

Phenomenological assessment of proton mechanical properties

Hervé Dutrieux

herve.dutrieux@cea.fr - PhD student - supervisor: H. Moutarde
Collaboration with C. Lorcé, H. Moutarde, P. Sznajder, A. Trawinski, J. Wagner

Quarkonia As Tools, March 23rd, 2021



Overview

1. Physical picture of the energy momentum tensor
2. Relation to generalised parton distributions
3. Extraction from a global DVCS analysis
4. Results
5. Conclusion



Physical picture of the energy momentum tensor

- The proton matrix element of the **energy-momentum tensor (EMT)** can be parametrised in terms of five **gravitational form factors (GFFs)** - for parton of type a

Gravitational form factors

$$\begin{aligned} \langle p', s' | T_a^{\mu\nu} | p, s \rangle = \bar{u}(p', s') \Bigg\{ & \frac{P^\mu P^\nu}{M} A_a(t, \mu^2) + \frac{\Delta^\mu \Delta^\nu - \eta^{\mu\nu} \Delta^2}{M} C_a(t, \mu^2) + M \eta^{\mu\nu} \bar{C}_a(t, \mu^2) \\ & + \frac{P^{\{\mu} i \sigma^{\nu\} \rho} \Delta_\rho}{4M} [A_a(t, \mu^2) + B_a(t, \mu^2)] + \frac{P^{[\mu} i \sigma^{\nu] \rho} \Delta_\rho}{4M} D_a(t, \mu^2) \Bigg\} u(p, s) \end{aligned} \quad (1)$$

where

$$\Delta = p' - p, \quad t = \Delta^2, \quad P = \frac{p + p'}{2}$$



Physical picture of the energy momentum tensor

$$T^{\mu\nu} = \begin{bmatrix} \text{Energy density} & \text{Momentum density} & & \\ T^{00} & T^{01} & T^{02} & T^{03} \\ \text{Energy flux} & \text{Momentum flux} & \text{Shear stress} & \\ T^{10} & T^{11} & T^{12} & T^{13} \\ T^{20} & T^{21} & T^{22} & T^{23} \\ T^{30} & T^{31} & T^{32} & T^{33} \end{bmatrix}$$

from C. Lorcé

In the Breit frame ($\vec{P} = 0$, $t = -\vec{\Delta}^2$), radial distributions of energy and momentum in the proton are described by Fourier transforms of the GFFs w.r.t. variable $\vec{\Delta}$.

- Simplest such distribution: radial pressure anisotropy profile

$$s_a(r, \mu^2) = -\frac{4M}{r^2} \int \frac{d^3\vec{\Delta}}{(2\pi)^3} e^{-i\vec{\Delta}\cdot\vec{r}} \frac{t^{-1/2}}{M^2} \frac{2}{t^2} \left[t^{5/2} C_a(t, \mu^2) \right] \quad (3)$$

- Energy radius of the proton

$$\langle r^2 \rangle_E = 6 \sum_{\text{parton type } a} \left[\frac{dA_a}{dt}(0, \mu^2) - \frac{C_a(0, \mu^2)}{M^2} \right]$$



Relation to generalised parton distributions

- Remarkably, GFFs can be accessed through **generalised parton distributions (GPDs)** – non-perturbative objects encompassing usual **parton distribution functions (PDFs)** and **elastic form factors (EFFs)** → **multi-dimensional information on hadron structure**
- There are four leading-twist chiral-even GPDs for each parton type inside the proton, noted H^a , E^a , \tilde{H}^a and \tilde{E}^a , which depend on three variables (x, ξ, t) and a scale μ^2
- Four GFFs can be extracted from those GPDs through, e.g. for quarks

$$\int_{-1}^1 dx x H^q(x, \xi, t, \mu^2) = A_q(t, \mu^2) + 4\xi^2 C_q(t, \mu^2) \quad (5)$$

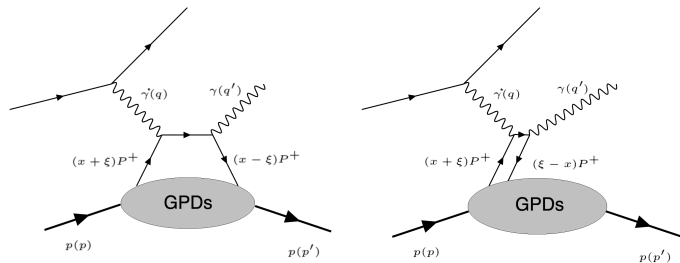
$$\int_{-1}^1 dx x E^q(x, \xi, t, \mu^2) = B_q(t, \mu^2) - 4\xi^2 C_q(t, \mu^2) \quad (6)$$

$$\sum_q \int_{-1}^1 dx \tilde{H}^q(x, \xi, t, \mu^2) = - \sum_q D_q(t, \mu^2)$$



Relation to generalised parton distributions

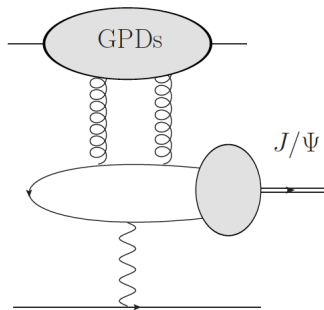
- The last GFF $\bar{C}_a(t, \mu^2)$ can be linked to higher-twist GPDs, and can be studied by **heavy quarkonium production at threshold** [e.g. Joosten, Meiziani, 2018]
- Leading-twist GPDs are conveniently accessed through several exclusive processes, like **deeply virtual Compton scattering (DVCS)**: production of a real photon in the scattering of a deeply virtual photon on a hadron target \rightarrow direct sensitivity to quark GPDs at LO



Tree-level depiction of DVCS for $x > |\xi|$ (left) and $\xi > |x|$ (right)

Relation to generalised parton distributions

- Direct sensitivity to gluon GPDs at LO can be provided by **quarkonium production in ultra-peripheral collisions (UPC)**, especially interesting in the very-low ξ (low x_B region) at the LHC [Chapon *et. al.*, 2020] \rightarrow unique possibility to access directly E^g



J/ψ photoproduction in UPC (from C. Mezrag)

- Analysis of different processes (DVCS, quarkonium production, TCS, DVMP, ...) and different observables sensitive to different GPDs is the way to a precise extraction of proton mechanical properties.

Extraction from a global DVCS analysis

- DVCS observables can be parametrised in terms of **Compton form factors (CFFs)** \mathcal{F} , which write as convolutions of perturbative **coefficient functions** T_F^a and the **GPDs** F^a :

CFF convolution (leading twist)

$$\mathcal{F}(\xi, t, Q^2) = \sum_{\text{parton type } a} \int_{-1}^1 \frac{dx}{\xi} T_F^a \left(\frac{x}{\xi}, \frac{Q^2}{\mu^2}, \alpha_s(\mu^2) \right) F^a(x, \xi, t, \mu^2)^a \quad (8)$$

$^a F^g(x, \xi, t, \mu^2)/x$ for the usual definition of gluon GPD

- Extracting GPDs from the convolution of eq. (8) is a tedious issue, but we can access information via the **dispersion relations**

LO dispersion relations

$$C_H(t, Q^2) = \text{Re } \mathcal{H}(\xi, t, Q^2) - \frac{1}{\pi} \int_0^1 d\xi' \text{Im } \mathcal{H}(\xi', t, Q^2) \left(\frac{1}{\xi - \xi'} - \frac{1}{\xi + \xi'} \right) \quad (9)$$

Extraction from a global DVCS analysis

- At LO, the subtraction constant $\mathcal{C}_H(t, Q^2)$ can be linked to the so-called **D-term** by

$$\mathcal{C}_H(t, Q^2) = 2 \sum_q e_q^2 \int_{-1}^1 dz \frac{D_{\text{term}}^q(z, t, Q^2)}{1 - z} \quad (10)$$

and from

$$\int_{-1}^1 dx x H^q(x, \xi, t, \mu^2) = A_q(t, \mu^2) + 4\xi^2 C_q(t, \mu^2) \quad (11)$$

we find that the GFF $C_q(t, \mu^2)$ is linked to this D-term by

$$\int_{-1}^1 dz z D_{\text{term}}^q(z, t, \mu^2) = 4 C_q(t, \mu^2) \quad (12)$$

GFF C_a extraction

Experiment \rightarrow CFF $\mathcal{H}/\mathcal{E} \rightarrow$ subtraction constant \rightarrow integral over D-term \rightarrow GFF C_a

Extraction from a global DVCS analysis

- How to get from

$$\int_{-1}^1 dz \frac{D_{\text{term}}^q(z, t, \mu^2)}{1-z} \quad \text{to} \quad \int_{-1}^1 dz z D_{\text{term}}^q(z, t, \mu^2) ? \quad (13)$$

Through the known evolution of the D-term with scale! Let's expand the D-term in the Gegenbauer polynomial basis

$$D_{\text{term}}^q(z, t, \mu^2) = (1-z^2) \sum_{\text{odd } n} d_n^q(t, \mu^2) C_n^{3/2}(z) \quad (14)$$

Then

GFF C_a extraction

$$\int_{-1}^1 dz \frac{D_{\text{term}}^q(z, t, \mu^2)}{1-z} = 2 \sum_{\text{odd } n} d_n^q(t, \mu^2) \quad \text{and} \quad \int_{-1}^1 dz z D_{\text{term}}^q(z, t, \mu^2) = \frac{4}{5} d_1(t, \mu^2) \quad (15)$$

Extraction from a global DVCS analysis

GFF C_a extraction

$$\int_{-1}^1 dz \frac{D_{\text{term}}^q(z, t, \mu^2)}{1-z} = 2 \sum_{\text{odd } n} d_n^q(t, \mu^2) \quad \text{and} \quad \int_{-1}^1 dz z D_{\text{term}}^q(z, t, \mu^2) = \frac{4}{5} d_1(t, \mu^2) \quad (16)$$

- Each term $d_n^q(t, \mu^2)$ evolves with μ^2 in an independent way, which allows to separate the different terms of the sum on the left, if the subtraction constant is known precisely on a large enough lever-arm in Q^2 .
- In practice, we have to rely on various degrees of modelling to perform this separation.



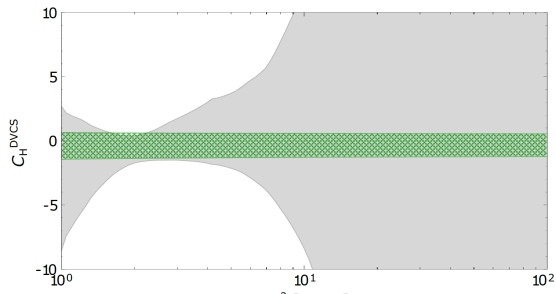
Results

1. **CFF extraction** from a global analysis of world DVCS data is performed in [Moutarde, Sznajder, Wagner, 2019] thanks to a **neural network (NN) parametrization** of CFFs. Replicas of the NN are freely accessible on PARTONS (<http://partons.cea.fr/>)



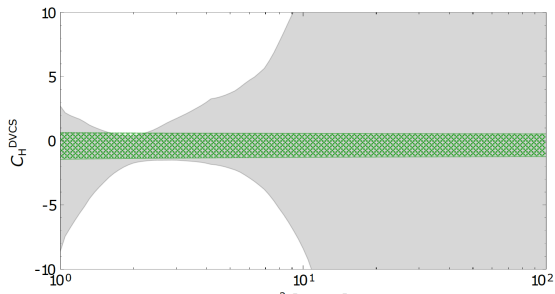
Results

1. **CFF extraction** from a global analysis of world DVCS data is performed in [Moutarde, Sznajder, Wagner, 2019] thanks to a **neural network (NN) parametrization** of CFFs. Replicas of the NN are freely accessible on PARTONS (<http://partons.cea.fr/>)
2. For each NN replica, a subtraction constant is computed (grey band).



Results

1. **CFF extraction** from a global analysis of world DVCS data is performed in [Moutarde, Sznajder, Wagner, 2019] thanks to a **neural network (NN) parametrization** of CFFs. Replicas of the NN are freely accessible on PARTONS (<http://partons.cea.fr/>)
2. For each NN replica, a subtraction constant is computed (grey band).
3. Among several simplifications, the most notable are 1) assuming all $d_n^q(t, \mu^2) = 0$ but $d_1^q(t, \mu^2)$, and 2) using a model of t -dependence of $d_1^q(t, \mu^2)$. A very detailed account of all assumptions is made in [Dutrieux *et. al.*, 2021]. Fitting the subtraction constant with these assumptions gives the green band.



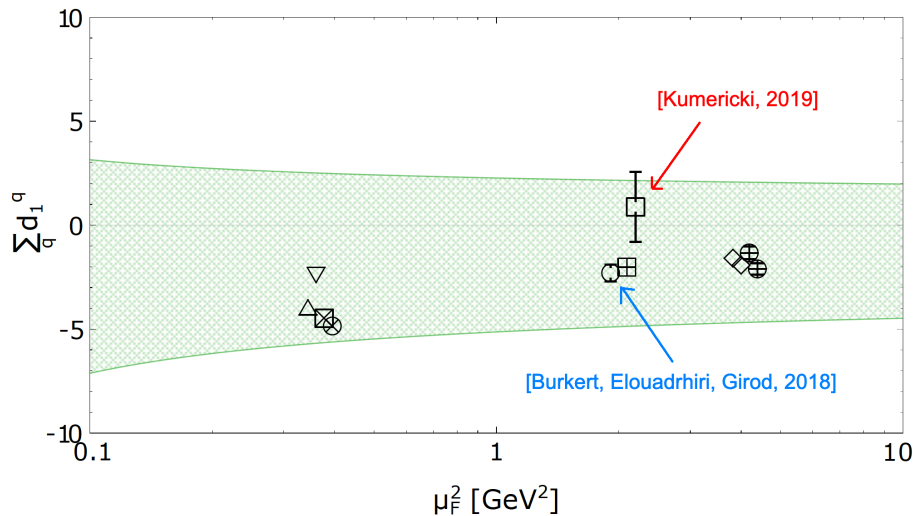
Results

1. **CFF extraction** from a global analysis of world DVCS data is performed in [Moutarde, Sznajder, Wagner, 2019] thanks to a **neural network (NN) parametrization** of CFFs. Replicas of the NN are freely accessible on PARTONS (<http://partons.cea.fr/>)
2. For each NN replica, a subtraction constant is computed (grey band).
3. Among several simplifications, the most notable are 1) assuming all $d_n^q(t, \mu^2) = 0$ but $d_1^q(t, \mu^2)$, and 2) using a model of t -dependence of $d_1^q(t, \mu^2)$. A very detailed account of all assumptions is made in [Dutrieux *et. al.*, 2021]. Fitting the subtraction constant with these assumptions gives the green band.
4. Since pressure profiles are obtained by Fourier transform w.r.t. variable $\vec{\Delta}$, and $t = -\vec{\Delta}^2$, assuming a given t -dependence essentially amounts to assuming the general shape of the obtained profile.



Results

Results obtained for $\sum_q d_1^q(t=0, \mu^2) = 5 \sum_q C_q(t=0, \mu^2)$



Relaxing assumptions

- If we relax our assumptions, the uncertainty on the extraction of d_1 increases further notably.
- Allowing a simultaneous fit of d_1^q and d_3^q shows a very large correlation of uncertainties $d_1^q \approx -d_3^q$, increasing the uncertainty on d_1 by a factor 20 at $\mu_F^2 = 2 \text{ GeV}^2$

$$d_1^{uds}(\mu_F^2) \quad -0.5 \pm 1.2 \quad \longrightarrow \quad \begin{array}{l} d_1^{uds}(\mu_F^2) \quad 11 \pm 25 \\ d_3^{uds}(\mu_F^2) \quad -11 \pm 26 \end{array}$$

- Indeed, if $d_1^q \approx -d_3^q$ and all other d_n^q are 0 over the range of experimental data, the subtraction constant is numerically very small, but the d_1 term is not.
- The gluon sector has been radiatively generated in this study from a low factorisation scale. When gluons are fitted independently, their uncertainty is 2 orders of magnitude larger than that of quarks.



Conclusion

- With current kinematic spread and precision of experimental data, extracted GFFs are compatible with 0 for flexible CFF parametrizations.
- Relaxing our further modelling assumptions results in a considerable increase of uncertainties. Observables directly sensitive to certain GPDs (especially gluons at LO) and a diversification of experimental processes will be very beneficial.
- An extension of the kinematic domain is required to properly extract d_1 from the other terms in the expansion. This requires future experiments at EIC or ElC. More precise data from JLab and CERN will also improve the picture.

