Abstract

Neutrino oscillations in matter provide a unique probe of new physics. Leveraging the advent of neutrino appearance data from NOvA and T2K in recent years, we investigate the presence of CP-violating neutrino non-standard interactions in the oscillation data. We first show how to very simply approximate the expected NSI parameters to resolve differences between two long-baseline appearance experiments analytically. Then, by combining recent NOvA and T2K data, we find a tantalizing hint of CP-violating NSI preferring a new complex phase that is close to maximal: $\phi_{e\mu}$ or $\phi_{e\tau} \approx 3\pi/2$ with $|\epsilon_{e\mu}|$ or $|\epsilon_{e\tau}| \sim 0.2$. We then compare the results from long-baseline data to constraints from IceCube and COHERENT.
CP-Violating Neutrino Non-Standard Interactions in Long-Baseline-Accelerator Data

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Pheno

May 26, 2021

2008.01110

with Julia Gehrlein and Rebekah Pestes
CP violation at NOvA and T2K?

Excitement at Neutrino2020 last summer!
Significances are low

What kinds of new physics is there if NOvA(DUNE) and T2(H)K continue to disagree?
New physics

If this is new physics what could lead to this kind of effect?

- Steriles?
- Decay?
- Decoherence?
- Dark matter interaction?
- LIV/CPT?
- Unitary violation?

- NSI with complex CP violating phases
  1. Different matter effects $\Rightarrow$ different NSI effect
  2. New phases partially degenerate with standard phase
  3. T2K is closer to vacuum so they measure the vacuum parameters
  4. NOvA measures “vacuum” + “NSI”

See also S. Chatterjee, A. Palazzo 2008.04161

L. Miranda, et al. 1911.09398
D. Forero, et al. 2103.01998
$\mathcal{L}_{\text{NSI}} = -2\sqrt{2}G_F \sum_{\alpha, \beta, f, P} \epsilon_{\alpha \beta}^{f, P} (\bar{\nu}_\alpha \gamma^\mu \nu_\beta)(\bar{f} \gamma_\mu f)$

Models with large NSIs consistent with CLFV:

Y. Farzan, I. Shoemaker 1512.09147  Y. Farzan, J. Heeck 1607.07616  D. Forero and W. Huang 1608.04719  
K. Babu, A. Friedland, P. Machado, I. Mocioiu 1705.01822  PBD, Y. Farzan, I. Shoemaker 1804.03660  
U. Dey, N. Nath, S. Sadhukhan 1804.05808  Y. Farzan 1912.09408

Affects oscillations via new matter effect

$$H_{\text{flav}} = \frac{1}{2E} \left[ U M^2 U^\dagger + a \begin{pmatrix} 1 + \epsilon_{ee} & \epsilon_{e\mu} & \epsilon_{e\tau} \\ \epsilon_{e\mu}^* & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} \\ \epsilon_{e\tau}^* & \epsilon_{\mu\tau}^* & \epsilon_{\tau\tau} \end{pmatrix} \right]$$

Matter potential $a \propto G_F \rho E$

L. Wolfenstein PRD 17, 2369 (1978)

Estimate size of effect: magnitude

\[ |\epsilon_{e\beta}| \approx \frac{s_{12}c_{12}c_{23}\pi \Delta m_{21}^2}{2s_{23}w_\beta} \left| \frac{\sin \delta_{\text{T2K}} - \sin \delta_{\text{NOvA}}}{a_{\text{NOvA}} - a_{\text{T2K}}} \right| \approx \begin{cases} 0.22 & \text{for } \beta = \mu \\ 0.24 & \text{for } \beta = \tau \end{cases} \]

\[ w_\beta = s_{23}, c_{23} \text{ for } \beta = \mu, \tau \]

Assumed upper octant \( \theta_{23} > 45^\circ \)

Consistency checks:

- \( \sin \delta_{\text{NOvA}} = \sin \delta_{\text{T2K}} \Rightarrow |\epsilon| = 0 \)
- \( \sin \delta_{\text{NOvA}} \neq \sin \delta_{\text{T2K}} \) and \( a_{\text{NOvA}} = a_{\text{T2K}} \Rightarrow |\epsilon| \to \infty \)
- Octant:
  1. LBL is governed by \( \nu_3 \)
  2. Upper octant \( \Rightarrow \) \( \nu_3 \) is more \( \nu_\mu \)
  3. More \( \nu_\mu \) \( \Rightarrow \) need less new physics coupling to \( \nu_\mu \) to produce a given effect
Estimate size of effect: NSI phase

Under the ansatz, if $\delta_{\text{NOvA}} \neq \delta_{\text{T2K}}$

$$\sin(\delta_{\text{true}} + \phi_{e\beta}) \approx 0$$

Since $a_{\text{NOvA}} > a_{\text{T2K}}$ and the data suggests $\sin \delta_{\text{T2K}} \lesssim \sin \delta_{\text{NOvA}}$:

$$\cos(\delta_{\text{true}} + \phi_{e\beta}) \approx -1$$

$$\delta_{\text{true}} \approx \delta_{\text{T2K}} \quad \Rightarrow \quad \phi_{e\beta} \approx \frac{3}{2}\pi$$
How good are these approximations?  
How significant?
Approximate the experiments

Appearance:

\[ n(\nu_e) = xP(\nu_\mu \rightarrow \nu_e) + yP(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) + z \]

Fit to all points on bievent plots for \( \nu, \bar{\nu}, \) NOvA, T2K

Wrong sign leptons are non-zero at high significance

Disappearance:

NOvA:

\[ |\Delta m^2_{32}| = (2.41 \pm 0.07) \times 10^{-3} \text{ eV}^2 \quad \text{and} \quad 4|U_{\mu 3}|^2(1 - |U_{\mu 3}|^2) = 0.99 \pm 0.02 \]

K. Kelly, et al. 2007.08526

T2K: \( \Delta m^2_{32} \) and \( \theta_{23} \) likelihoods

Assume that \( P_{\mu \mu} \approx \bar{P}_{\mu \mu} \) and that most info comes from disappearance

NOvA: \( E \sim 1.9 \text{ GeV}, \rho = 2.84 \text{ g/cc}, L = 810 \text{ km} \)

T2K: \( E \sim 0.6 \text{ GeV}, \rho = 2.60 \text{ g/cc}, L = 295 \text{ km} \)
Orange is preferred over SM at integer values of $\Delta \chi^2$, dark gray is disfavored at 4.61

T. Ehrhardt, IceCube PPNT (2019)

$\epsilon_{\mu\tau}$, IO in backups
**NSI parameters**

**Analytic estimations:**

\[
\begin{align*}
|\epsilon_{e\mu}| &\approx 0.22 \\
|\epsilon_{e\tau}| &\approx 0.24 \\
\phi_{e\beta}/\pi &\approx 1.5 \\
\delta/\pi &\approx 1.5
\end{align*}
\]

**Numerical fit:**

|   | NSI | $|\epsilon_{\alpha\beta}|$ | $\phi_{\alpha\beta}/\pi$ | $\delta/\pi$ | $\Delta \chi^2$ |
|---|-----|-----------------|-----------------|-----------|-------------|
| MO | $e_{e\mu}$ | 0.19 | 1.50 | 1.46 | 4.44 |
| NO | $e_{e\tau}$ | 0.28 | 1.60 | 1.46 | 3.65 |
|   | $\mu\tau$ | 0.35 | 0.60 | 1.83 | 0.90 |
| IO | $e_{e\mu}$ | 0.04 | 1.50 | 1.52 | 0.23 |
|   | $e_{e\tau}$ | 0.15 | 1.46 | 1.59 | 0.69 |
|   | $\mu\tau$ | 0.17 | 0.14 | 1.51 | 1.03 |

$\Delta \chi^2 = \chi^2_{\text{SM}} - \chi^2_{\text{NSI}}$

For the SM: $\chi^2_{\text{NO}} - \chi^2_{\text{IO}} = 2.3$
Other CP violating NSI constraints

NSI effects grow with energy, density, and distance

Best probes:

- $\epsilon_{\mu\tau}$: atmospheric
- $\epsilon_{e\mu}, \epsilon_{e\tau}$: LBL appearance, atmospheric

- IceCube
  - Constraint is at LBL best fit with 3 yrs
  - 10 yrs of data in the bank
  - Prefers non-zero $|\epsilon_{e\mu}|$ at $\sim 1\sigma$

- Super-K
  - Only consider real NSI
  - Comparable sensitivity as IceCube

- COHERENT
  - Only applies to NSI models with $M_{Z'} \gtrsim 10$ MeV
  - NSI $u, d, e$ configuration matters
  - Comparable constraints

T. Ehrhardt, IceCube PPNT (2019)

Super-K 1109.1889

COHERENT 1708.01294
PBD, Y. Farzan, I. Shoemaker 1804.03660
PBD, J. Gehrlein 2008.06062

Peter B. Denton (BNL)
Key Takeaways

- NOvA and T2K tension can be mitigated by NO $\rightarrow$ IO
- Tension can be fully resolved by NSI
- Easy to approximate magnitude and phase of NSI
- NSI introduces more CP violation
- Consistent with, and soon tested by, other experiments
Thanks!
Backups
References

SK hep-ex/9807003
M. Maltoni, et al. hep-ph/0207227
SK hep-ex/0501064
SK hep-ex/0604011
M. Gonzalez-Garcia, M. Maltoni, J. Salvado 1001.4524
T2K 1106.2822
D. Forero, M. Tortola, J. Valle 1205.4018
D. Forero, M. Tortola, J. Valle 1405.7540
P. de Salas, et al. 1708.01186
F. Capozzi et al. 2003.08511
CP Violation in the SM

1. Weak interaction: CP violated
   J. Cronin, V. Fitch, et al. PRL 13, 138 (1964)

2. Strong interaction: no observed EDM \( \Rightarrow \) CP (nearly) conserved
   J. Pendlebury, et al. 1509.04411

3. Quark mass matrix: non-zero but small CP violation
   \( |J_{\text{CKM}}|/J_{\text{max}} = 3 \times 10^{-4} \)
   CKMfitter 1501.05013

4. Lepton mass matrix: ? \( |J_{\text{PMNS}}|/J_{\text{max}} < 0.34 \)
   PBD, J. Gehrlein, R. Pestes 2008.01110

\[ J_{\text{max}} = \frac{1}{6\sqrt{3}} \approx 0.096 \]
Mass ordering?

Measuring the mass ordering is important in of itself

Phenomenological implications:

- Affects cosmology
- Affects $0\nu\beta\beta$
- Affects end point measurements
- Affects $C\nu B$
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The NOvA+T2K issue is *slightly* resolved by swapping the mass ordering

1. NOvA and T2K both prefer NO over IO
2. NOvA+T2K prefers IO over NO
3. SK still prefers NO over IO
4. NOvA+T2K+SK still prefers NO over IO
5. Daya Bay & RENO provide some information

K. Kelly, et al. 2007.08526
I. Esteban, et al. 2007.14792
PBD, J. Gehrlein, R. Pestes 2008.01110
Effects of different parameters

Sign of $\delta$ is such that:

1. $\delta = \frac{3\pi}{2}$

2. Electron neutrino appearance at first maximum results in a “large” probability.

Flip an odd number of these and the probability becomes “small”
Flip an even number and probability remains “large”
NSI parameters

Many parameters:

- Neutrino flavor: 3 diagonal + $3 \times 2$ flavor changing
- Matter fermion: $u, d, e$: 3
- $V$ vs. $A$ (or $L$ vs. $R$): 2

Generally leads to $\nu\nu$ interactions in SNe and early universe: $\times 2 \rightarrow 270$

- For oscillations $u, d, e$ doesn’t matter (much)
- Focus on $V$ for propagation effects
- Since we want CP violation, focus on flavor changing

6 parameters:

| $|\epsilon_{e\mu}| e^{i\phi_{e\mu}}$ | $|\epsilon_{e\tau}| e^{i\phi_{e\tau}}$ | $|\epsilon_{\mu\tau}| e^{i\phi_{\mu\tau}}$ |

Take one of these three at a time
Relate NSI to vacuum parameters

There is a mapping between vacuum parameters with and without NSI that depends on $\rho$, $E$:

$$UM^2U^\dagger + A + N = \tilde{U}\tilde{M}^2\tilde{U}^\dagger + A$$

<table>
<thead>
<tr>
<th>Vacuum</th>
<th>SM</th>
<th>NSI</th>
<th>apparent SM</th>
</tr>
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<tbody>
<tr>
<td>matter</td>
<td>matter</td>
<td>vacuum</td>
<td>matter</td>
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</tbody>
</table>

Works for off-axis experiments
Estimate size of effect

Ansatz:
- The data is well described by NSI
- NSI mainly modifies $\delta$:

\[
P(\epsilon, \delta_{\text{true}}) \approx P(\epsilon = 0, \delta_{\text{meas}})
\]
\[
\bar{P}(\epsilon, \delta_{\text{true}}) \approx \bar{P}(\epsilon = 0, \delta_{\text{meas}})
\]

Leverage approximate expressions for NSI in LBL

T. Kikuchi, H. Minakata, S. Uchinami 0809.3312
Estimate size of effect: measured phases

$$\sin \delta_{\text{true}} \approx \frac{\sin \delta_{\text{NOvA}} a_{T2K} - \sin \delta_{T2K} a_{\text{NOvA}}}{a_{T2K} - a_{\text{NOvA}}}$$

Since $\sin \delta_{T2K} \sim -1$ this suggests $\sin \delta_{\text{true}} < -1$

Alleviated by:

- Statistical fluctuations
- Relaxing the ansatz that only $\delta$ matters
Other experiments

Use other vacuum experiments to constrain other parameters independent of NSI:

- Daya Bay: Constrains $\theta_{13}$ and $\Delta m_{32}^2$ for each atmospheric mass ordering
  
  Daya Bay 1809.02261

- KamLAND: Constrains $\theta_{12}$ and $|\Delta m_{21}^2|$  
  
  KamLAND 1303.4667

SNO tells us $\Delta m_{21}^2 > 0$

  or $\theta_{12} < 45^\circ$ depending on definition, see PBD 2003.04319

This depends on NSI but LBL parameters don’t cancel
Can see that the combination doesn’t like the NO while it does like the IO
IO preferred over NO at $\Delta \chi^2 = 2.3$
NSI parameters: IO

\begin{align*}
\phi_{e\mu} & \quad 0 \quad \frac{\pi}{2} \quad \pi \quad \frac{3\pi}{2} \quad 2\pi \\
\phi_{e\tau} & \quad 0 \quad \frac{\pi}{2} \quad \pi \quad \frac{3\pi}{2} \quad 2\pi
\end{align*}
NSI parameters: $\epsilon_{\mu\tau}$