

# Latest ATLAS & CMS top cross-section measurements

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on behalf of the ATLAS & CMS Collaborations

SM@LHC 2021

[CMS Top Results](#)  
[ATLAS Top Results](#)

# Outline

- Introduction
- $t\bar{t}$  differential cross-section measurements
- $t\bar{t}$  inclusive cross-section measurements
- $tW$  cross-section measurements
- Summary

# Why the top quark?

- In the SM it's the only quark:

1. With a natural mass:

$$m_{top} = y_t v / \sqrt{2} \approx 173 \text{ GeV} \Rightarrow y_t \approx 1$$

- Top quark interacts strongly with the Higgs sector - special role in EWSB?

2. That decays before hadronizing:

$$\tau_{had} \approx 2 \times 10^{-24} s$$

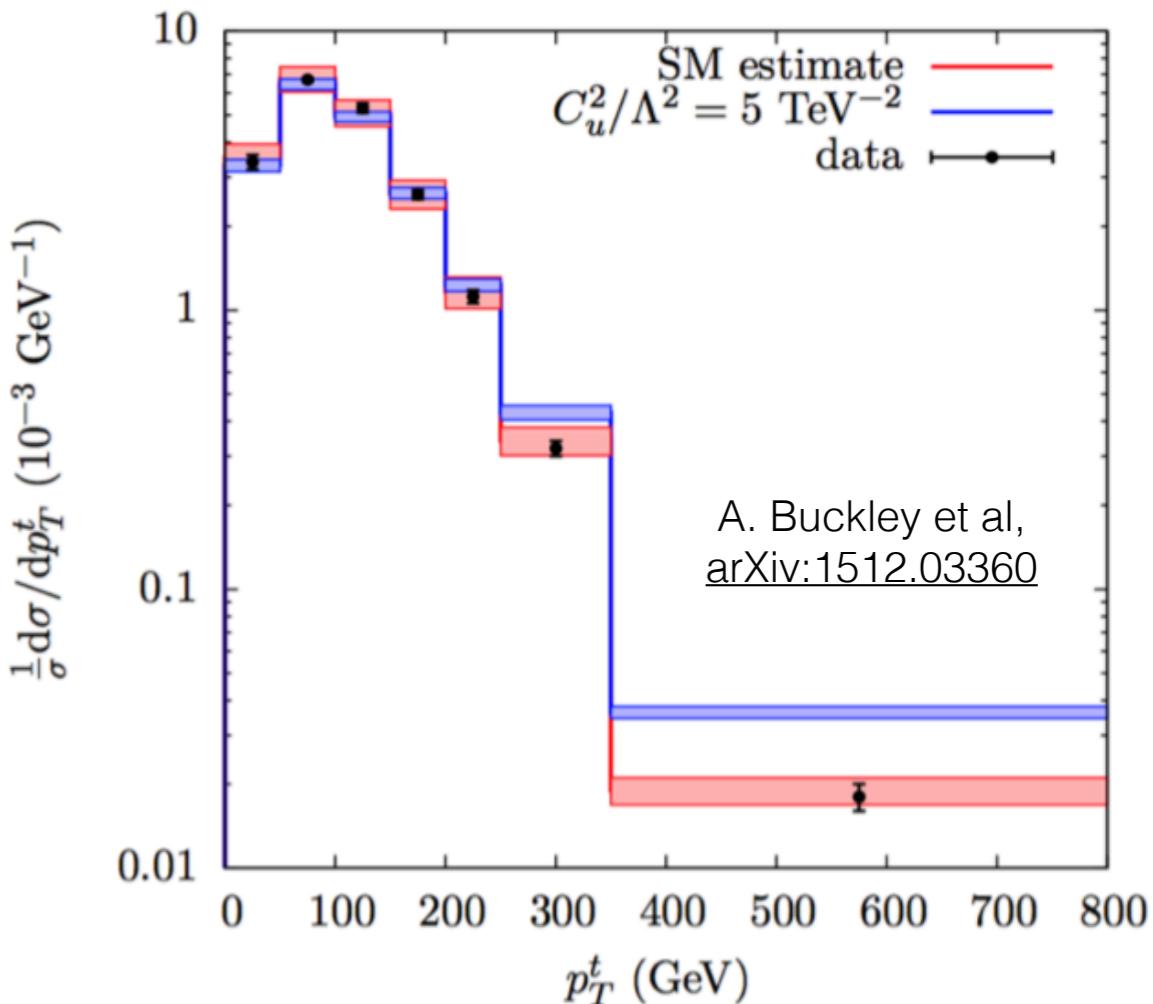
$$\tau_{top} \approx 5 \times 10^{-25} s$$

- Copious production rate at the LHC allows for precise tests of QCD involving multiple scales ( $pT(\text{top})$ ,  $m(\text{top})$ ,  $m(\text{b})$ ).



# Why cross-section measurements?

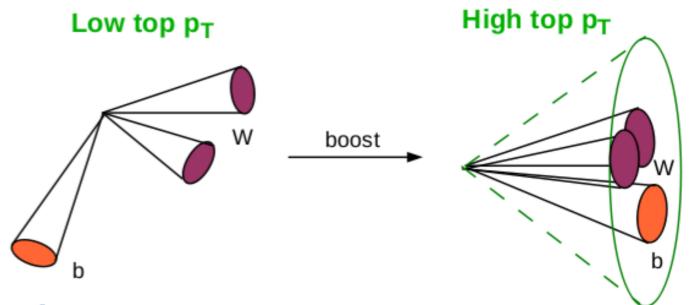
- $t\bar{t}$  production:
  - Test state-of-the-art QCD predictions (cf Marius' talk).
  - Extraction of fundamental parameters ( $m_t$ ,  $y_t$ ,  $\alpha_s$ ).
  - Potential for new physics contributions.
- Single-top production:
  - Probe Wtb vertex for new physics.
  - Wt final-state can test modelling of off-shell tops / interference with  $t\bar{t}$ .



# $t\bar{t}$ differential cross-section measurements

# CMS l+jets differential cross-section

- Analysis uses dedicated selections and reconstruction for resolved and boosted tops.
- Resolved selection:
  - 1 lepton,  $\geq 4$  0.4 jets, 2 of which are b-tagged.
  - Events are split according to quality of second b-tag.



CMS-PAS-TOP-20-001

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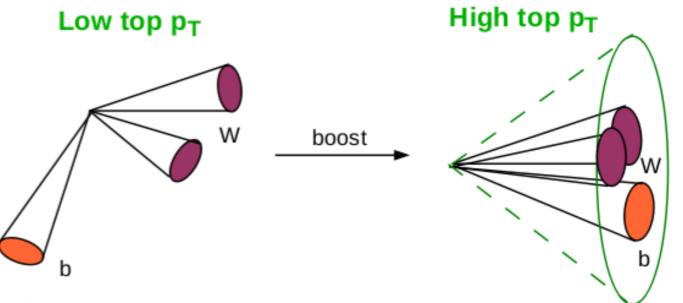
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- Resolved selection:

- 1 lepton,  $\geq 4$  0.4 jets, 2 of which are b-tagged.
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- Boosted selections:

- Anti-kT 0.8 jets with a 21 variable NN is used to identify boosted hadronic top decays ( $t_h^b$ ).
- Boosted leptonic top decays ( $t_l^b$ ) are identified by using leptons (no isolation cuts) close to b-jets with a 5 variable NN.
- Selections require a boosted hadronic top, leptonic side can be boosted or reconstructed with a standard lepton+b-jet requirement.



CMS-PAS-TOP-20-001

# Cross-section extraction

- The cross-section is extracted from a combined fit to the different channels, 3 selections x 2 lepton flavours x 3 data taking years.

$$\mathbf{s} = R\boldsymbol{\sigma} + \mathbf{b}$$

$$\chi^2(\boldsymbol{\sigma}, \boldsymbol{\nu}) = \sum_y \sum_c \sum_\ell (\mathbf{m}_{ycl} - \mathbf{s}_{ycl}(\boldsymbol{\sigma}, \boldsymbol{\nu}))^T C_{ycl}^{-1} (\mathbf{m}_{ycl} - \mathbf{s}_{ycl}(\boldsymbol{\sigma}, \boldsymbol{\nu})) + \boldsymbol{\nu}^T Q^{-1} \boldsymbol{\nu}$$

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Response matrix

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Response matrix    Cross-section to extract  
    background

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background ←

Statistical uncertainty of data ←

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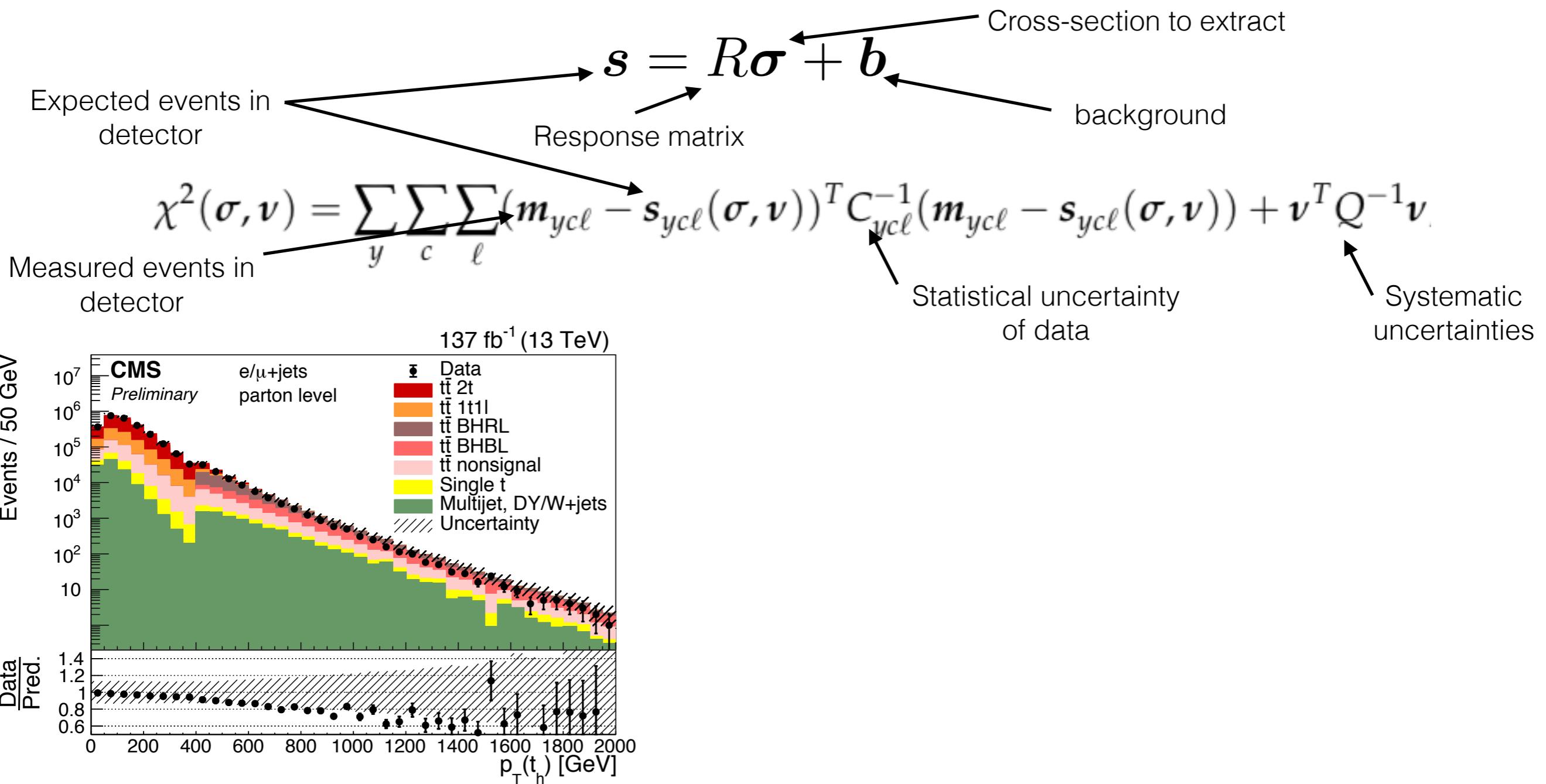
Measured events in detector →  $\chi^2(\sigma, \nu) = \sum_y \sum_c \sum_\ell (\mathbf{m}_{ycl} - s_{ycl}(\sigma, \nu))^T C_{ycl}^{-1} (\mathbf{m}_{ycl} - s_{ycl}(\sigma, \nu)) + \nu^T Q^{-1} \nu$

background ← Statistical uncertainty of data ← Systematic uncertainties

The diagram illustrates the process of cross-section extraction. It starts with the equation  $s = R\sigma + b$ , where  $s$  represents the total expected events in the detector,  $R$  is the response matrix,  $\sigma$  is the cross-section to extract, and  $b$  is the background. Arrows point from "Expected events in detector" to the  $s$  term and from "Cross-section to extract" to the  $\sigma$  term. Below this, the  $\chi^2$  formula is shown:  $\chi^2(\sigma, \nu) = \sum_y \sum_c \sum_\ell (\mathbf{m}_{ycl} - s_{ycl}(\sigma, \nu))^T C_{ycl}^{-1} (\mathbf{m}_{ycl} - s_{ycl}(\sigma, \nu)) + \nu^T Q^{-1} \nu$ . An arrow points from "Measured events in detector" to the  $\mathbf{m}_{ycl}$  term. Another arrow points from "Response matrix" to the  $s_{ycl}(\sigma, \nu)$  term. Arrows also point from "Background" to the  $b$  term and from "Statistical uncertainty of data" to the  $C_{ycl}^{-1}$  term. Finally, an arrow points from "Systematic uncertainties" to the  $Q^{-1}$  term.

# Cross-section extraction

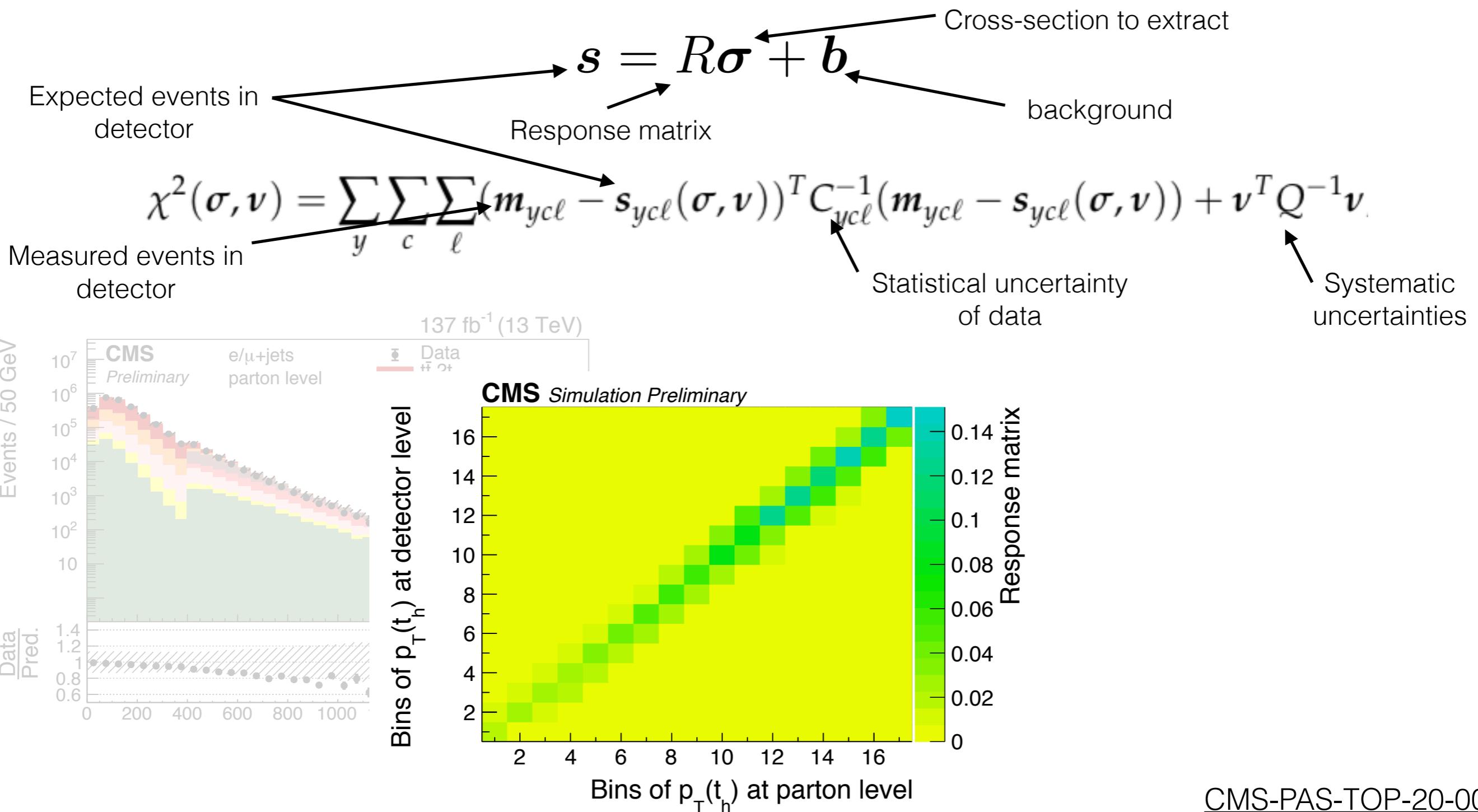
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Measured events in detector

Response matrix

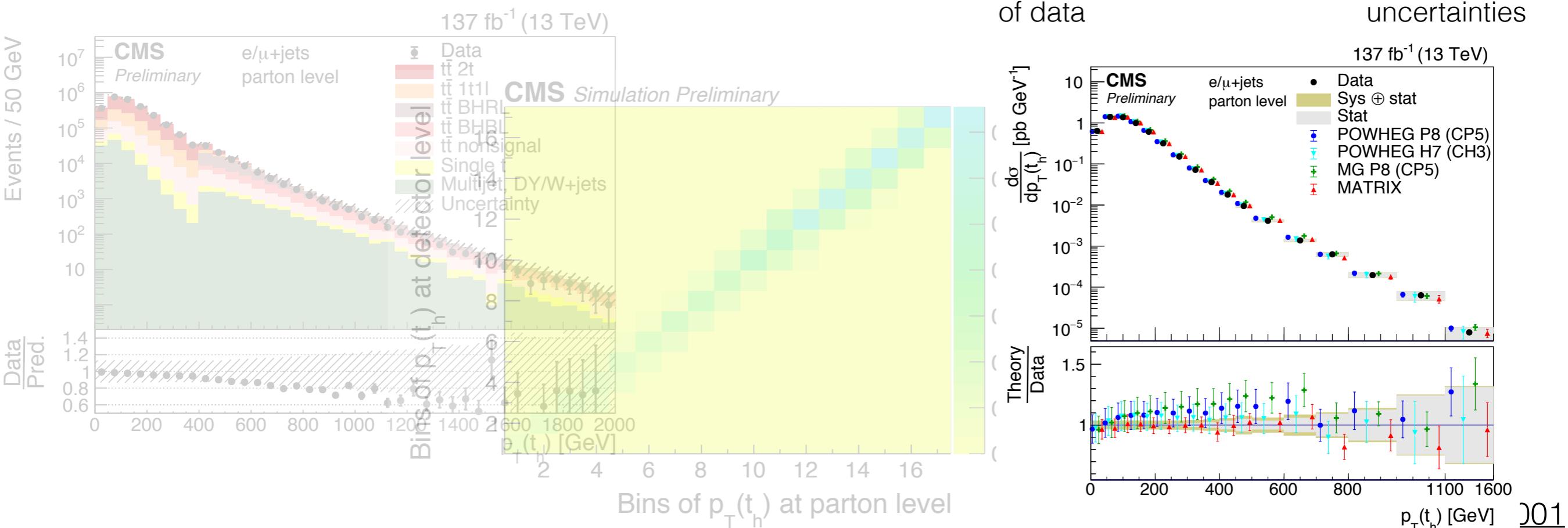
Cross-section to extract

background

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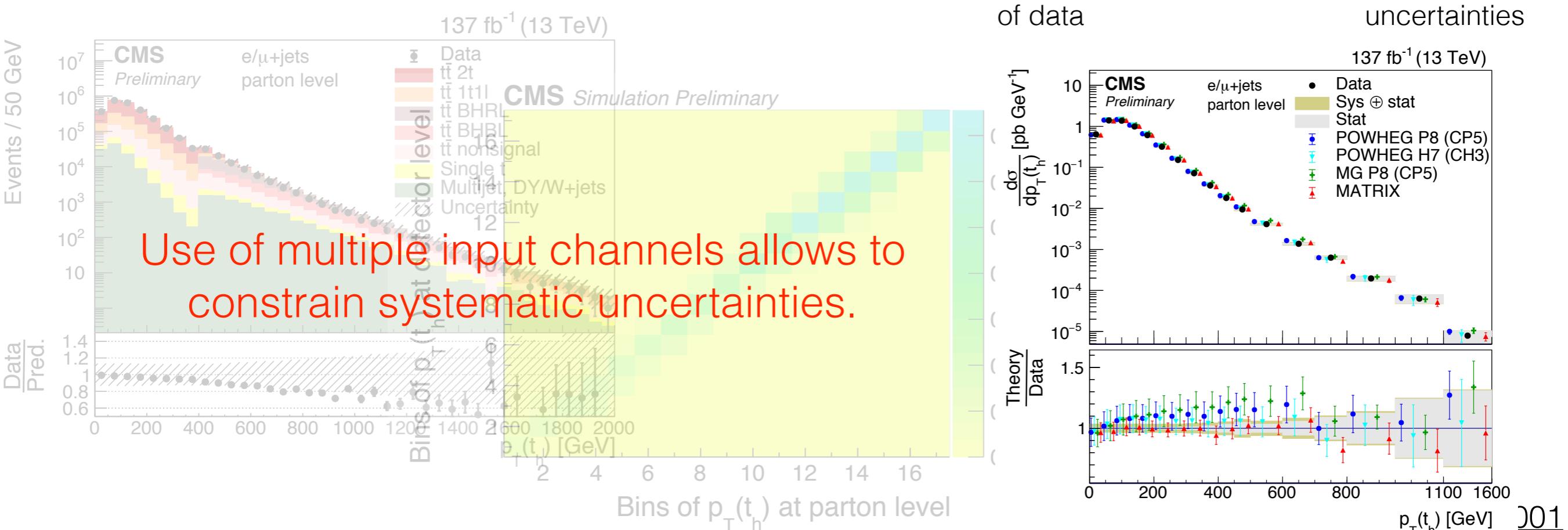
Cross-section to extract

background

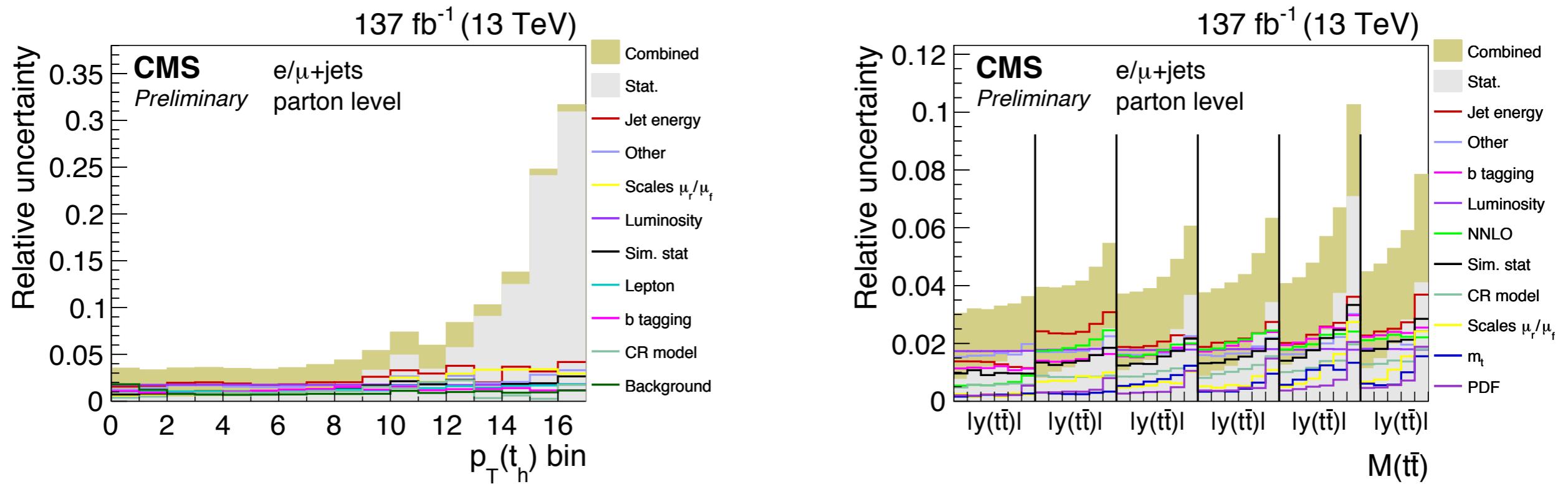
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Statistical uncertainty of data

Systematic uncertainties



# Uncertainties

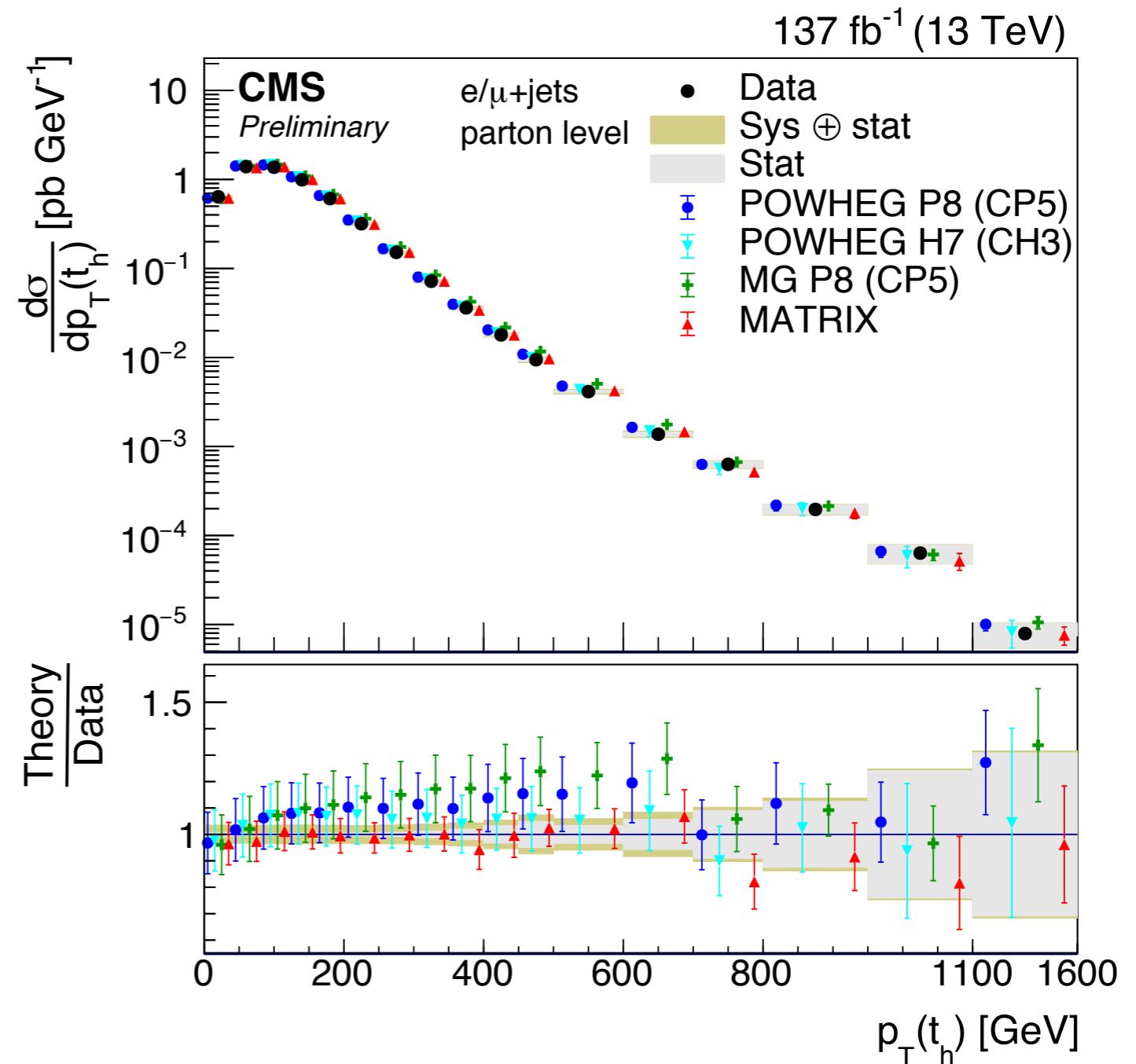


- Measurement has very good precision: < 5% in many bins.
- Largest systematics from jet energy scale & modelling of  $t\bar{t}$  production.
- Statistical uncertainty matters in the high  $p_T$  / mass tails.

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# Results

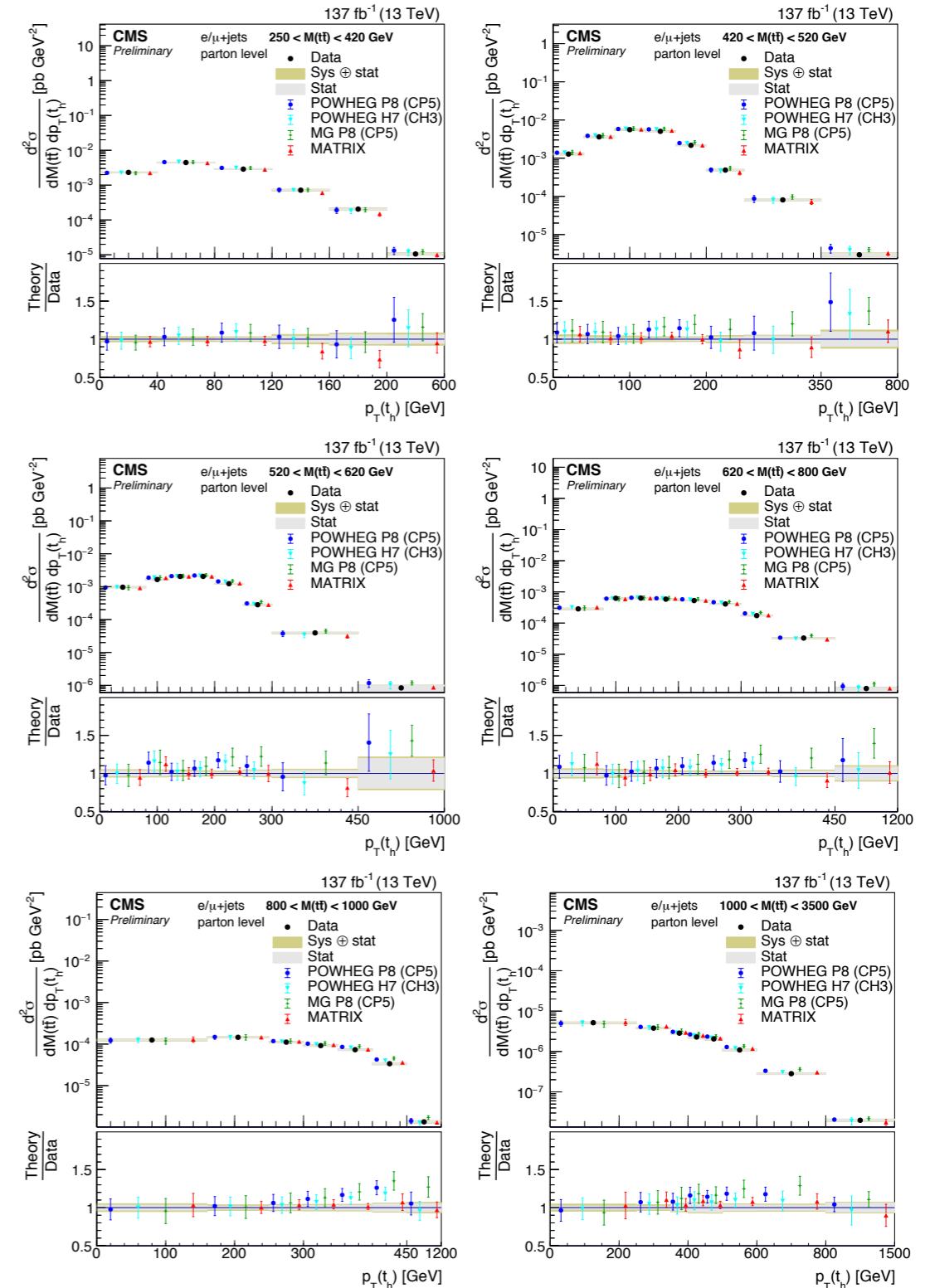
- NNLO improves agreement with top pT distribution:



Note all MC are normalised to  
NNLO+NNLL.  
Matrix is NNLO.

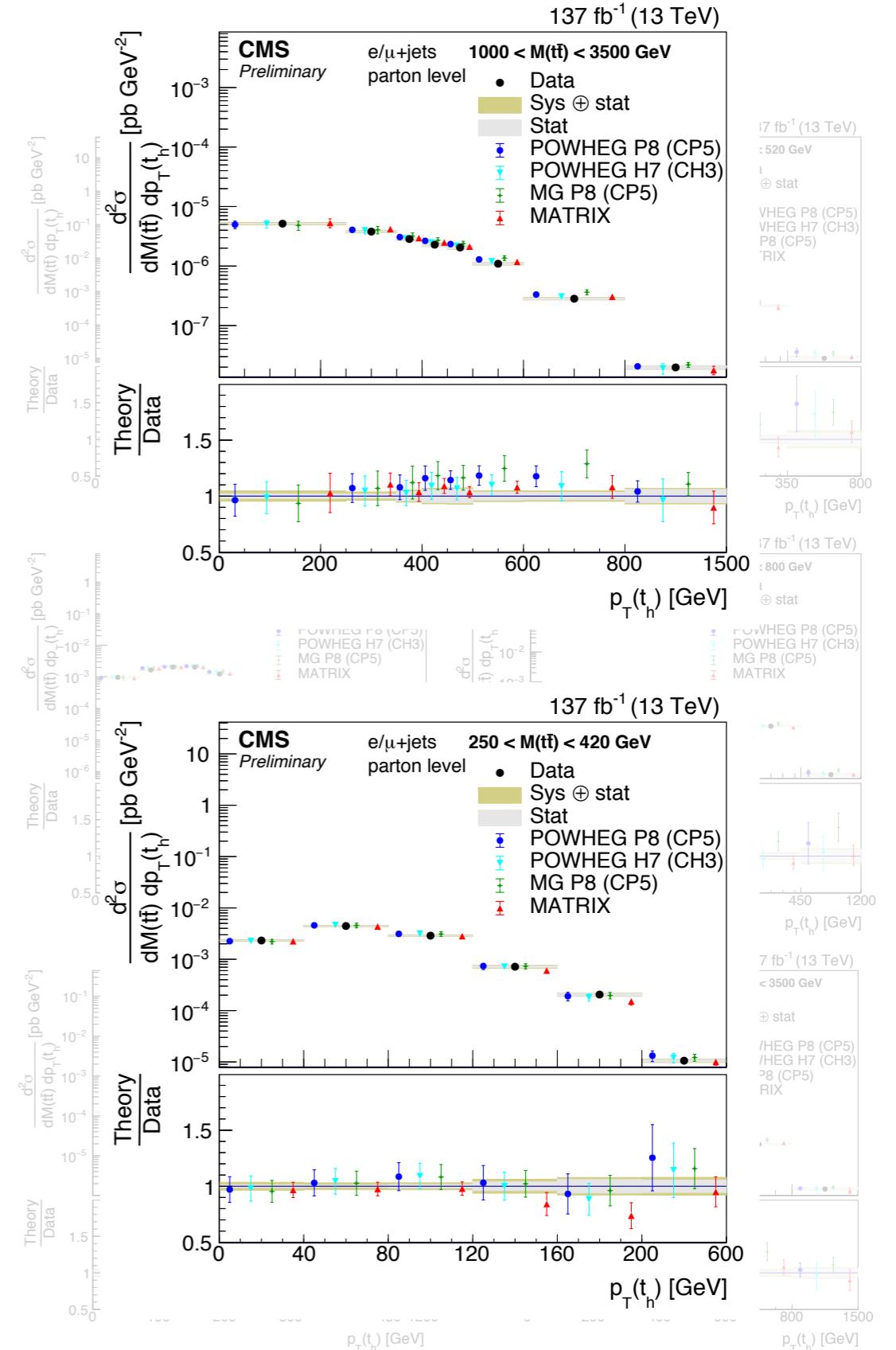
# Results

- Double differential, e.g.  $p_T(t)$  vs  $m(t\bar{t})$ :
- Many variables well modelled, but  $p_T(t)$  vs  $m(t\bar{t})$  shows poor agreement ( $\chi^2$  calculation).



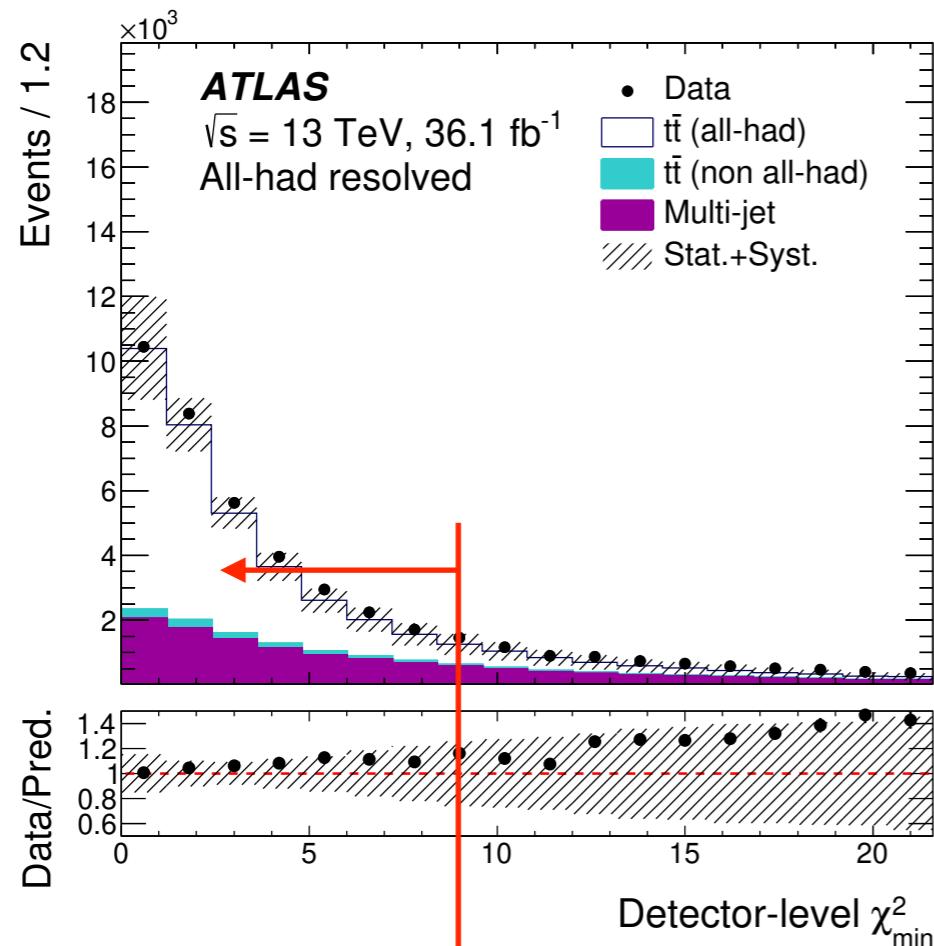
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# ATLAS all-hadronic differential cross-section

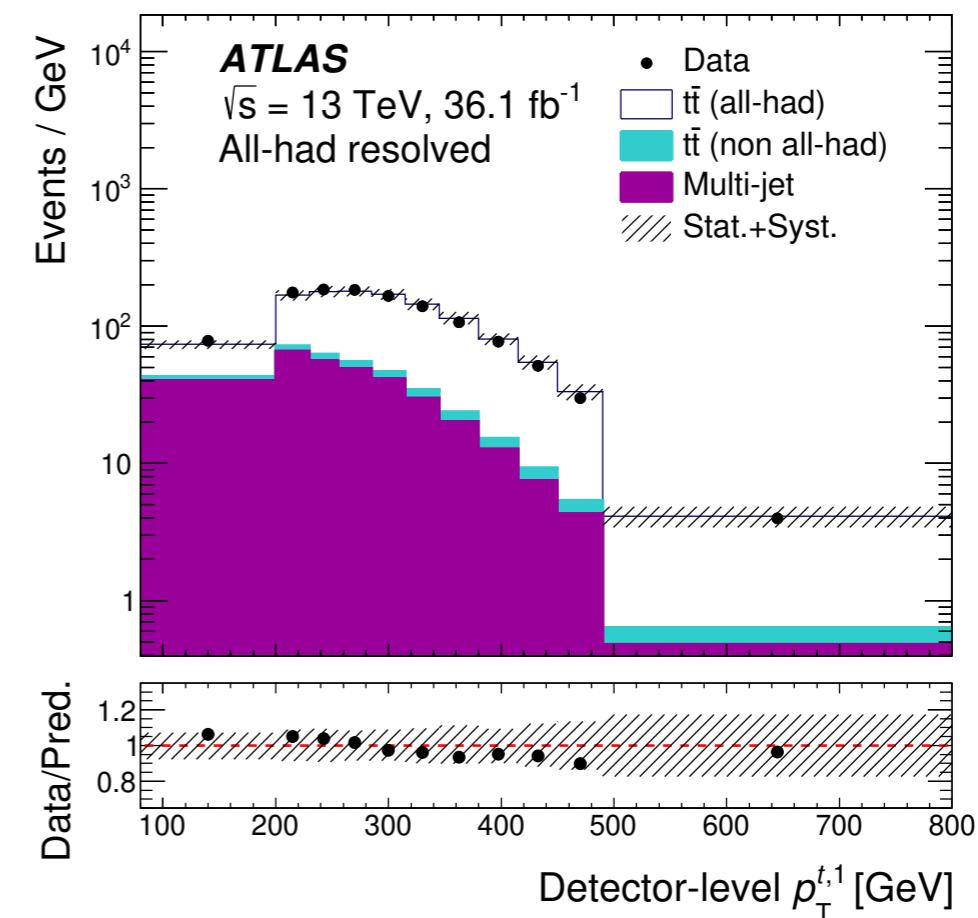
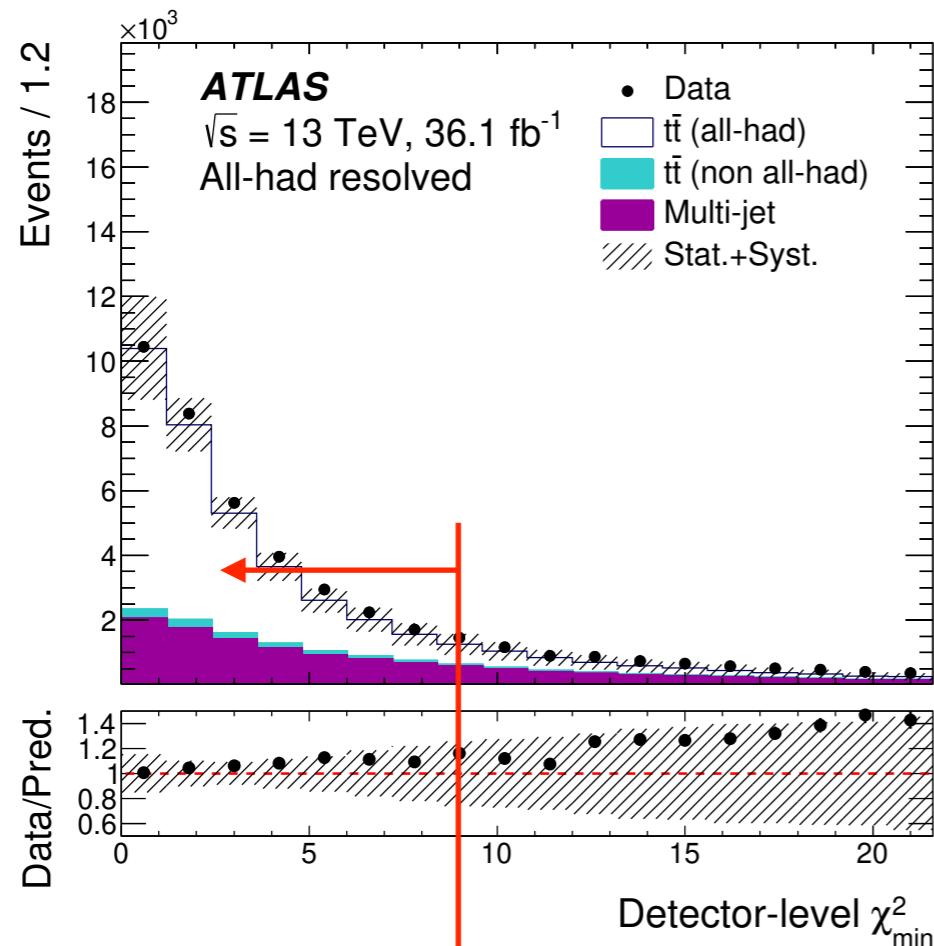
- Important to test all decay modes to differentiate modelling effects in hadronic top decays.
- Recent ATLAS measurement selects all-hadronic events with  $\geq 6$  jets ( $p_T > 55$  GeV), two of which are b-tagged.
- Selections on the top reconstruction suppress backgrounds:



[JHEP 01 \(2021\) 033](#)

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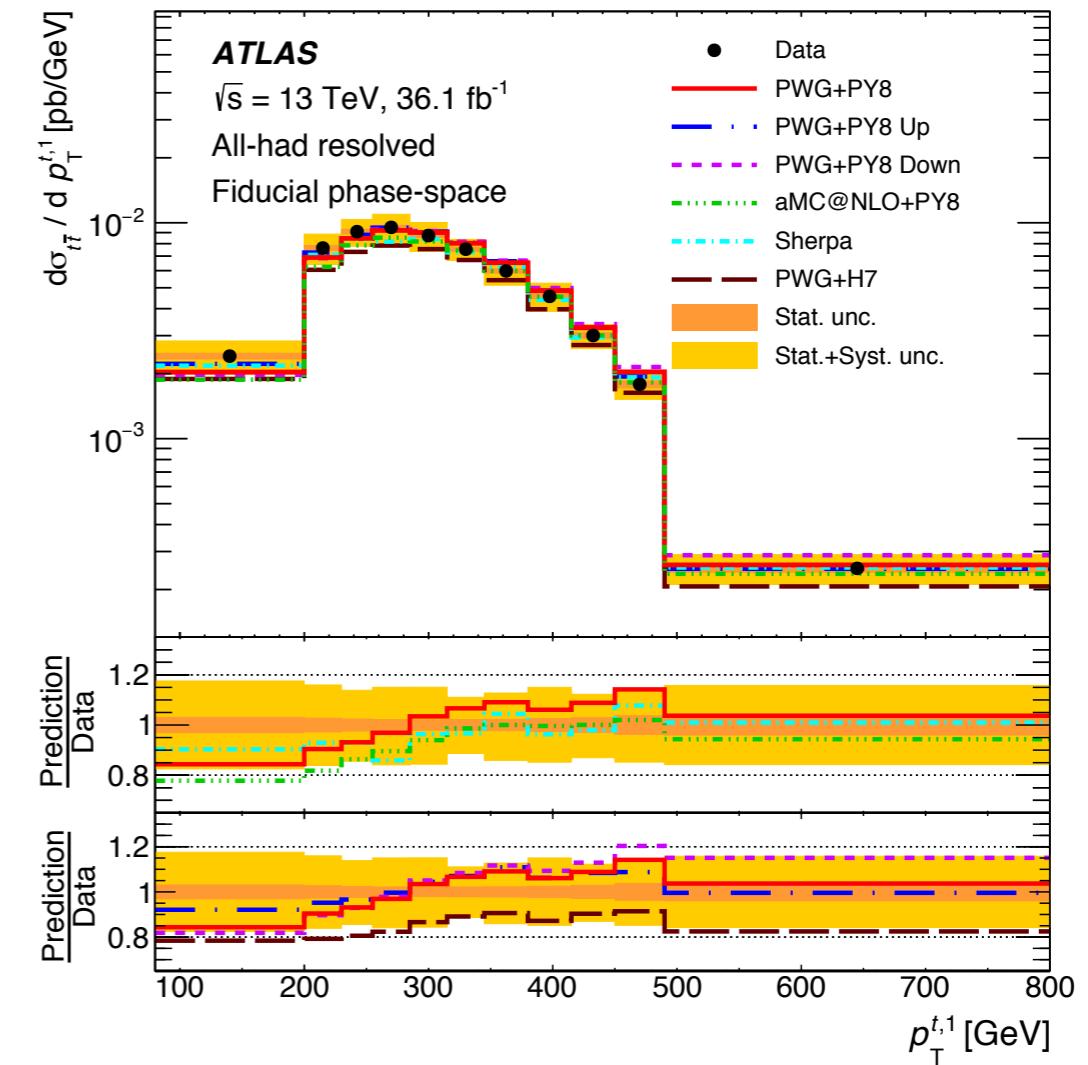
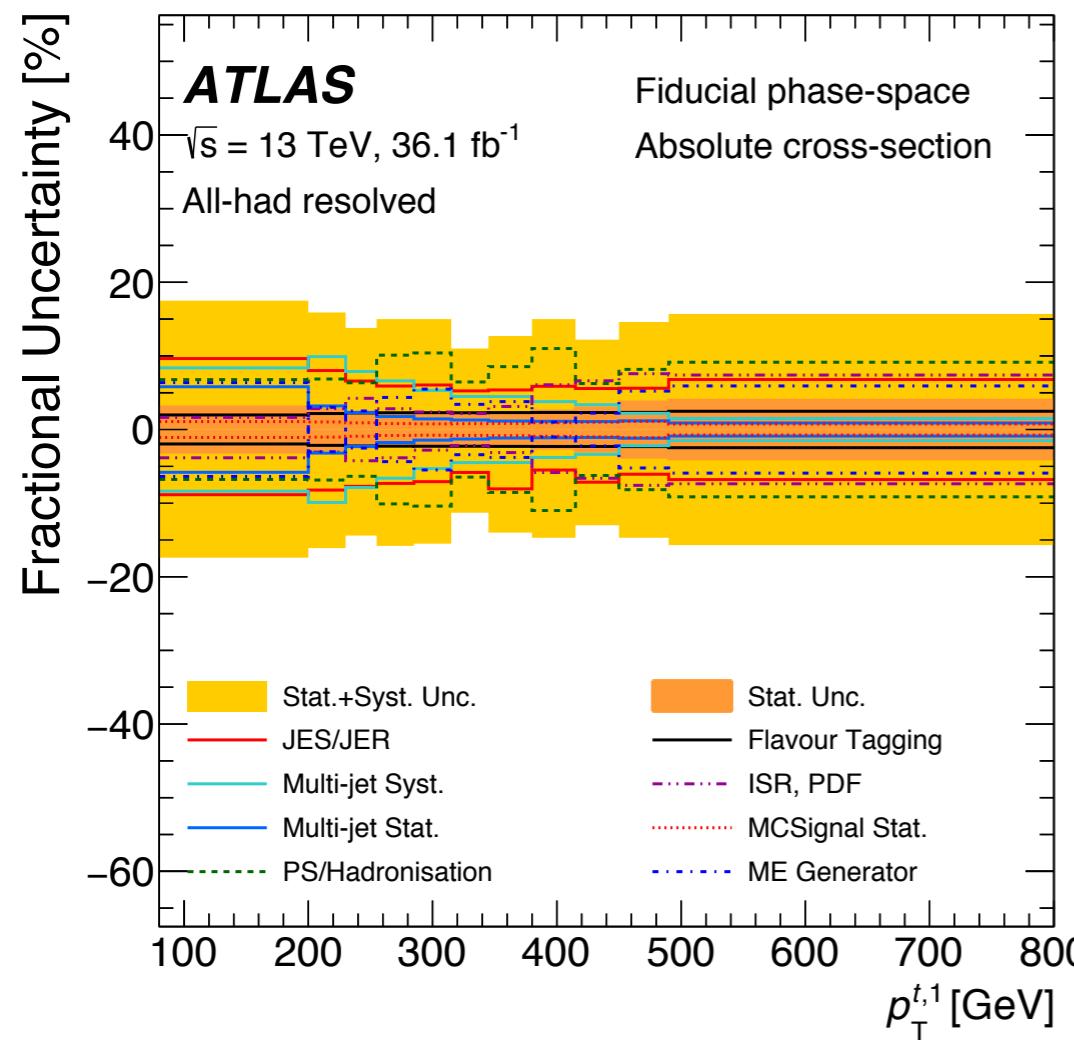
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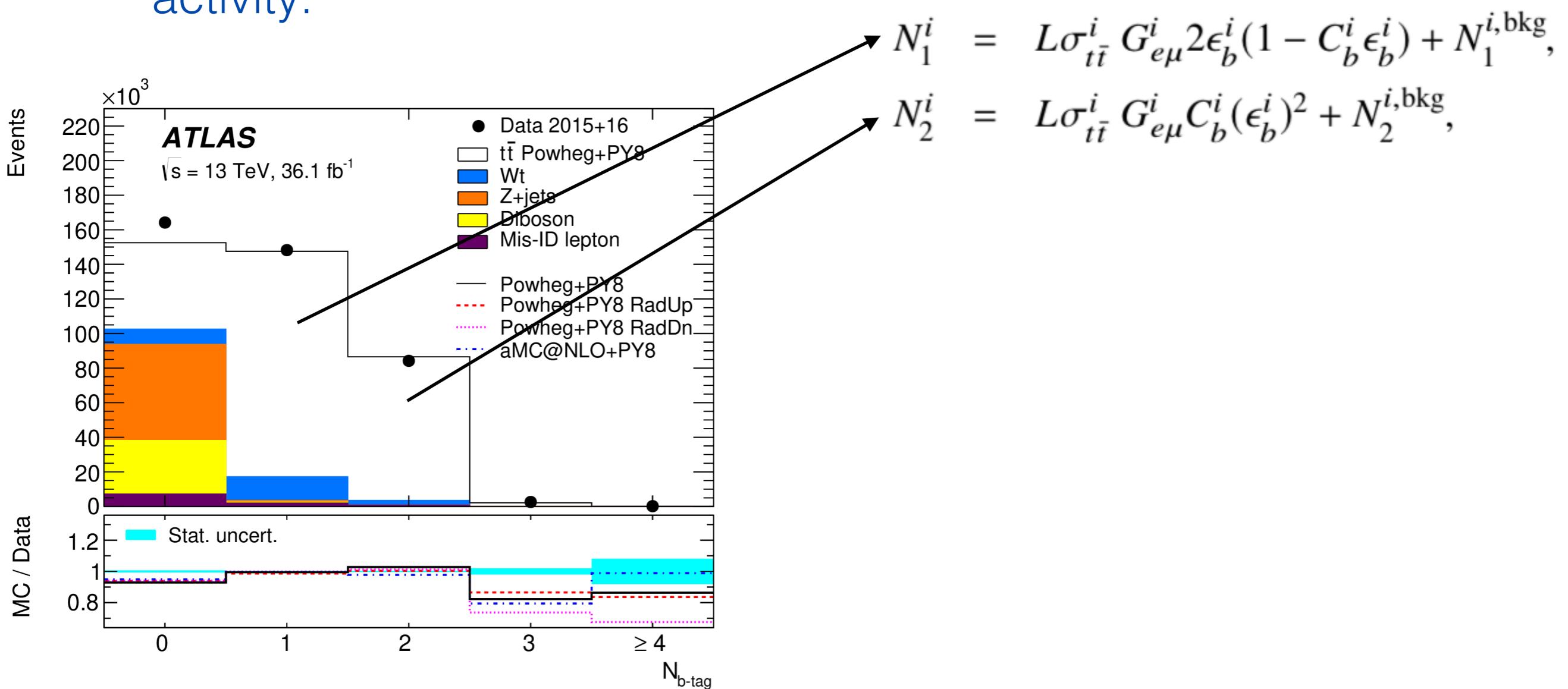
- Less precision than l+jets results, but same trend in top  $p_T$  is seen:



- The publication includes several variables sensitive to additional QCD radiation.

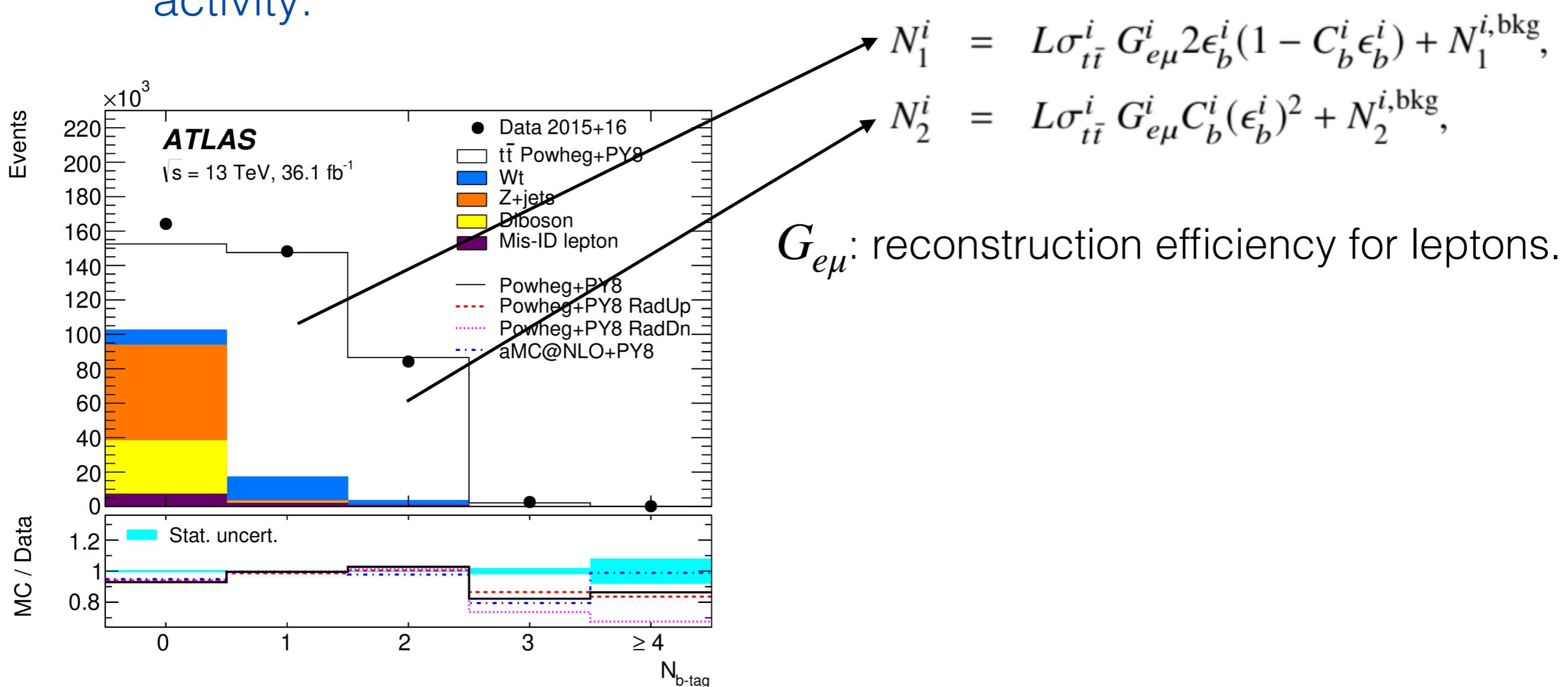
# ATLAS dilepton differential

- The number of events with 1 and 2 b-tagged jets are used to determine the  $t\bar{t}$  differential cross-section inclusive of all jet-activity:



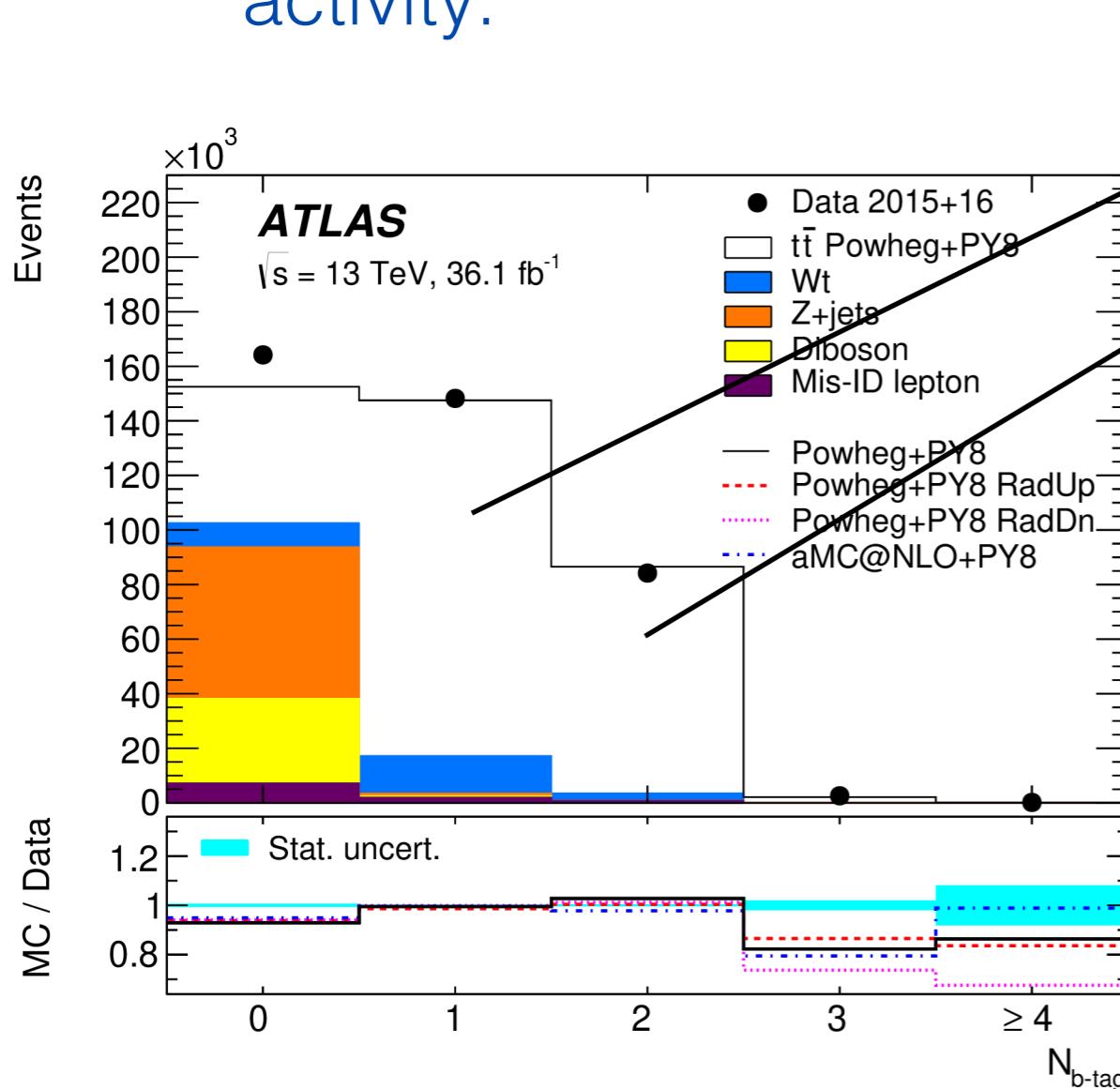
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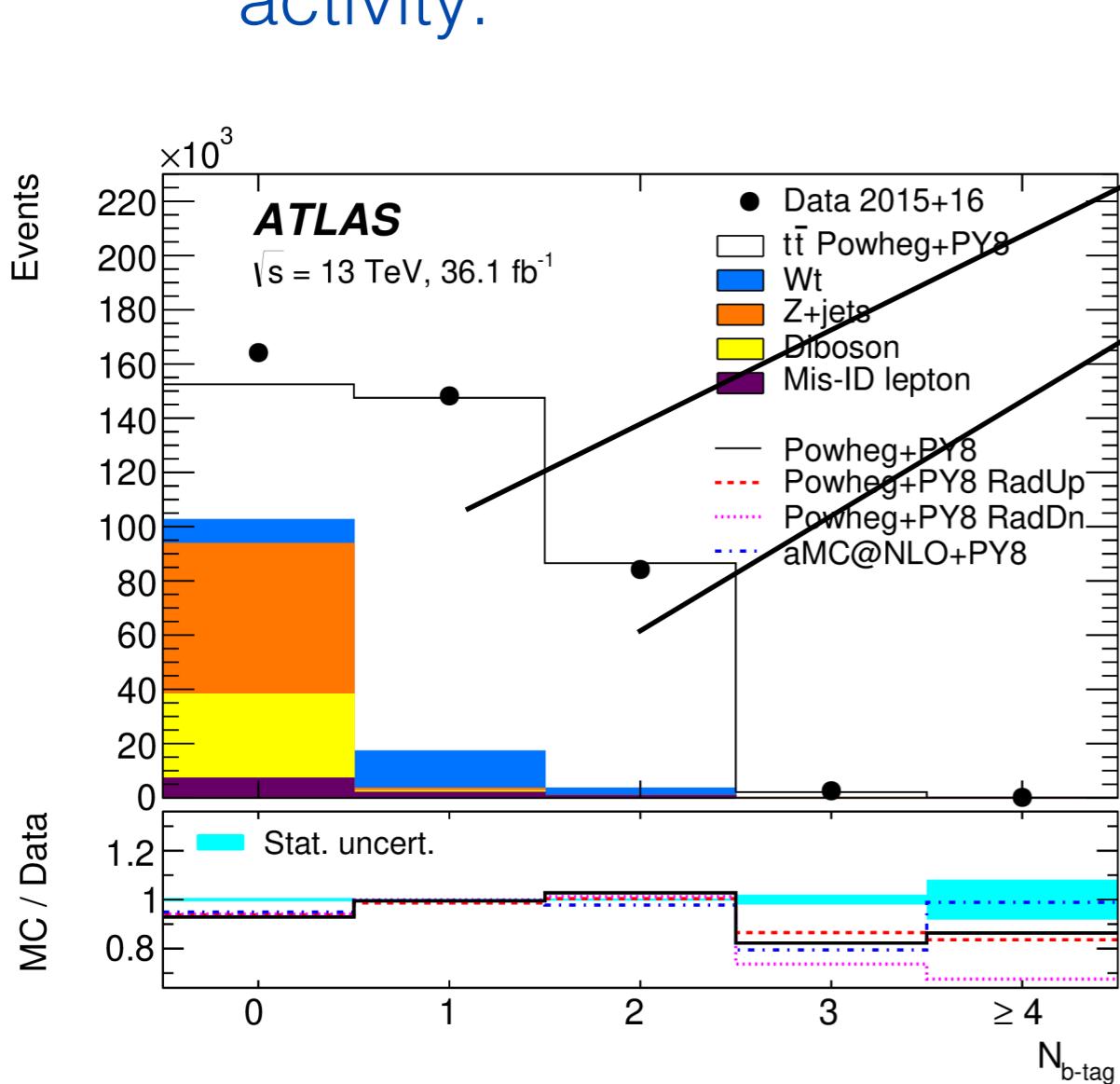
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$G_{e\mu}$ : reconstruction efficiency for leptons.

$\epsilon_b$ : probability for a b-jet to be in the acceptance, reconstructed & b-tagged.

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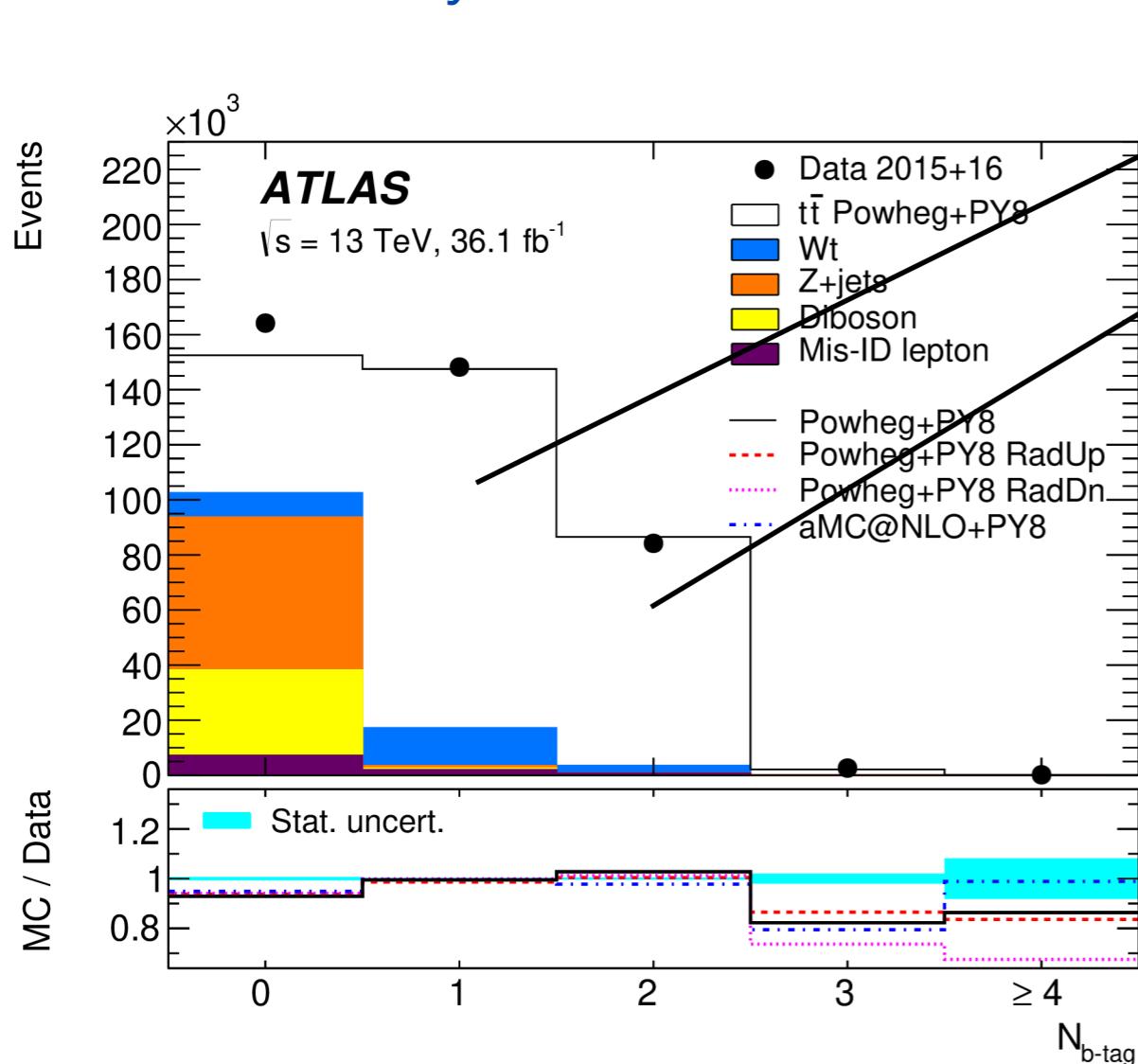
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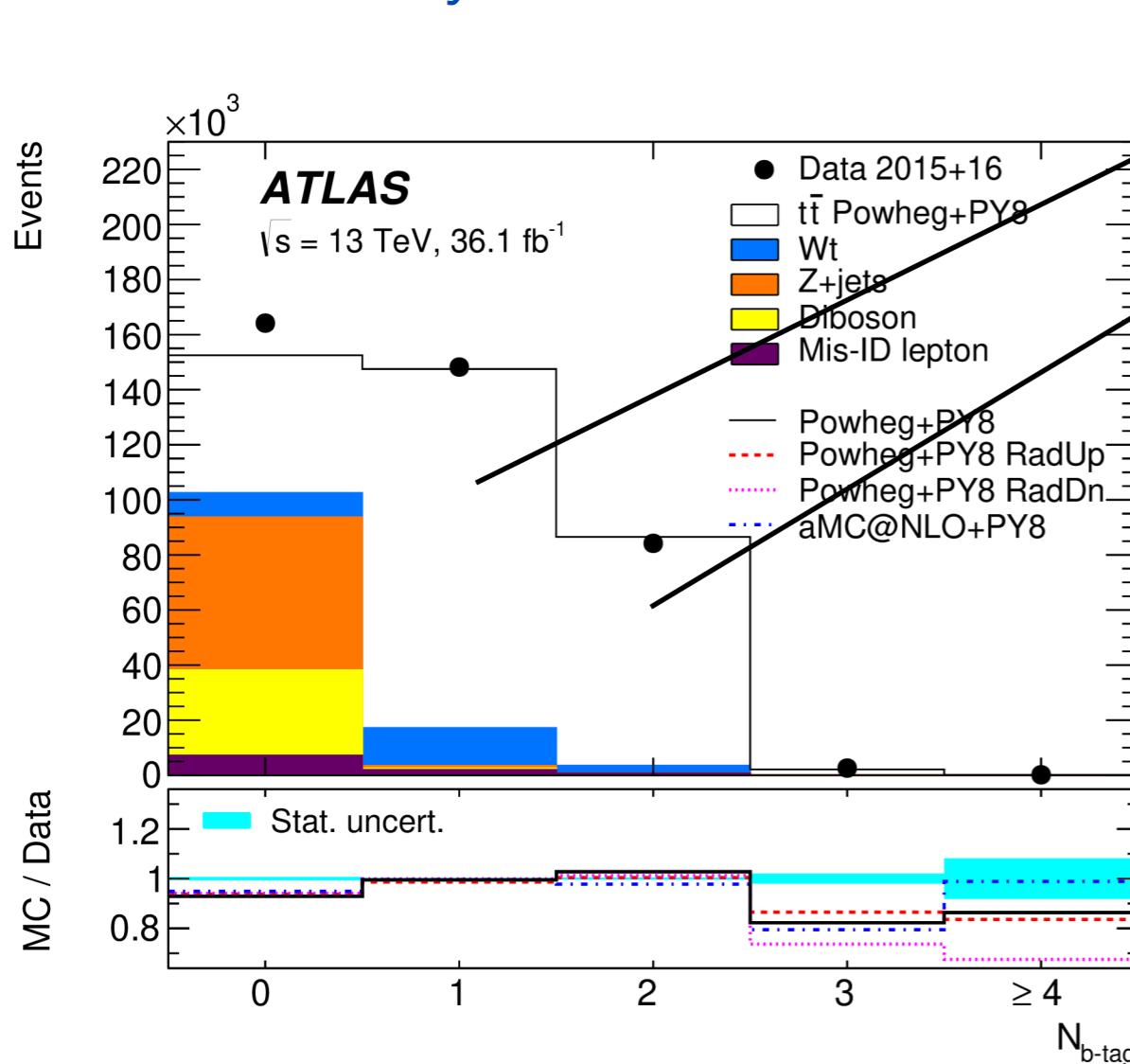
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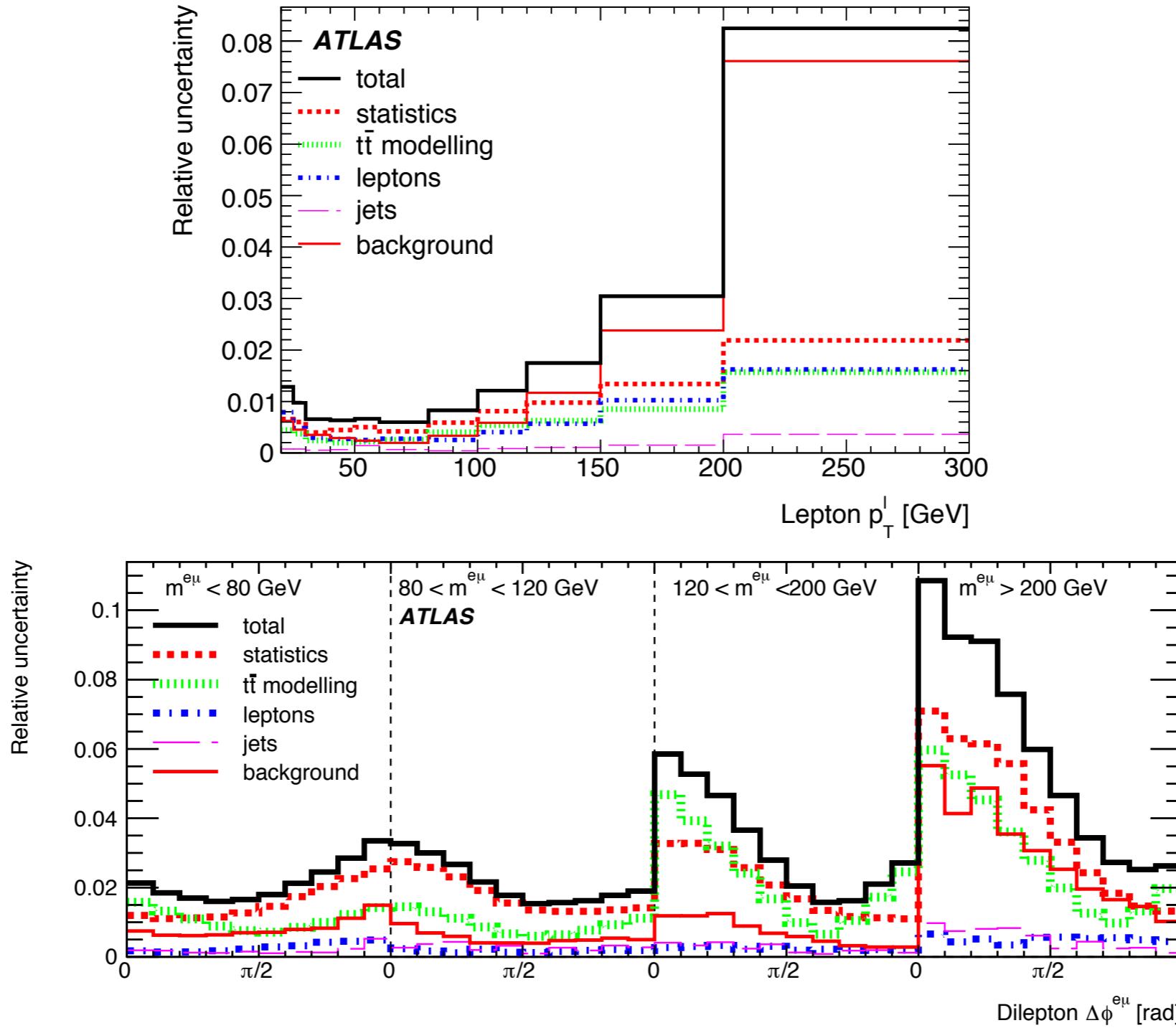
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Simultaneous fit for  $\sigma_{t\bar{t}}^i$  and  $\epsilon_b$ .

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# Uncertainties

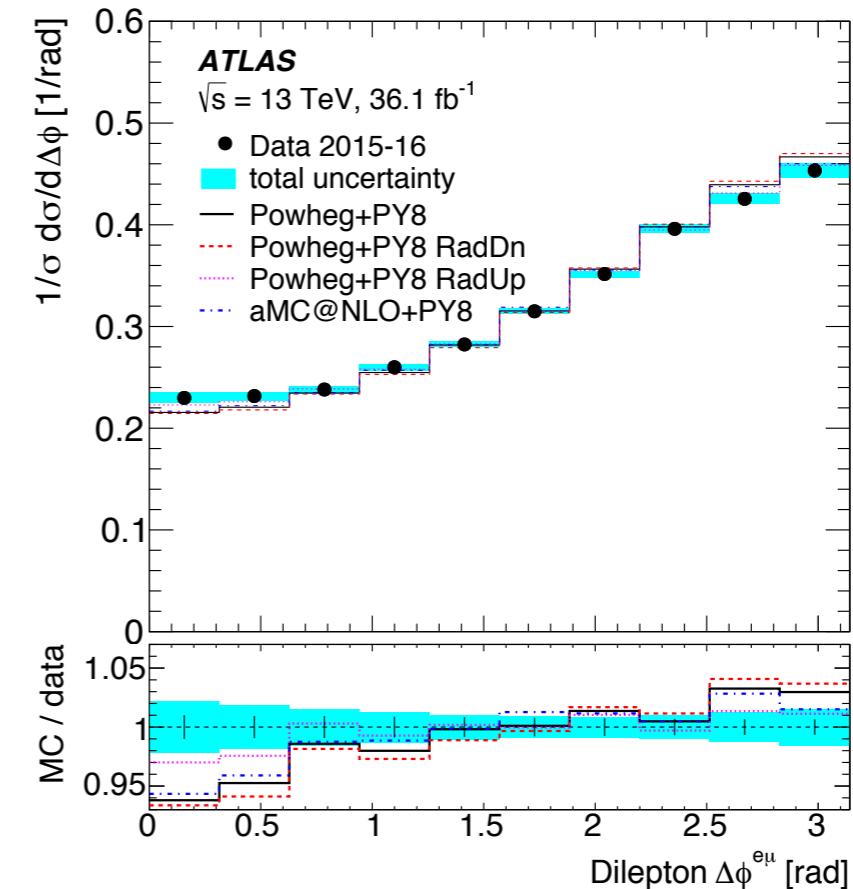
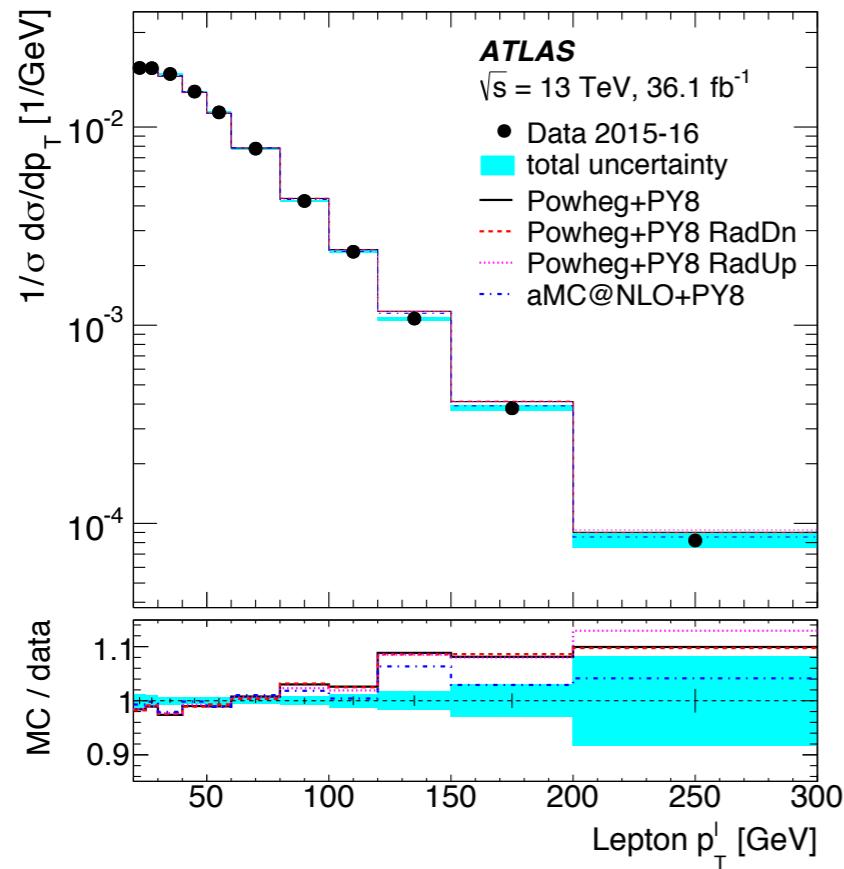
- High precision measurement, reaching %-level on shape:



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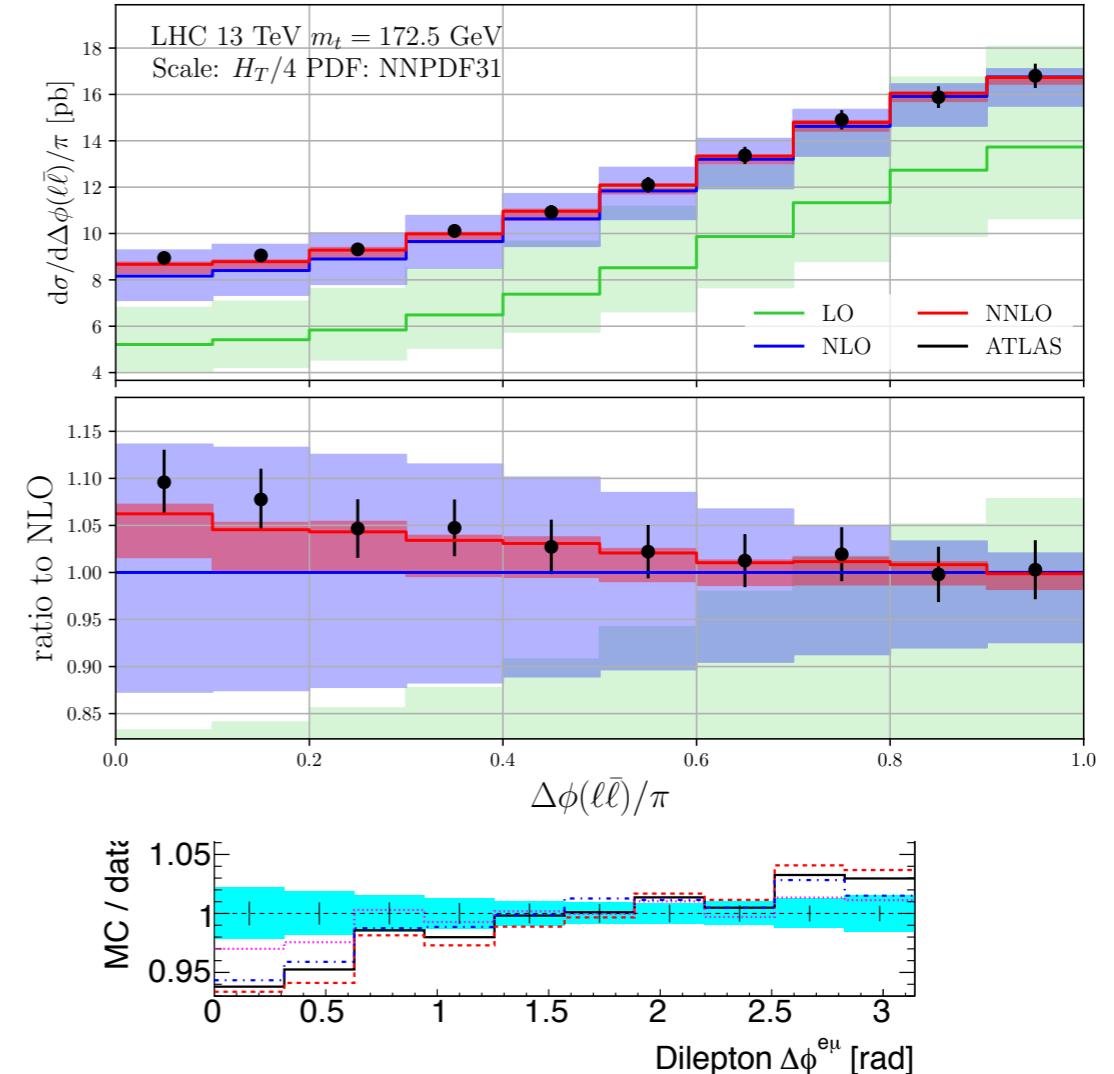
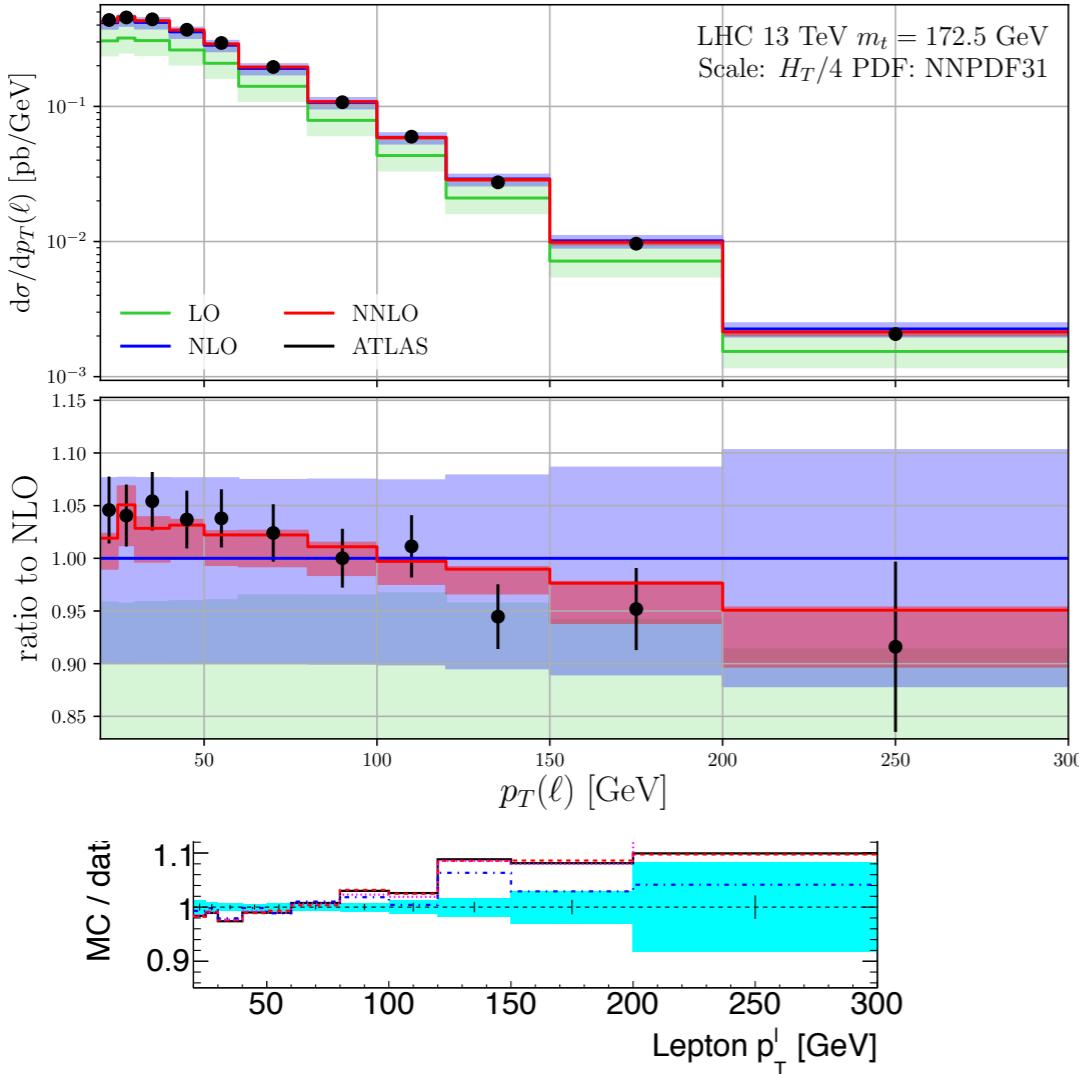
# Results

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# Summary of $t\bar{t}$ differential cross-section measurements

- Impressive range of measurements - many channels & many (2D) distributions.
- There is a consistent picture that the NLO+PS generators predict a harder top pT distribution than observed.
- The most precise measurements (i.e. CMS l+jets, ATLAS dilepton) seem to point to needing NNLO to fully describe the data.
- Much interesting work on interpreting the data (top mass,  $Y_t$ , EFT), which will continue to be expanded - see Soureek's talk.

# $t\bar{t}$ inclusive cross-section measurements

# Inclusive cross-section measurements

- The techniques outlined earlier for the CMS I+jets and ATLAS dilepton measurements also give the most precise inclusive cross-section measurements:

$$\sigma^{\text{NNLO+NNLL}} = 832 \pm 42 \text{ pb}$$

Source	Uncertainty [pb] (%)
Jet energy	10.9 (1.38)
Branching fraction	8.80 (1.11)
Lepton	7.78 (0.98)
NNLO	7.56 (0.96)
b tagging	6.96 (0.88)
Sim. stat	6.46 (0.82)
Background	6.10 (0.77)
CR model	5.45 (0.69)
Jet energy res.	3.36 (0.43)
Scales $\mu_r/\mu_f$	3.24 (0.41)
ISR scale	3.19 (0.40)
FSR scale	2.71 (0.34)
Subjet energy	2.42 (0.31)
Mistagging	2.20 (0.28)
Tune	2.16 (0.27)
$m_t$	2.08 (0.26)
PDF	1.94 (0.25)
$h_{\text{damp}}$	1.51 (0.19)
L1 prefire	0.53 (0.07)
Pileup	0.40 (0.05)
Sys	21.1 (2.66)
Stat	0.56 (0.07)
Luminosity	13.8 (1.75)

$$\sigma = 791 \pm 25 \text{ pb}$$

	Uncertainty source	$\Delta\sigma_{t\bar{t}}/\sigma_{t\bar{t}}$ (%)
	Data statistics	0.44
$t\bar{t}$ mod.	$t\bar{t}$ generator	0.43
	$t\bar{t}$ hadronisation	0.49
	Initial/final-state radiation	0.45
	$t\bar{t}$ heavy-flavour production	0.26
	Parton distribution functions	0.45
	Simulation statistics	0.22
Lept.	Electron energy scale	0.06
	Electron energy resolution	0.01
	Electron identification	0.37
	Electron charge mis-id	0.10
	Electron isolation	0.24
	Muon momentum scale	0.03
	Muon momentum resolution	0.01
	Muon identification	0.30
	Muon isolation	0.18
	Lepton trigger	0.14
Jet/b	Jet energy scale	0.03
	Jet energy resolution	0.01
	Pileup jet veto	0.02
	$b$ -tagging efficiency	0.20
	$b$ -tag mistagging	0.06
Bkg.	Single-top cross-section	0.52
	Single-top/ $t\bar{t}$ interference	0.15
	Single-top modelling	0.34
	Z+jets extrapolation	0.09
	Diboson cross-sections	0.02
	Diboson modelling	0.03
	Misidentified leptons	0.43
	Analysis systematics	1.39
$L/E_b$	Integrated luminosity	1.90
	Beam energy	0.23
	Total uncertainty	2.40

$$\sigma = 826 \pm 20 \text{ pb}$$

CMS

ATLAS

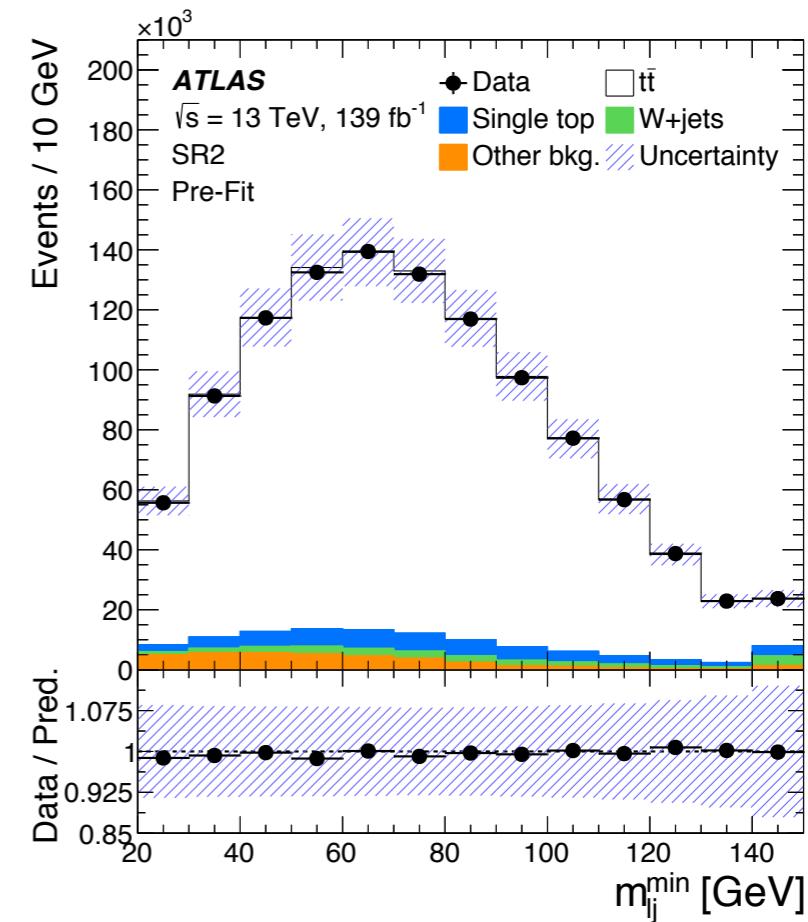
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ATLAS & CMS top cross-section measurements

# ATLAS l+jets inclusive cross-section

- Recent dedicated ATLAS analysis on inclusive cross-section.
- Events must have 1 lepton,  $\geq 4$  jets & 1 or 2 b-jets and are split into signal regions:
  - SR1:  $\geq 4$  jets,  $\geq 1$  b-jet.
  - SR2:  $\geq 4$  jets,  $\geq 2$  b-jets.
  - SR3:  $\geq 5$  jets,  $\geq 2$  b-jets.
- Cross-section is extracted from a profile-likelihood fit to kinematic variables in the 3 regions.

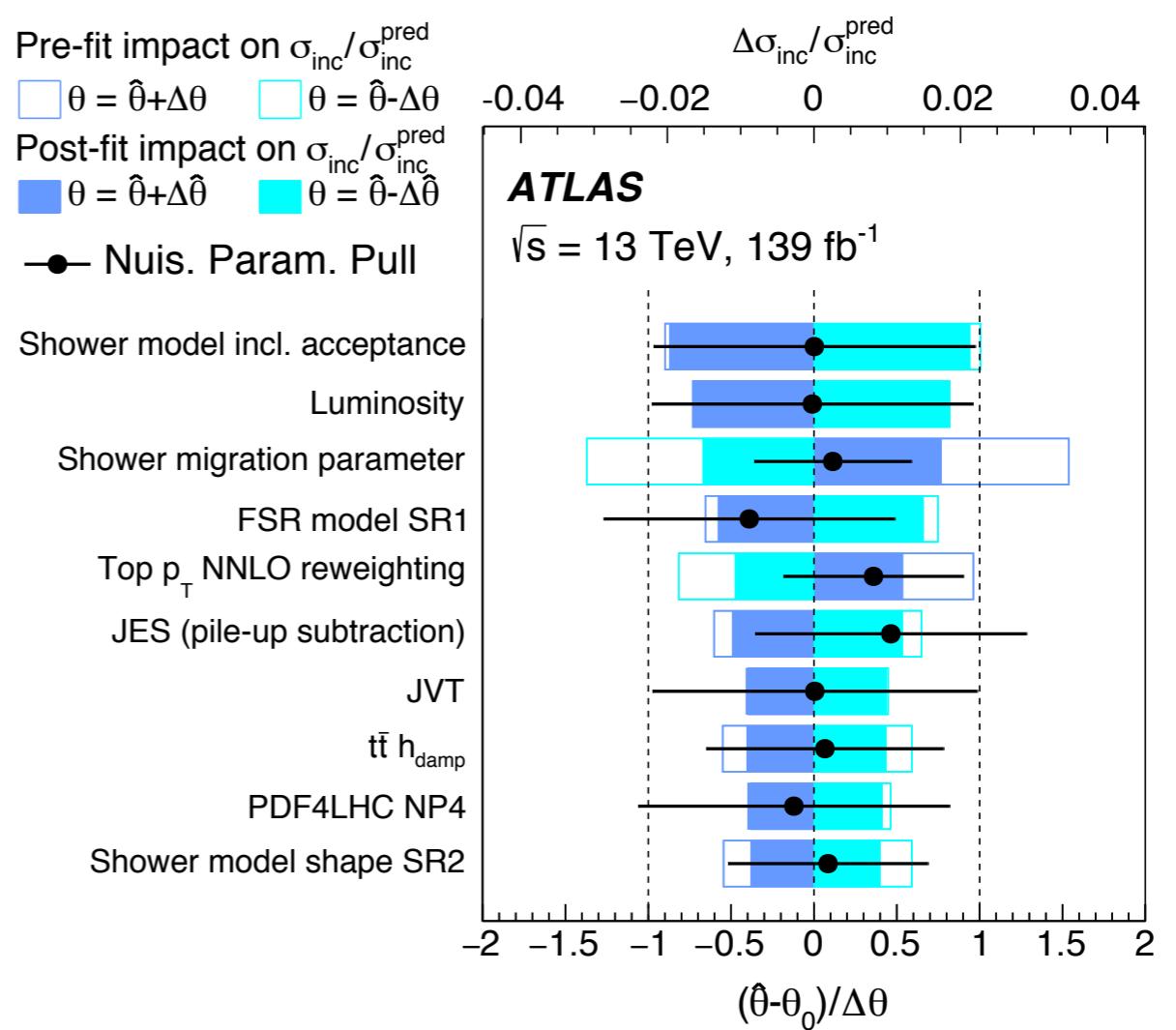


# ATLAS l+jets inclusive cross-section

- The fit can constrain the systematic uncertainties and reaches a precision of 4.6%:

$$\sigma^{\text{NNLO+NNLL}} = 832 \pm 42 \text{ pb}$$

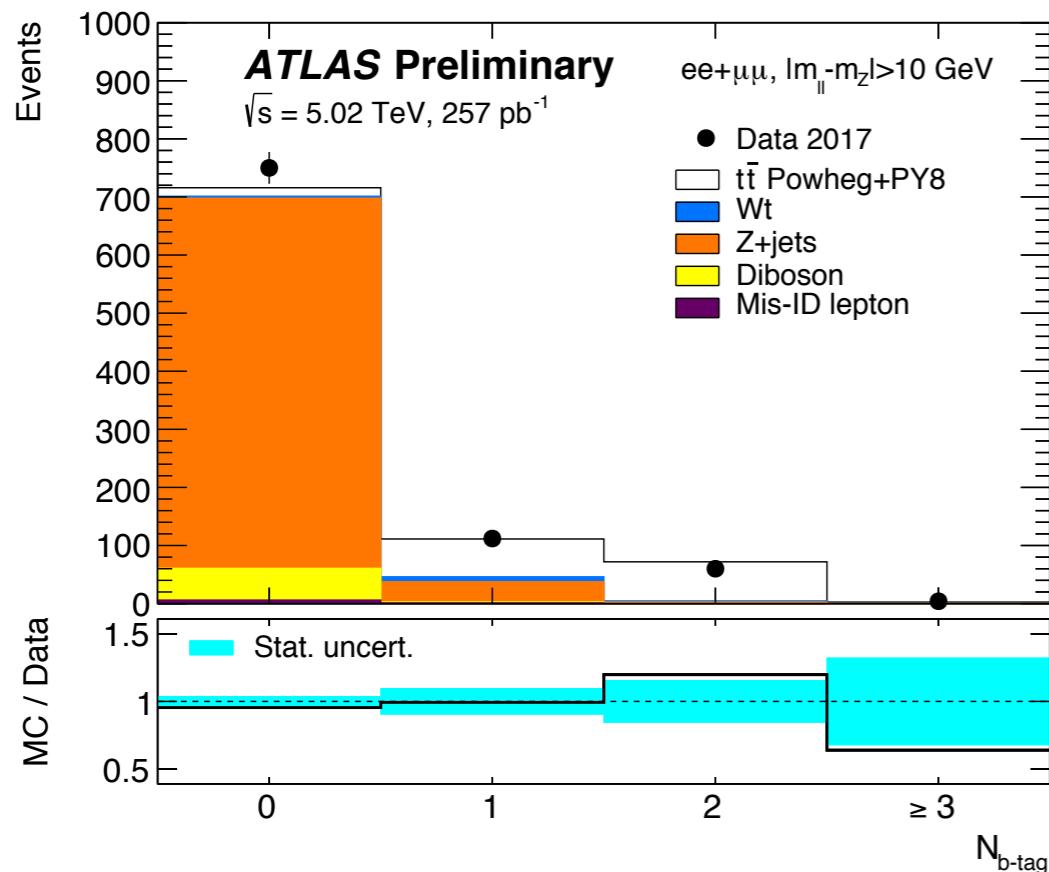
$$\sigma = 830 \pm 39 \text{ pb}$$



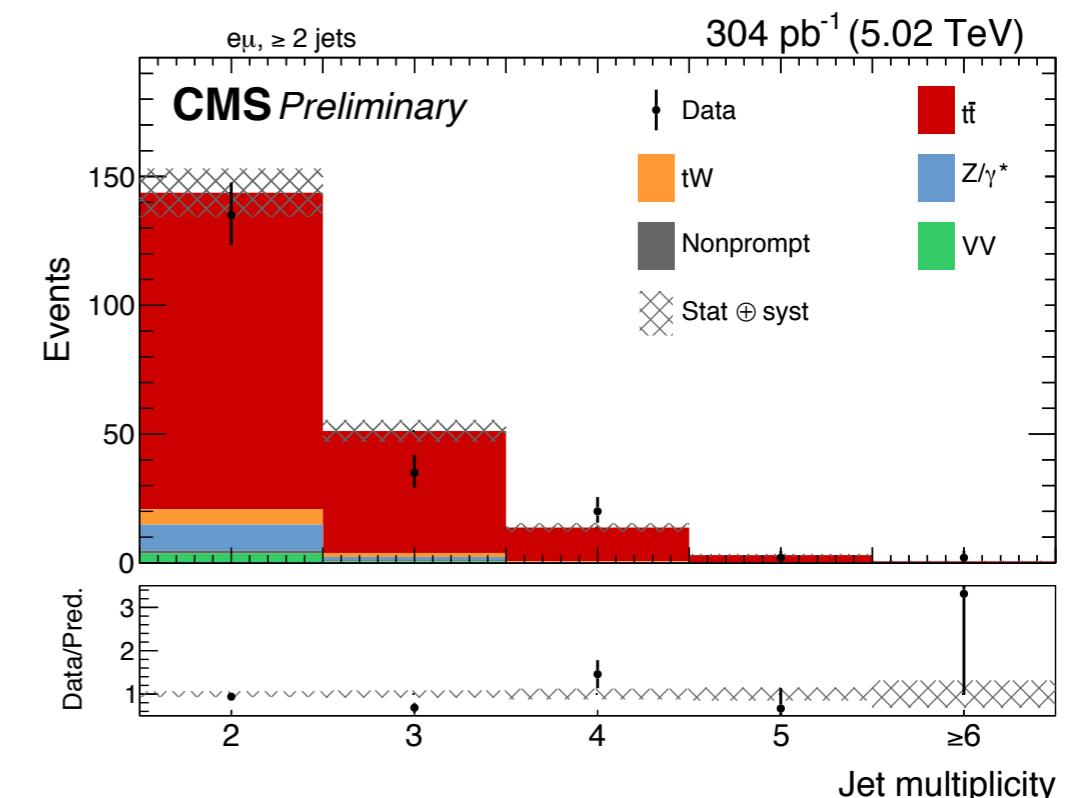
- Good agreement with theory & dilepton measurement.

# $t\bar{t}$ cross-section @ 5 TeV

- Both collaborations have new dilepton measurements @ 5 TeV.
  - ATLAS:  $ee, \mu\mu, e\mu$  events using the 1 and 2 b-jet fit procedure presented earlier.
  - CMS: counting experiment with  $e\mu$  events.



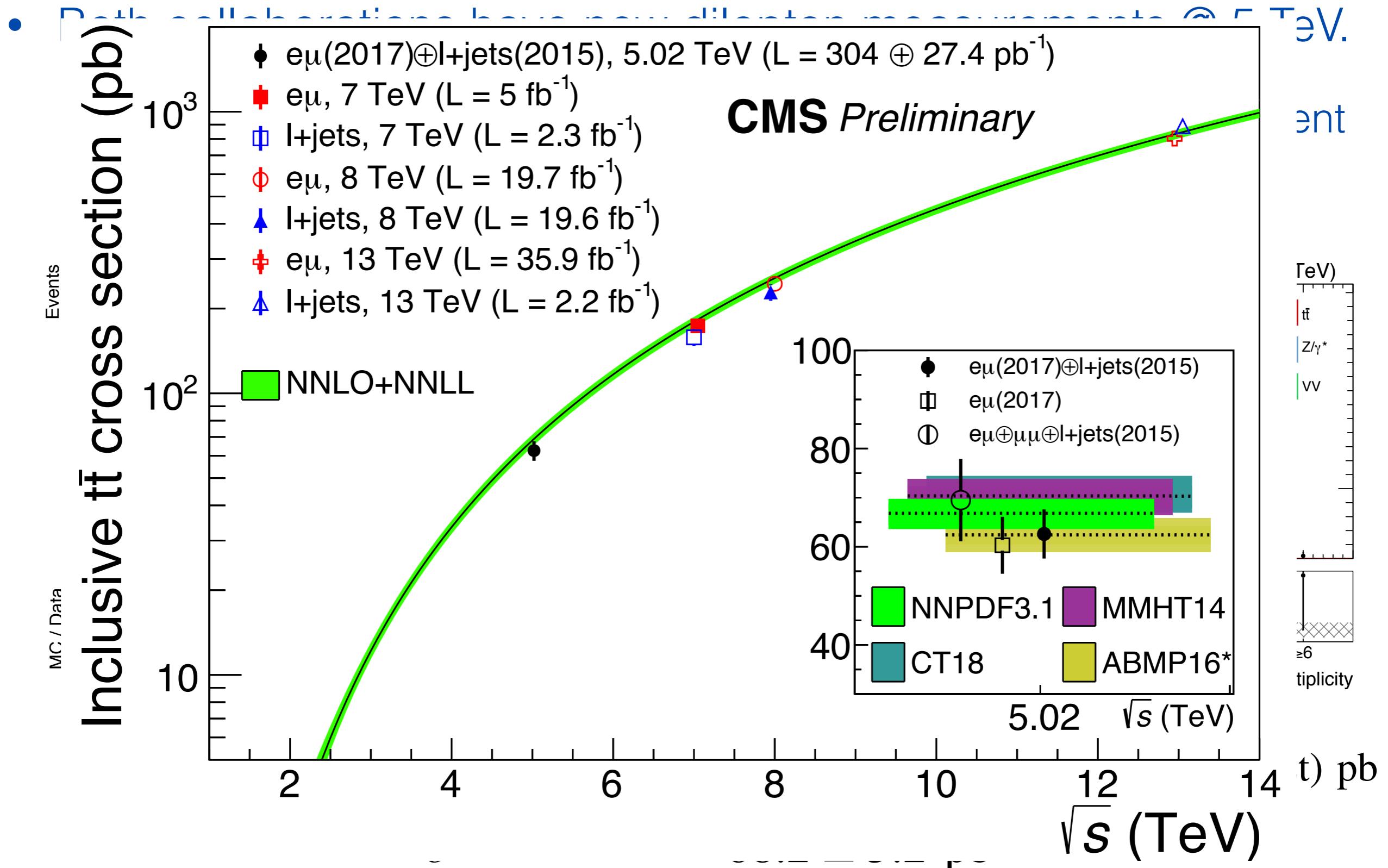
$$\sigma = 66.0 \pm 4.5 \text{ (stat)} \pm 2.0 \text{ (syst)} \text{ pb}$$



$$\sigma = 60.3 \pm 5.0 \text{ (stat)} \pm 2.9 \text{ (syst)} \text{ pb}$$

$$\sigma^{\text{NNLO+NNLL}} = 68.2 \pm 5.2 \text{ pb}$$

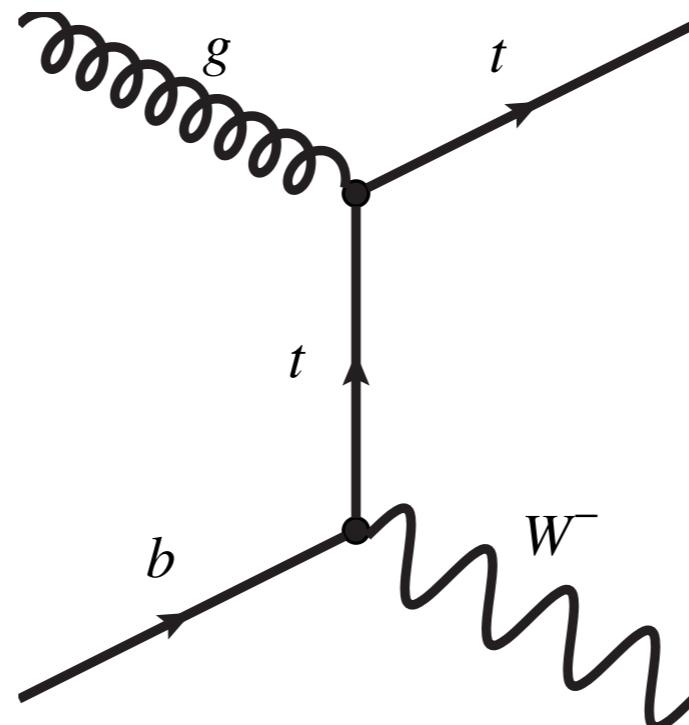
# $t\bar{t}$ cross-section @ 5 TeV



# tW cross-section measurements

# tW in l+jets

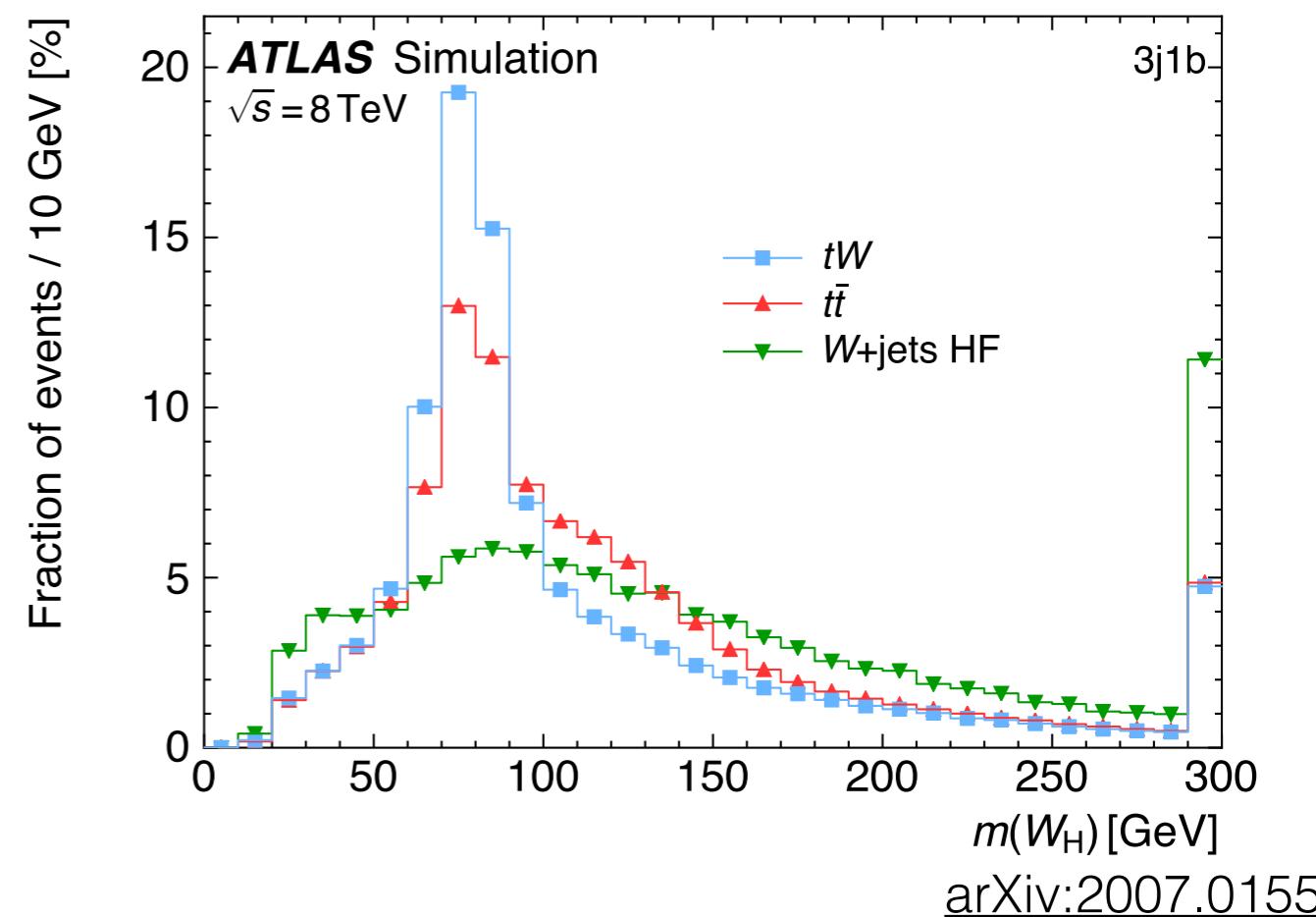
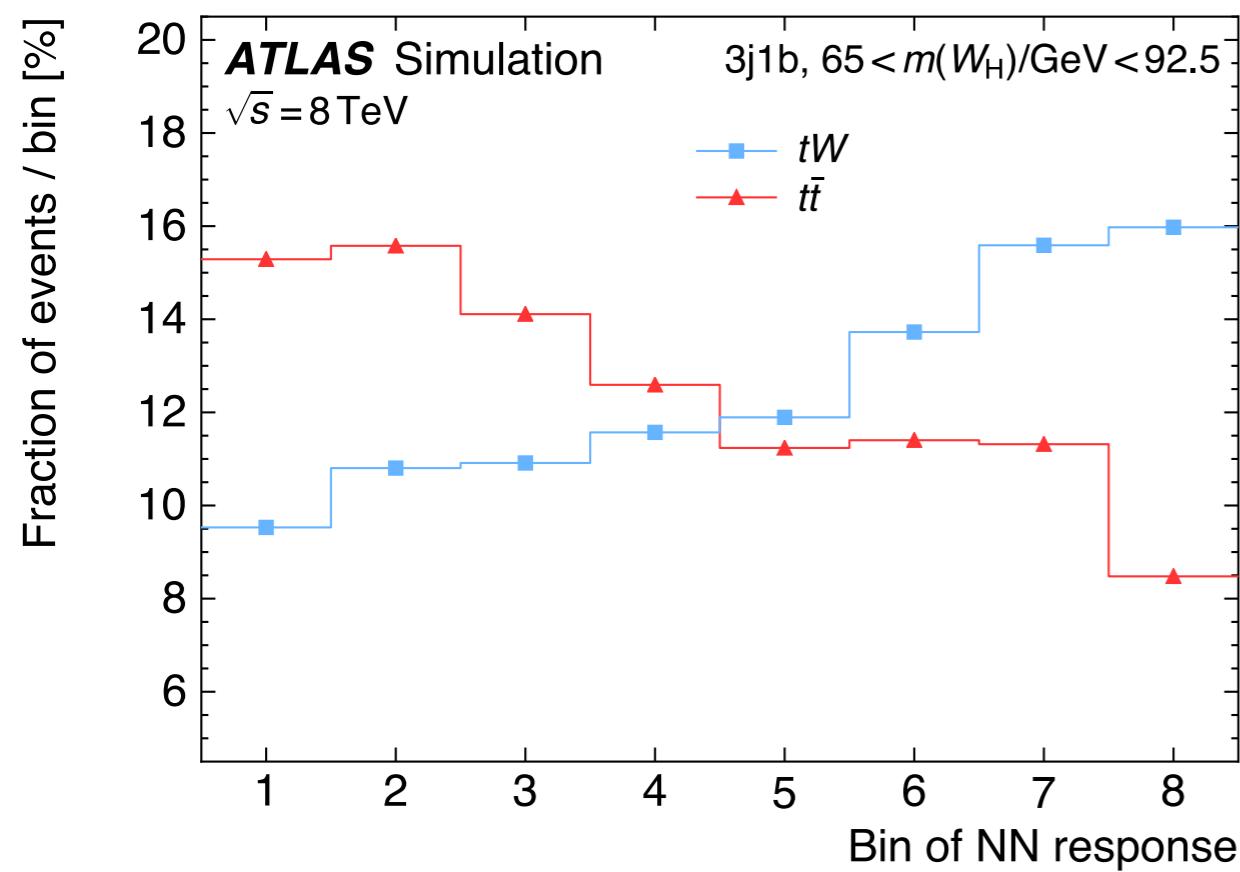
- tW is an interesting process as it probes the Wtb coupling & interferes with  $t\bar{t}$  at NLO.



- Most measurements to date use dilepton channel, present here two recent measurements using 1 lepton events.

# ATLAS tW @ 8 TeV

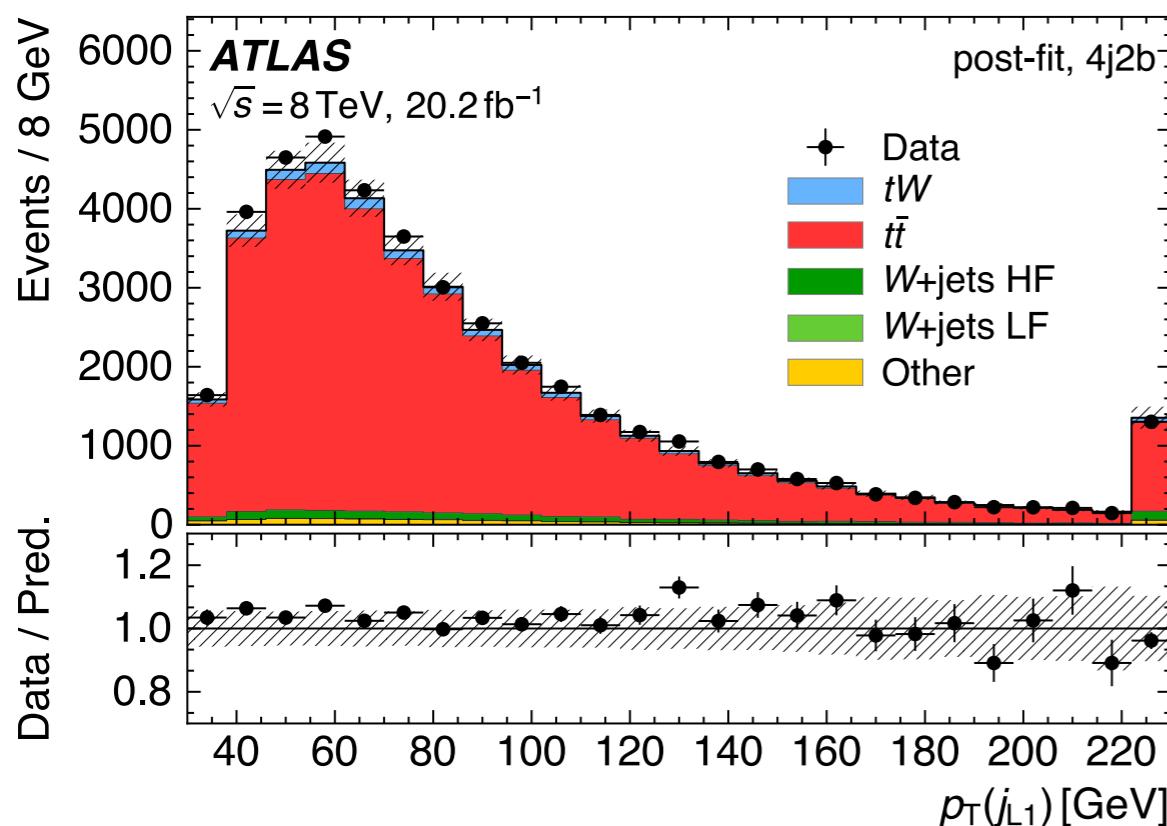
- Events are selected with 1 lepton and  $\geq 3$  jet:
  - Signal region: Events with 3 jets, including  $\geq 1$  b-jet.
  - $t\bar{t}$  validation region: Events with 4 jets, including  $\geq 2$  b-jets.
- Neural network as well as the reconstructed W boson mass is used to separate signal from background:



arXiv:2007.01554

# ATLAS tW @ 8 TeV

- Profile-likelihood to signal region is used to extract the cross-section.
- Fit parameters are propagated to validation region to check background modelling.



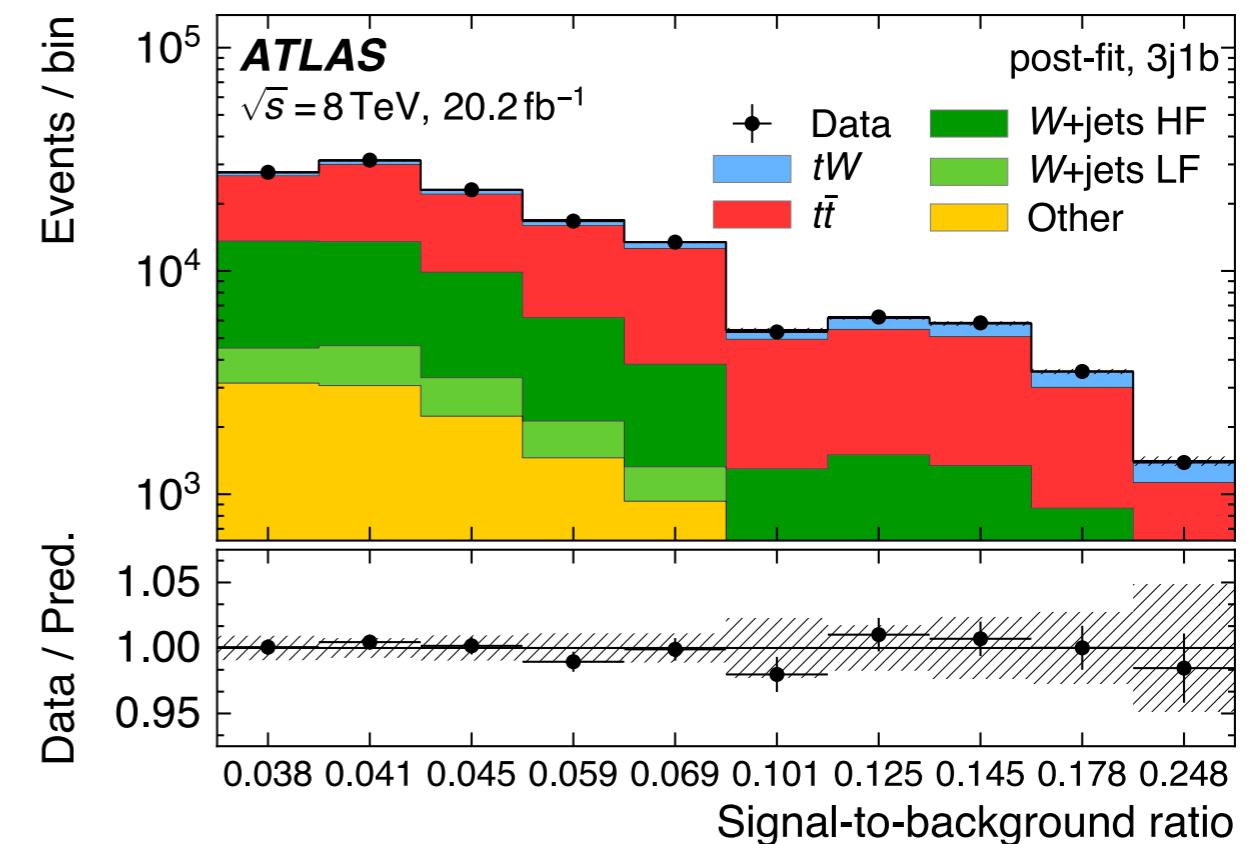
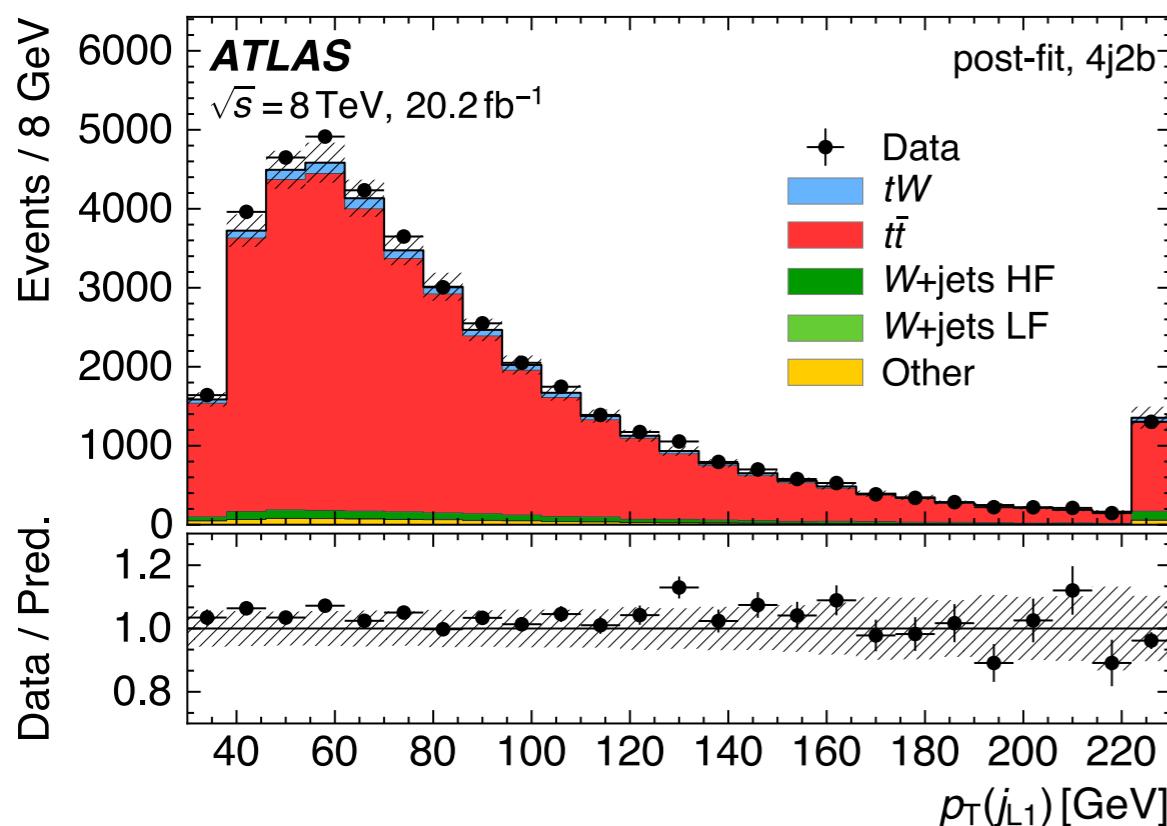
$$\sigma^{\text{NLO+NNLL}} = 22.4 \pm 1.5 \text{ pb}$$

[arXiv:2007.01554](https://arxiv.org/abs/2007.01554)

Phys. Part. Nucl. 45 714 (2014)

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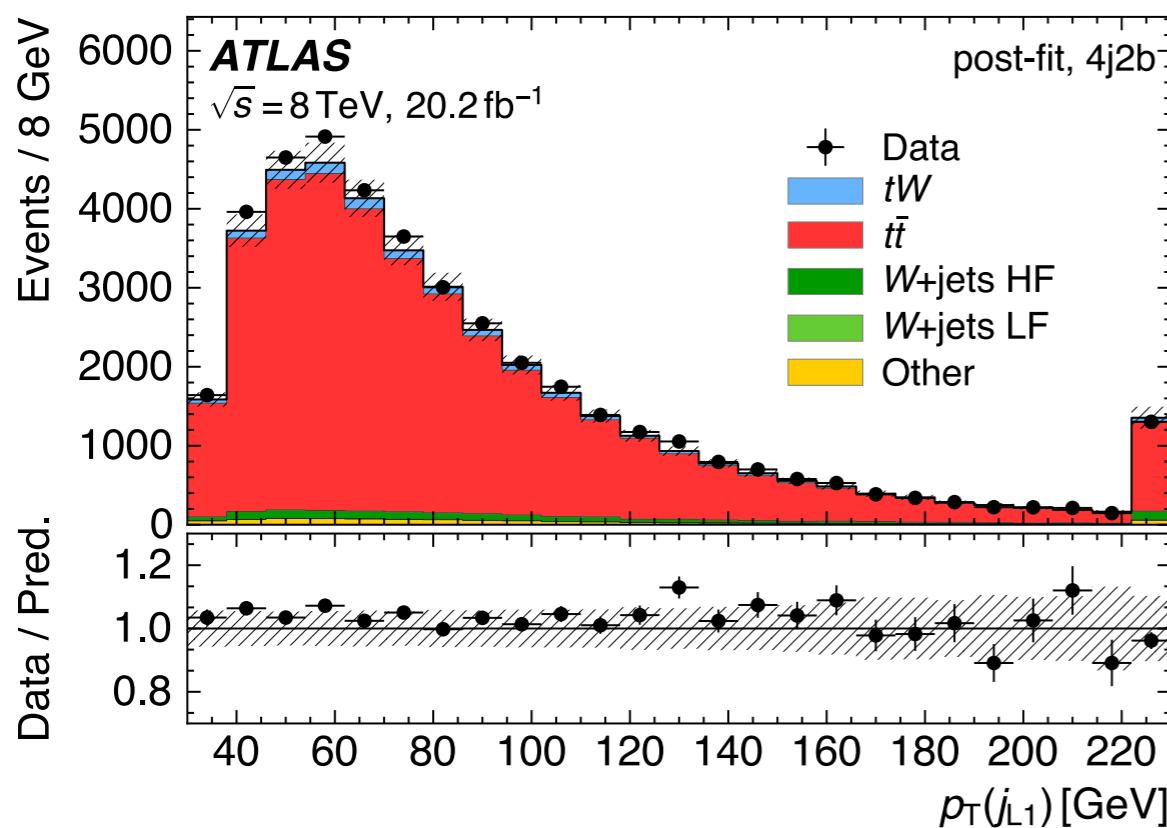
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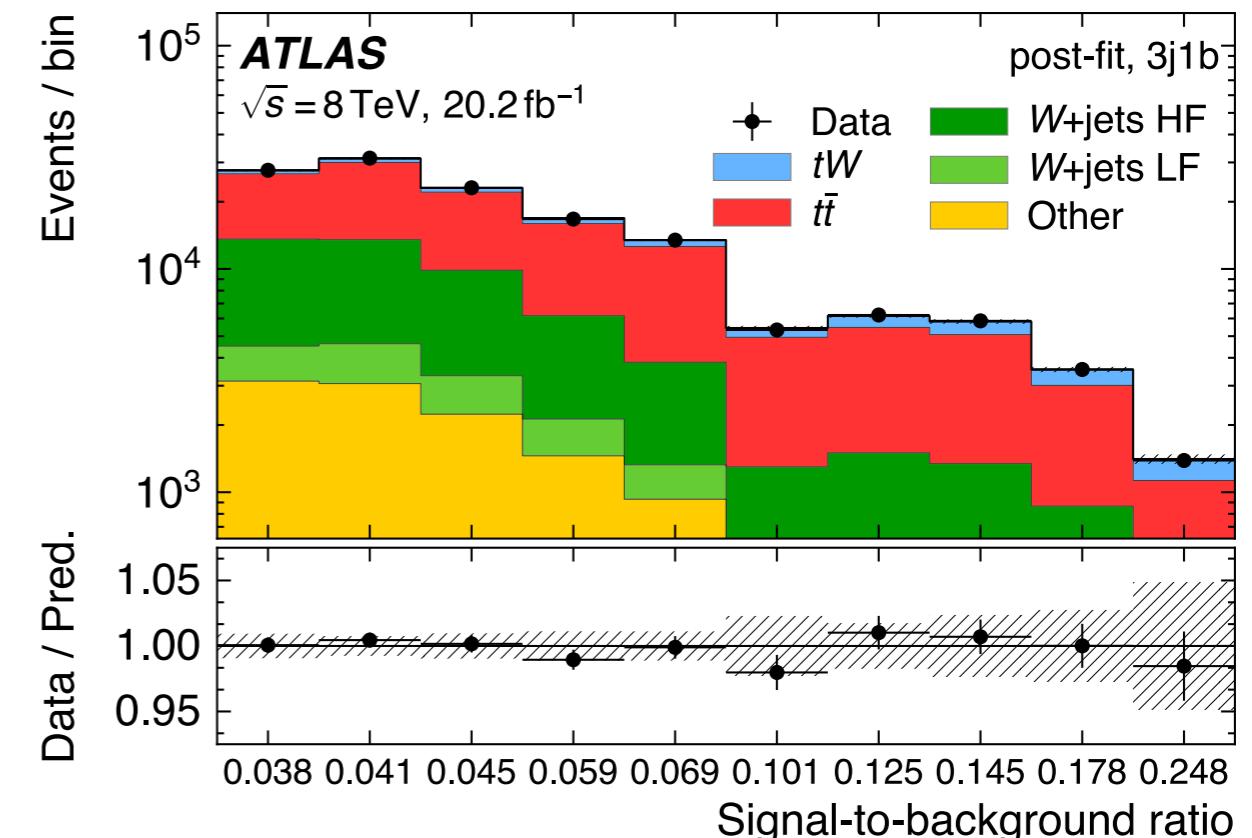
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$$\sigma = 26 \pm 7 \text{ pb}$$

Good agreement with SM

$$\sigma^{\text{NLO+NNLL}} = 22.4 \pm 1.5 \text{ pb}$$

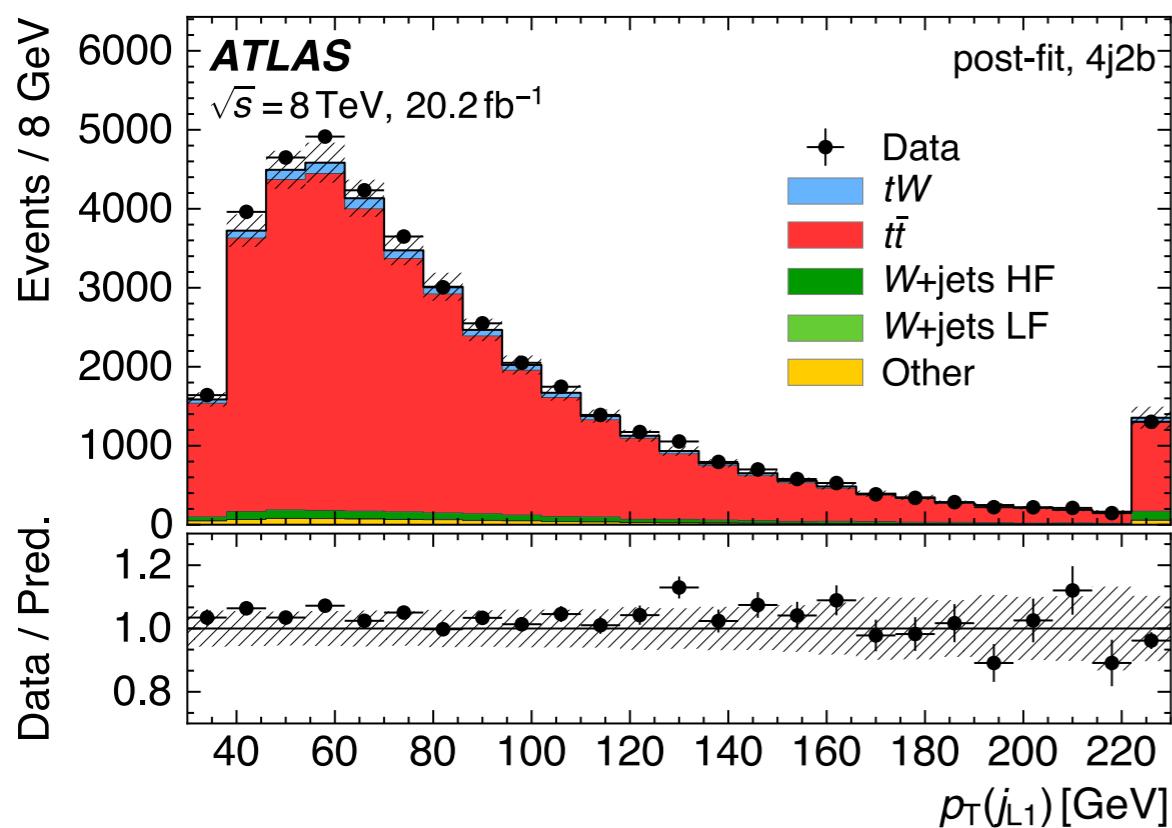


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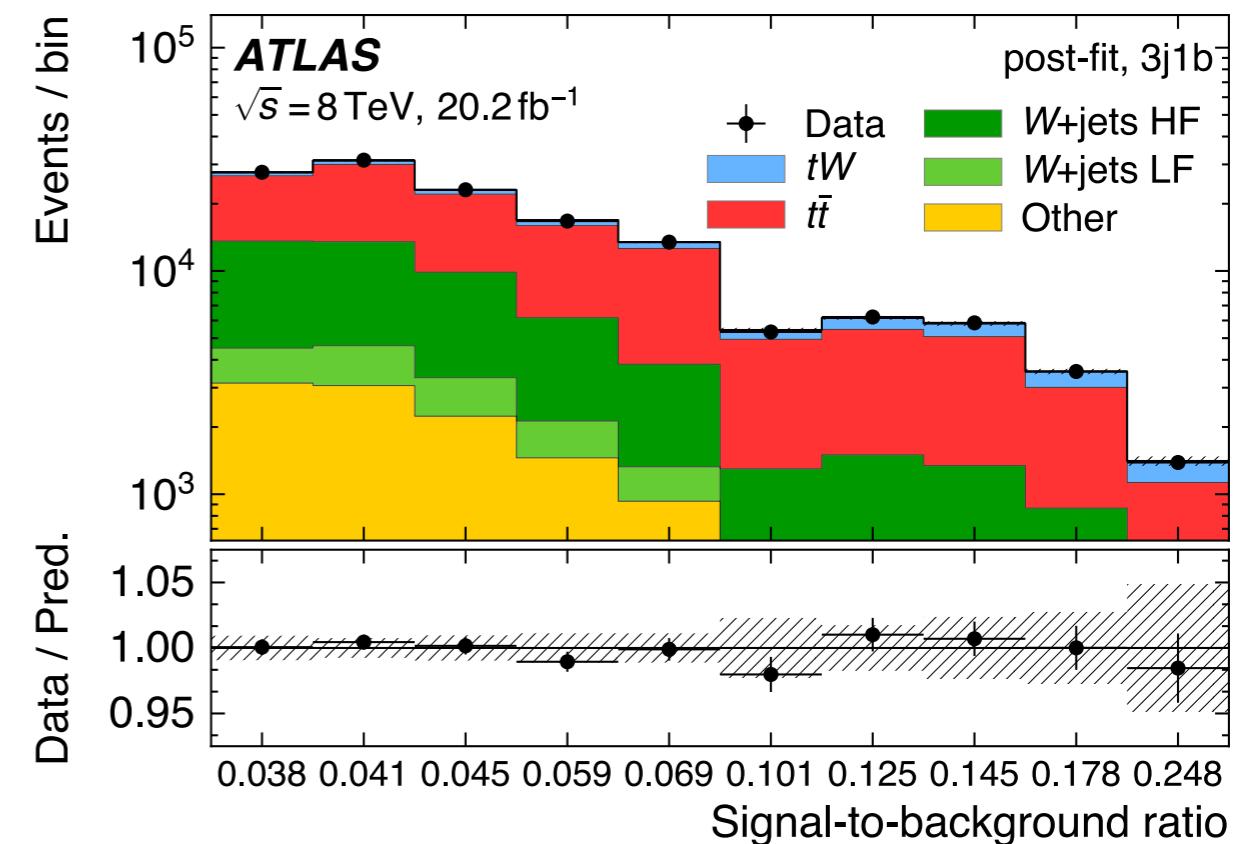
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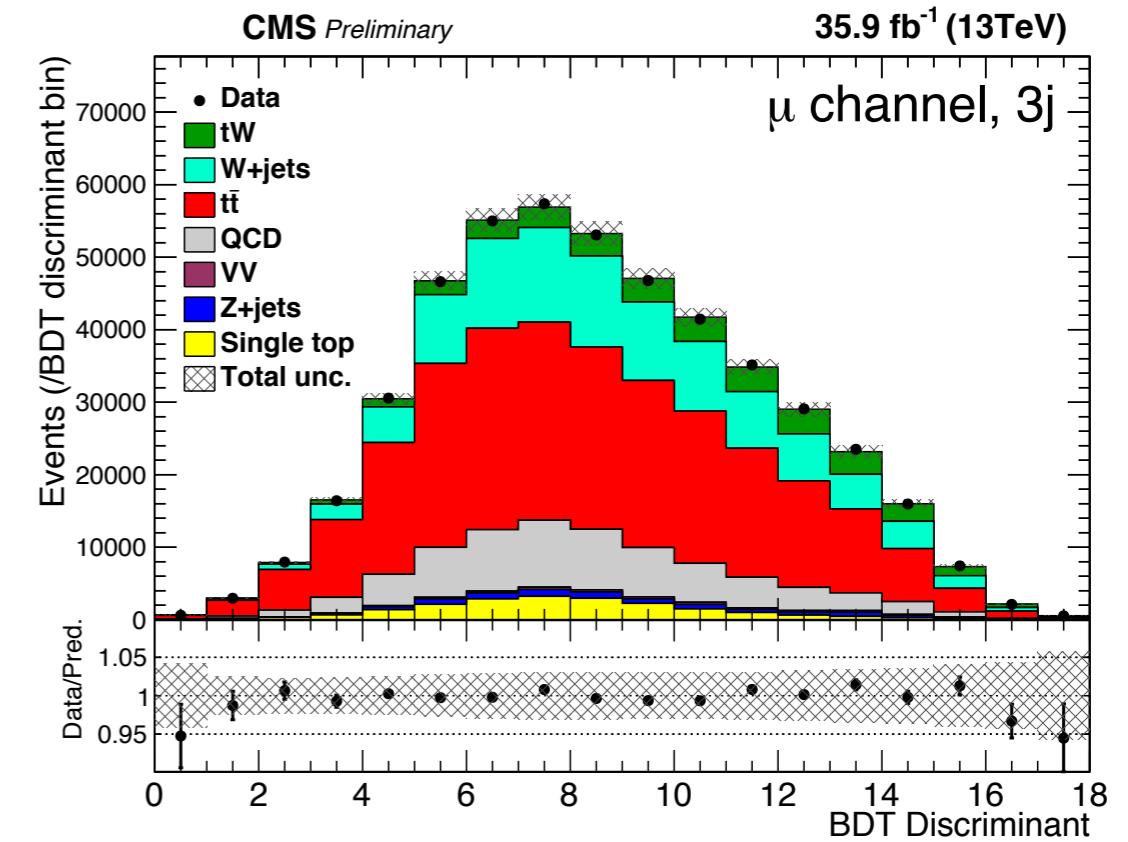
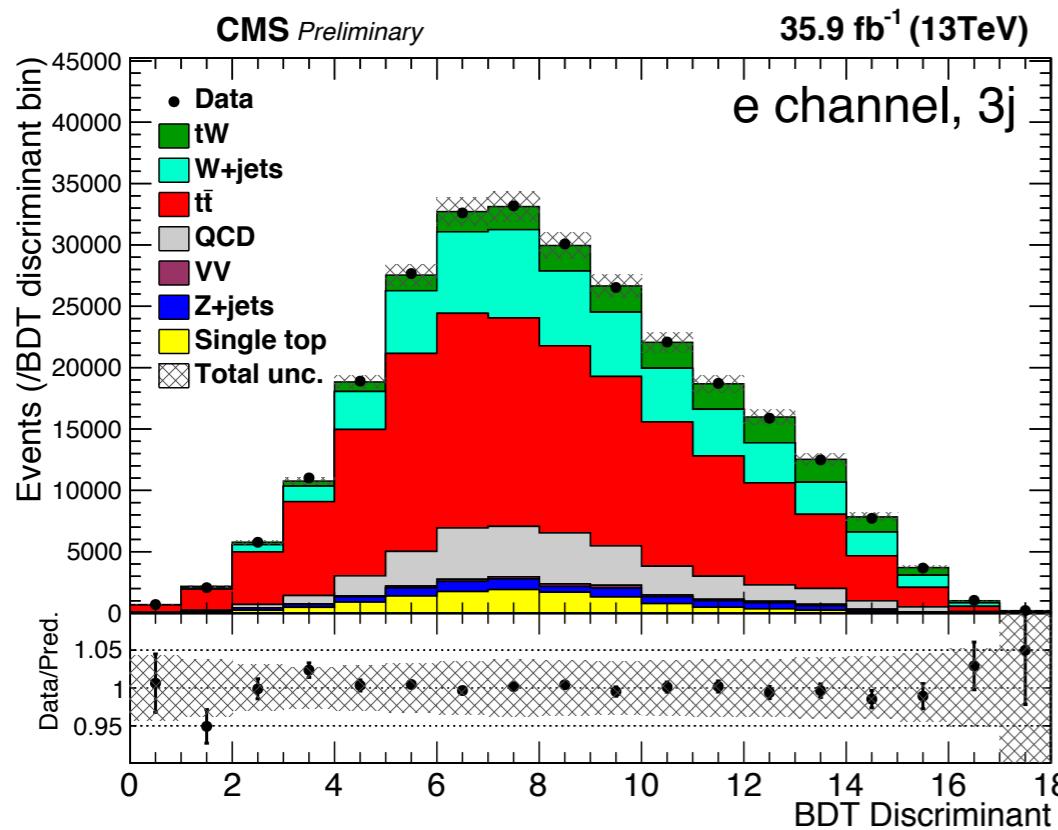
Significance:  
 $4.5\sigma$  ( $3.9\sigma$  expected)

[arXiv:2007.01554](https://arxiv.org/abs/2007.01554)

Phys. Part. Nucl. 45 714 (2014)

# CMS tW @ 13 TeV

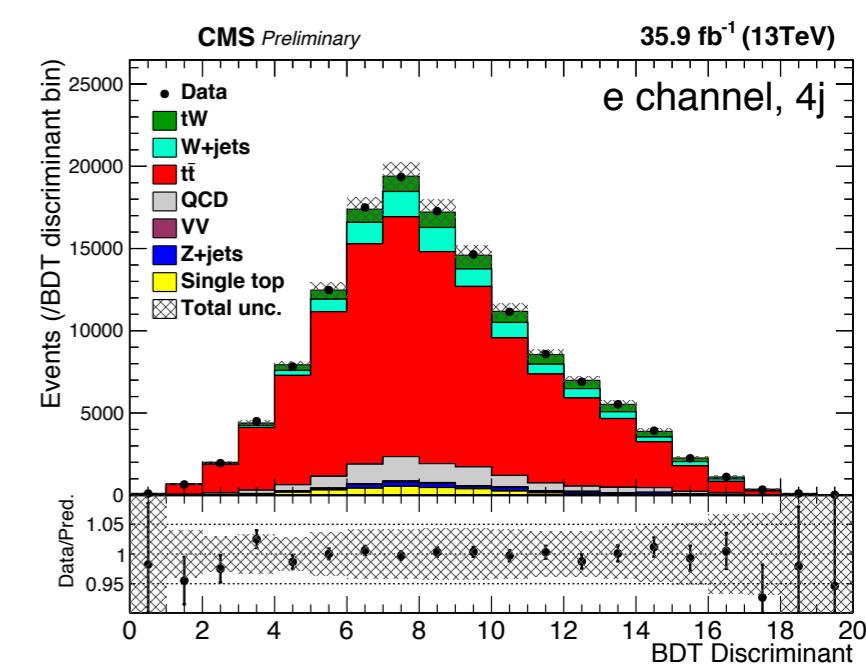
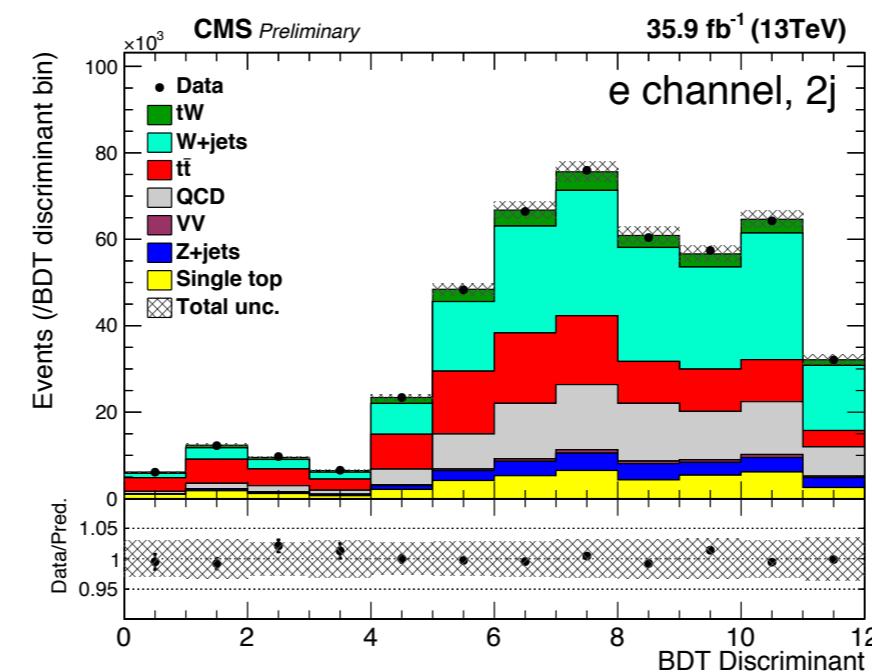
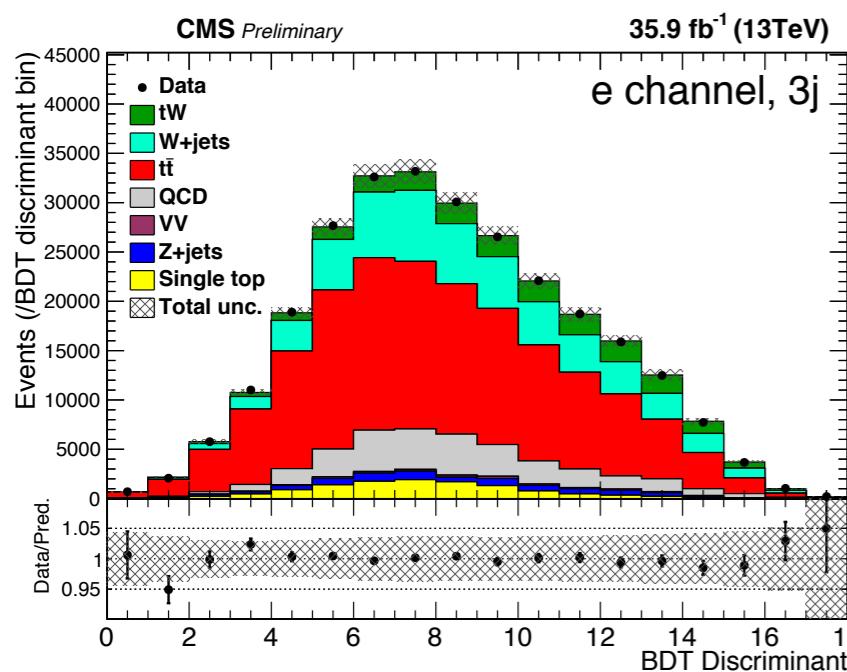
- Events are selected with 1 lepton and  $\geq 2$  jets:
  - Signal region: Events with 3 jets, including  $\geq 1$  b-jet.
  - $t\bar{t}$  control region: Events with 4 jets, including  $\geq 1$  b-jets.
  - $W+jets$  control region: Events with 2 jets, including  $\geq 1$  b-jets.
- BDT is used to separate signal from background:



CMS-PAS-TOP-20-002

# CMS tW @ 13 TeV

- Profile-likelihood to signal & control regions is used to extract the cross-section.

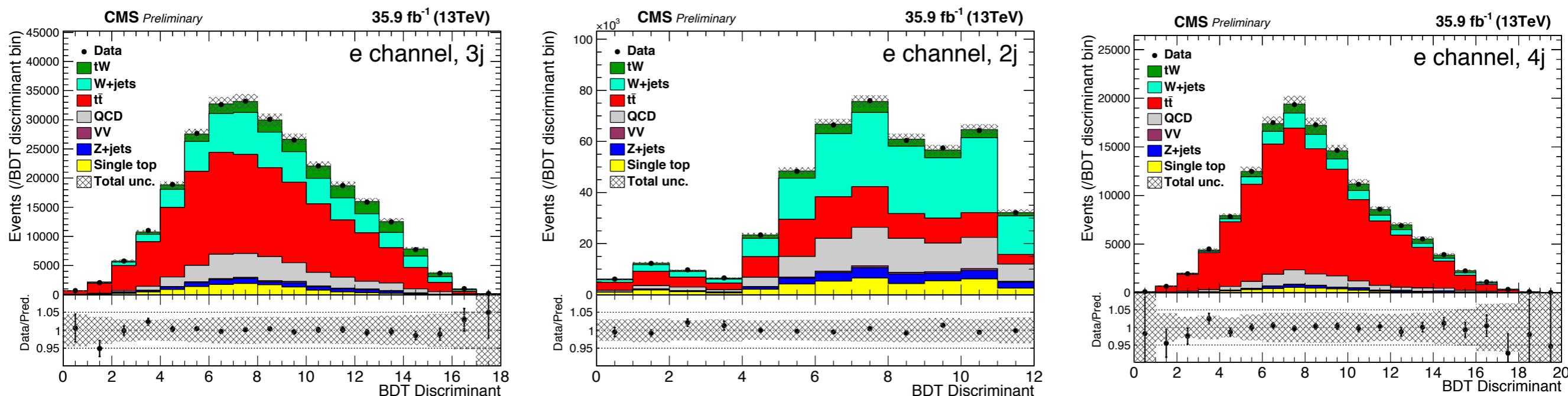


$$\sigma^{\text{NLO+NNLL}} = 71.7 \pm 3.8 \text{ pb}$$

CMS-PAS-TOP-20-002

# CMS tW @ 13 TeV

- Profile-likelihood to signal & control regions is used to extract the cross-section.



$$\sigma = 89 \pm 13 \text{ pb}$$

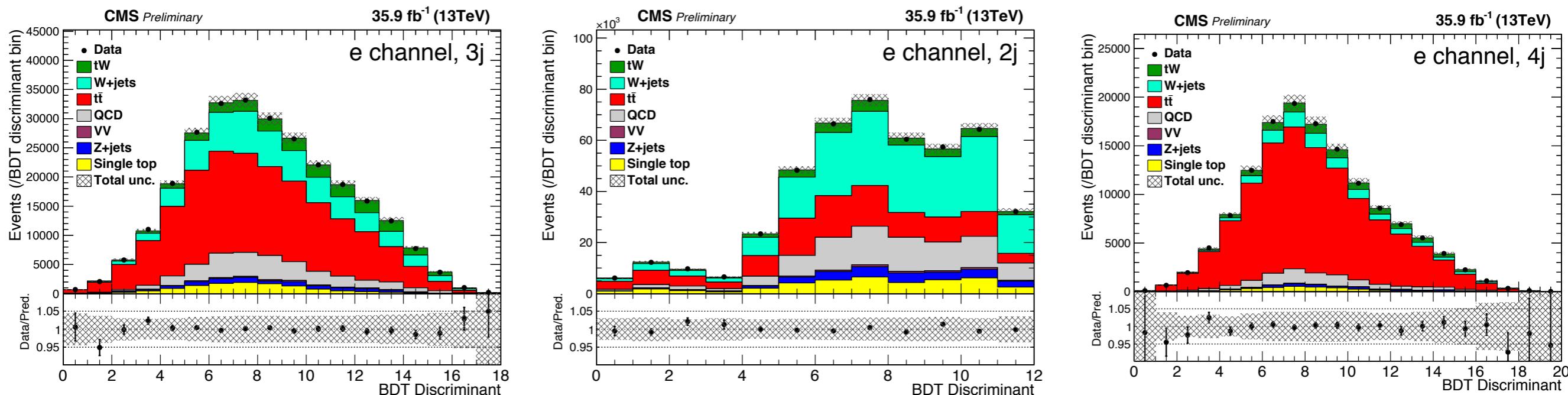
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[CMS-PAS-TOP-20-002](#)

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Significance:  
 $> 5\sigma$  (as expected)

# Summary

- Impressive breadth of measurements exploiting our top factory (LHC).
- $t\bar{t}$  differential cross-section measurements reach high precision (%-level) and point towards the need for NNLO predictions.
- $t\bar{t}$  inclusive cross-section is measured at all energies - good agreement with NNLO+NNLL predictions.
- Latest single top analyses observe tW in the l+jets channel and see good agreement with the SM.
- Many analyses still to do with the full run-2 dataset: stay tuned!

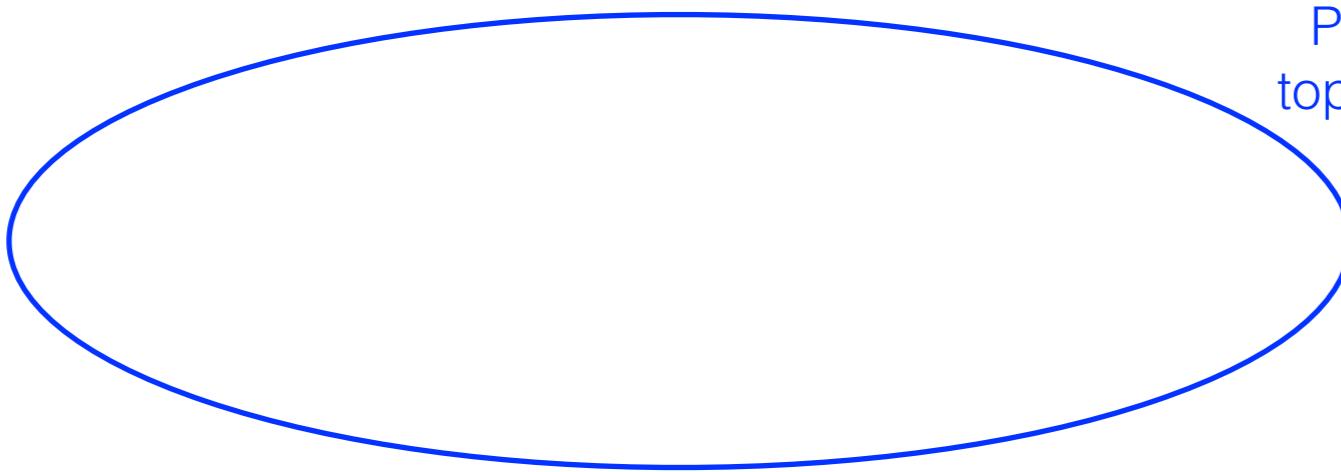
# Backup

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  - Parton-level: define ‘parton-tops’ directly before decay.
    - Compare to state-of-the-art QCD predictions for stable tops (NNLO).
    - Need MC to extrapolate from jets & leptons to parton-level:



Parton-level phase space: all top-quark pair events produced in collisions ( $p_T > X \text{ GeV}$ )

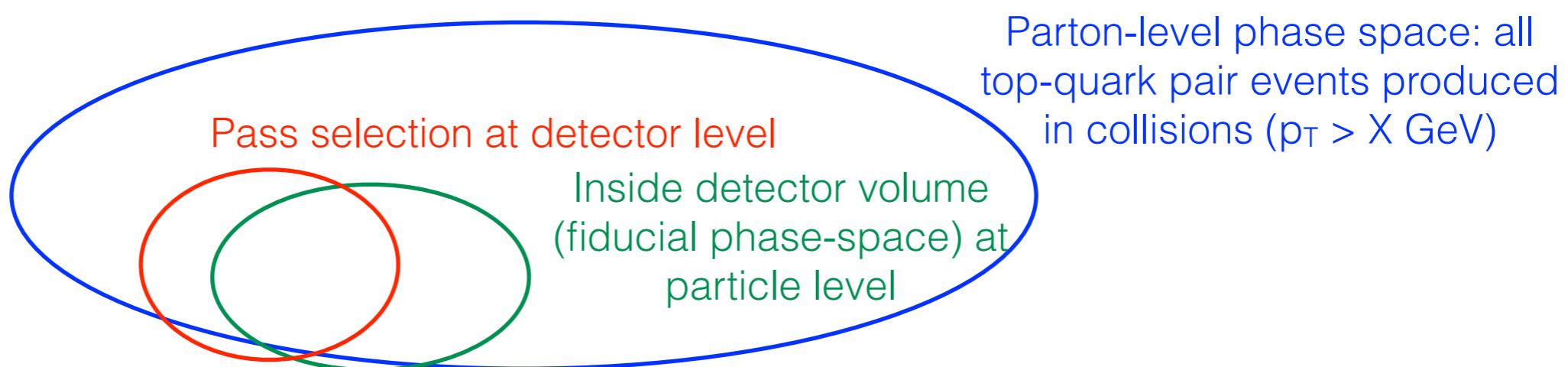
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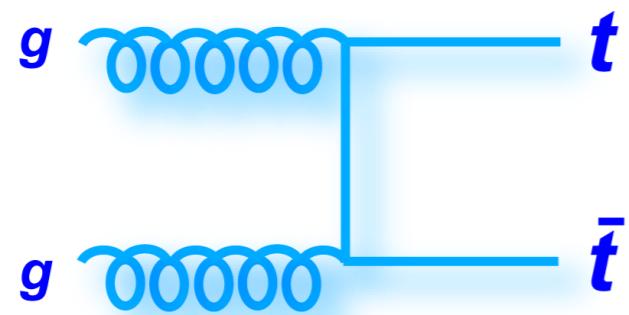
# Differential cross-section measurements

- Will present several measurements of the differential cross-section of top-quark pair events.
- Define measurements at two levels:
  - Particle-level: build ‘pseudo-tops’ from stable particles.
    - Close connection to particles observed in detector (same jet algos).
    - Reduced dependence on MC for measurement: smaller uncertainties.
    - Compare to MC models (hadron-level predictions).

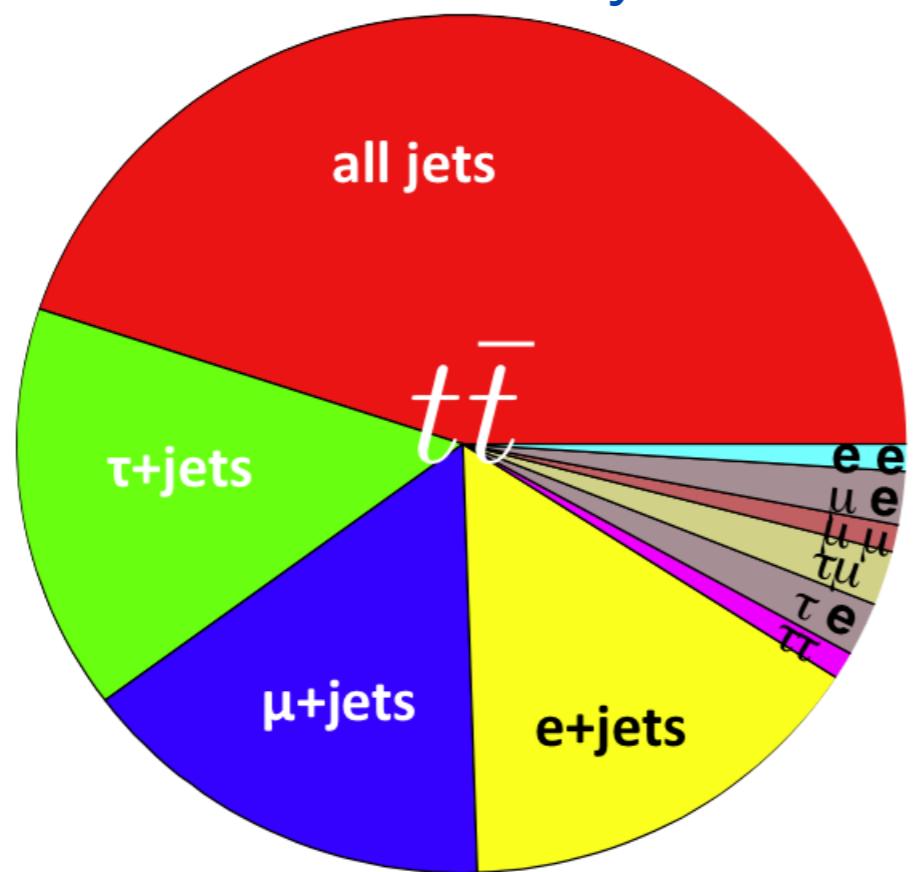


# Top production and decay

- Top pair production is dominant mode at LHC:



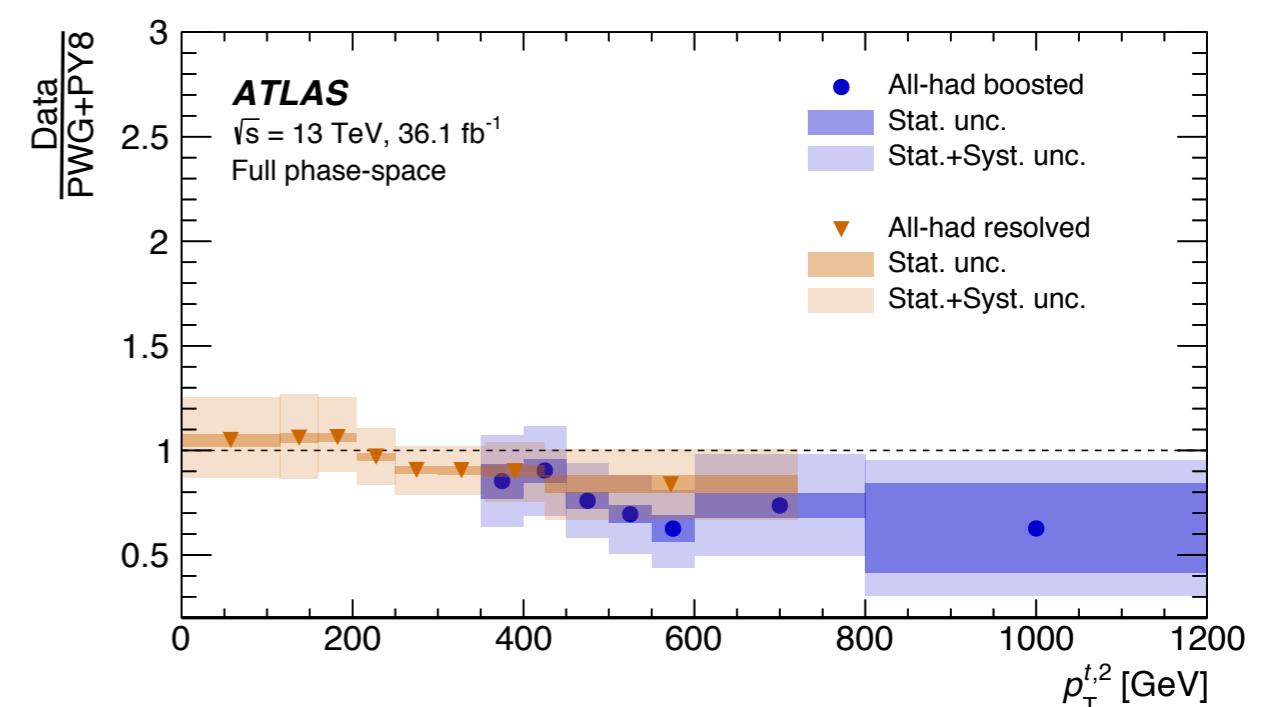
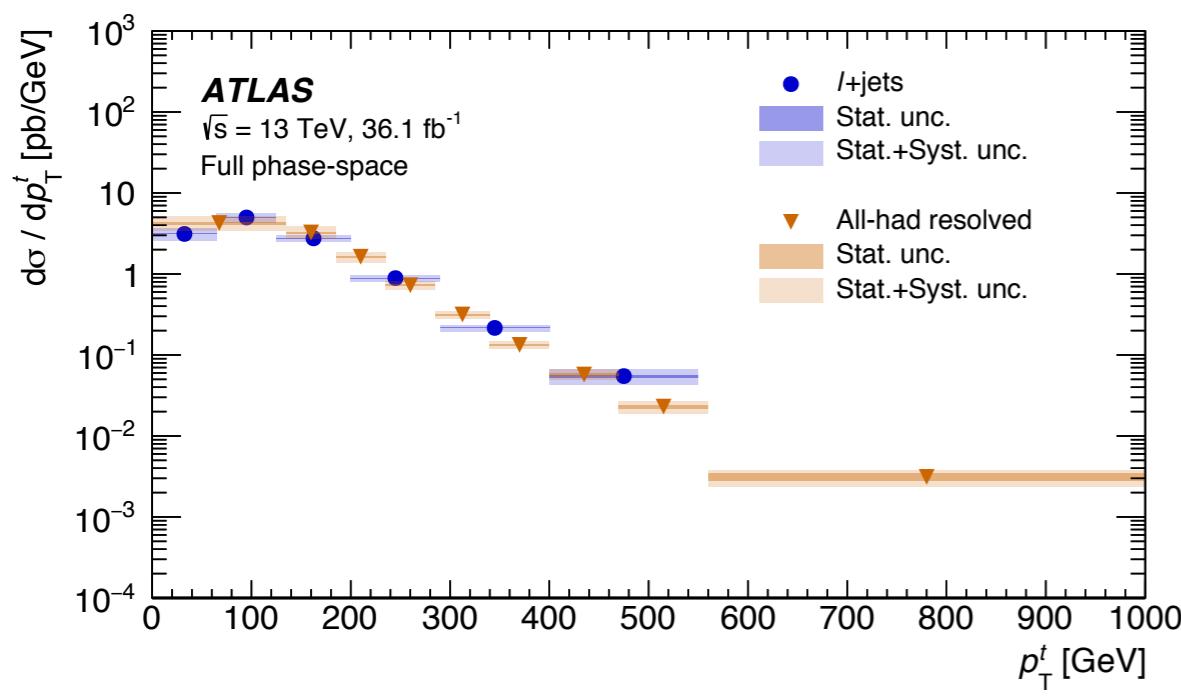
- Top decays to  $Wb$  in SM, final state determined by  $W$  decays:



- All hadronic:
  - 2 b-jets + 4 q-jets
  - High Br
  - Large multijet background
- Lepton-plus-jets:
  - $e / \mu + v + 2$  b-jets + 2 q-jets
  - Good Br
  - Manageable backgrounds
- Di-lepton:
  - $ee / \mu\mu / e\mu + vv + 2$  b-jets
  - Small Br
  - Small backgrounds

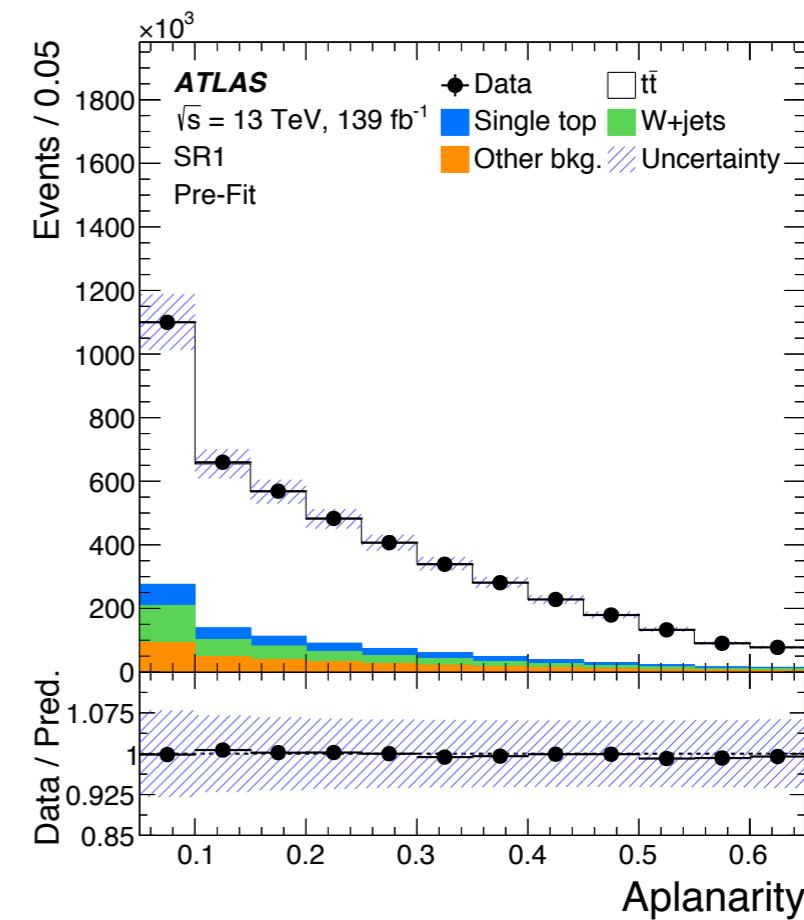
# ATLAS I+jets & all-hadronic

- Comparison of ATLAS I+jets & all-hadronic and boosted & resolved results generally shows good agreement:



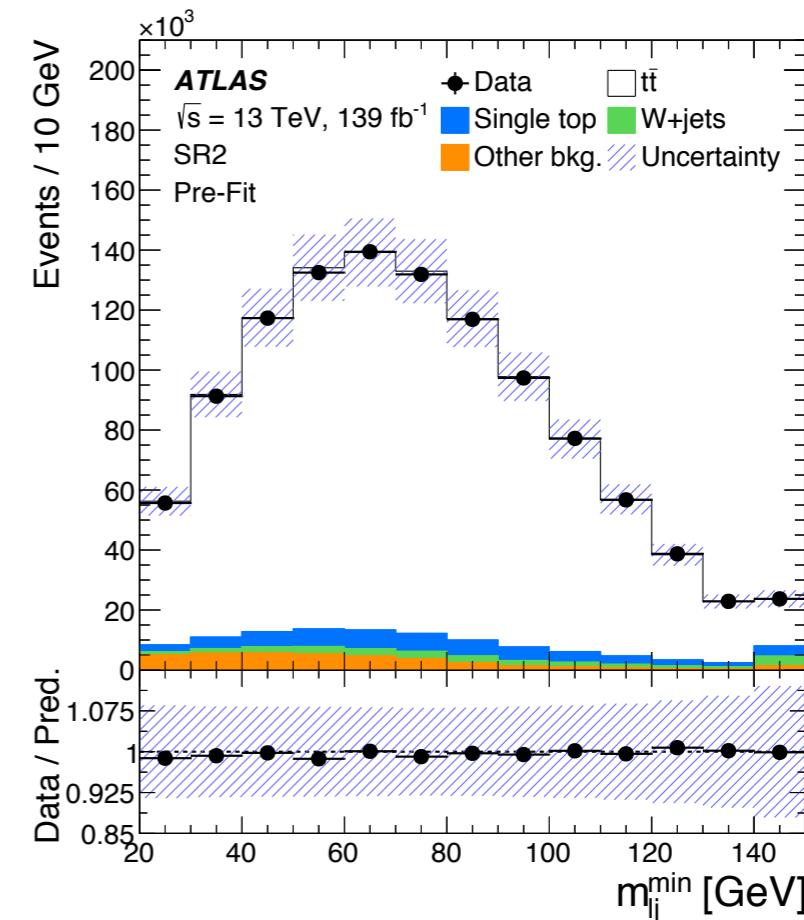
# ATLAS l+jets inclusive cross-section

- Recent dedicated ATLAS analysis on inclusive cross-section.
- Events must have 1 lepton,  $\geq 4$  jets & 1 or 2 b-jets and are split into signal regions:
  - SR1:  $\geq 4$  jets,  $\geq 1$  b-jet.
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  - SR3:  $\geq 5$  jets,  $\geq 2$  b-jets.
- Cross-section is extracted from a profile-likelihood fit to kinematic variables in the 3 regions.



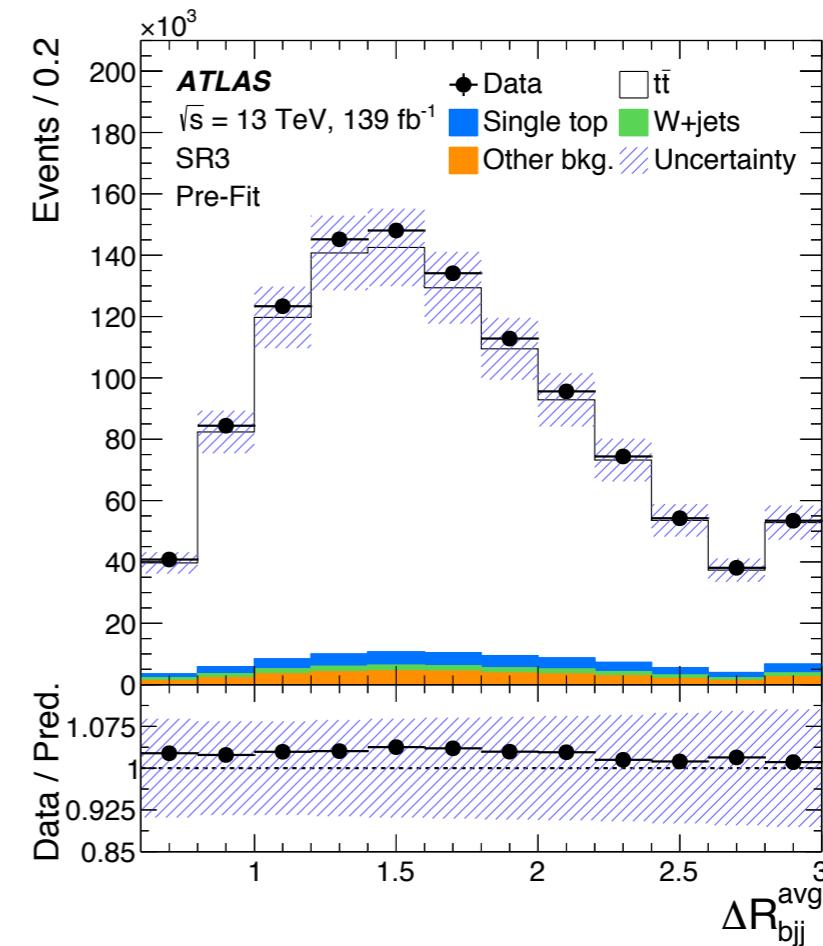
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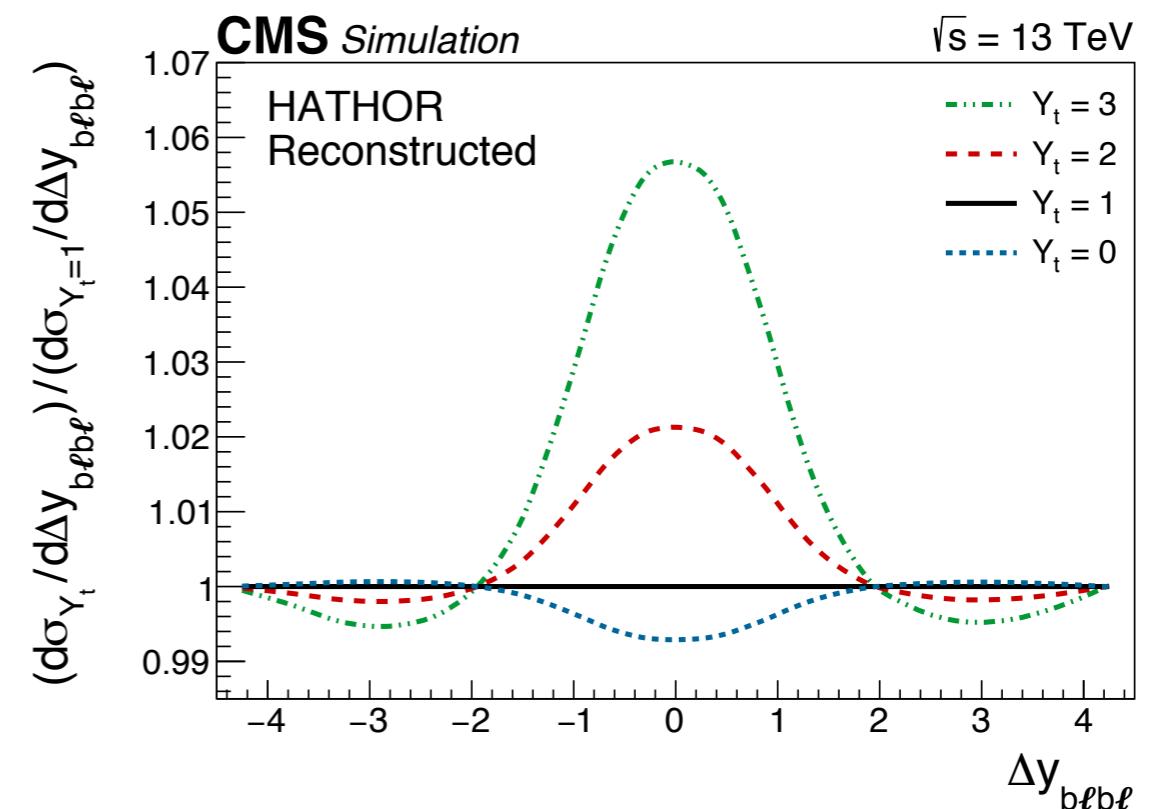
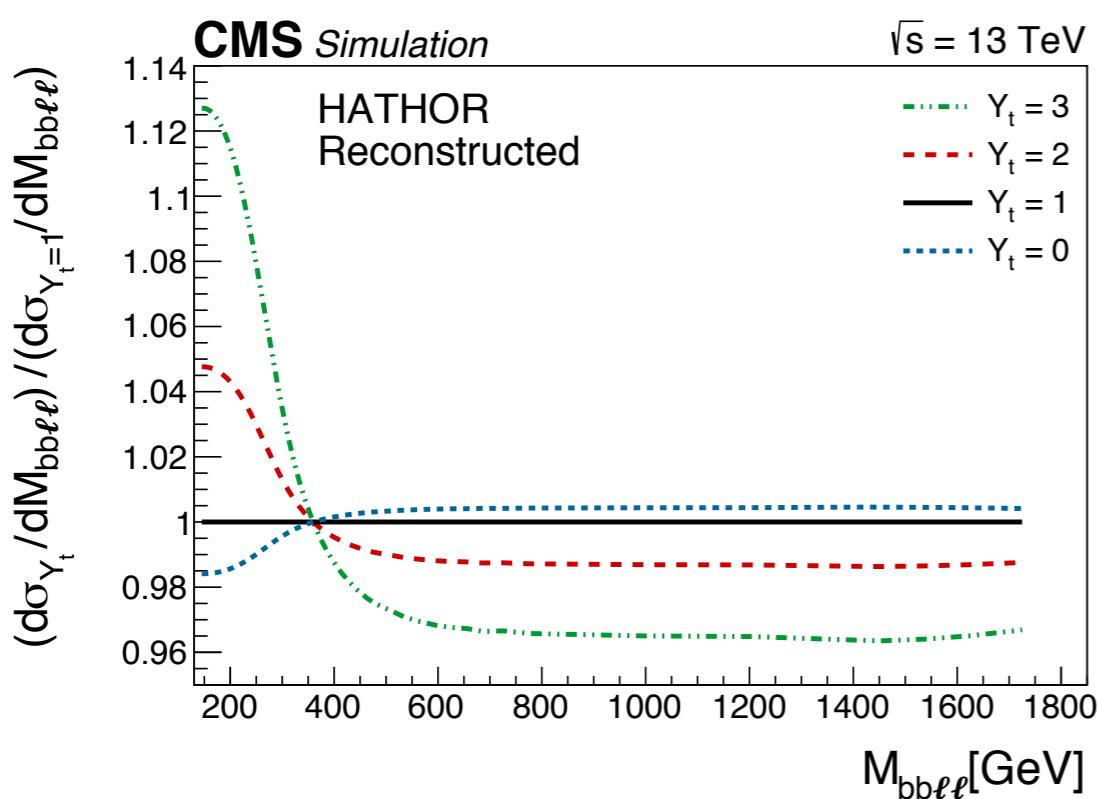
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# Differential cross-section & parameter extraction

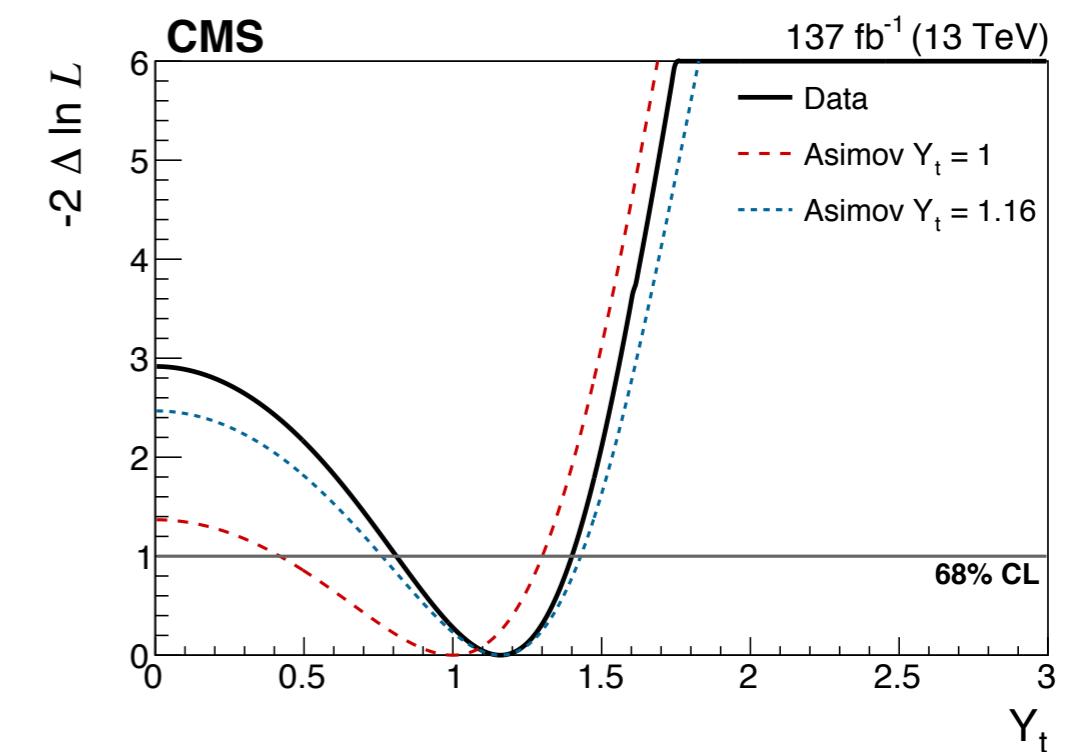
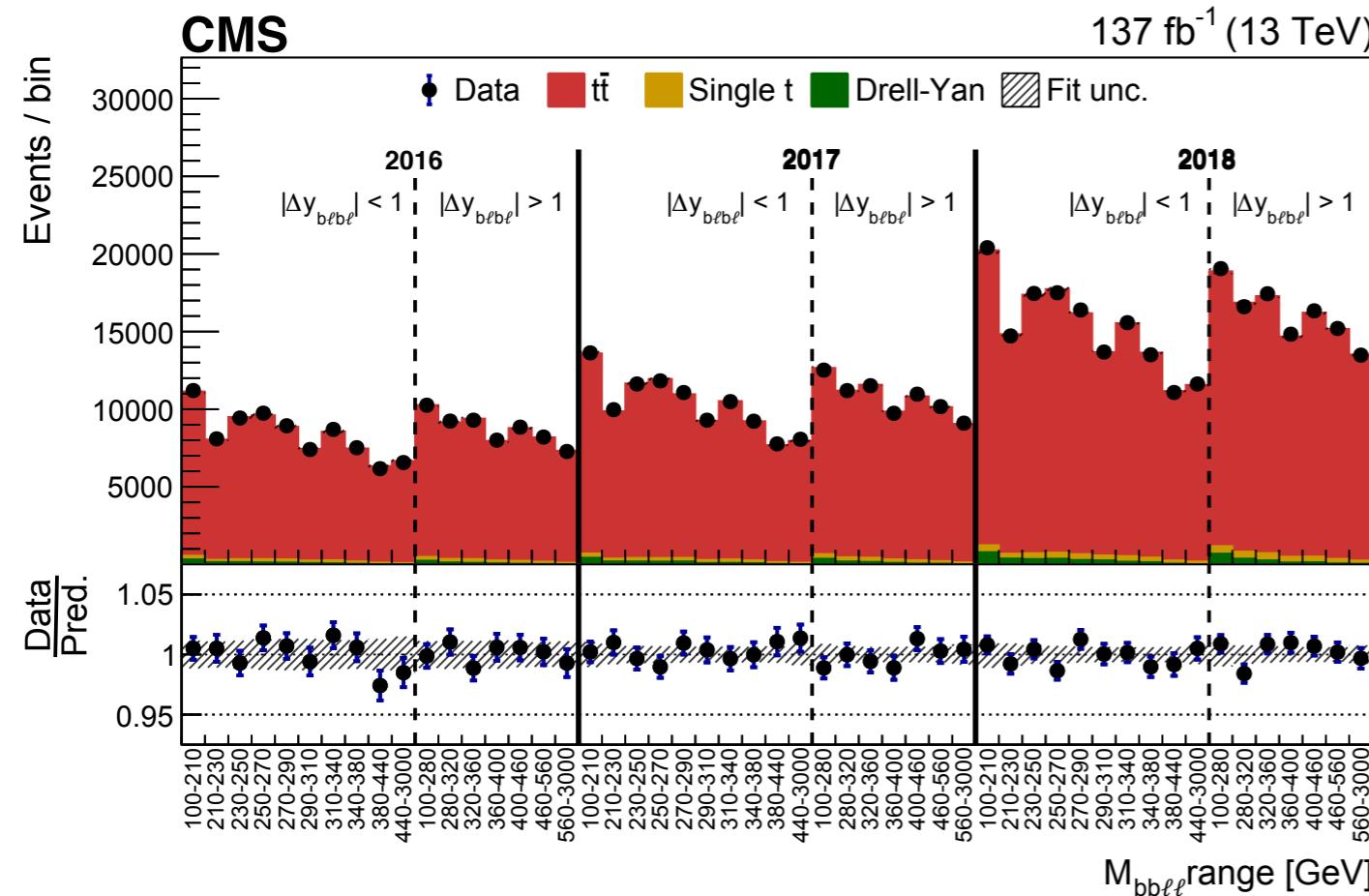
- $t\bar{t}$  differential distributions have sensitivity to  $Y_t$ ,  $m_t$ ,  $\alpha_s$ .
- Recent extraction of  $Y_t$  from CMS from the  $t\bar{t}$  distributions in the dilepton channel:



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$$Y_t = 1.16^{+0.24}_{-0.35}$$

Phys. Rev. D 102 (2020) 092013