHCAL prototype





# AHCAL Technological Prototype

## Recent developments



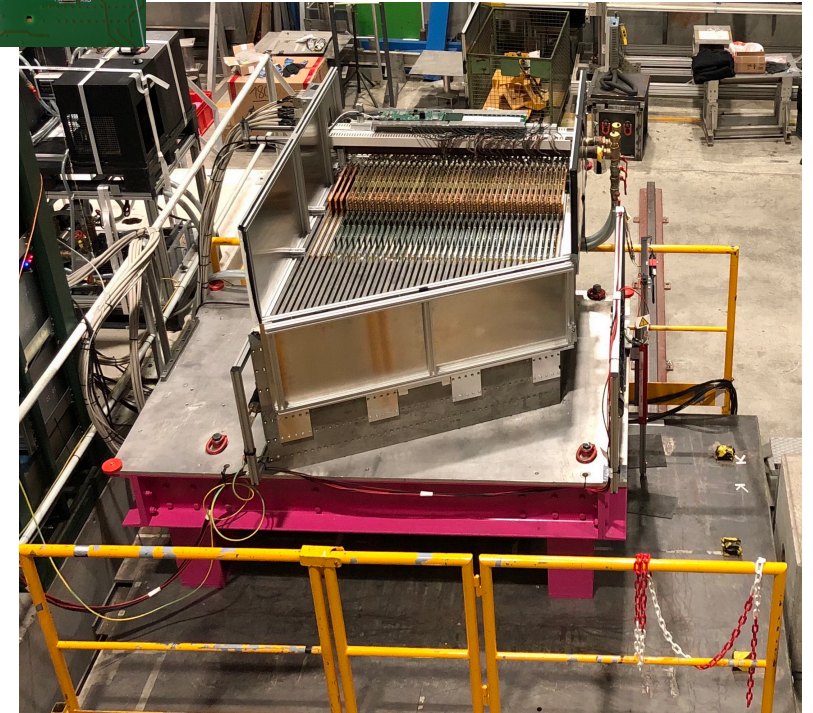
### Fully integrated design

- front-end electronics, readout
- voltage supply, LED system for calibration
- no cooling within active layers → **power pulsing**

**Scalable** to full collider detector (~8 million channels)

**Prototype** with 38 layers and ~22.000 channels operated in **testbeam**

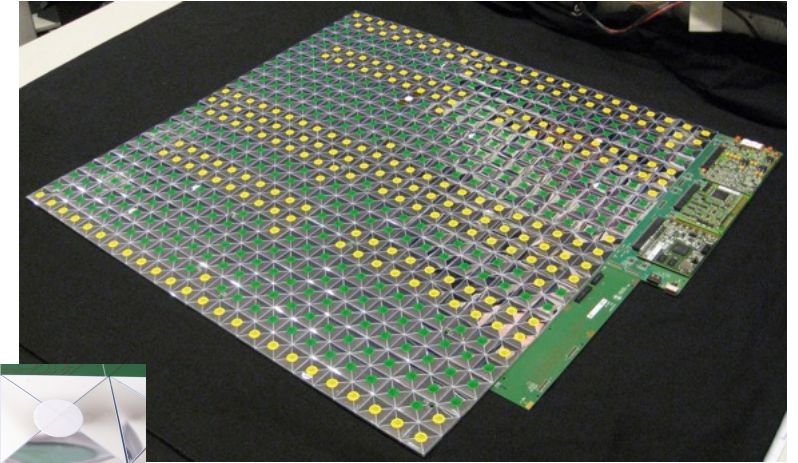
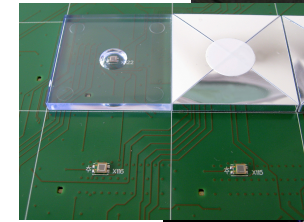
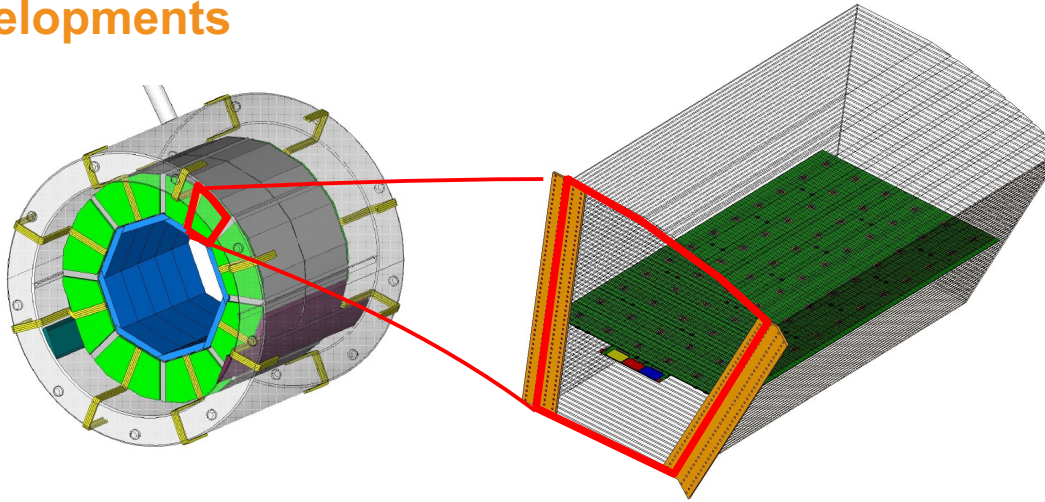
- Very stable running
- Nearly noise free
- < 1 per mille dead channels





# AHCAL Technological Prototype

## Recent developments



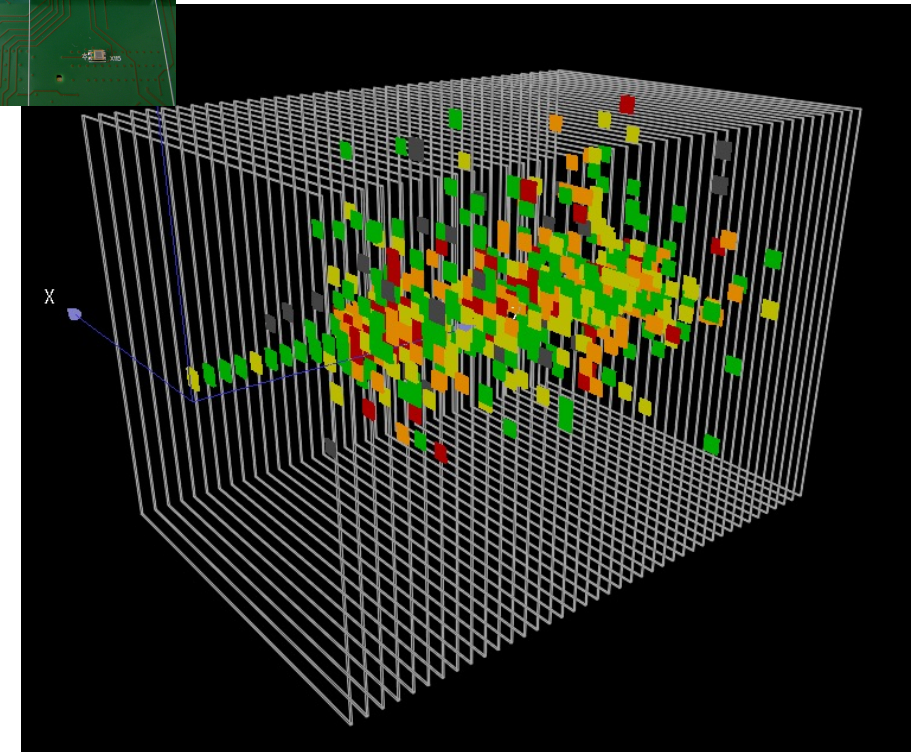
### Fully integrated design

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**Prototype** with 38 layers and ~22.000 channels operated in **testbeam**

- Very stable running
- Nearly noise free
- < 1 per mille dead channels





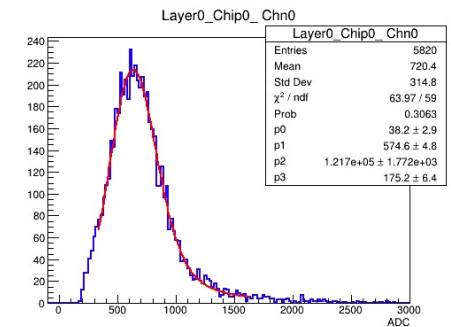
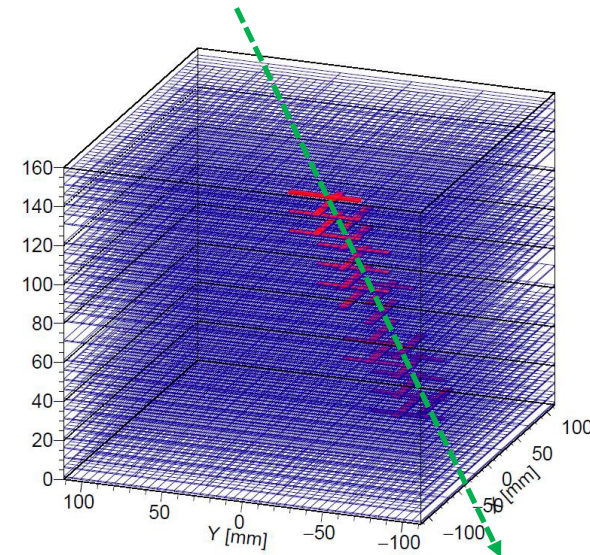
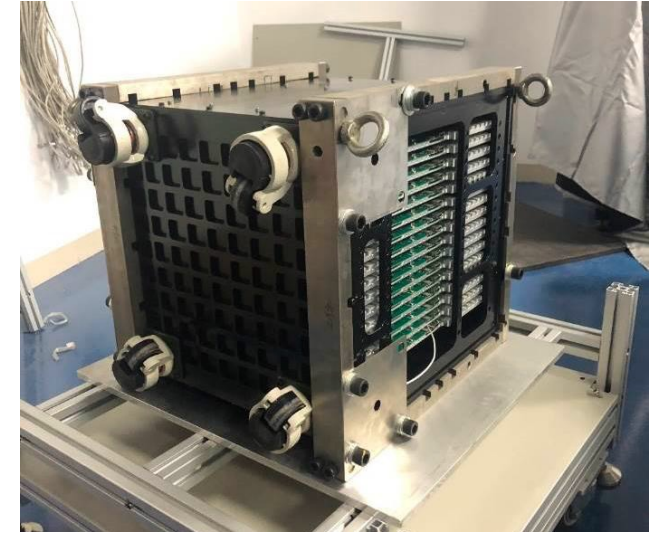
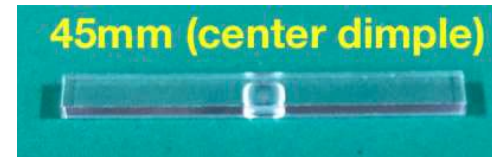
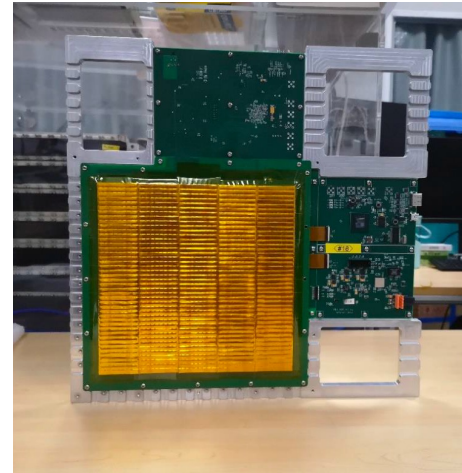
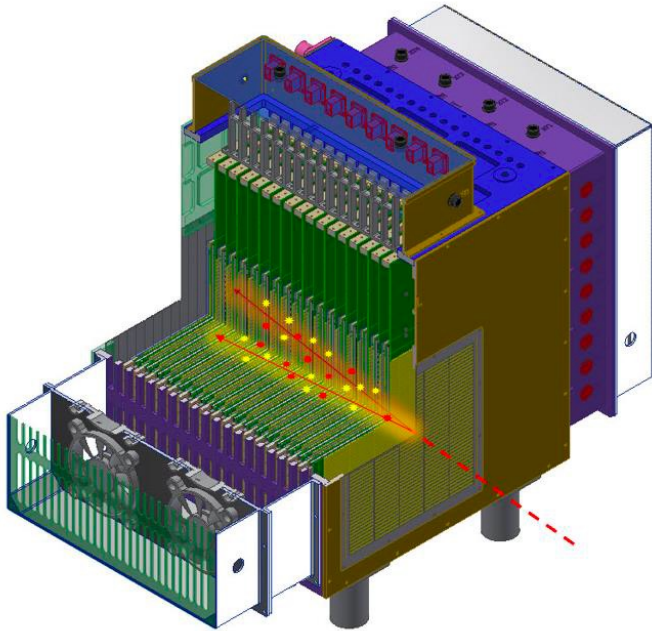
# Scintillator ECAL Technological Prototype

## Recent developments

### Fully integrated design

**Testbeam prototype** with 32 layers and ~7.000 channels built

- Tested with cosmic muons
- Ready for beam tests (delayed due to Covid)





# Silicon ECAL Technological Prototype

## Recent developments

**Space constraints** for ECAL especially challenging

- Minimum thickness of integrated electronics to minimize total thickness
- Minimum size of electronics interfaces to minimize gaps in the coverage

**Fully integrated design**

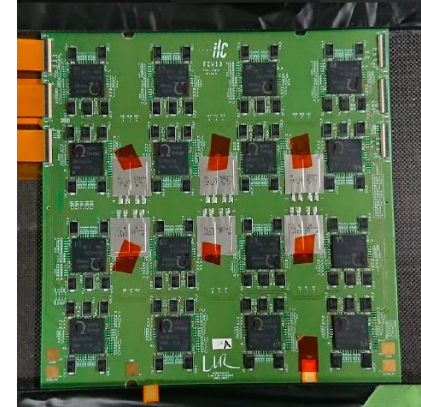
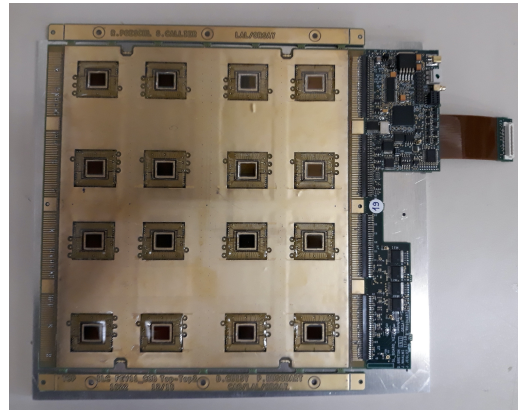
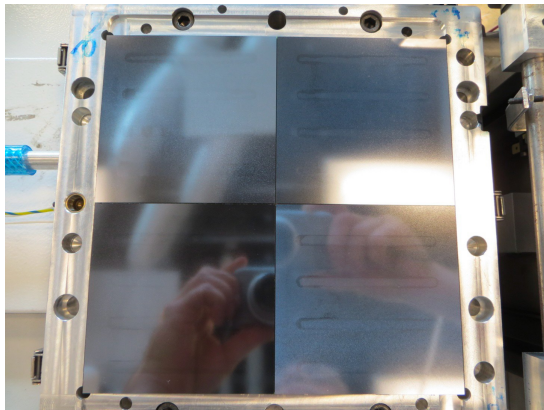
**Scalable** to full detector (~100 million channels)

**Testbeam prototype** under construction

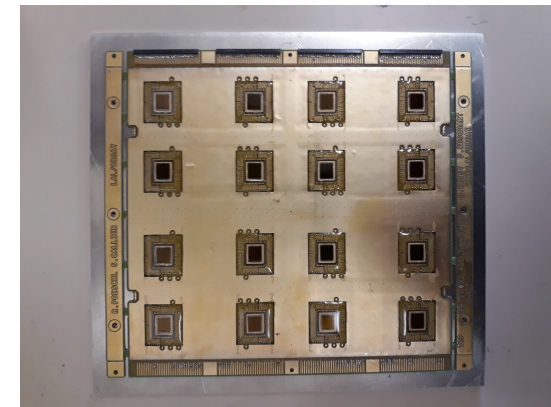
- Up to 30 layers and ~30.000 channels

Silicon wafers  
glued onto  
PCB

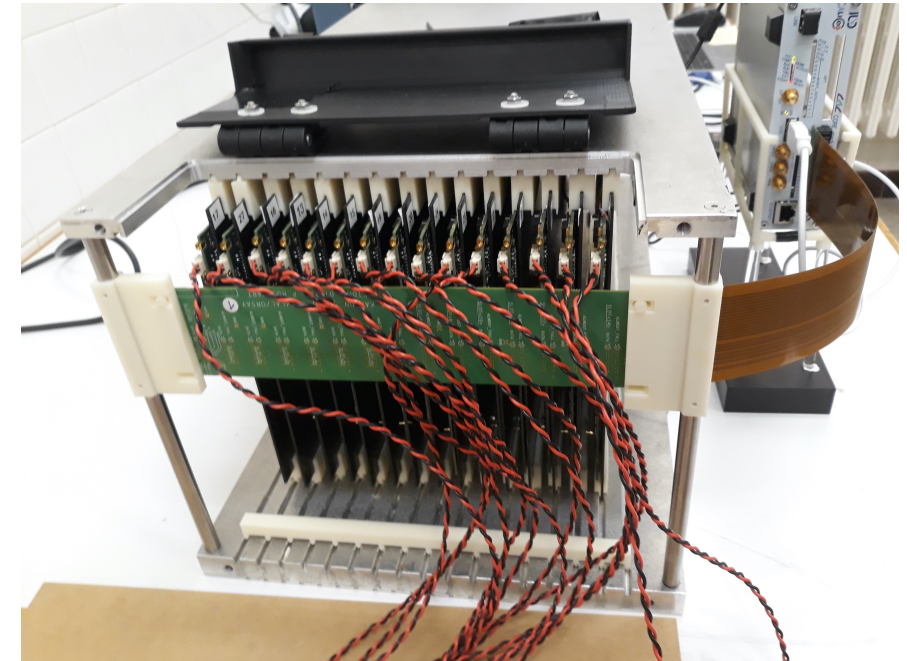
Pixel size  
 $5.5 \times 5.5 \text{ mm}^2$



BGA-packaged ASICs



unpackaged ASICs



# Highly granular calorimetry beyond Higgs Factories



# CMS HGCAL

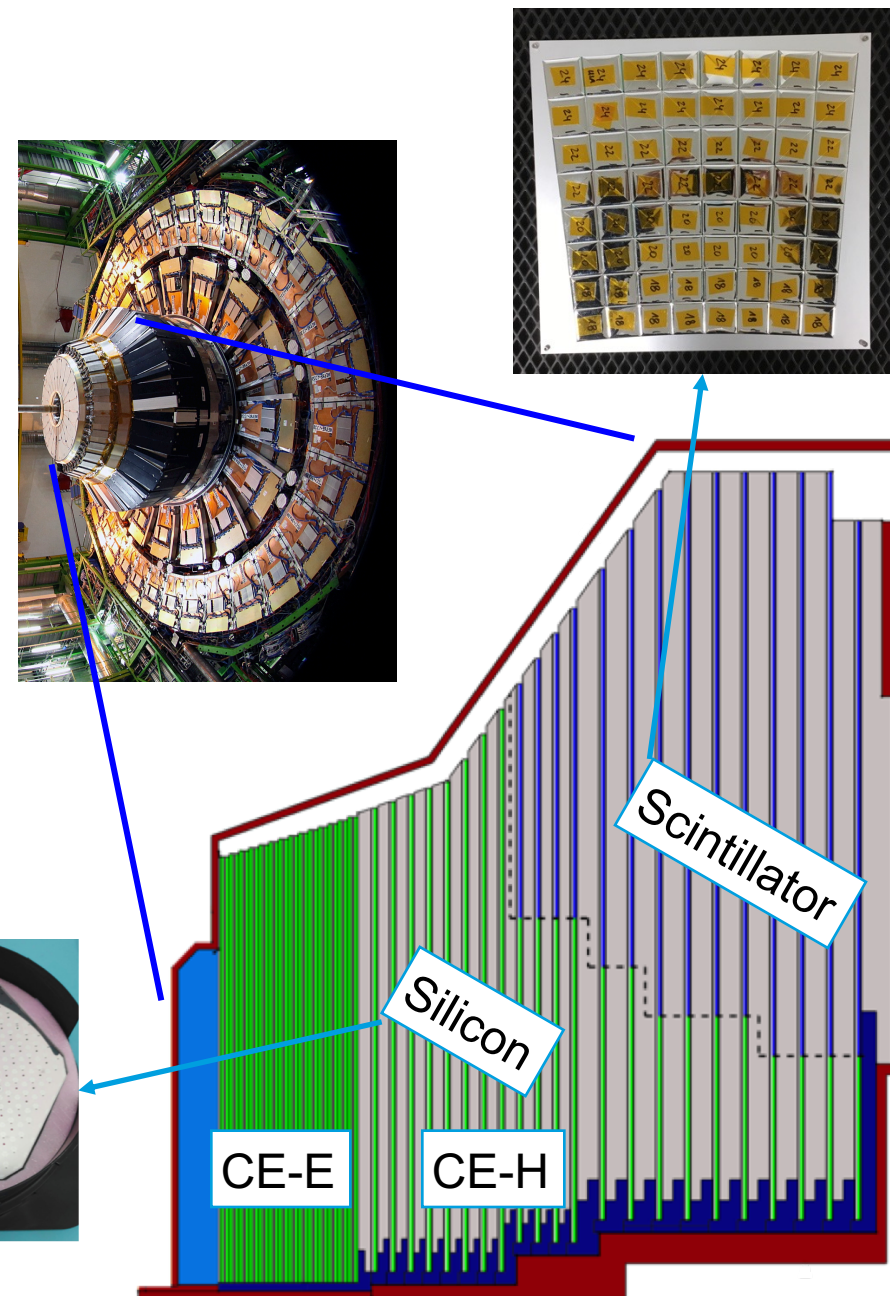
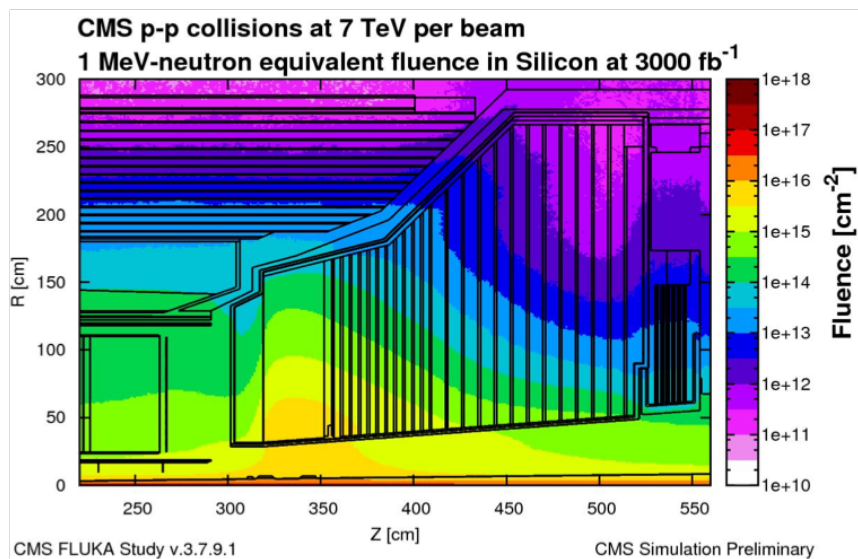
## High granularity for HL-LHC

CMS calorimeter endcap will be replaced for HL-LHC by **High-Granularity calorimeter**

Granularity helps to suppress pile-up

Synergy with high granularity calorimeter concepts developed for electron-positron colliders

- Silicon in the CE-E and close to the beam pipe
- Scintillator tiles with SiPMs wherever radiation levels allow



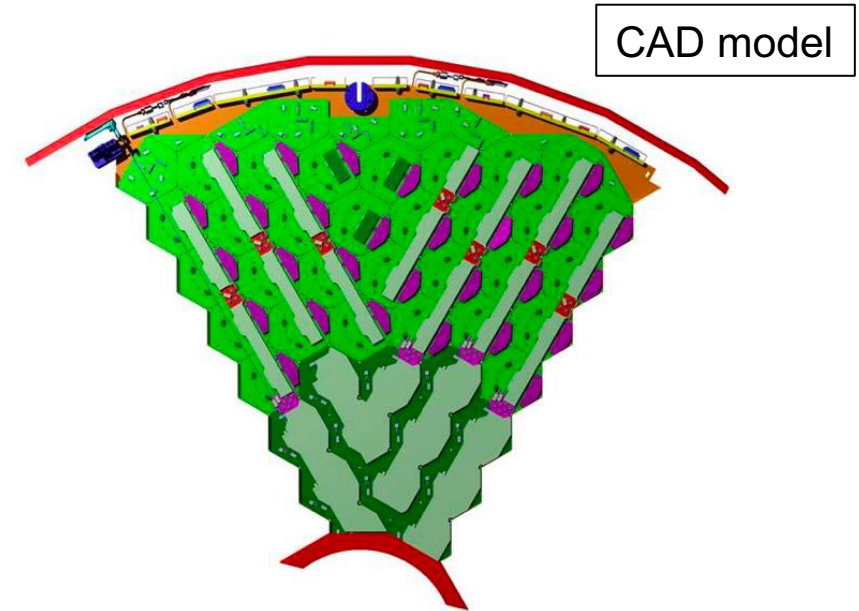
# CMS HGCal

## Towards construction

Moving towards fully-engineered design in 2021

Preparation for mass production of active modules

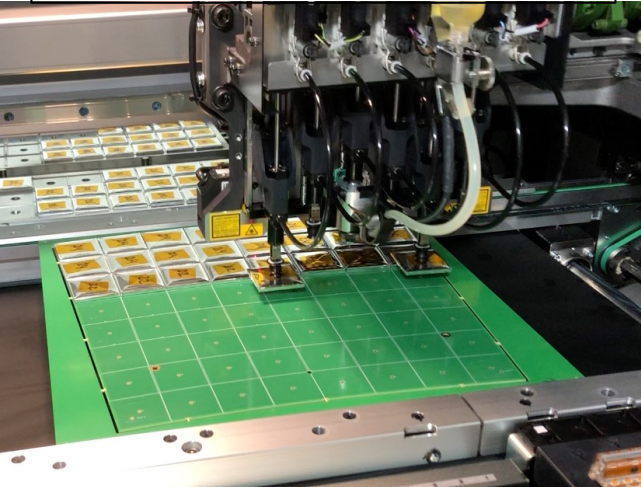
Will be the first large highly granular calorimeter in a collider detector!



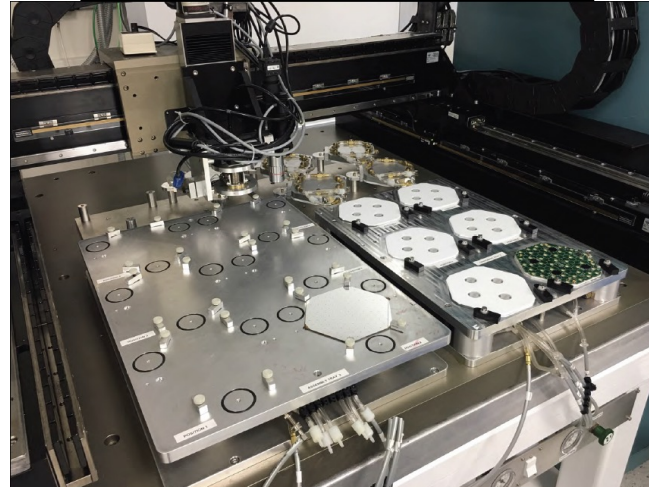
CAD model

CE-E cassette

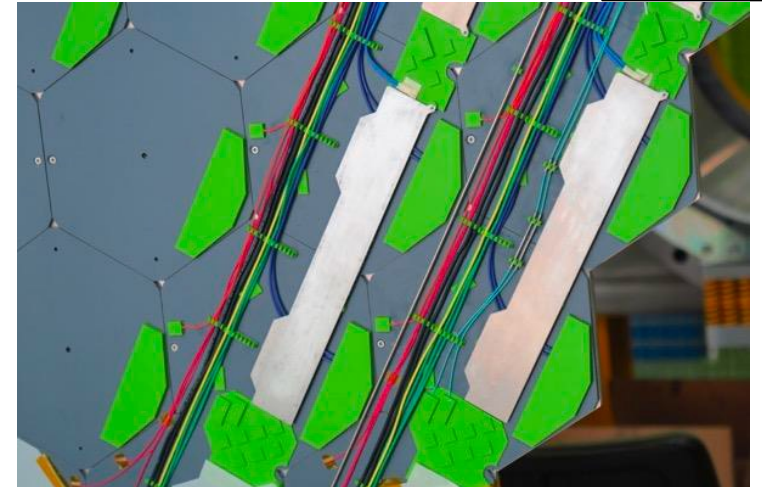
Scintillator module assembly



Silicon module assembly



Mock-up





# Digital Pixel Calorimeter

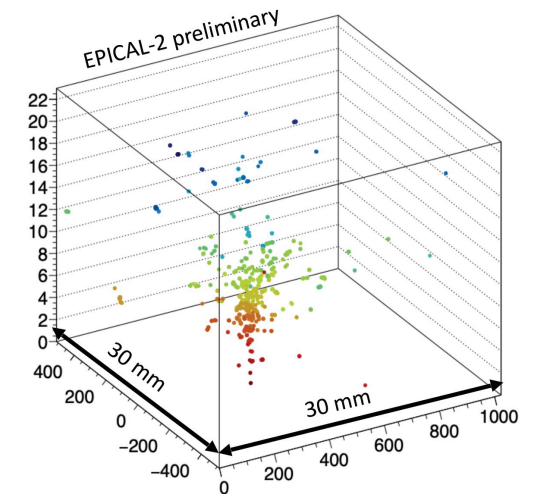
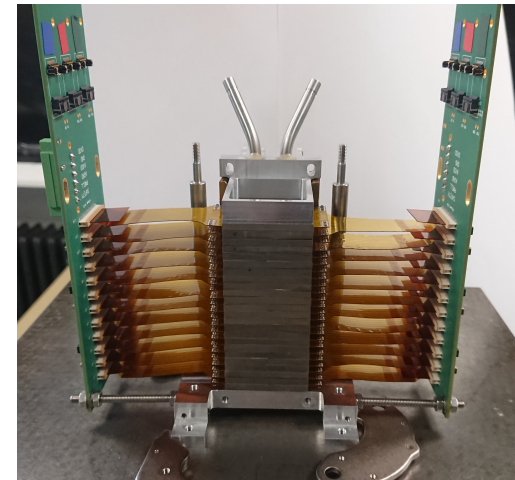
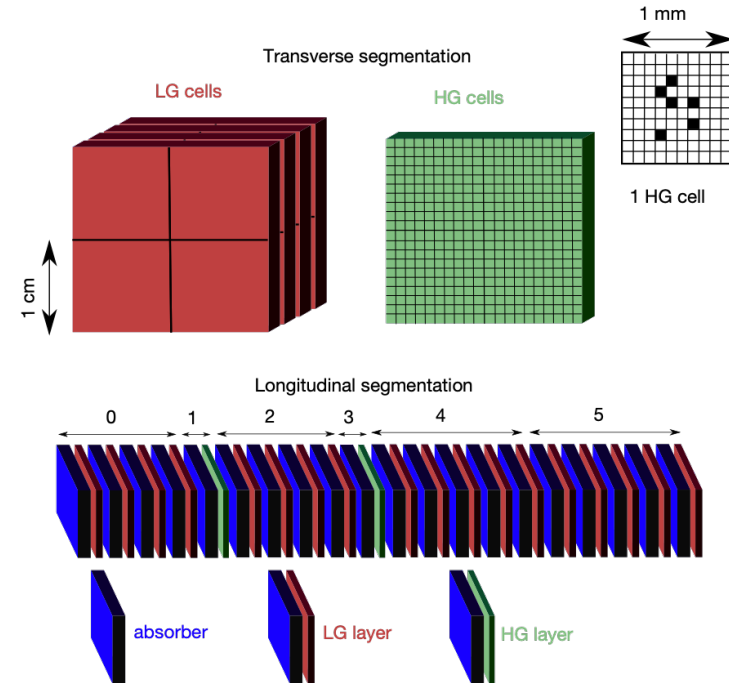
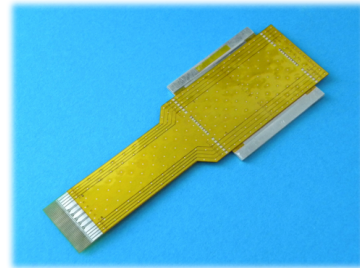
## Ultimate granularity ECAL

### ALICE FoCal-E (Forward EM-Calorimeter)

- W absorber + Si-sensors
- **Low-granularity layers** Si-pads ( $\sim 1 \times 1 \text{ cm}^2$ ) energy measurement
- **High-granularity layers** CMOS MAPS ( $\sim 30 \times 30 \mu\text{m}^2$ ) two-shower separation

### Digital Pixel Calorimeter prototype

- All layers consist of high-granularity MAPS sensors: ALPIDE
- $3 \times 3 \text{ cm}^2$  cross section
- 24 layers
- Tested with electron beam



# Deep Underground Neutrino Experiment

## High granularity for neutrinos

DUNE Far Detector: Study neutrino oscillations

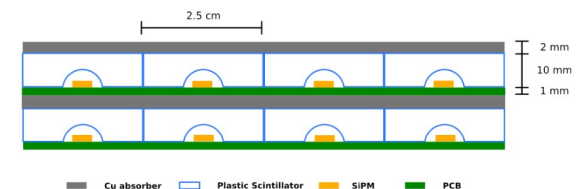
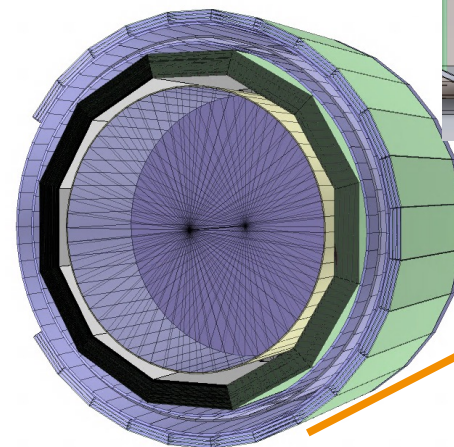
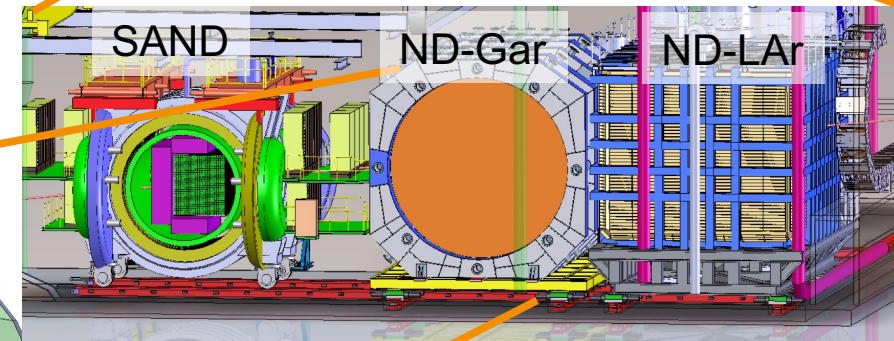
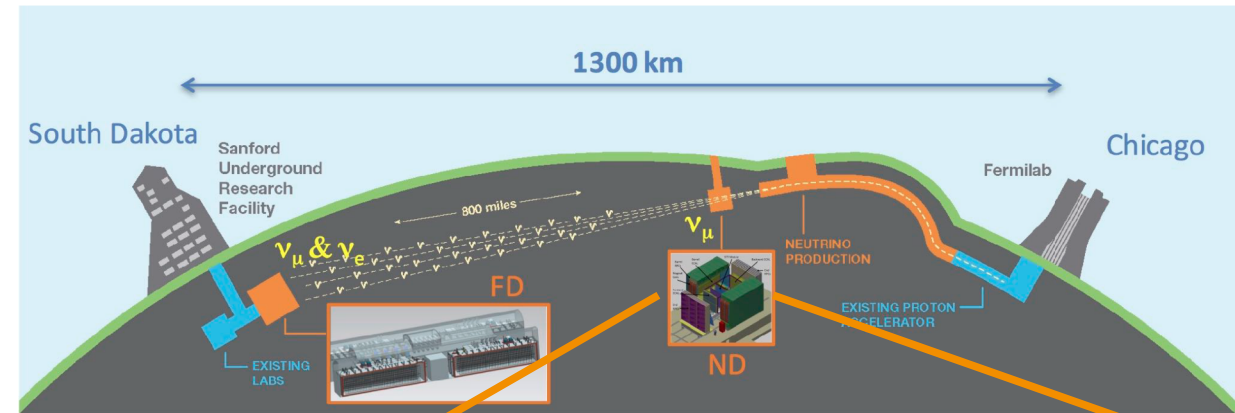
- Large LAr TPCs

Near Detector (ND): measure beam before oscillation

- DUNE PRISM: 3 detectors of which 2 can be moved off-axis
- ND-LAr: Liquid Argon TPC
- **ND-GAr: High Pressure GAr TPC, surrounded by ECAL and magnet**
- SAND: plastic scintillator target

## ND-GAr

- **Typical energies of a few 100 MeV**
- Angular resolution to distinguish  $\pi^0$  and  $\gamma$
- Key designs
  - Very thin absorber: 2 mm Copper
  - High granularity layers (similar to AHCAL)
  - Large strip layers in the back



# Electron-Ion Collider

## High granularity for Deep Inelastic Scattering

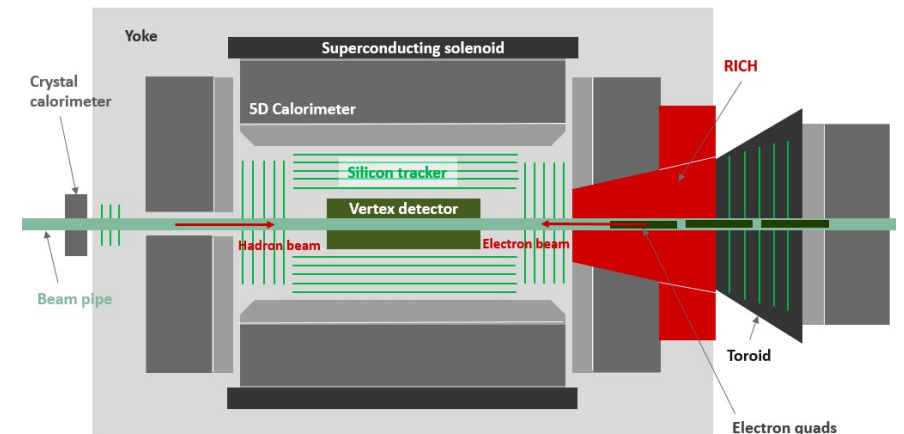
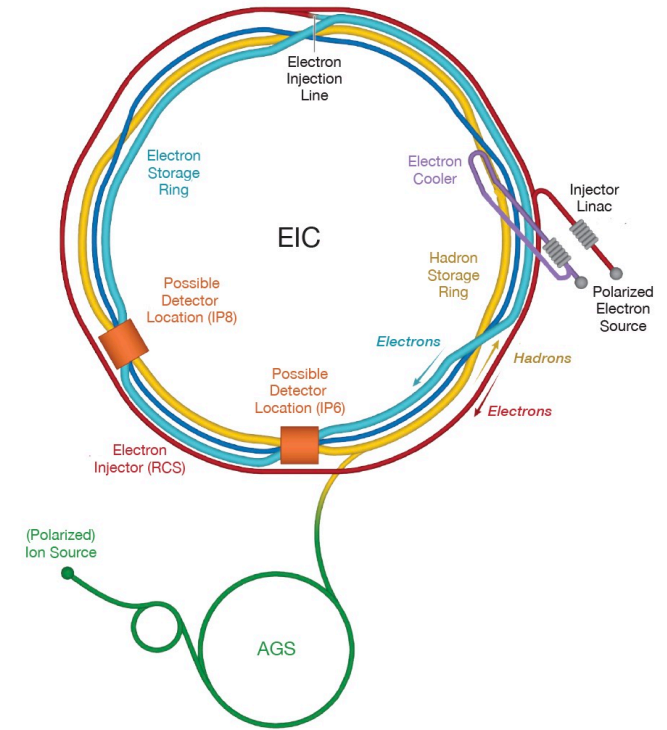
### EIC: High energy collider for electrons and protons or ions

- Study nuclear structure
- $\sqrt{s} = 20$  to 100 GeV
- Polarized beams
- 1 or 2 interaction regions

See talk by  
E. Aschenauer

### Several detector concepts

- Generic EIC concept detector
- TOPSiDE: **T**ime **O**ptimized **S**ilicon **D**etector for the **E**IC
  - All silicon tracker
  - Hermetic 5D calorimeter: high granularity & precise timing
    - Silicon tungsten ECAL with LGADs for timing
    - Scintillator tile or RPC HCAL



TOPSiDE

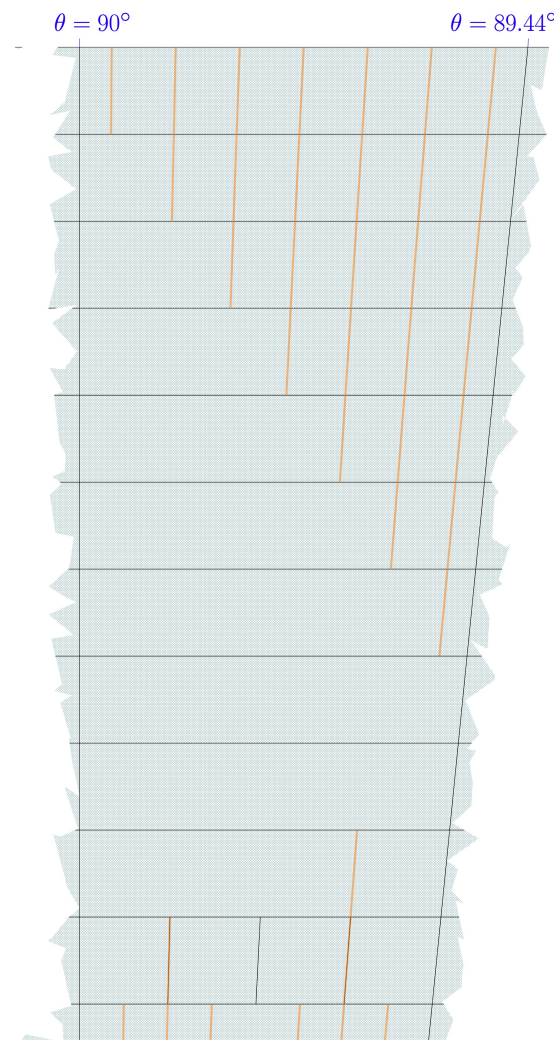
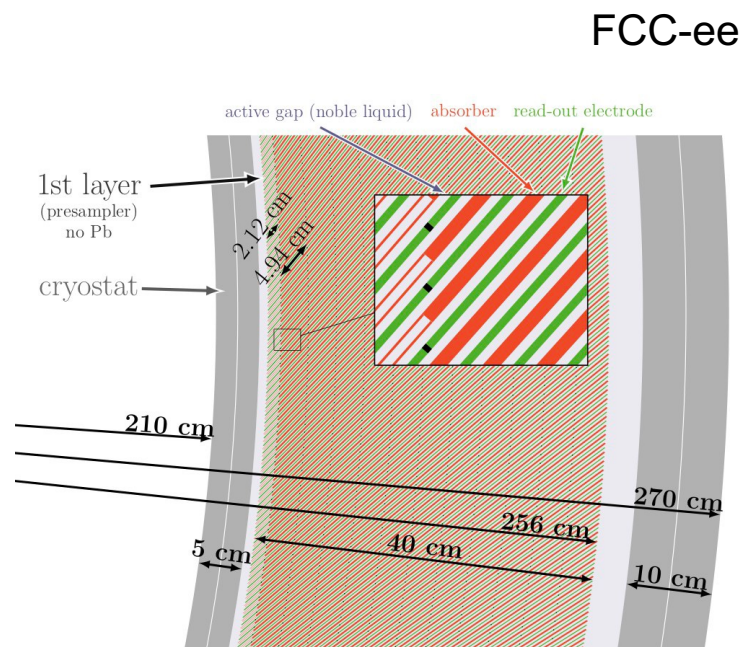
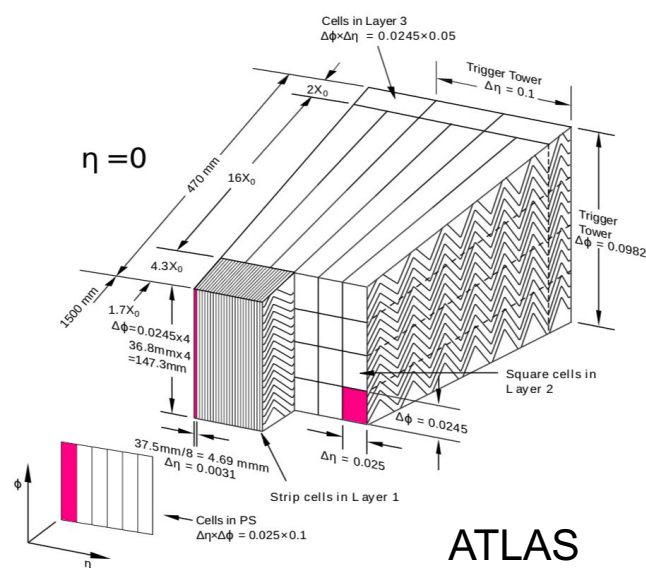


# More High Granularity

## What has not been mentioned so far

Higher granularity is being studied for many other technologies

- LAr ECAL for FCC-ee and FCC-hh
- Granular Dual Readout calorimeters
- ...



Horizontal axis expanded by a factor 10

# Summary

- Highly granular calorimeters are essential to reach the jet energy resolution needed for future Higgs factories
- Performance of several concepts has been demonstrated with prototypes in beam tests
- Scalability to collider detectors is being addressed
- Applications beyond Higgs factories
  - CMS HGCal will be the first highly granular calorimeter in a collider detector

# Thank you!