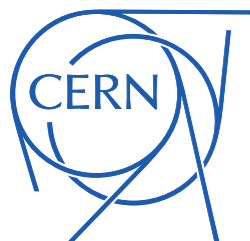


# Hunting for New Physics in Neutrino Oscillation Experiments

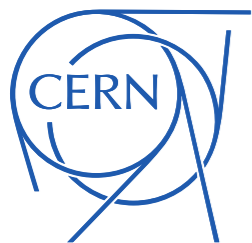
Joachim Kopp (CERN & Uni Mainz)  
Chung-Ang University BSM Workshop | 1<sup>st</sup> February 2021



# Outline

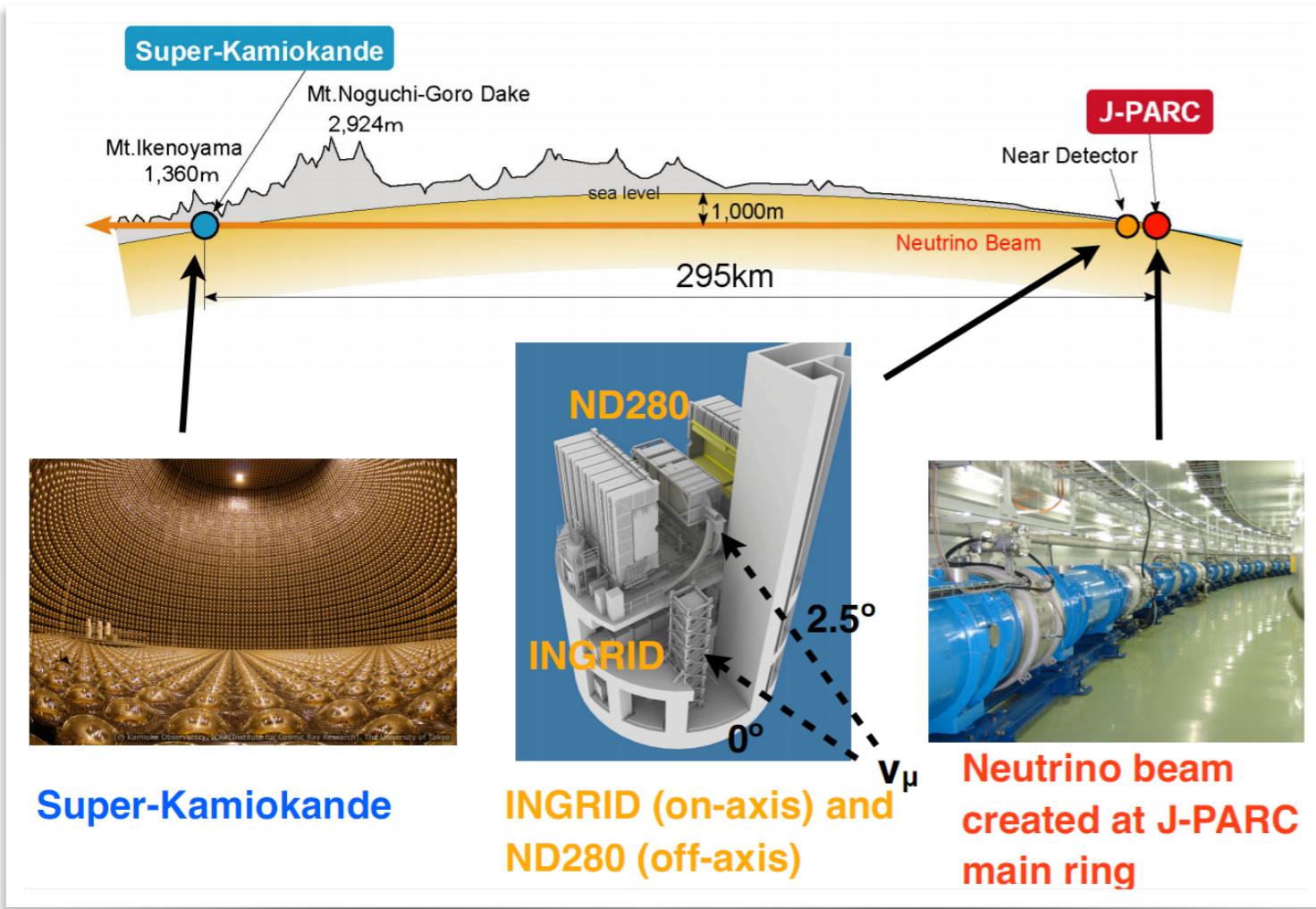
- Neutrino Oscillation Experiments
- Sterile Neutrinos
- New Neutrino Interactions
- Near Detectors

# Neutrino Oscillation Experiments

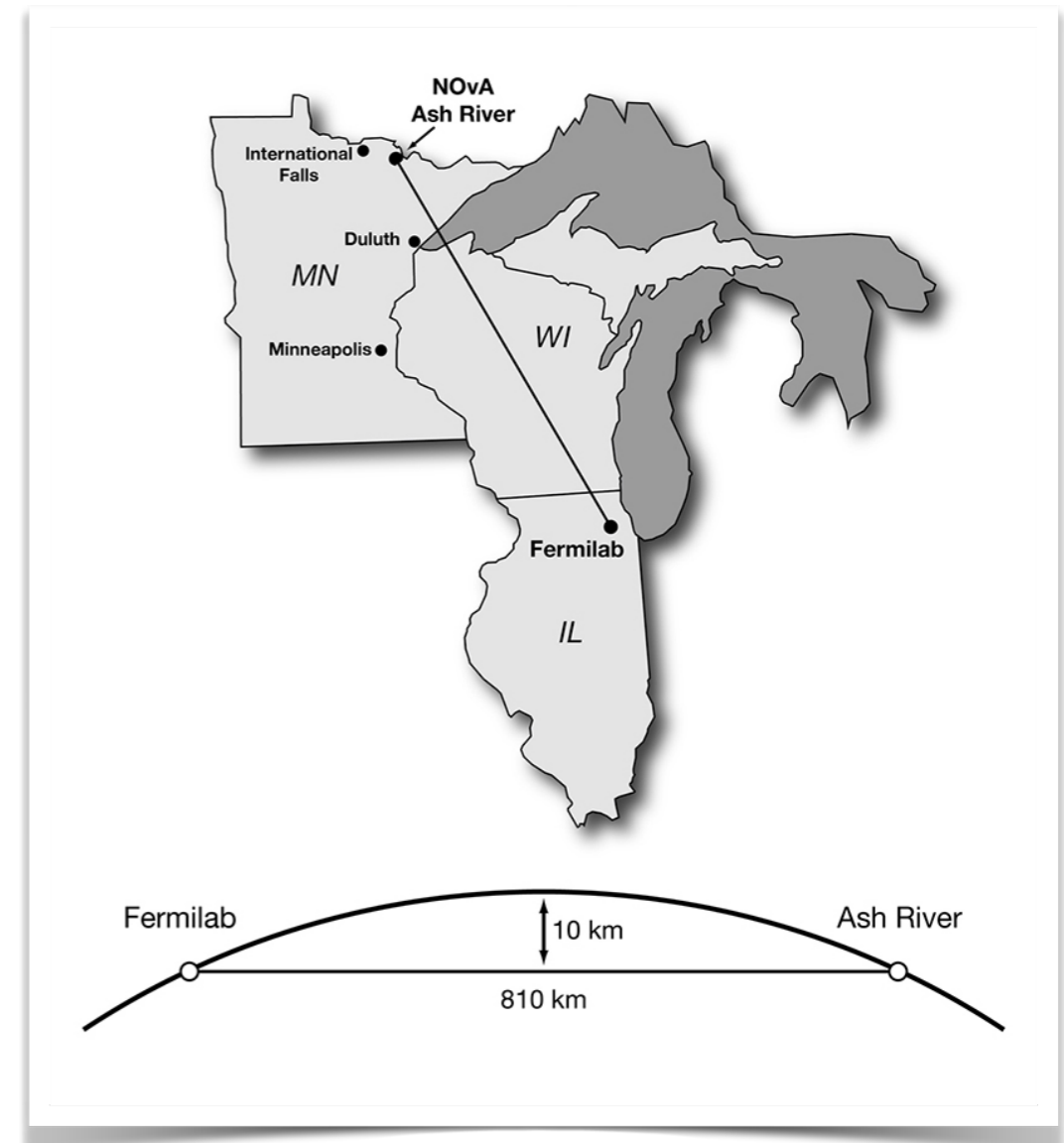


# Current Neutrino Flagships

## T2K



## NOvA



Blair (T2K) 1509.08889



# What we Know About Neutrino Oscillations

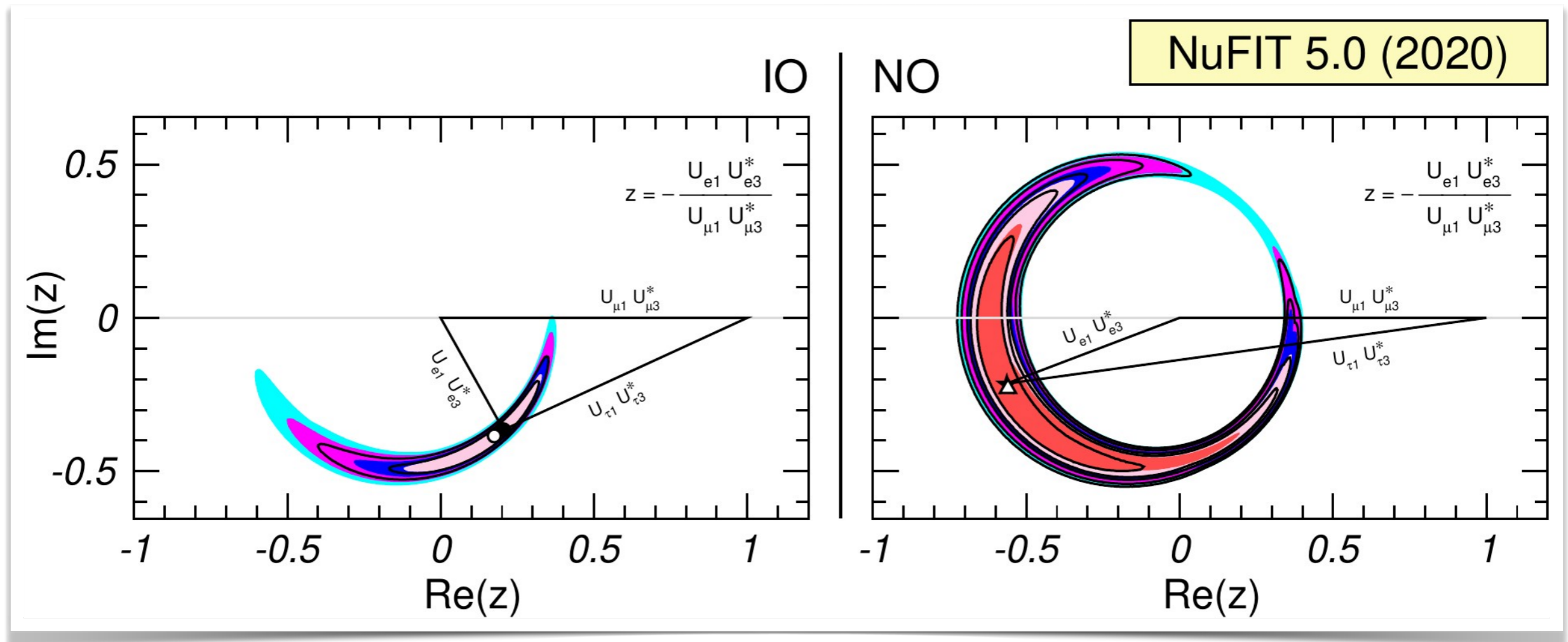


image credit: NuFit 5.0

# What we Know About Neutrino Oscillations

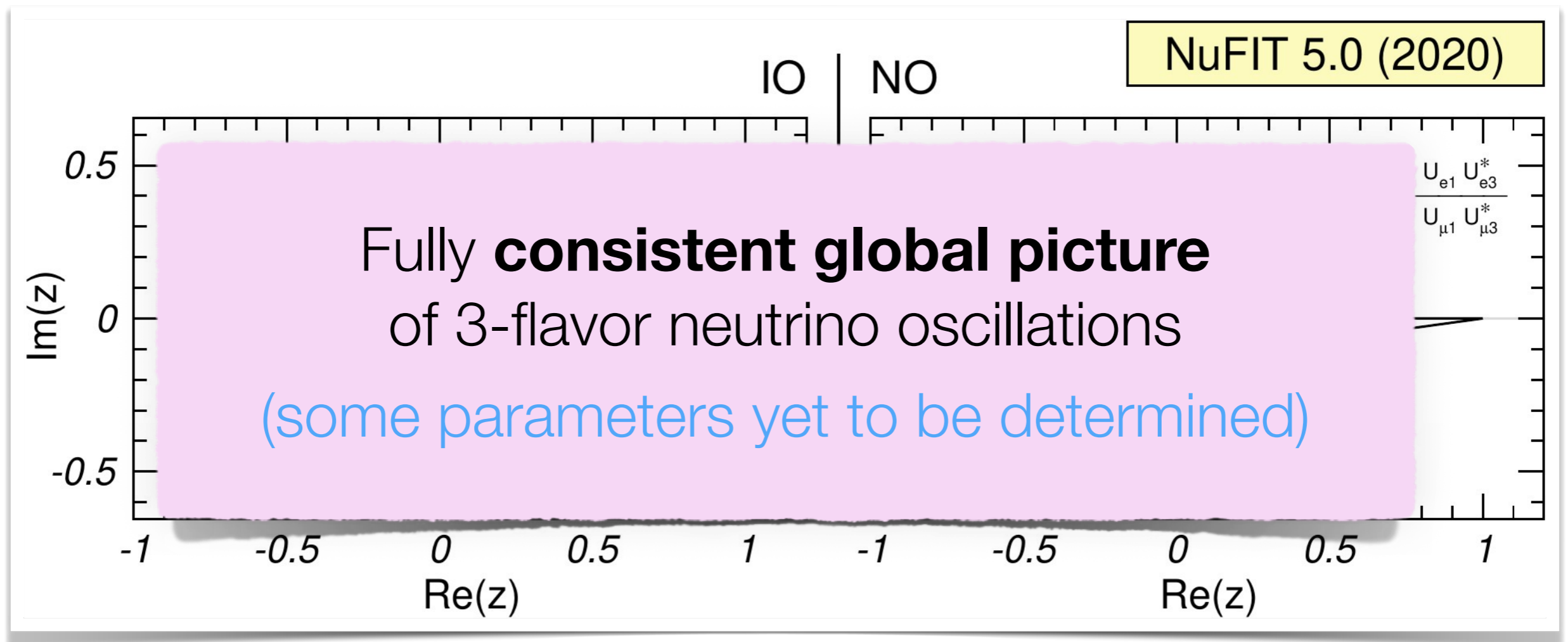
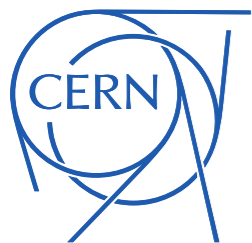


image credit: NuFit 5.0

In this talk we will look for dents in this picture



# Sterile Neutrinos?



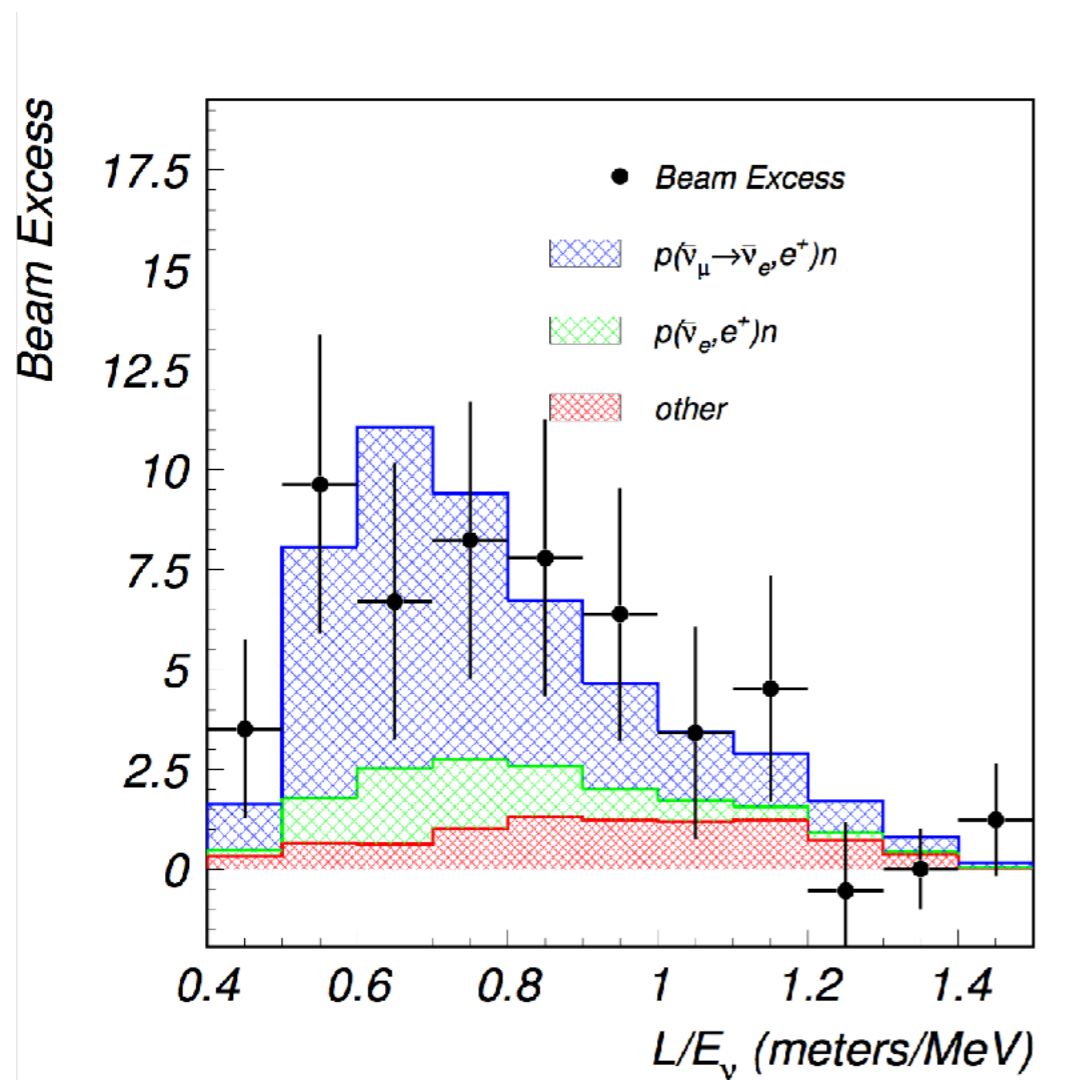


# Anomalies in Short Baseline Oscillations

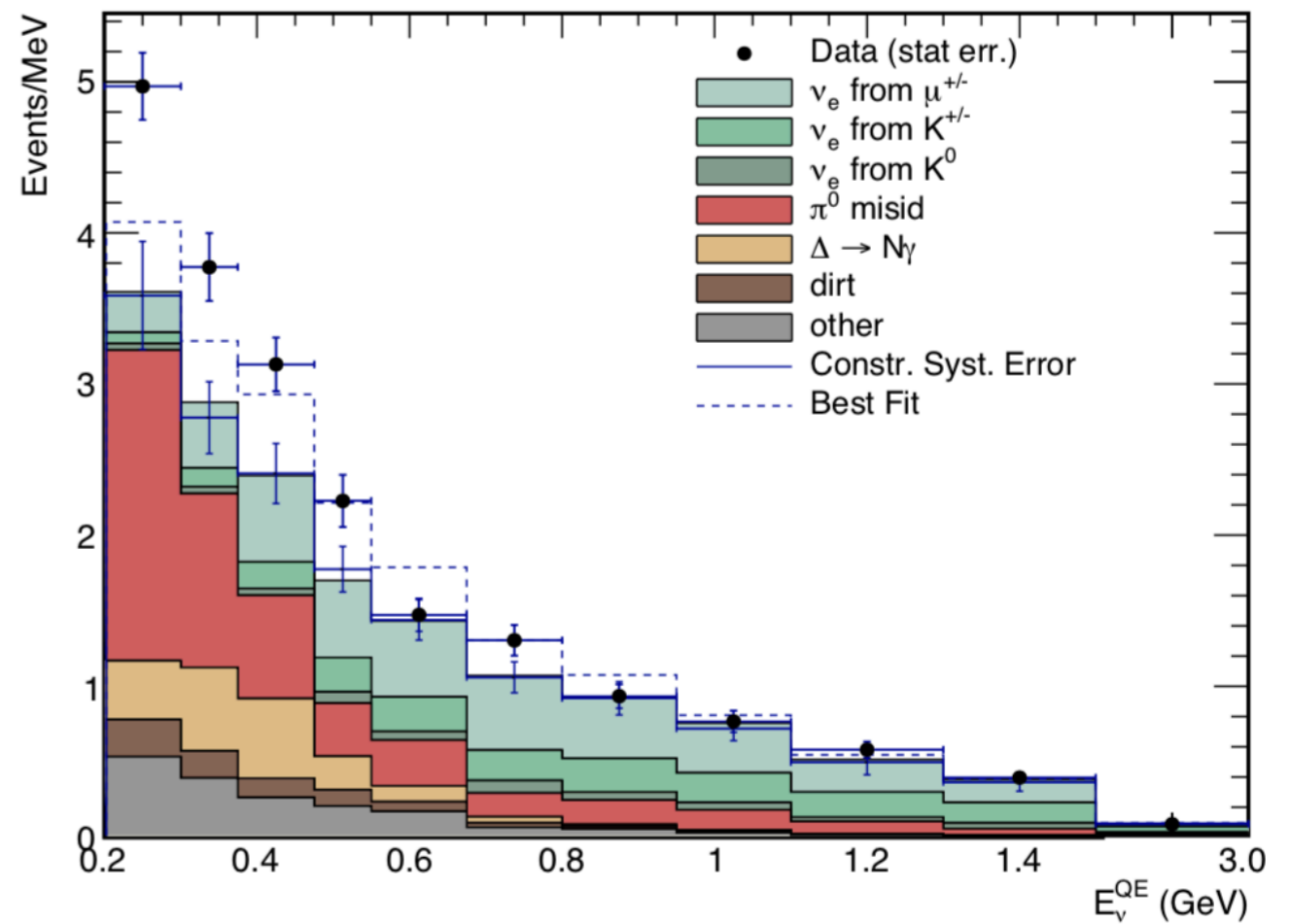


# Anomalies in Short Baseline Oscillations

☑ LSND / MiniBooNE: anomalous  $\nu_\mu \rightarrow \nu_e$  oscillations



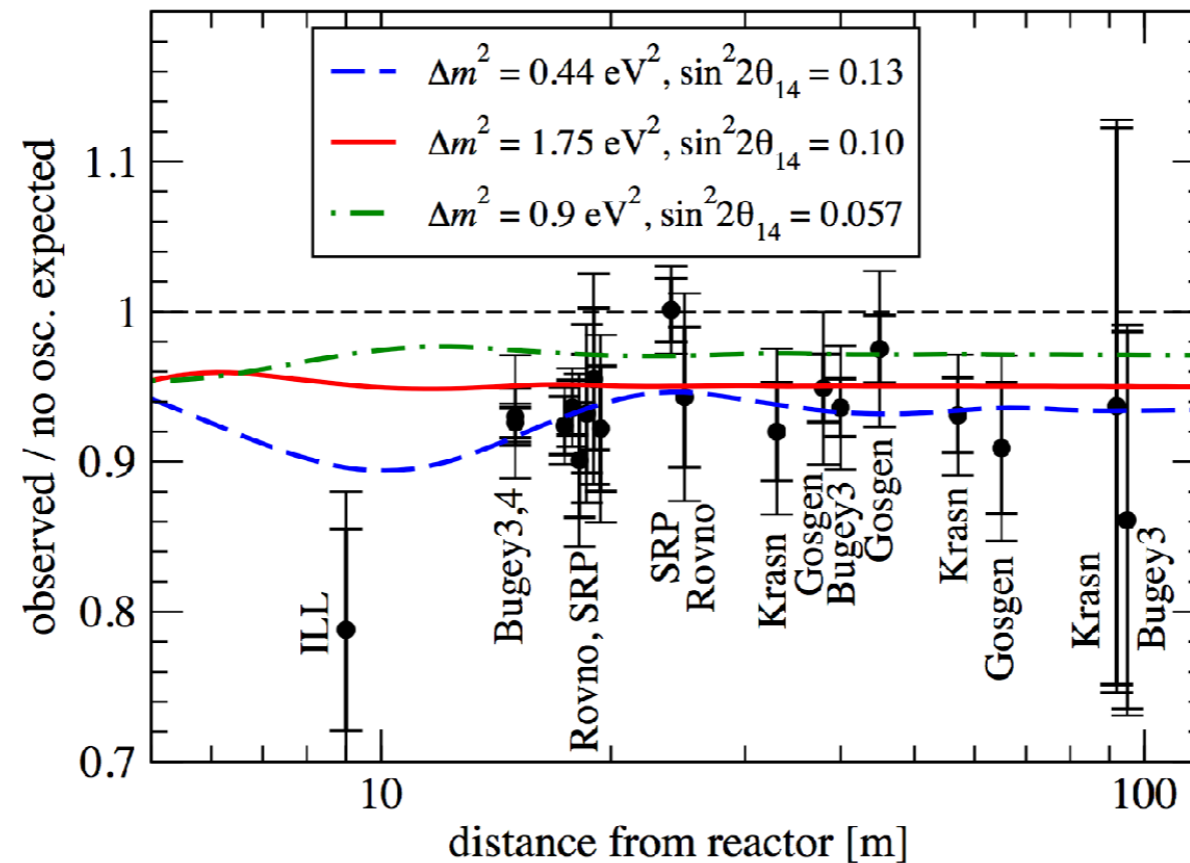
LSND 2001



MiniBooNE 2018

# Anomalies in Short Baseline Oscillations

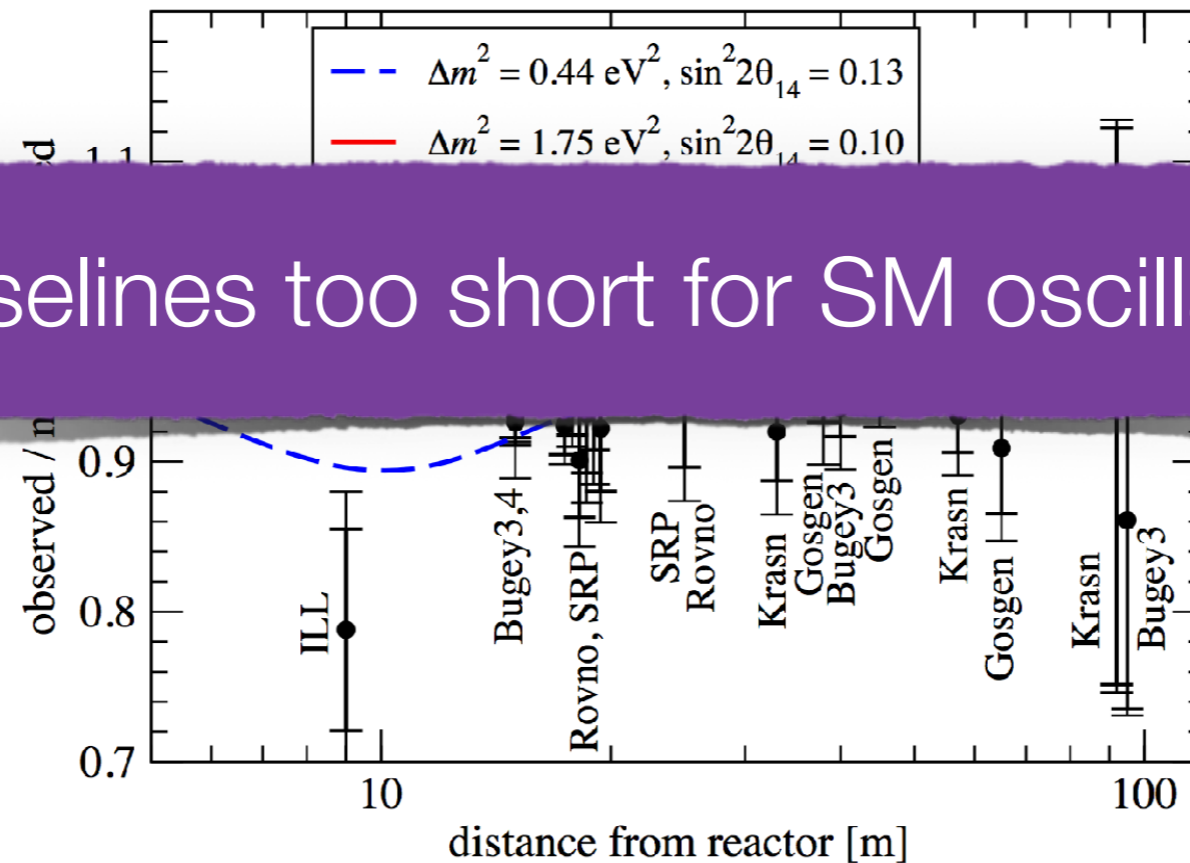
- ☑ LSND / MiniBooNE: anomalous  $\nu_\mu \rightarrow \nu_e$  oscillations
- ☑ Reactor & Gallium Experiments: anomalous  $\nu_e$  disappearance



Mention et al., [1101.2755](#)

# Anomalies in Short Baseline Oscillations

- ☑ LSND / MiniBooNE: anomalous  $\nu_\mu \rightarrow \nu_e$  oscillations
- ☑ Reactor & Gallium Experiments: anomalous  $\nu_e$  disappearance



Mention et al., [1101.2755](#)

# Light Sterile Neutrinos?

☑ Add extra neutrino flavor, promote mixing matrix to  $4 \times 4$

☑ Oscillation channels are related:

$$P_{\nu_e \rightarrow \nu_e} \simeq 1 - 2|U_{e4}|^2(1 - |U_{e4}|^2)$$

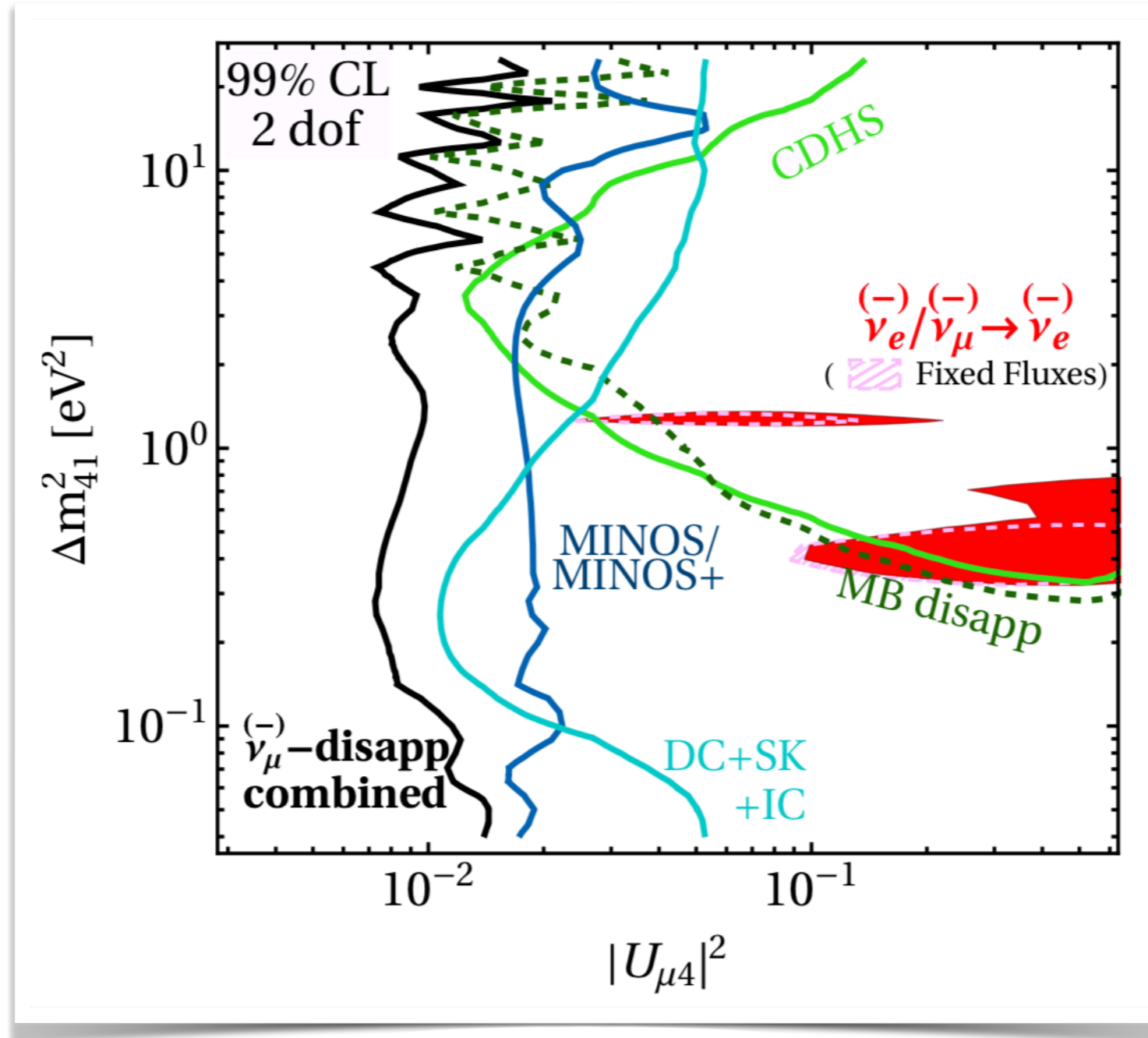
$$P_{\nu_\mu \rightarrow \nu_\mu} \simeq 1 - 2|U_{\mu4}|^2(1 - |U_{\mu4}|^2)$$

$$P_{\nu_\mu \rightarrow \nu_e} \simeq 2|U_{e4}|^2|U_{\mu4}|^2$$

(for  $4\pi E / \Delta m_{41}^2 \ll L \ll 4\pi E / \Delta m_{31}^2$ )

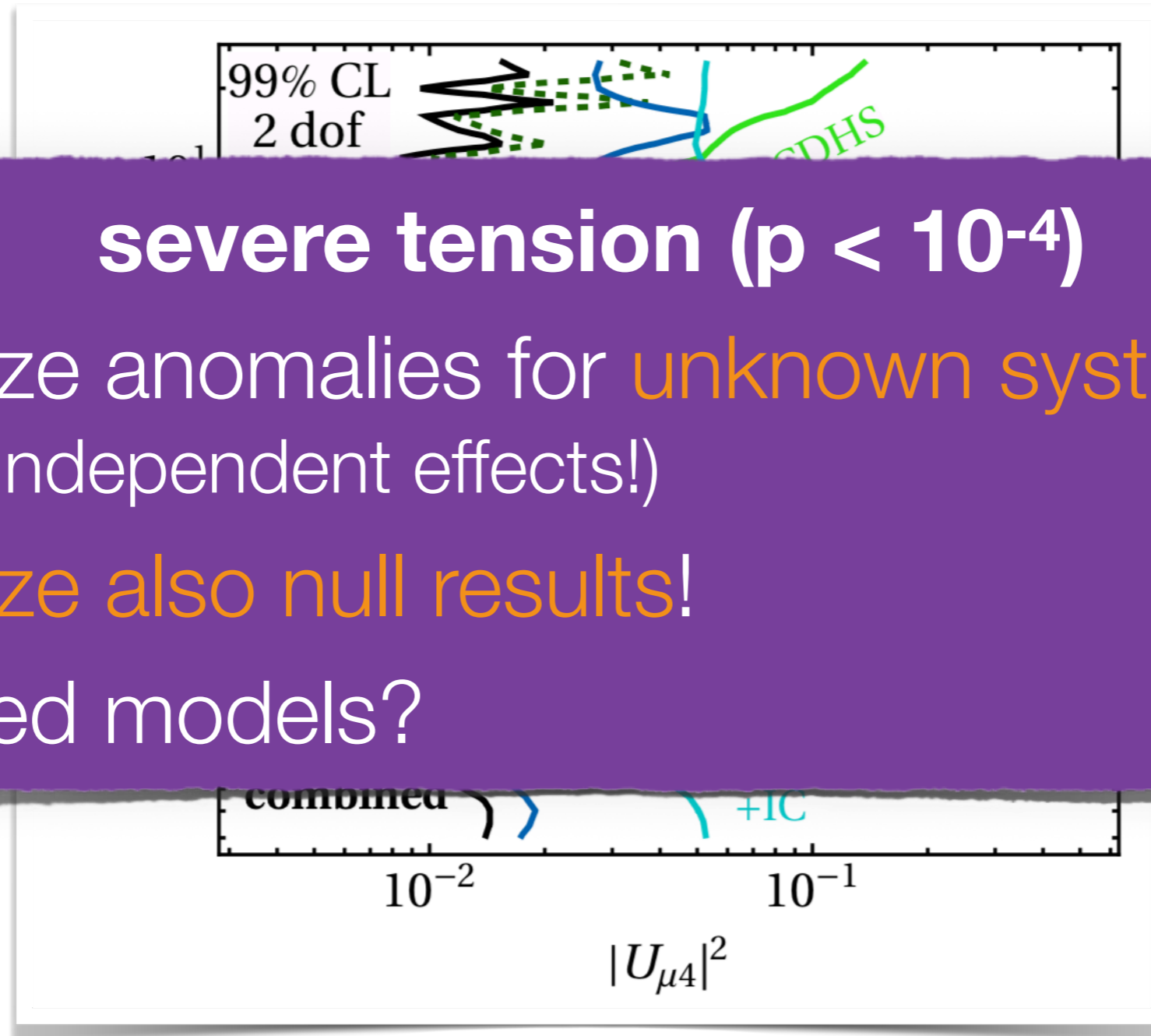
☑ Models can be **over-constrained**.

# Global Fit in 3+1 Model



Dentler Hernandez JK Machado Maltoni Martinez Schwetz, [1803.10661](#)  
 see also works by Collin Argüelles Conrad Shaevitz, [1607.00011](#)  
 Gariazzo Giunti Laveder Li, [1703.00860](#)

# Global Fit in 3+1 Model



**severe tension ( $p < 10^{-4}$ )**

- ★ scrutinize anomalies for **unknown systematics** (need 4 independent effects!)
- ★ **scrutinize also null results!**
- ★ extended models?

Dentler Hernandez JK Machado Maltoni Martinez Schwetz, [1803.10661](#)  
see also works by Collin Argüelles Conrad Shaevitz, [1607.00011](#)  
Gariazzo Giunti Laveder Li, [1703.00860](#)

# Extended Sterile Neutrino Models

- ☑ Sterile Neutrino production in the target, followed by  $\nu_s \rightarrow \nu + \gamma$  decay in the detector (MiniBooNE cannot distinguish  $e^\pm$  and  $\gamma$ )

Fischer Hernández-Cabezudo Schwetz, 1909.09561

- ☑ Sterile Neutrino production in the detector, followed by  $\nu_s \rightarrow \nu + \gamma$  decay

Gninenko, 1009.5536

- ☑ Sterile Neutrino production in the detector, followed by  $\nu_s \rightarrow \nu + (A' \rightarrow e^+e^-)$  decay (on-shell or off-shell)

Bertuzzo Jana Machado Zukanovich-Funchal, 1807.09877

Ballett Pascoli Ross-Lonergan, 1808.02915

- ☑ Sterile Neutrino production in the target, followed by  $\nu_s \rightarrow \nu_{e,\mu,\tau} + \varphi$  decay in flight

Dentler Esteban JK Machado, 1911.01427



# Extended Sterile Neutrino Models

- ☑ Sterile Neutrino production in the target  
 $\nu_s \rightarrow \nu + \gamma$  decay in the detector  
(MiniBooNE cannot distinguish  $e^\pm$  and  $\gamma$ )

difficulty reproducing  
angular distribution  
of MiniBooNE events

Fischer Hernández-Cabezudo Schwetz, 1909.09561

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 $\nu_s \rightarrow \nu + \gamma$  decay

Gninenko, 1009.5536

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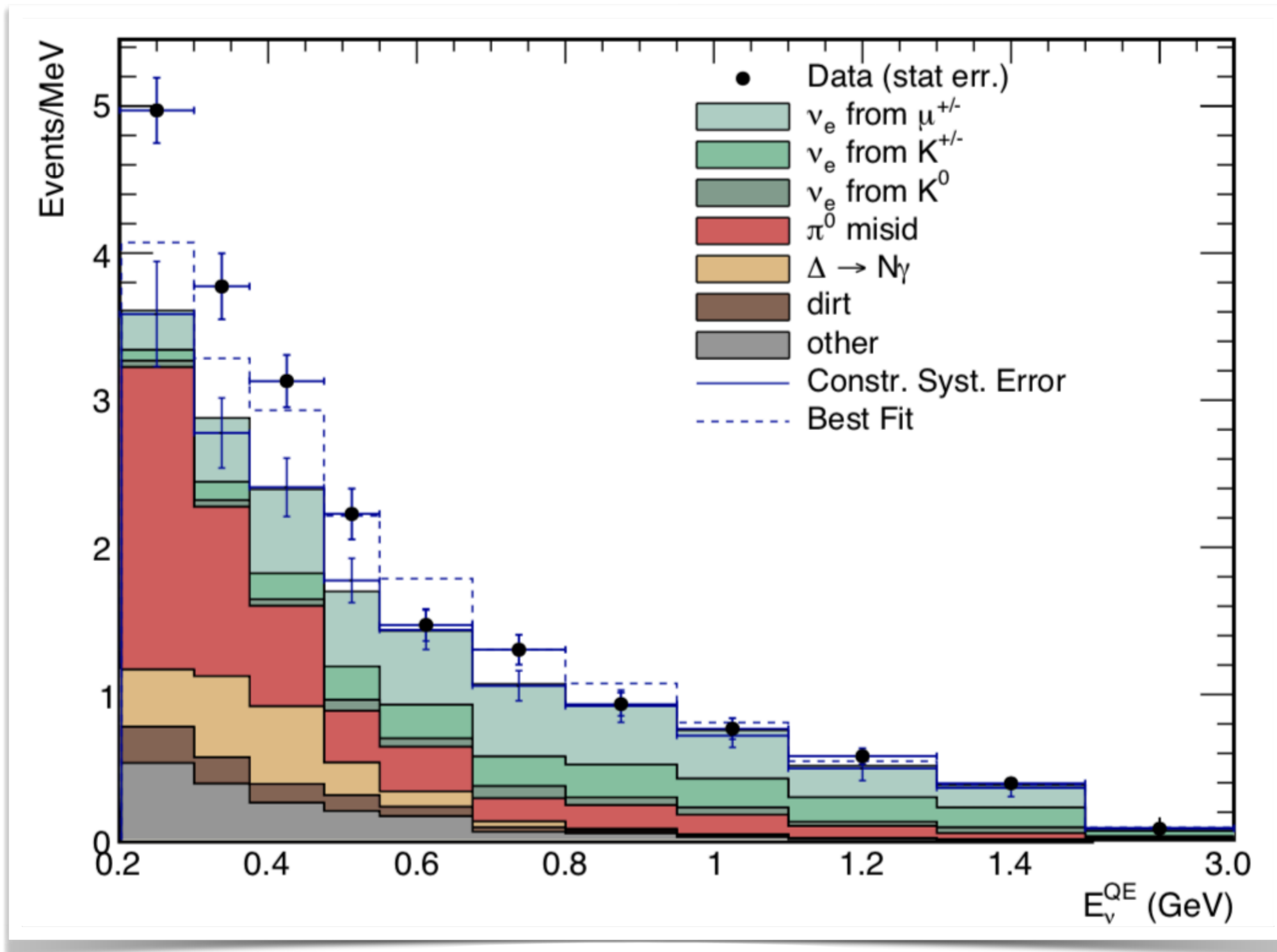
Bertuzzo Jana Machado Zukanovich-Funchal, 1807.09877

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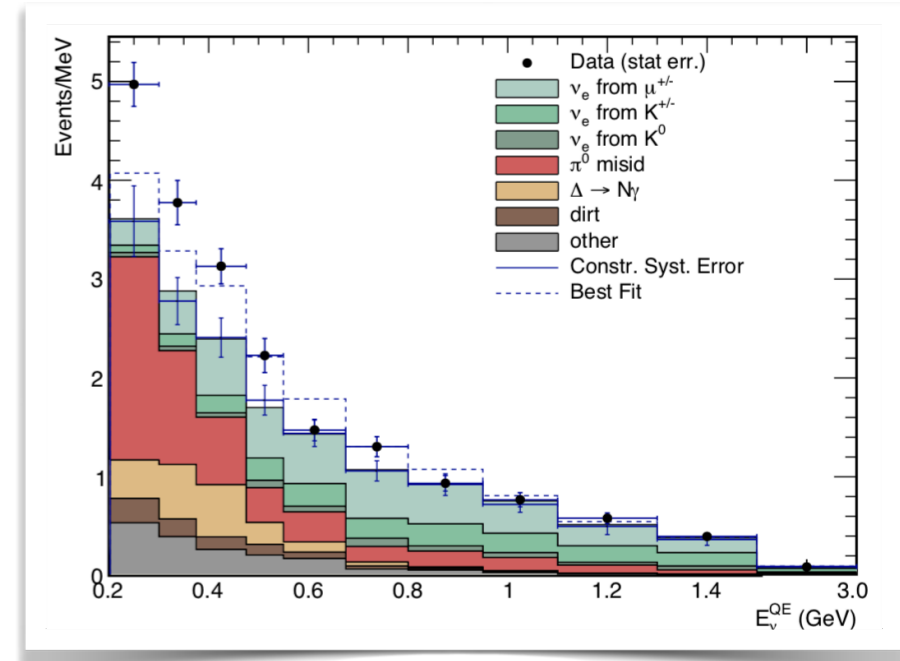
# MiniBooNE Backgrounds



MiniBooNE 2018

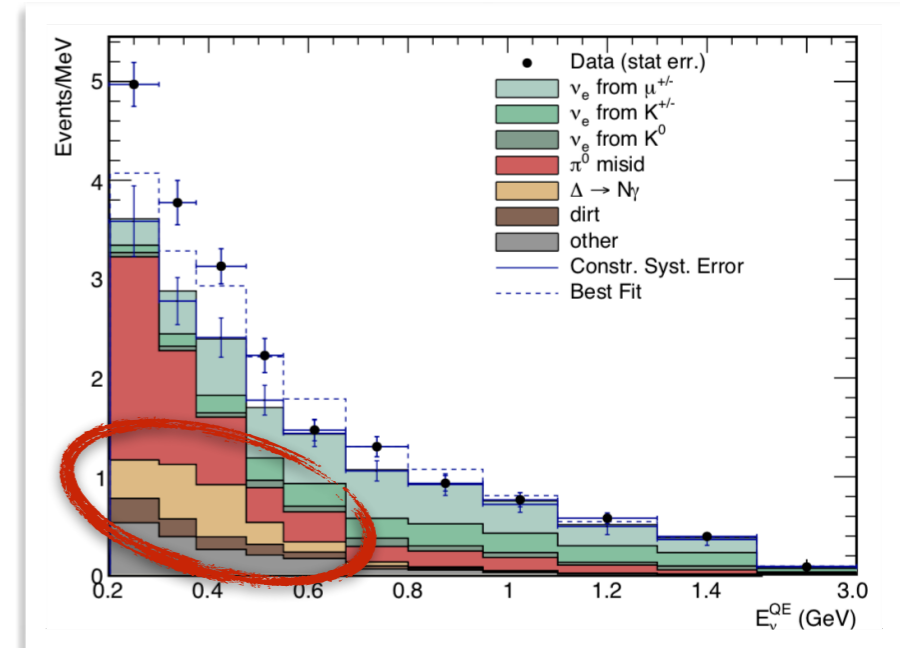
# $\Delta \rightarrow \gamma N$

- ☑ Neutral current neutrino interaction:  
 $\nu + N \rightarrow \nu + \Delta(1232)$
- ☑  $\Delta(1232)$  mostly decays to  $\pi + N$
- ☑ But a rare decay exists to  $\gamma + N$
- ☑ MiniBooNE cannot distinguish  $\gamma$  from  $e^-$



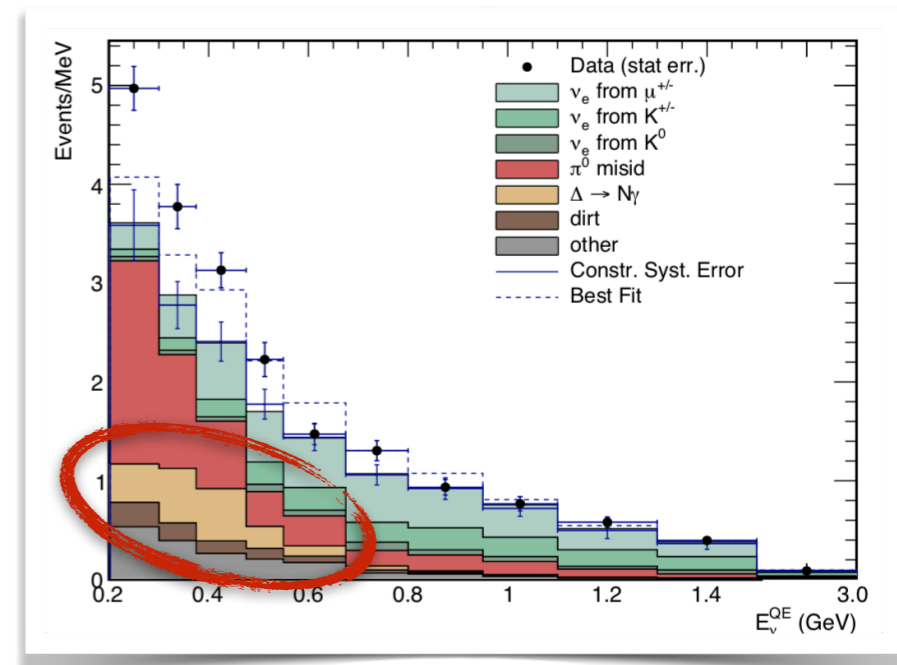
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- ☑ MiniBooNE cannot distinguish  $\gamma$  from  $e^-$



# $\Delta \rightarrow \gamma N$

- ☑  $\Delta$  production rate measured in  $\Delta \rightarrow \pi + N$
- ☑ Pions may be absorbed on their way out of the nucleus
  - may excite another  $\Delta$  resonance
    - ▢  $\Delta \rightarrow \gamma N$  enhanced
    - ▢ background prediction enhanced
  - or may be absorbed
    - ▢ control region suppressed
    - ▢ background prediction enhanced



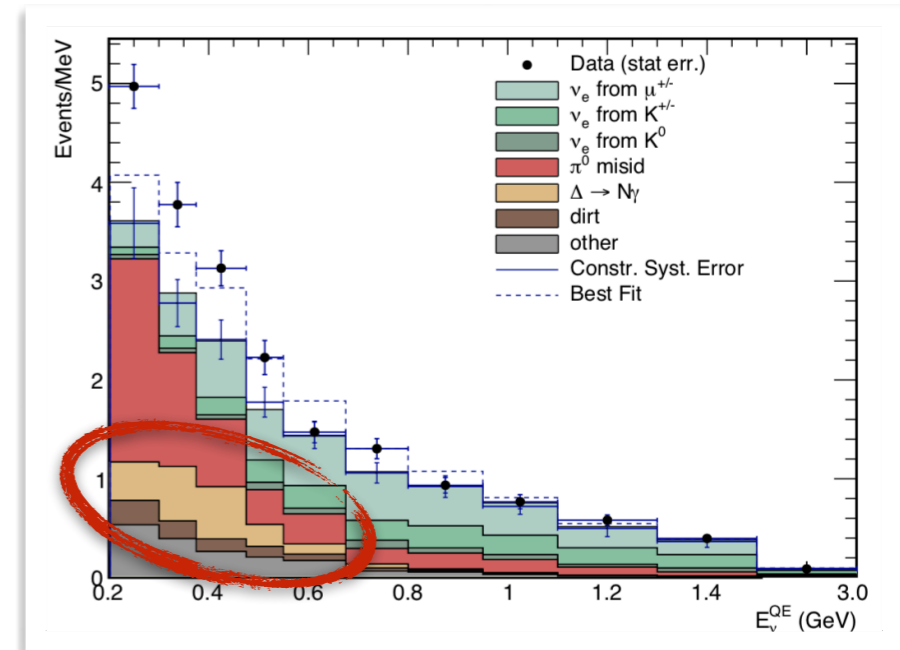
Ioannisian [1909.08571](https://arxiv.org/abs/1909.08571)

Giunti Ioannisian Ranucci [1912.01524](https://arxiv.org/abs/1912.01524)

- ☑ These effects have been modelled and have been taken into account by MiniBooNE

# $\Delta \rightarrow \gamma N$

- ☑ Uncertainty in  $BR(\Delta \rightarrow \gamma N)$ 
  - PDG: 0.55–0.65%
  - no measurements
- ☑ Even larger uncertainties for heavier resonances
- ☑ How reliable is the background estimate?

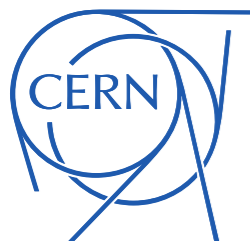


$$\Delta \rightarrow \gamma N$$

No successful explanation  
for the anomaly exists

But **theory uncertainties** are  
large and difficult to quantify

# New Neutrino Interactions





# Weak Effective Field Theory

Coloma Esteban Gonzalez-Garcia Maltoni [arXiv:1911.09109](https://arxiv.org/abs/1911.09109)

Biggio Blenow Fernandez-Martinez [arXiv:0907.0097](https://arxiv.org/abs/0907.0097)



☑ EFT valid below the electroweak scale

$$\mathcal{L}_{\text{NSI,NC}} = \sum_{f,\alpha,\beta} 2\sqrt{2}G_F \varepsilon_{\alpha\beta}^{f,P} (\bar{\nu}_\alpha \gamma_\mu P_L \nu_\beta) (\bar{f} \gamma^\mu P f) + \text{h.c.}$$

$$\mathcal{L}_{\text{NSI,CC}} = \sum_{f,f',\alpha,\beta} 2\sqrt{2}G_F \varepsilon_{\alpha\beta}^{ff',P} (\bar{\nu}_\alpha \gamma_\mu P_L \ell_\beta) (\bar{f}' \gamma^\mu P f) + \text{h.c.}$$

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**dimensionless coefficients**

(strength of new interactions  
relative to SM weak interactions)

Coloma Esteban Gonzalez-Garcia Maltoni [arXiv:1911.09109](https://arxiv.org/abs/1911.09109)

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☑ NC: non-standard **matter effects**

Coloma Esteban Gonzalez-Garcia Maltoni [arXiv:1911.09109](https://arxiv.org/abs/1911.09109)

Biggio Blennow Fernandez-Martinez [arXiv:0907.0097](https://arxiv.org/abs/0907.0097)

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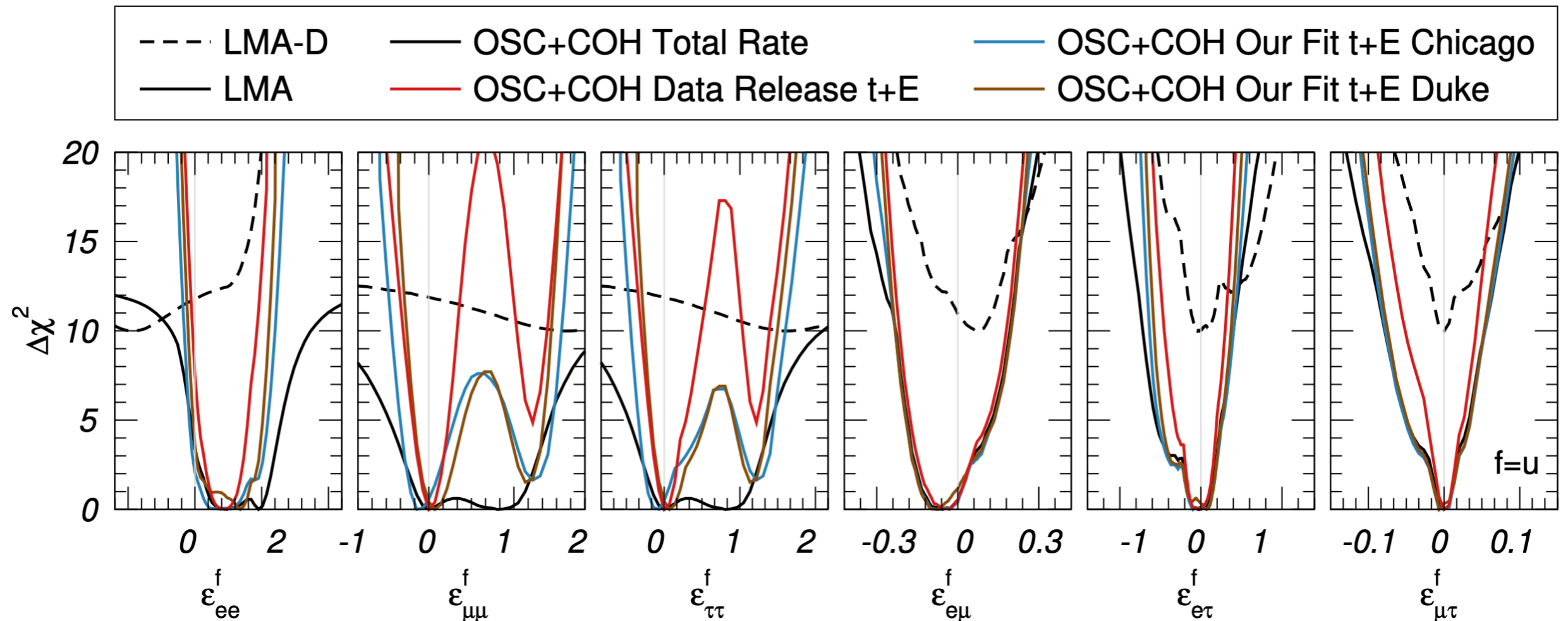
☑ NC: non-standard **matter effects**

☑ CC: anomalous **production and detection**

Coloma Esteban Gonzalez-Garcia Maltoni [arXiv:1911.09109](https://arxiv.org/abs/1911.09109)

Biggio Blennow Fernandez-Martinez [arXiv:0907.0097](https://arxiv.org/abs/0907.0097)

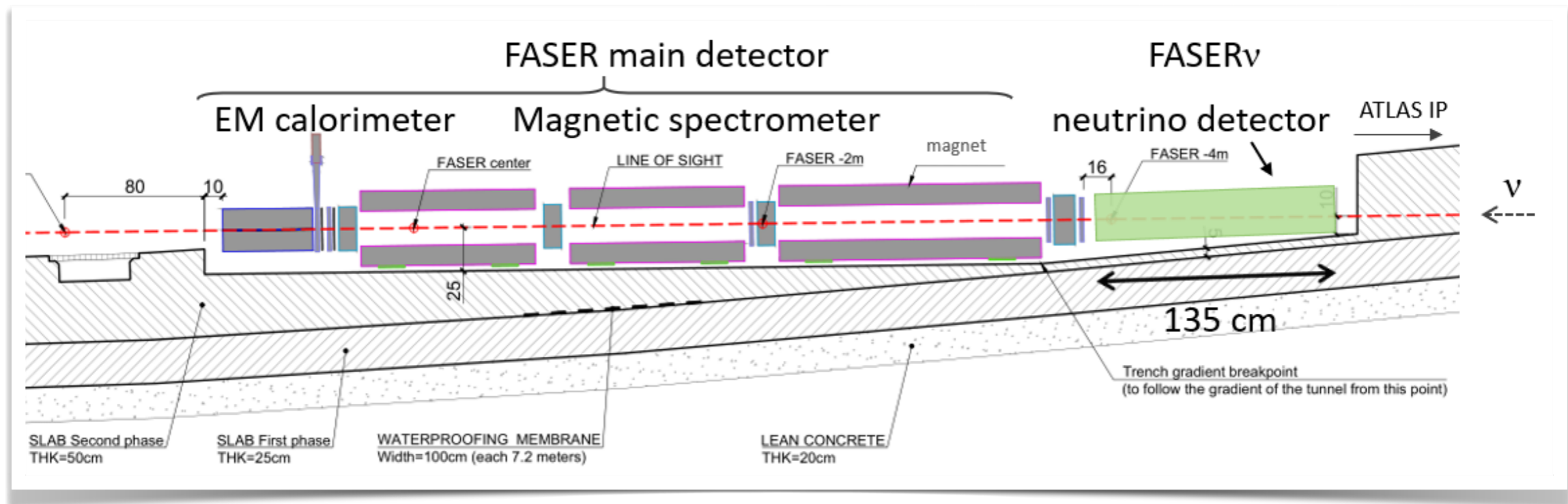
# Anomalous Neutral Currents



Coloma Esteban Gonzalez-Garcia Maltoni arXiv:1911.09109

# Anomalous Charged Currents

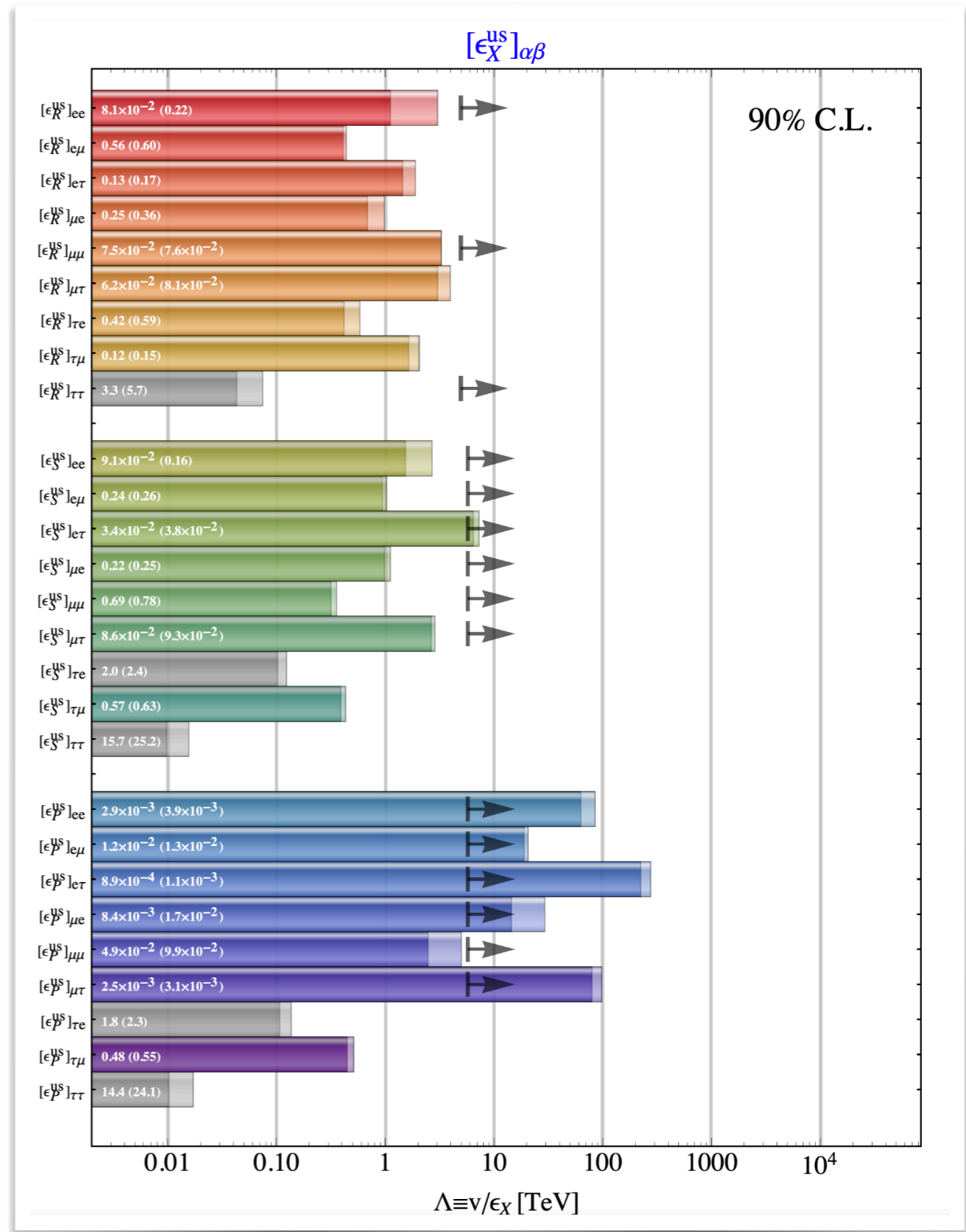
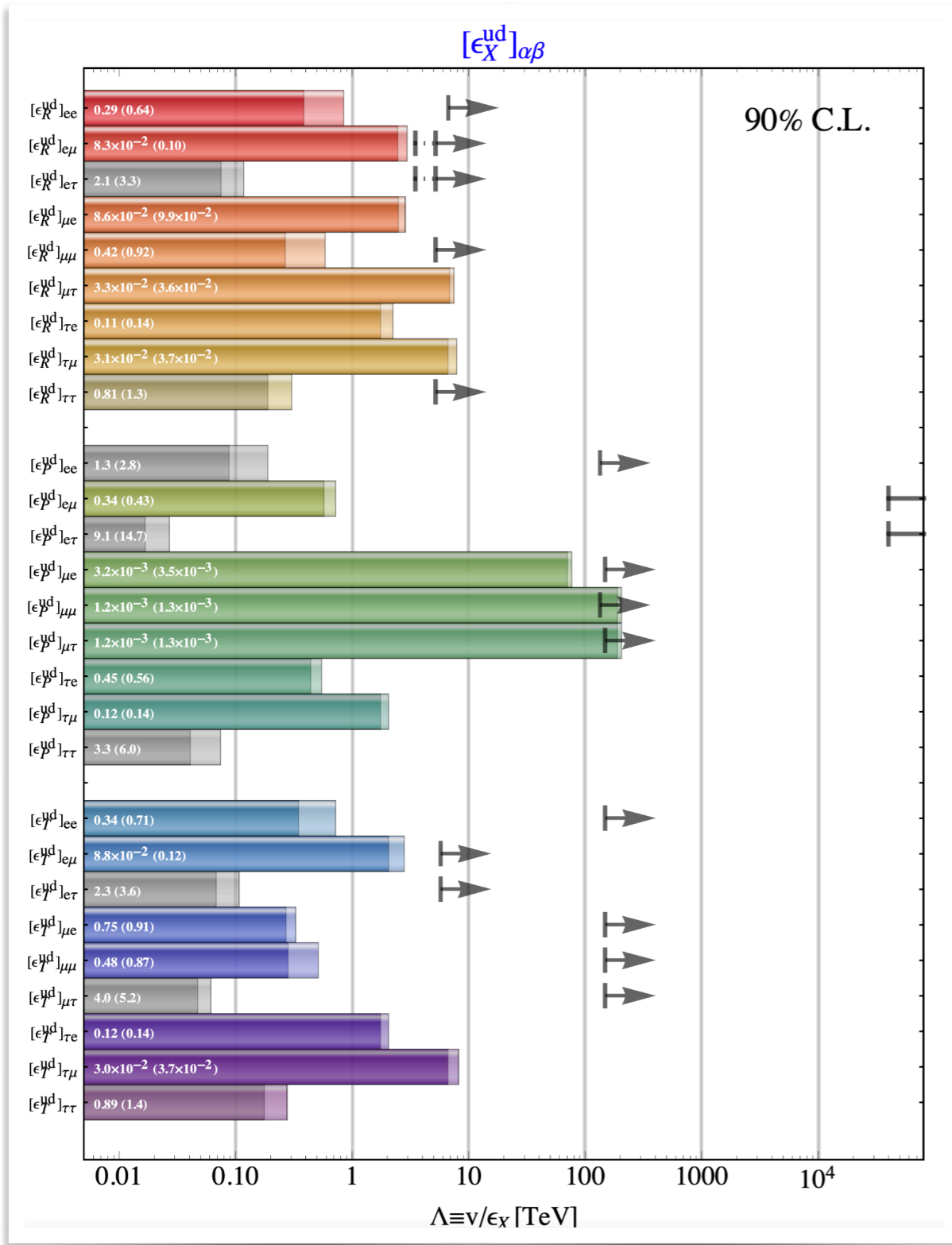
☑ Interesting new opportunity: **FASER $\nu$**  at the **LHC**



<https://faser.web.cern.ch/about-the-experiment/detector-design/fasernu>

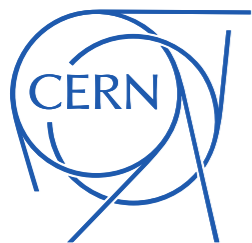


# Anomalous Charged Currents



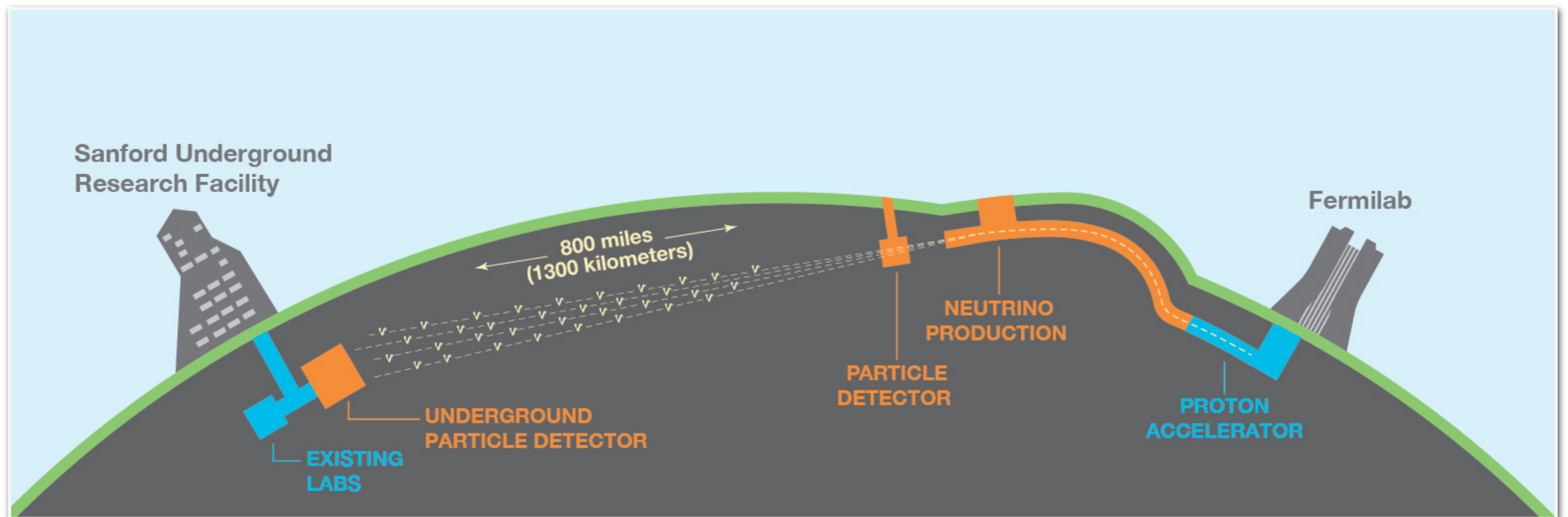
Falkowski Gonzalez-Alonso  
JK Soreq Tabrizi, *in preparation*

# Near Detectors



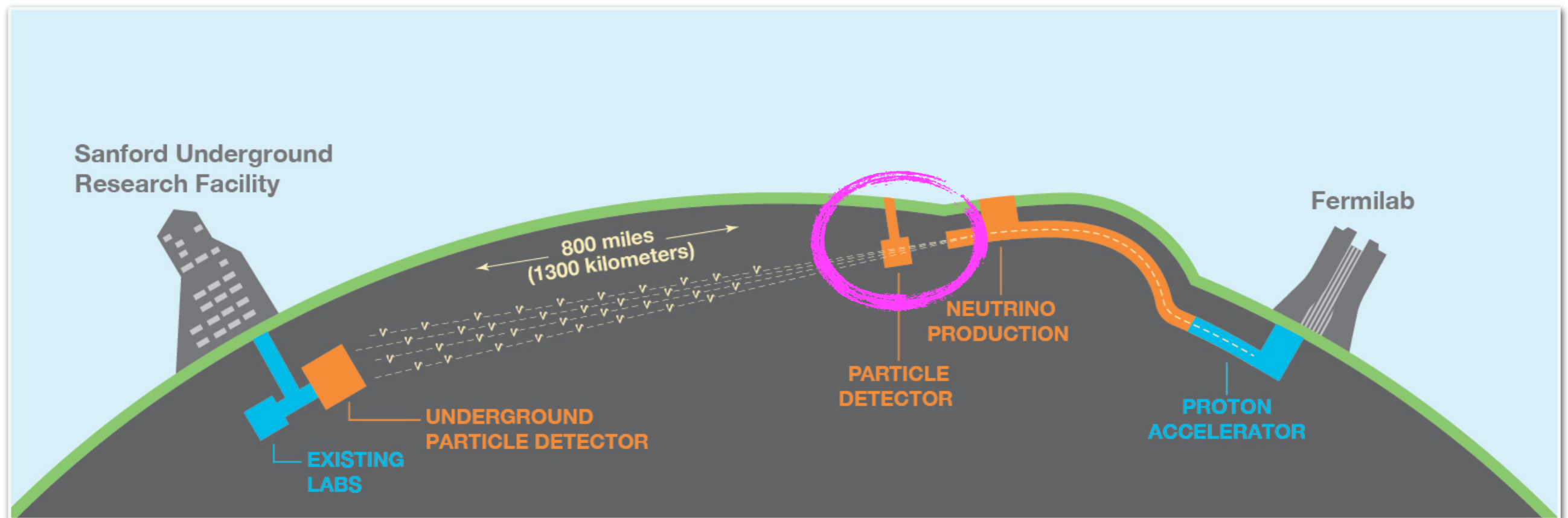
# The Need for Near Detectors

- ☑ Large systematic uncertainties in
  - Composition of **neutrino beam**
  - Neutrino interaction **cross sections**
- ☑ Dedicated detectors close to the source (“**near detectors**”) measure the **unoscillated neutrino event rate**.

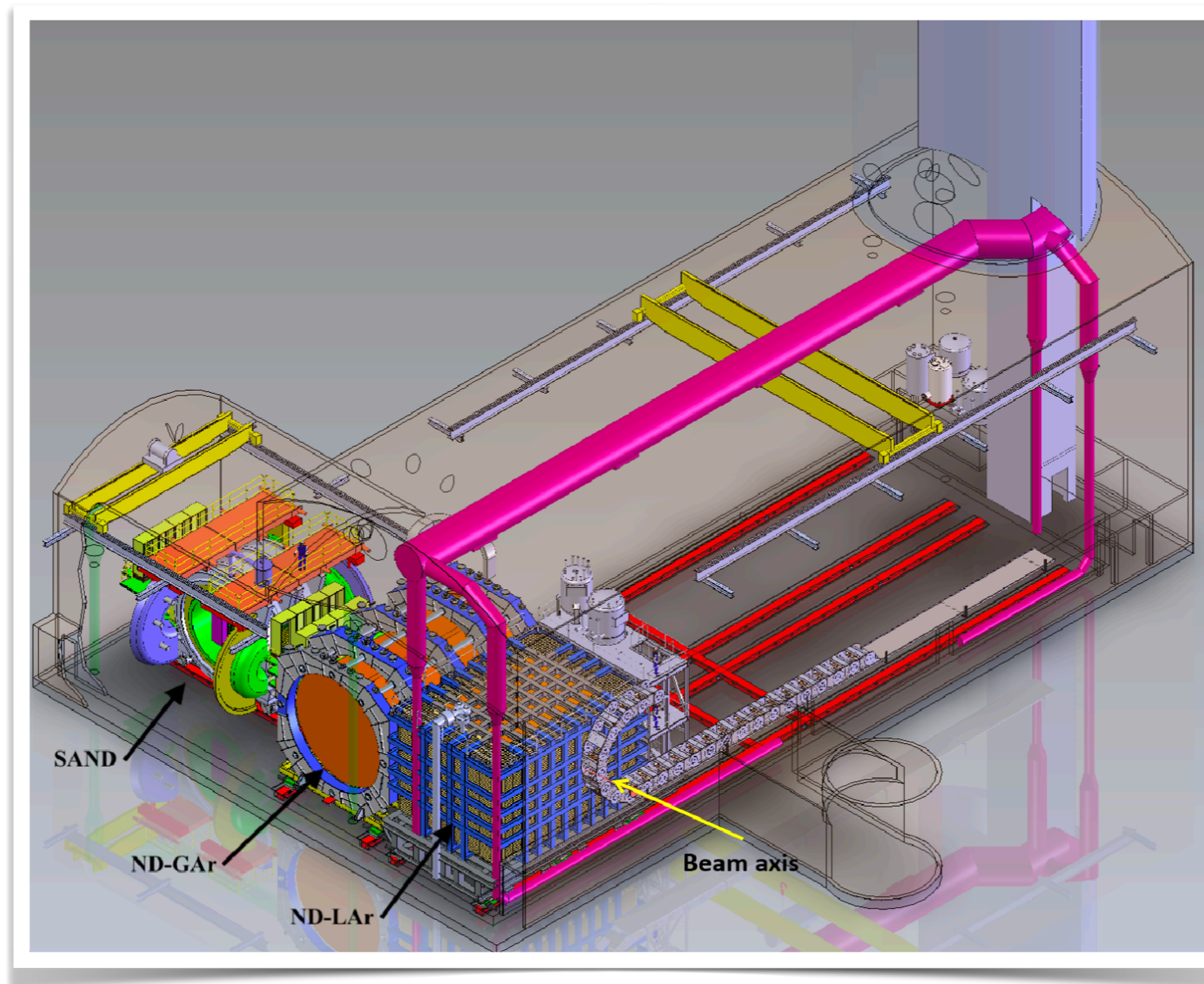


# The Need for Near Detectors

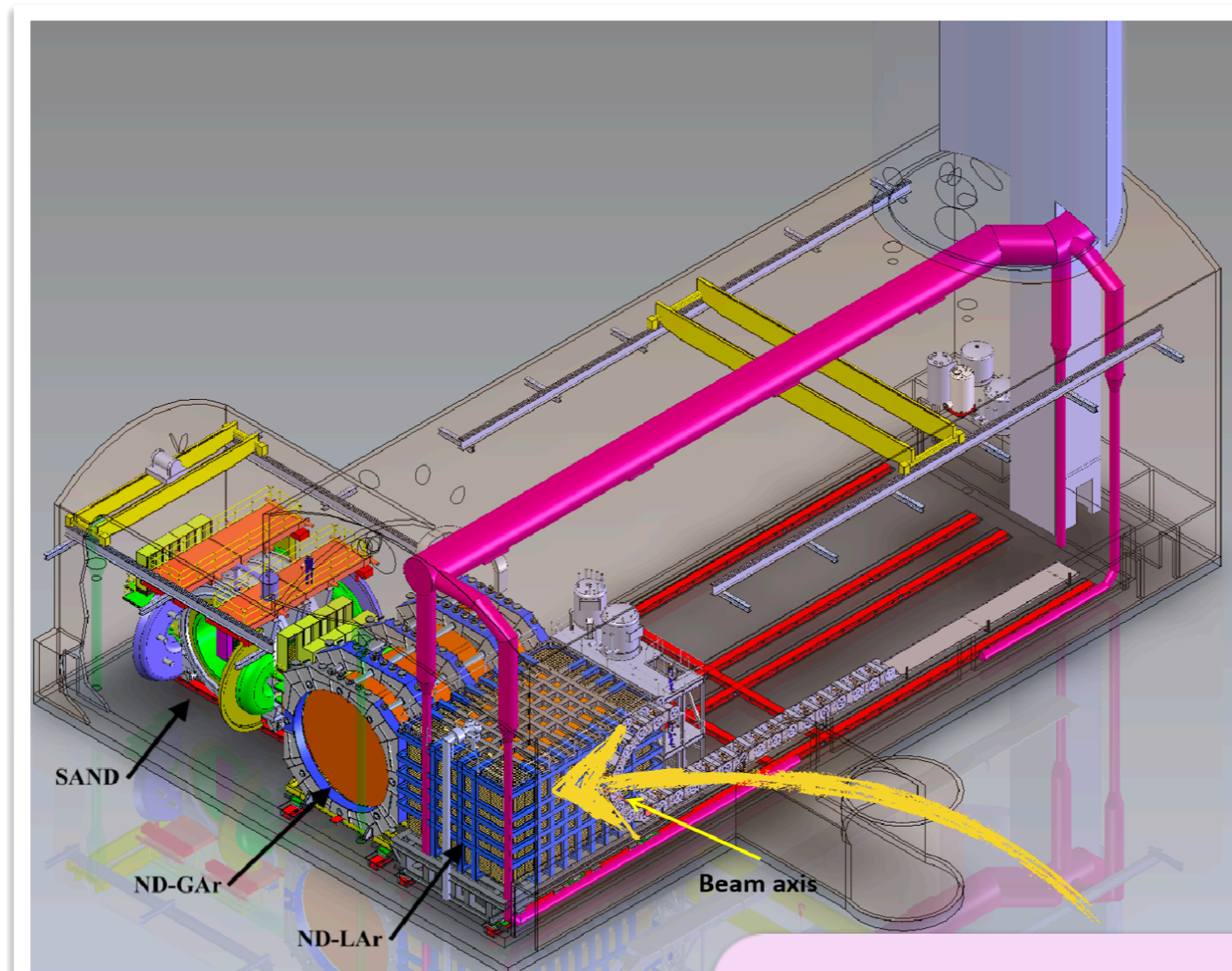
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# The DUNE Near Detectors



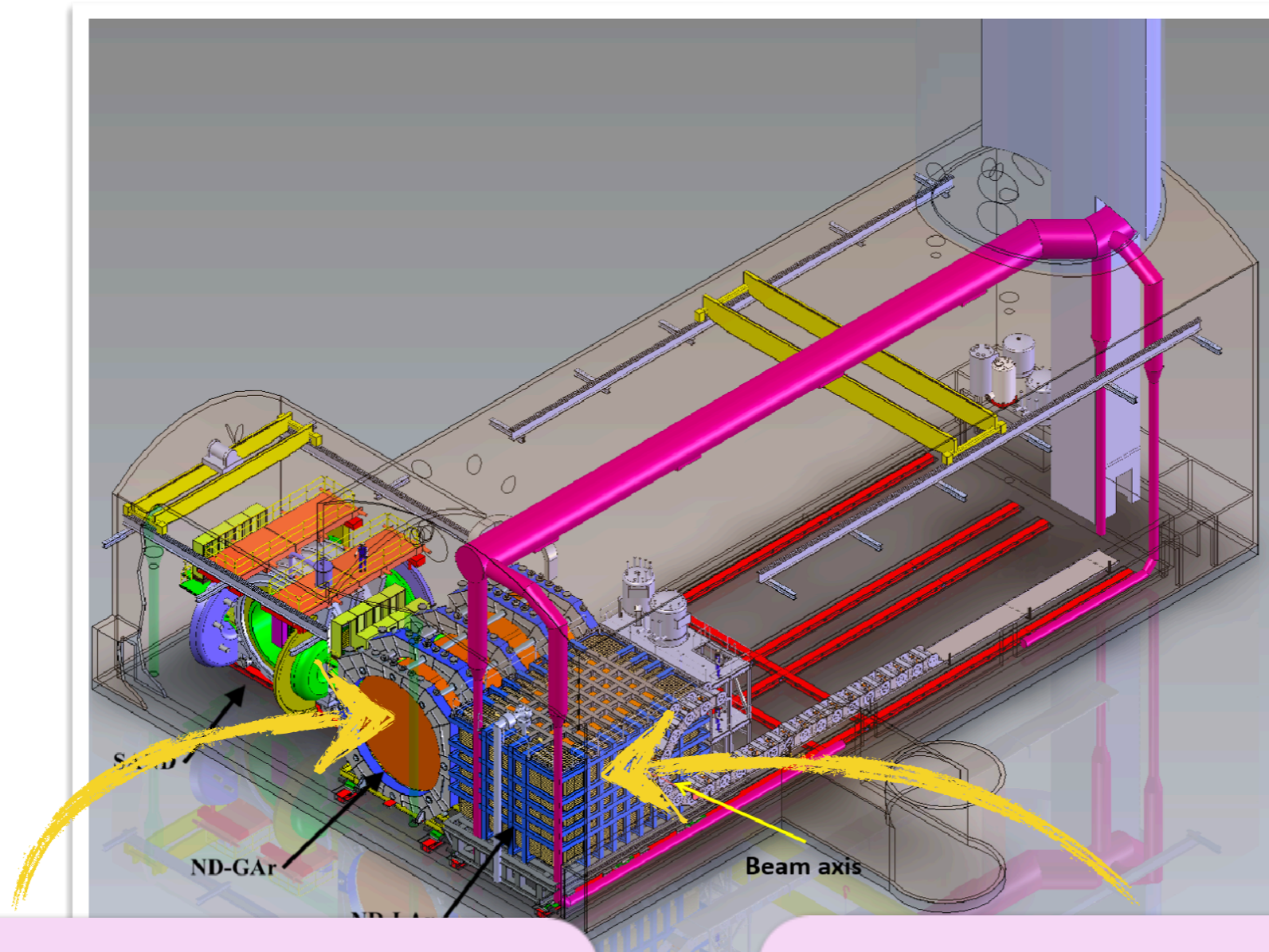
# The DUNE Near Detectors



## Liquid Argon TPC

- similar to far detector  
(cancel systematic uncertainties)

# The DUNE Near Detectors



## High Pressure Gas TPC + ECal

- excellent event reconstruction
- magnetic field

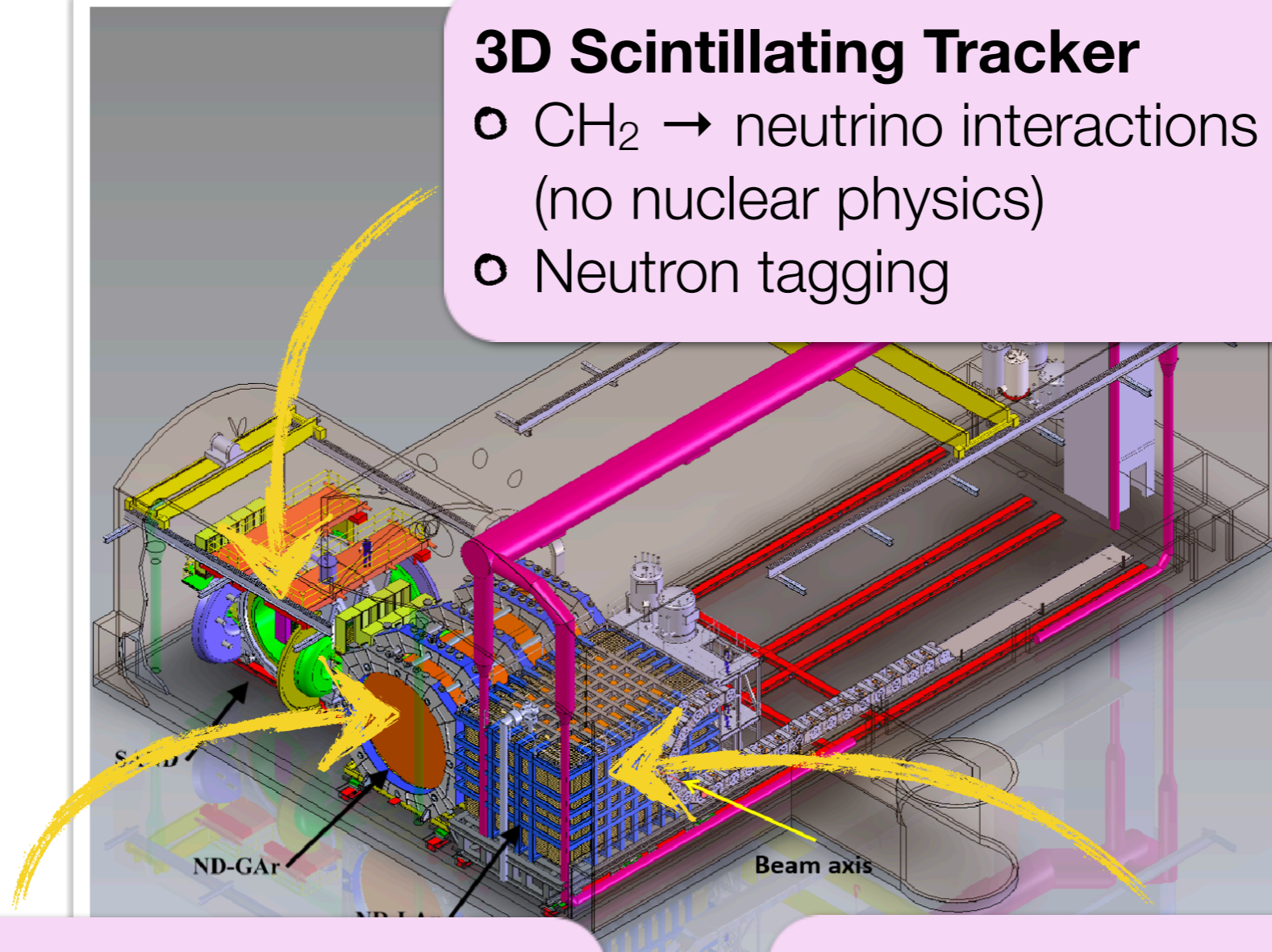
## Liquid Argon TPC

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# The DUNE Near Detectors

## 3D Scintillating Tracker

- $\text{CH}_2 \rightarrow$  neutrino interactions on free protons (no nuclear physics)
- Neutron tagging



## High Pressure Gas TPC + ECal

- excellent event reconstruction
- magnetic field

## Liquid Argon TPC

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# The DUNE Near Detectors

## 3D Scintillating Tracker

- $\text{CH}_2 \rightarrow$  neutrino interactions on free protons (no nuclear physics)
- Neutron tagging

## Movable Platform

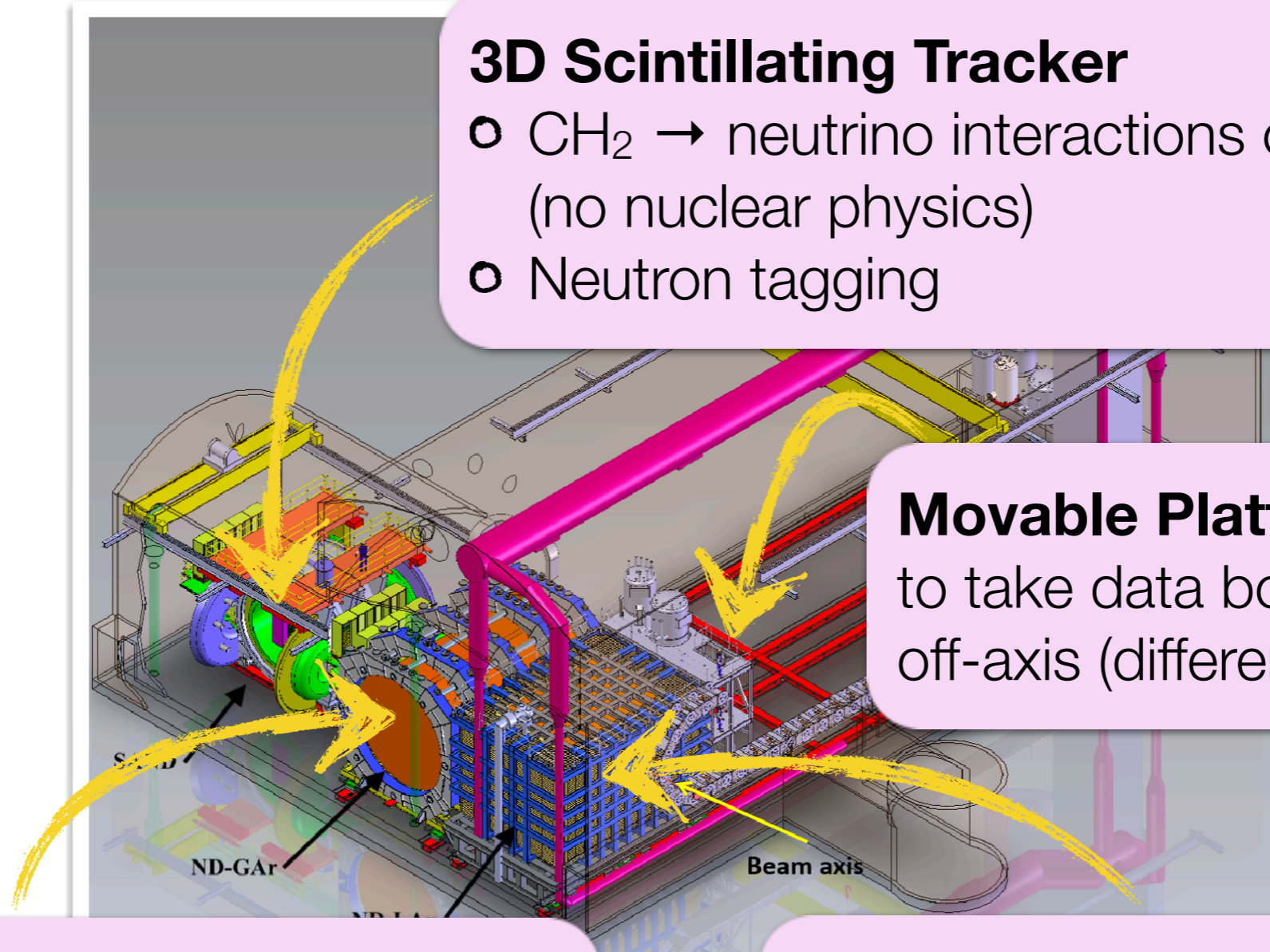
to take data both on-axis and off-axis (different beam spectra)

## High Pressure Gas TPC + ECal

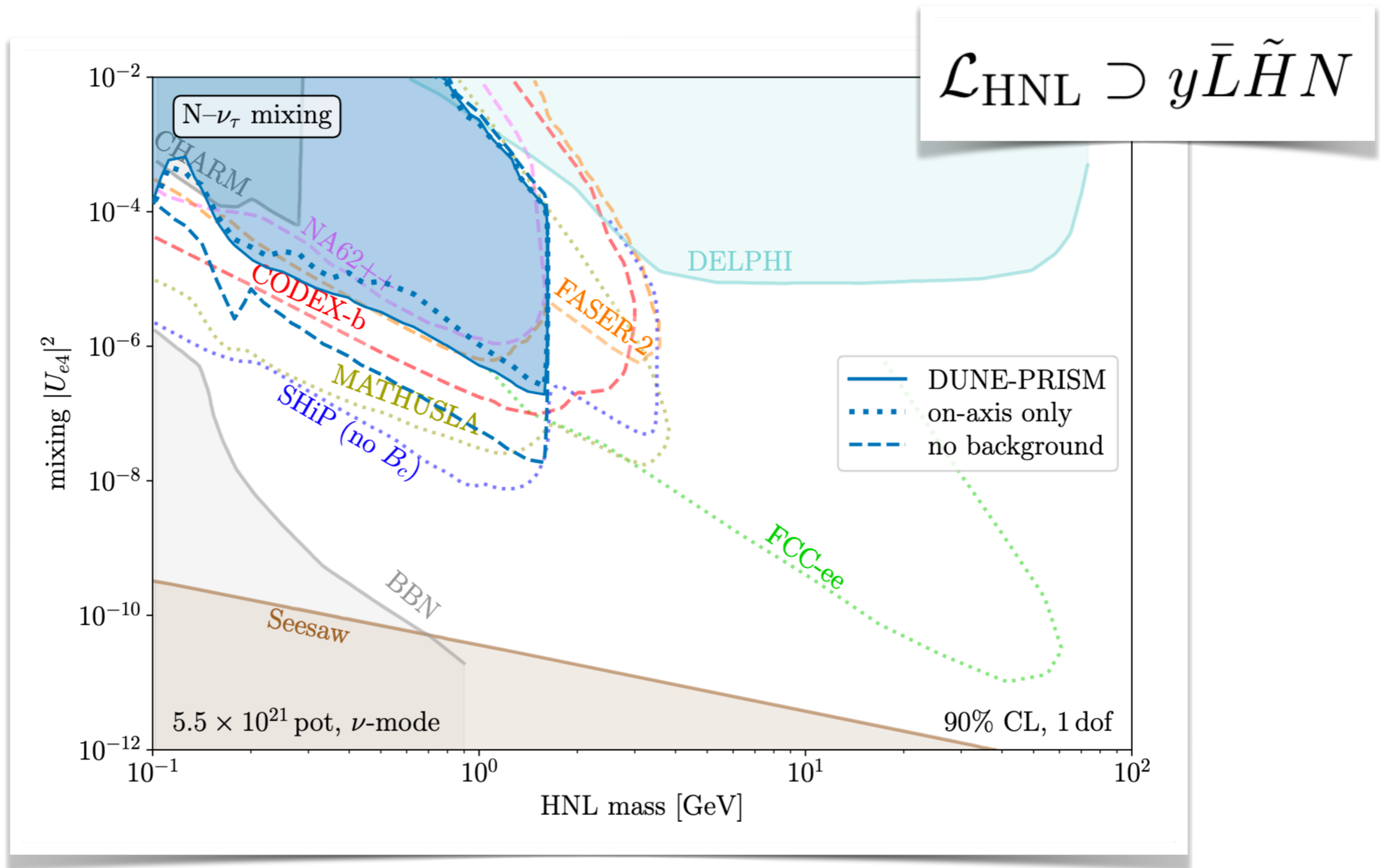
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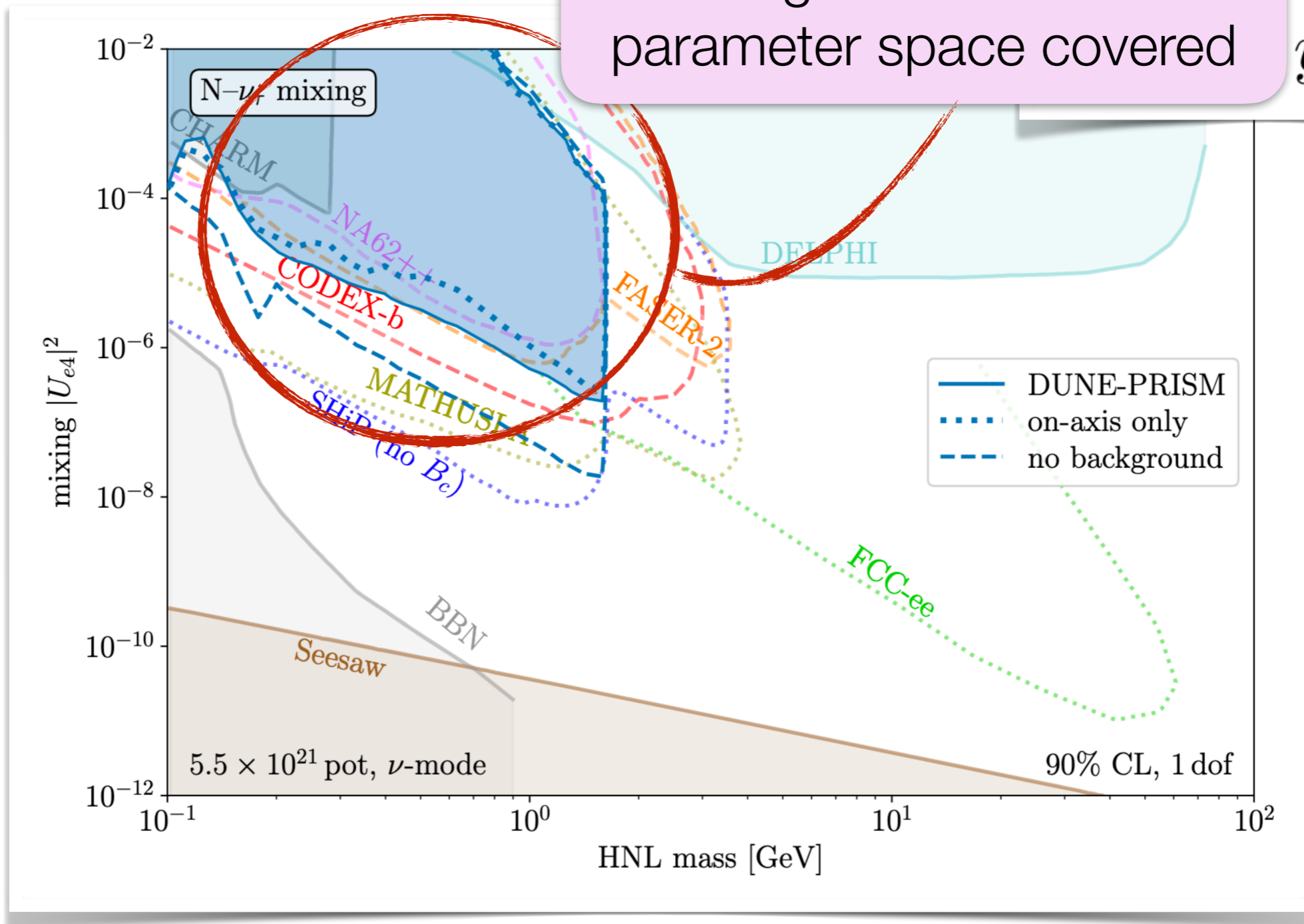
# Example: Heavy Neutral Leptons



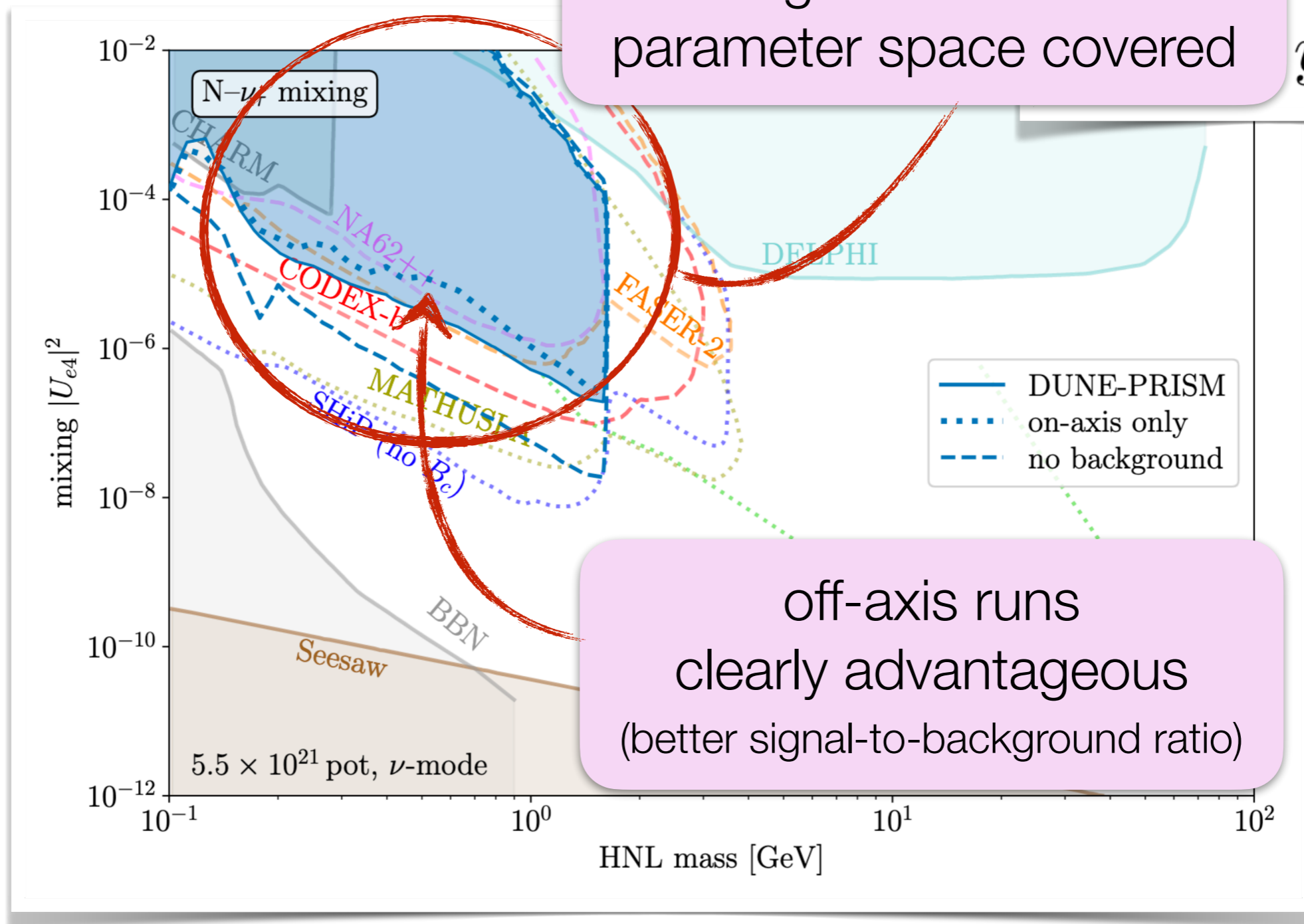
# Example: Heavy Neutral Leptons

significant new  
parameter space covered

$$y \bar{L} \tilde{H} N$$



# Example: Heavy Neutral Leptons

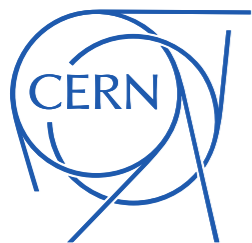


significant new parameter space covered

$$y \bar{L} \tilde{H} N$$

off-axis runs clearly advantageous (better signal-to-background ratio)

# Summary



# Summary

## Sterile Neutrinos:

- interesting **hints**, but inconsistent with **null results**
- extended models?
- SM explanations?

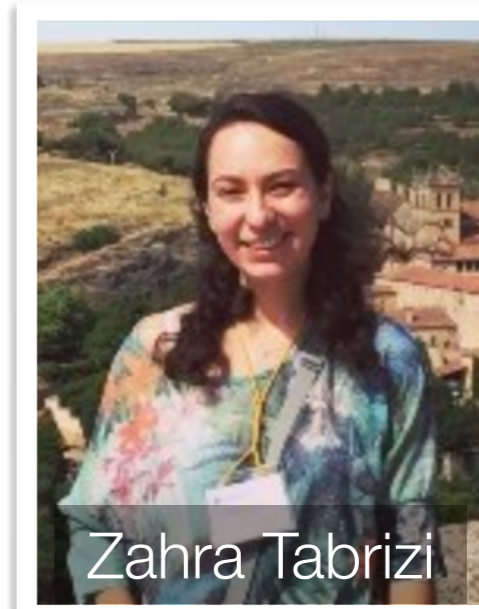
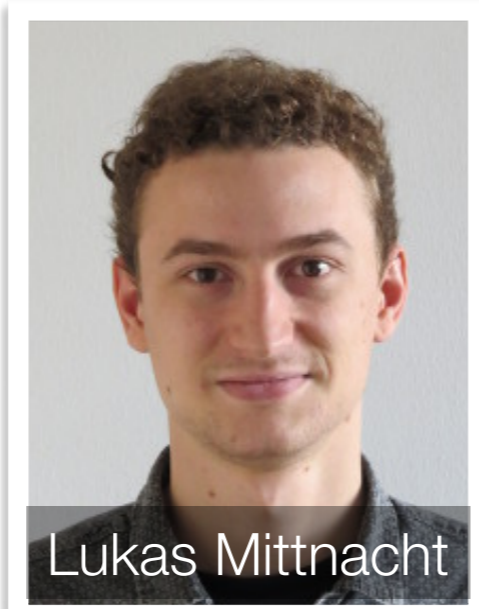
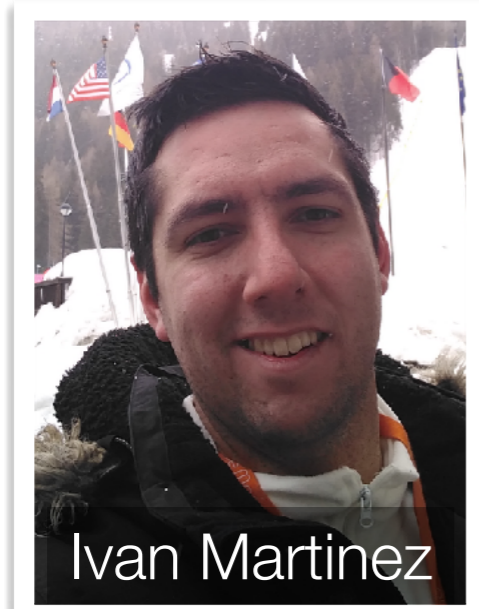
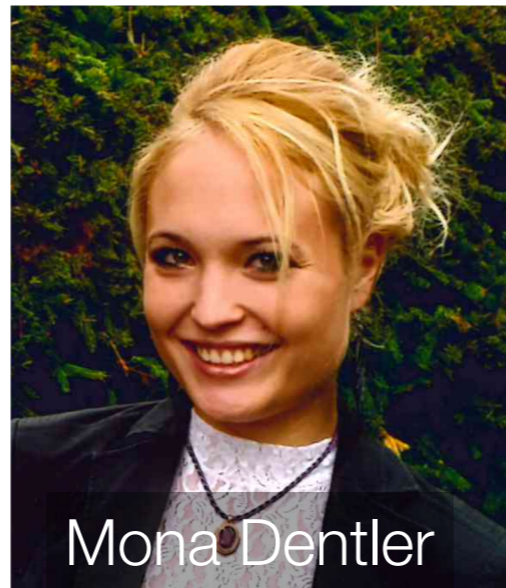
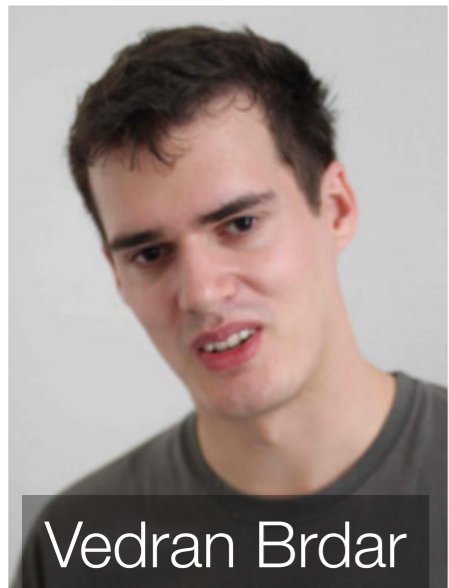
## New Neutrino Interactions

- anomalous **matter effects**
- new CC interactions: opportunities with **LHC neutrinos**

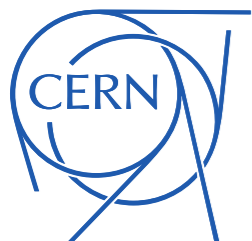
## Near Detectors

- parasitical “**beam-dump**” program
- DUNE-PRISM: **improved S/B ratio** off-axis

# Thank You!

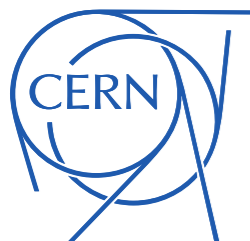


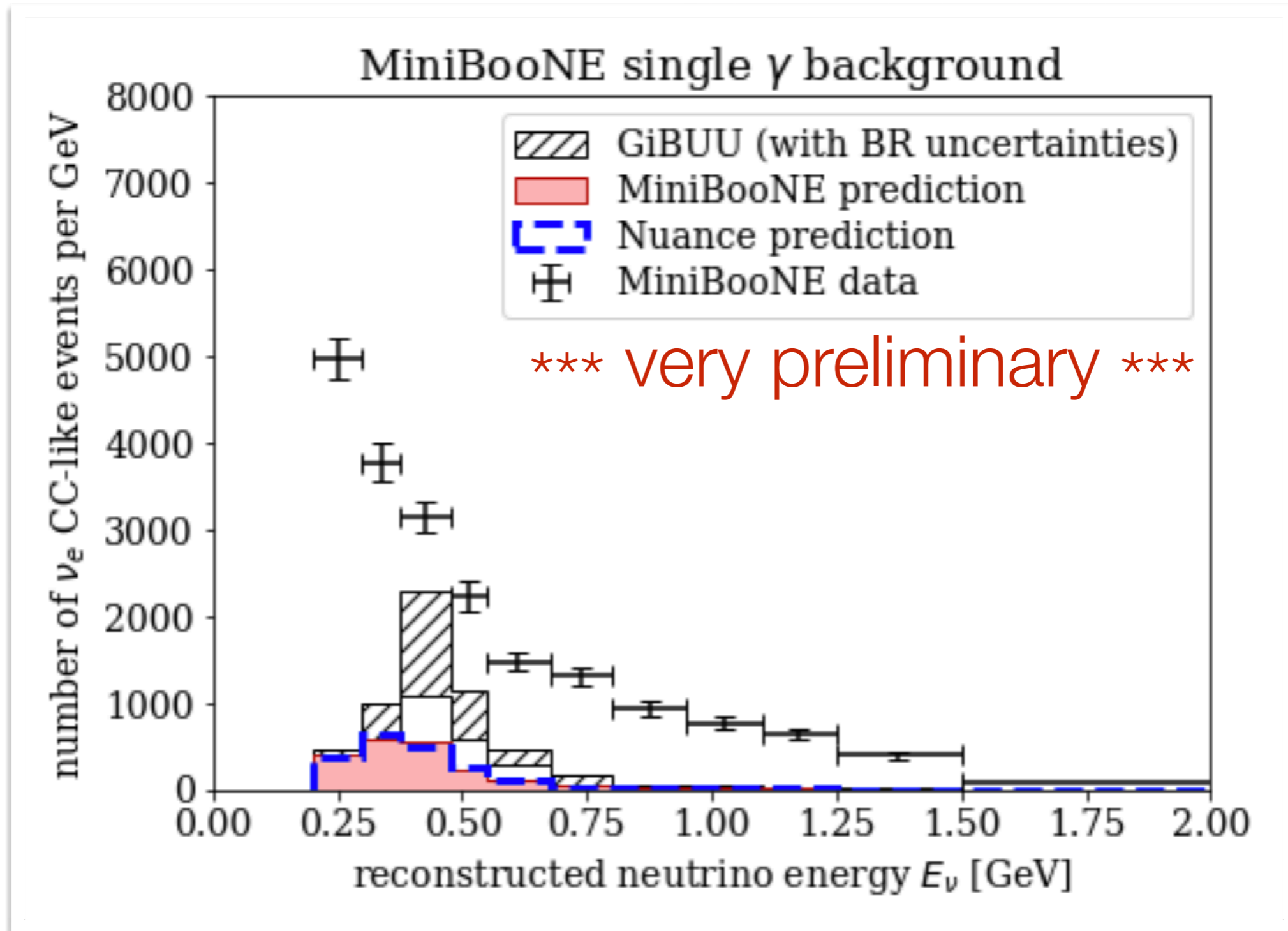
# Bonus Slides





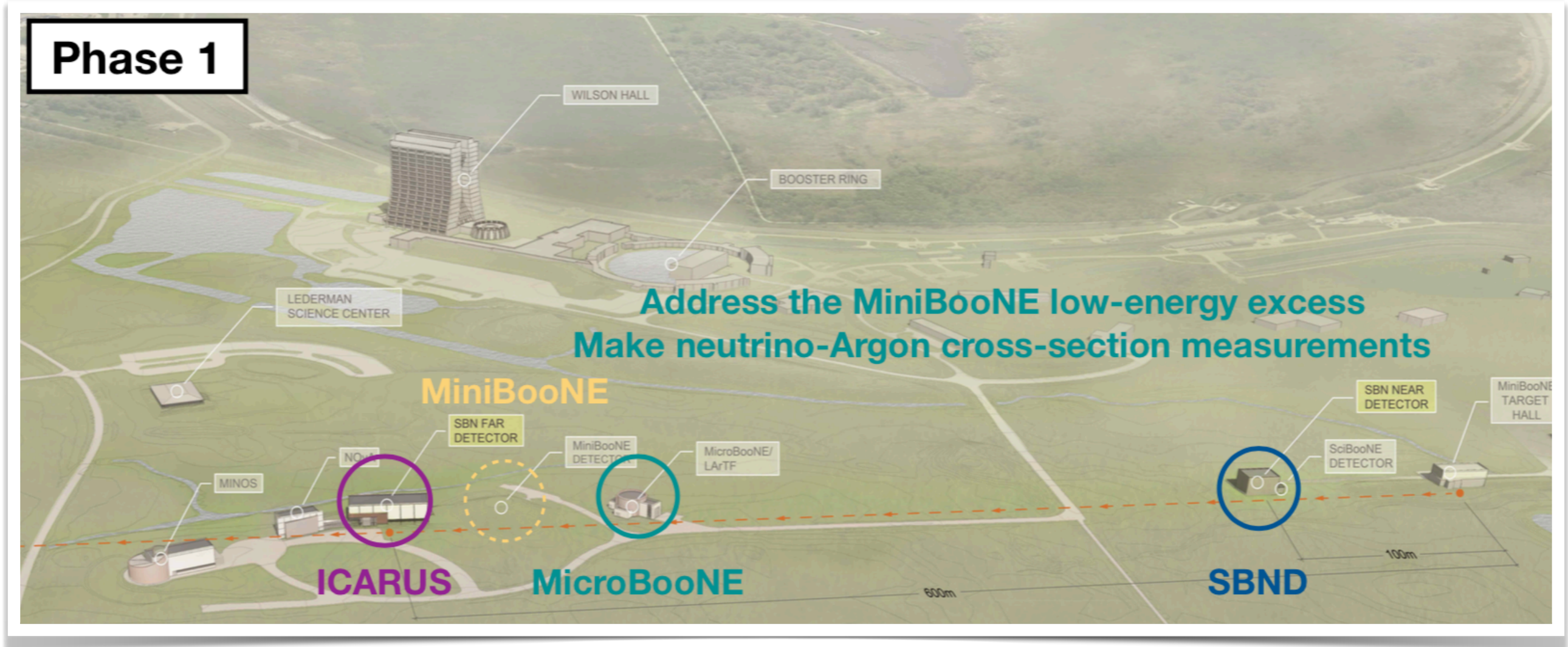
# More on MiniBooNE





Brdar JK, *in preparation*

# Testing the MiniBooNE Anomaly



- ✓ FNAL Short-Baseline Program: 3 LAr detectors
- ✓ Can distinguish  $\gamma$  (background) from  $e^\pm$  (signal)

# Decaying Sterile Neutrinos?

Dentler Esteban JK Machado, 1911.01427



# Decaying Sterile Neutrinos?

- ☑ Idea: production of sterile neutrinos that quickly decay back into active neutrinos (+ light new scalar):  $\nu_s \rightarrow \nu_a + \Phi$

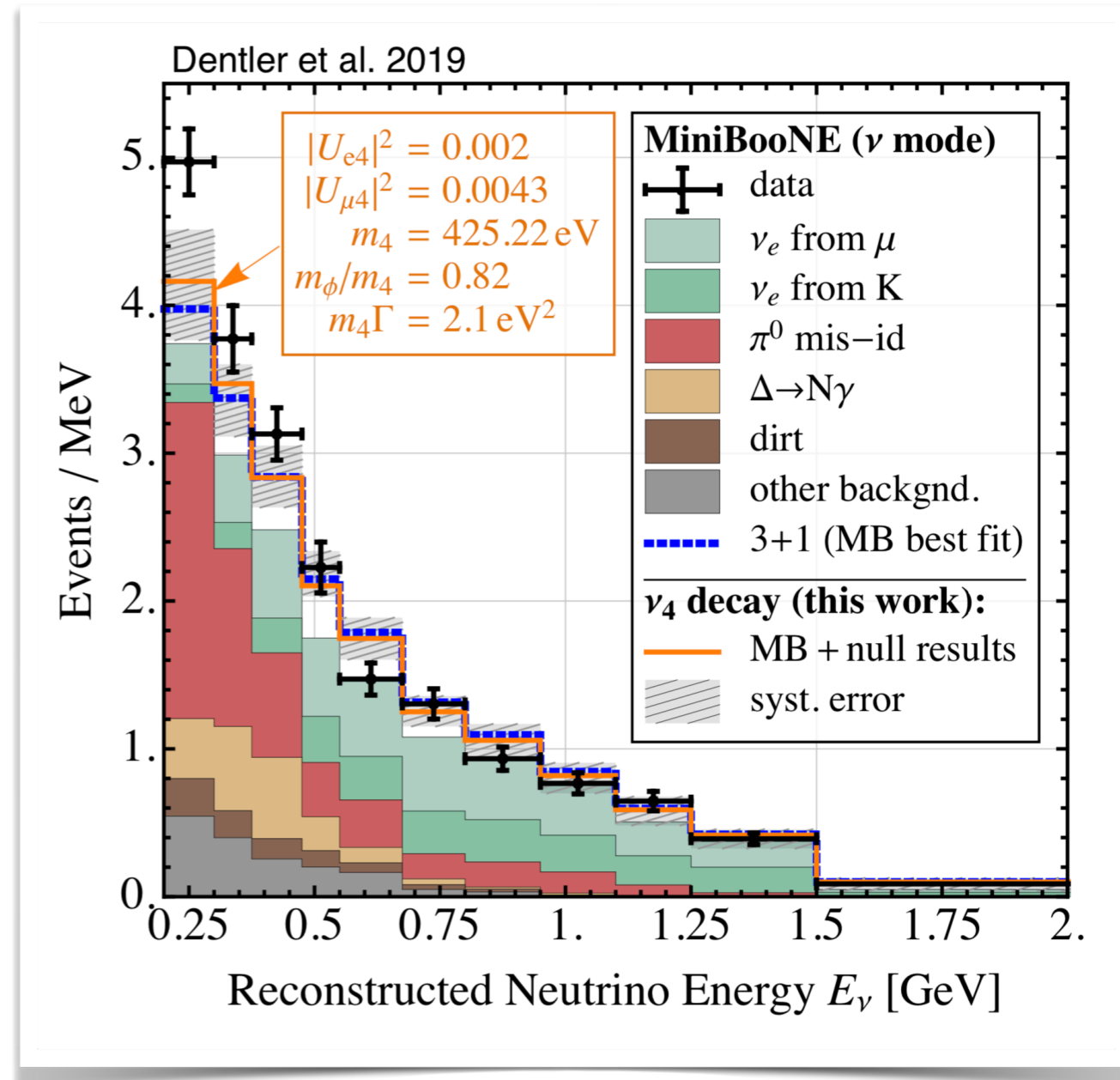
$$\mathcal{L} \supset -g \bar{\nu}_s \nu_s \phi - \sum_{\alpha=e,\mu,\tau,s} m_{\alpha\beta} \bar{\nu}_\alpha \nu_\beta$$

Dentler Esteban JK Machado, 1911.01427



# Decaying Sterile Neutrinos?

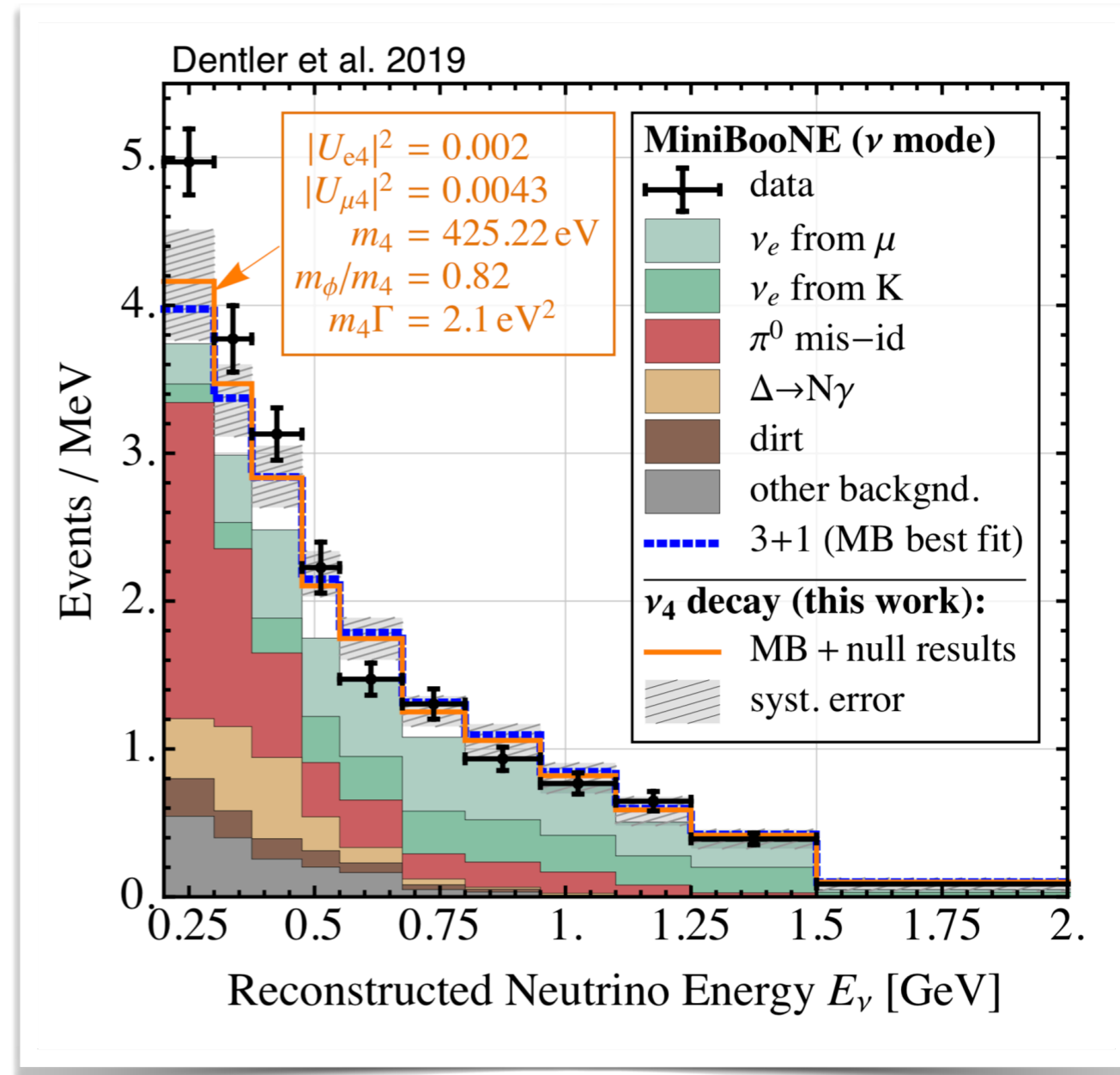
- ✓ Idea: production of sterile neutrinos that quickly decay back into active neutrinos (+ light new scalar):  $\nu_s \rightarrow \nu_a + \Phi$
- ✓ Excellent fit to MiniBooNE data



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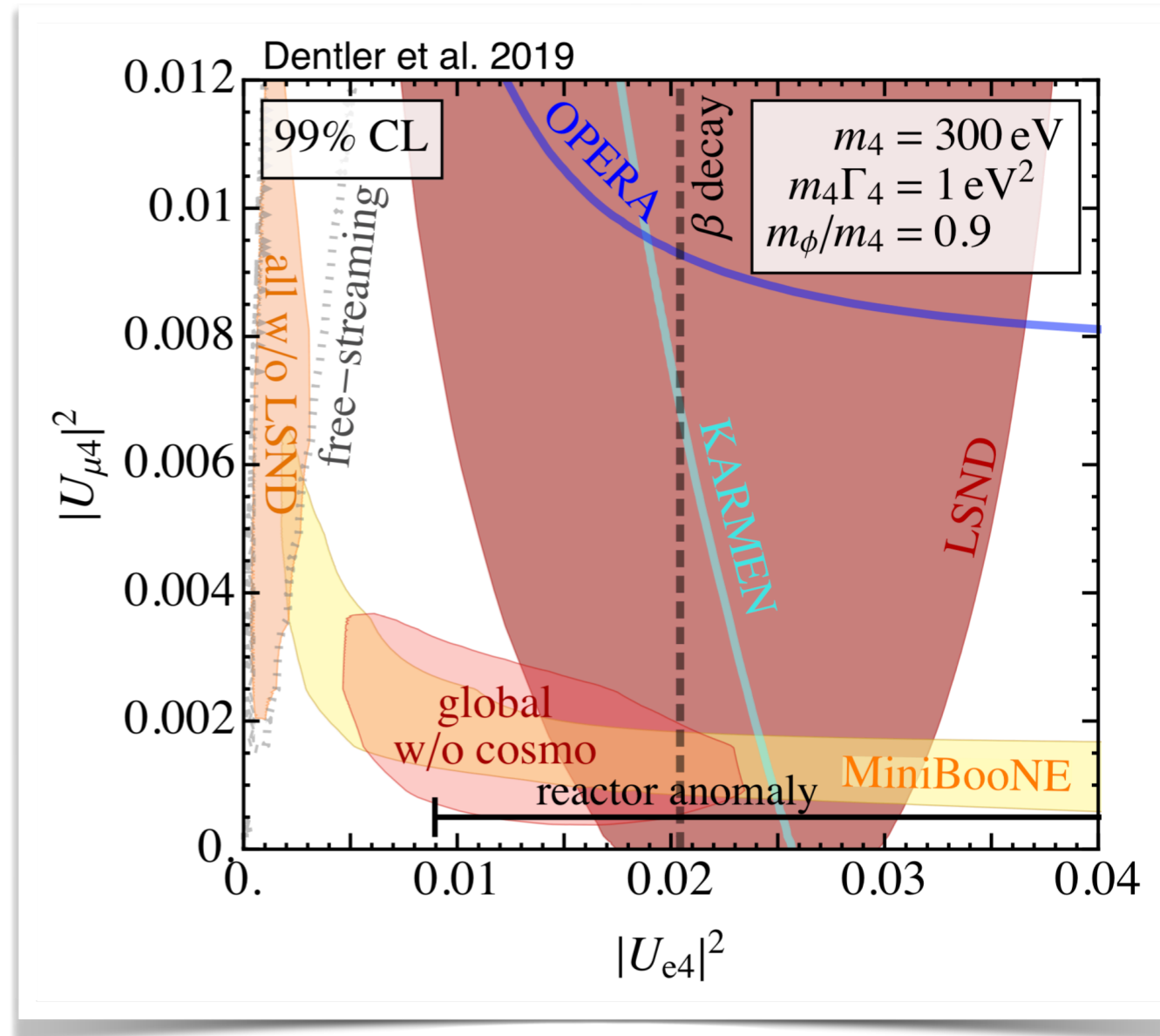
- ✓ Idea: production of sterile neutrinos that quickly decay back into active neutrinos (+ light new scalar):  $\nu_s \rightarrow \nu_a + \Phi$
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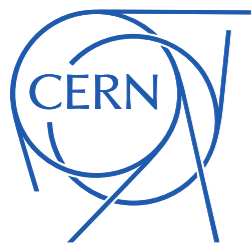
- ✓ Idea: production of sterile neutrinos that quickly decay back into active neutrinos (+ light new scalar):  $\nu_s \rightarrow \nu_a + \Phi$
- ✓ Excellent fit to MiniBooNE data
- ✓ Consistent with all null results (incl. cosmology)
- ✓ with small extensions: consistent also with LSND + reactors + gallium



Dentler Esteban JK Machado, 1911.01427



# More on the Reactor Anomaly



# Predicting Reactor Neutrino Fluxes

$\bar{\nu}_e$  flux from nuclear reactors is  $\sim 3.5\%$  ( $\sim 3\sigma$ ) below prediction

## Predicting reactor $\bar{\nu}_e$ fluxes:

- Use measured  $\beta$  spectra from  $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$  fission
- Convert to  $\bar{\nu}_e$  spectrum
- For single  $\beta$  decay:  $E_\nu = Q - E_e$
- **Reality:** thousands of decay branches, many not known precisely
- Use (**incomplete**) information from nuclear data tables ...
- ... complemented by a fit to “effective decay branches”

Mueller *et al.* [1101.2663](#), Huber [1106.0687](#)

# Testing the Reactor Anomaly

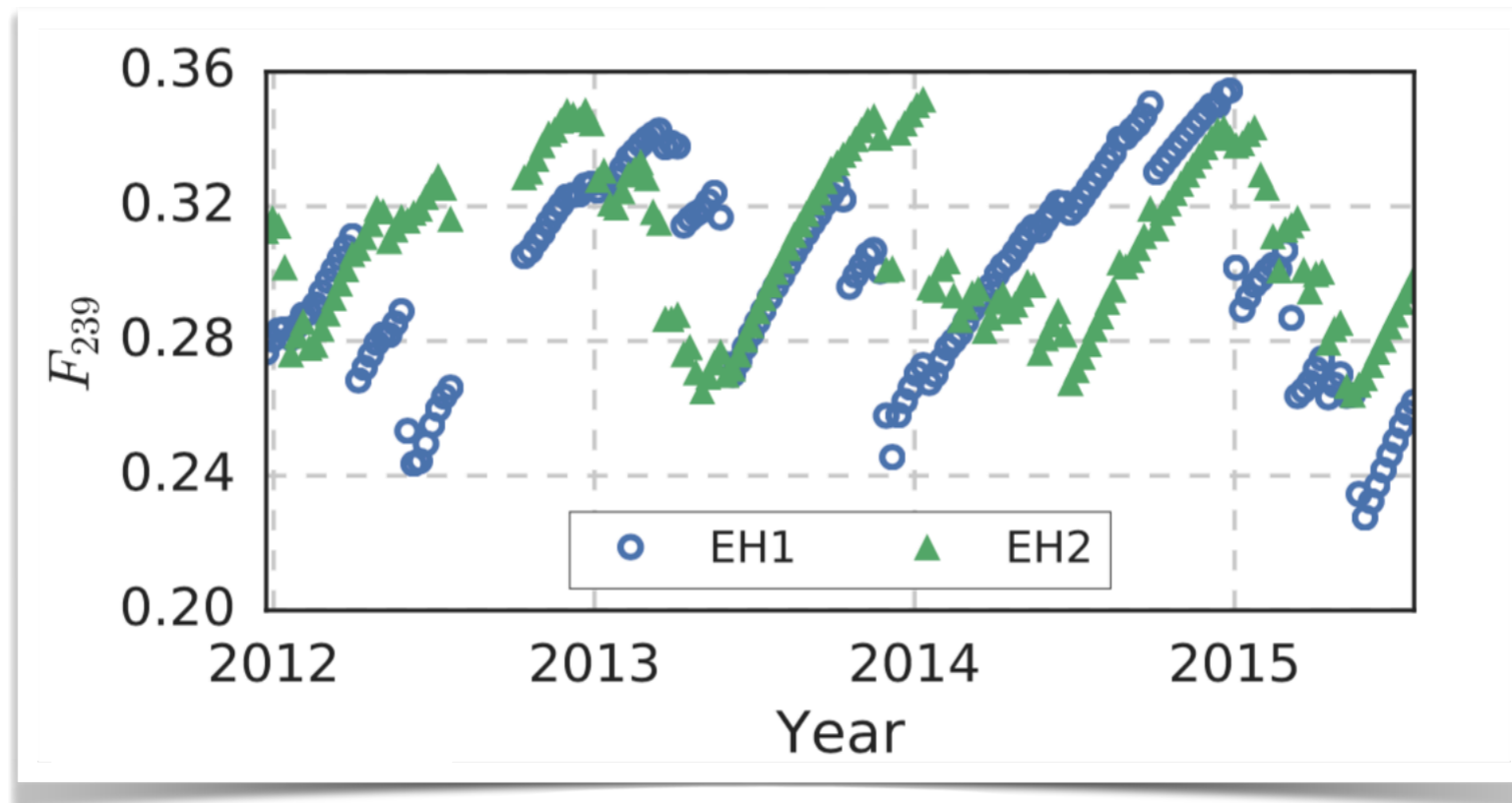
- ☑ Four fissile isotopes in a reactor:  $^{235}\text{U}$ ,  $^{238}\text{U}$ ,  $^{239}\text{Pu}$ ,  $^{241}\text{Pu}$
- ☑ Different fission product distributions + secondary decays
- ☑ Analyze **isotope-dependence** of the anomaly
  - “New Physics” would be **isotope-independent**
  - Problems with flux prediction are typically different for different isotopes

# Isotope-Dependent Fluxes



# Isotope-Dependent Fluxes

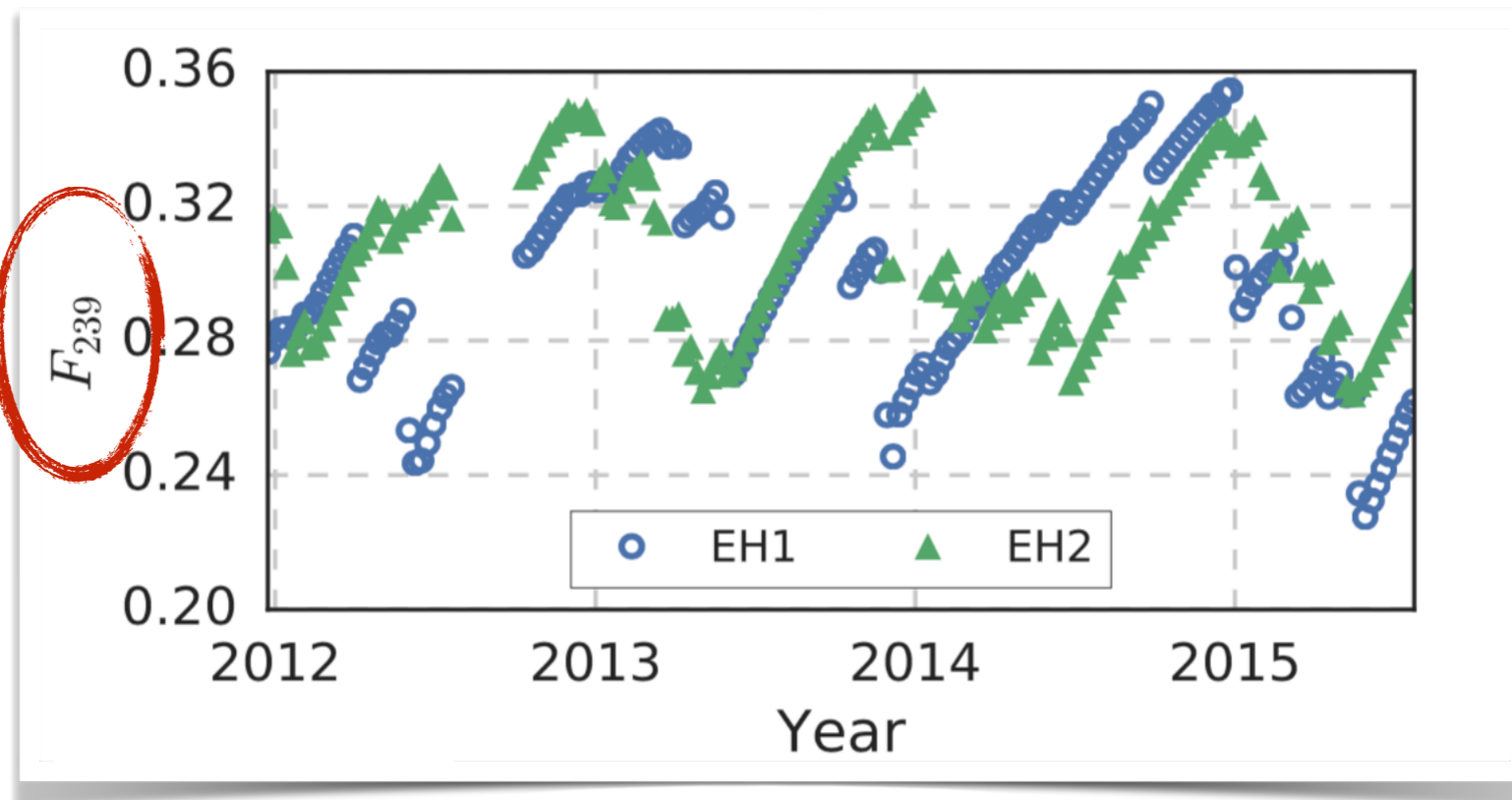
- ☑ Reactor **fuel composition** evolves with time (“burnup”)



Daya Bay [1704.01082](#)

# Isotope-Dependent Fluxes

- ☑ Reactor **fuel composition** evolves with time (“burnup”)



Effective fraction of  $^{239}\text{Pu}$  fissions

Daya Bay [1704.01082](#)

# Isotope-Dependent Fluxes

- ☑ Reactor **fuel composition** evolves with time (“burnup”)
- ☑ Measure neutrino event rate as function of  $F_{239}$

Daya Bay [1704.01082](#)



# Isotope-Dependent Fluxes

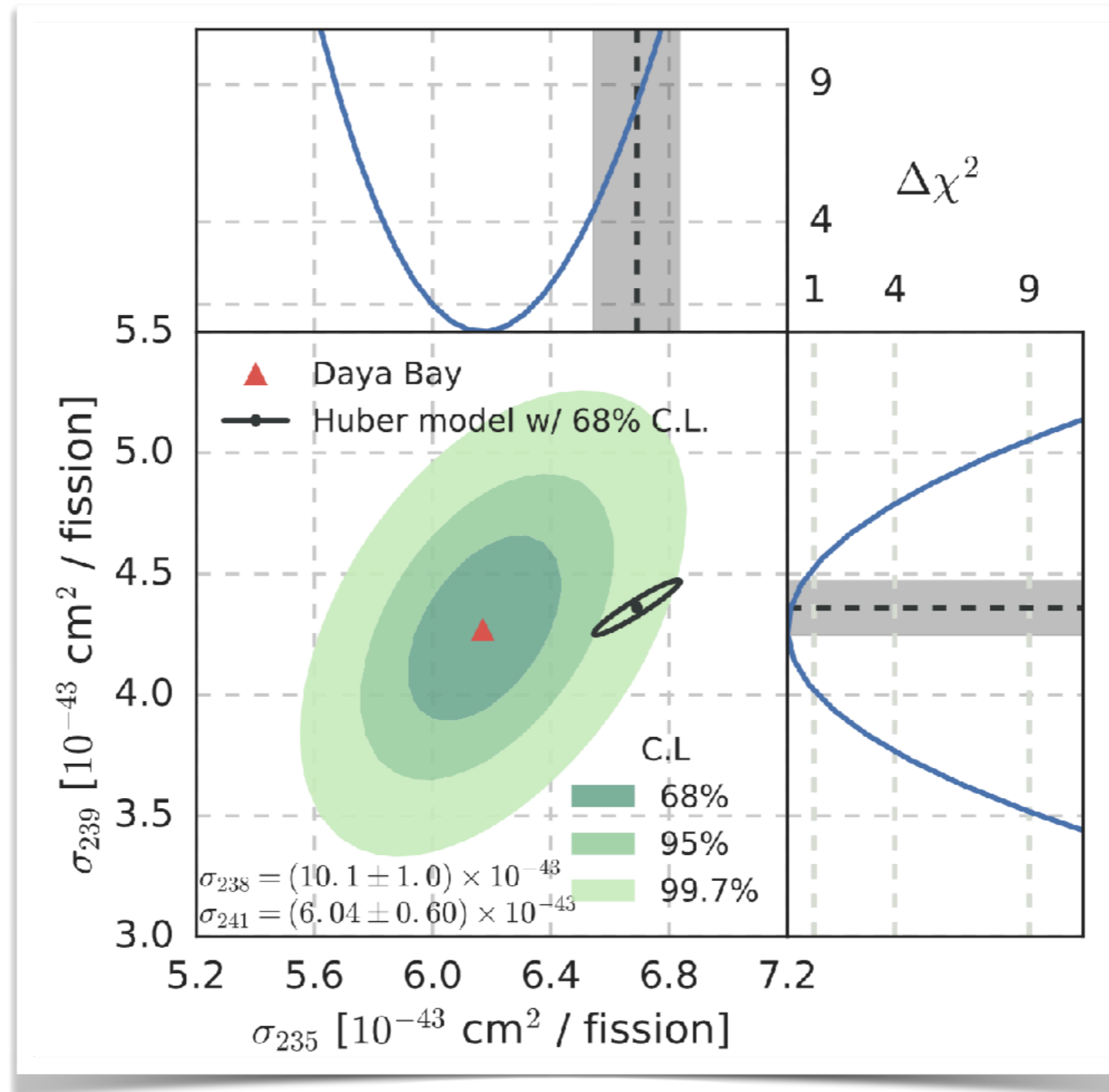
- ☑ Reactor **fuel composition** evolves with time (“burnup”)
- ☑ Measure neutrino event rate as function of  $F_{239}$
- ☑ **New Physics:** same deficit for all isotopes  
**Flux Misprediction:** isotope-dependent deficits

Daya Bay [1704.01082](#)



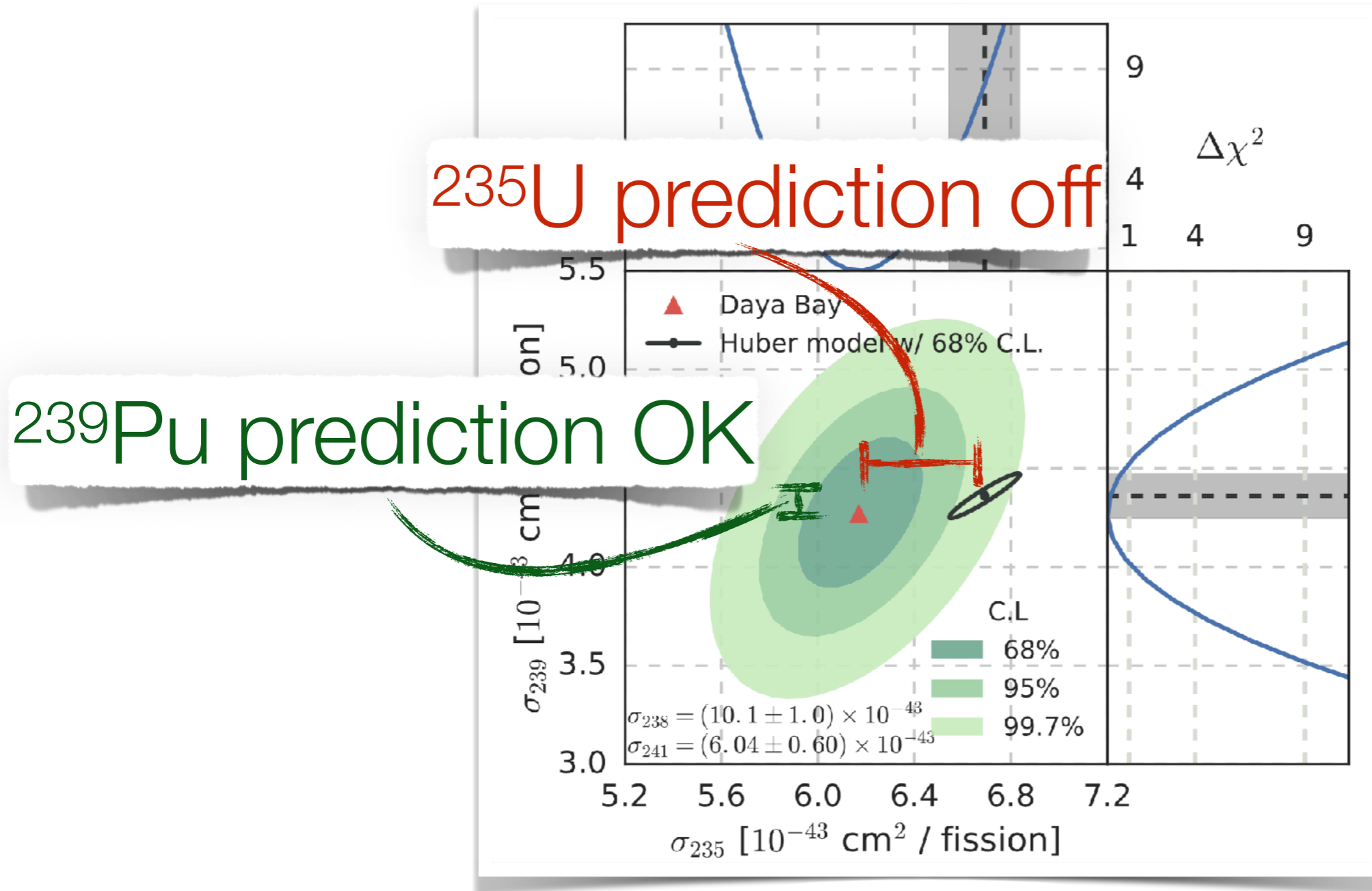


# New Physics or Flux Uncertainty?



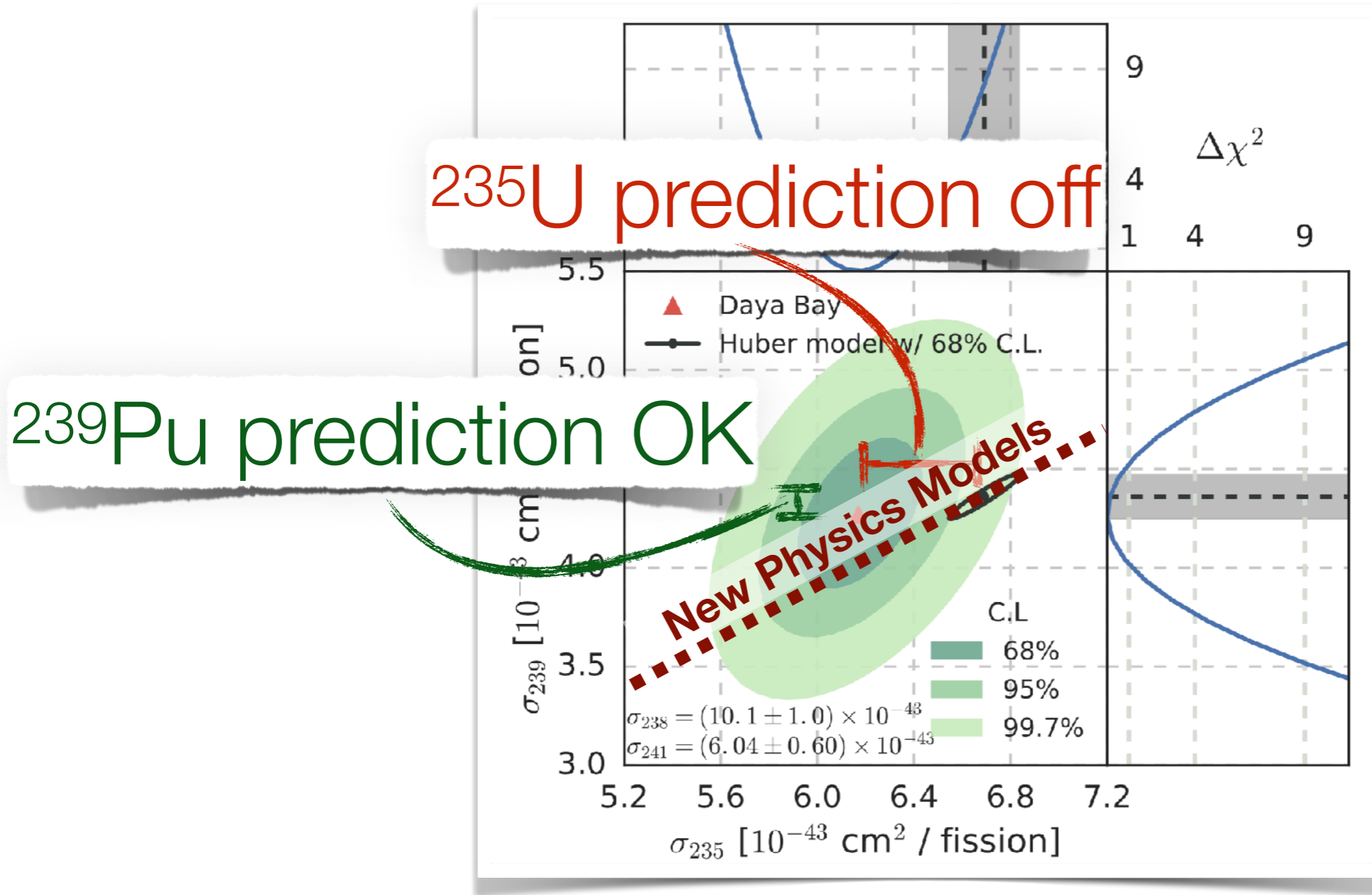
Daya Bay [1704.01082](#)

# New Physics or Flux Uncertainty?



Daya Bay [1704.01082](#)

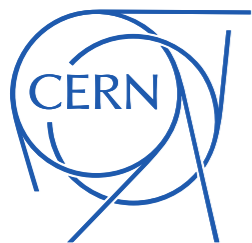
# New Physics or Flux Uncertainty?



Daya Bay [1704.01082](#)

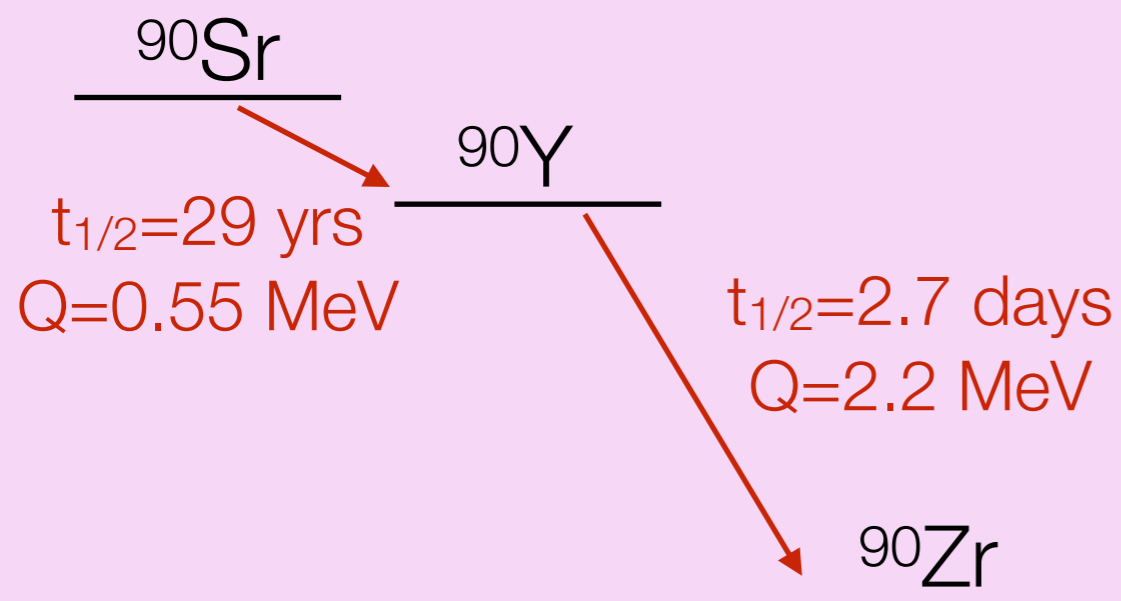
Is the flux from each isotope really  
time and burnup-independent?

# More on Global Fits



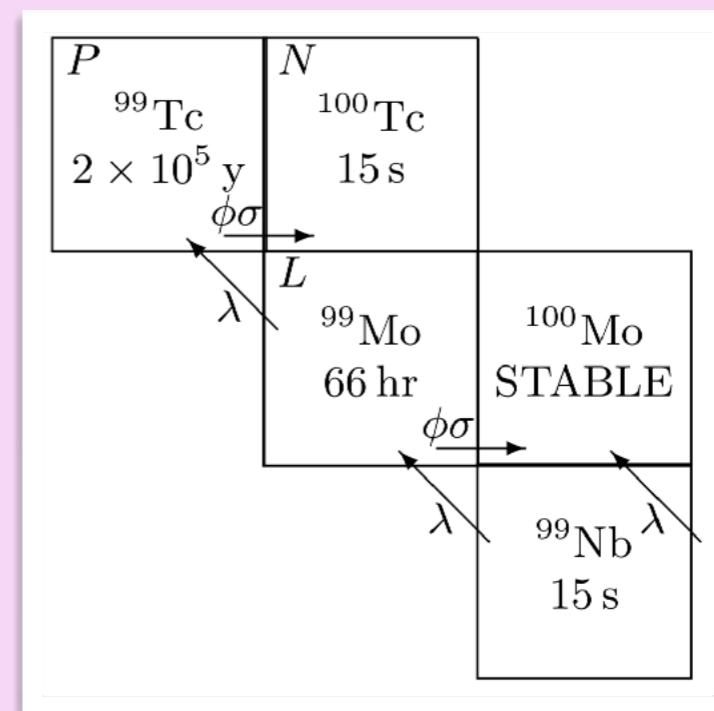
# Caveats

## Non-Equilibrium Effects



## Non-Linear Isotopes

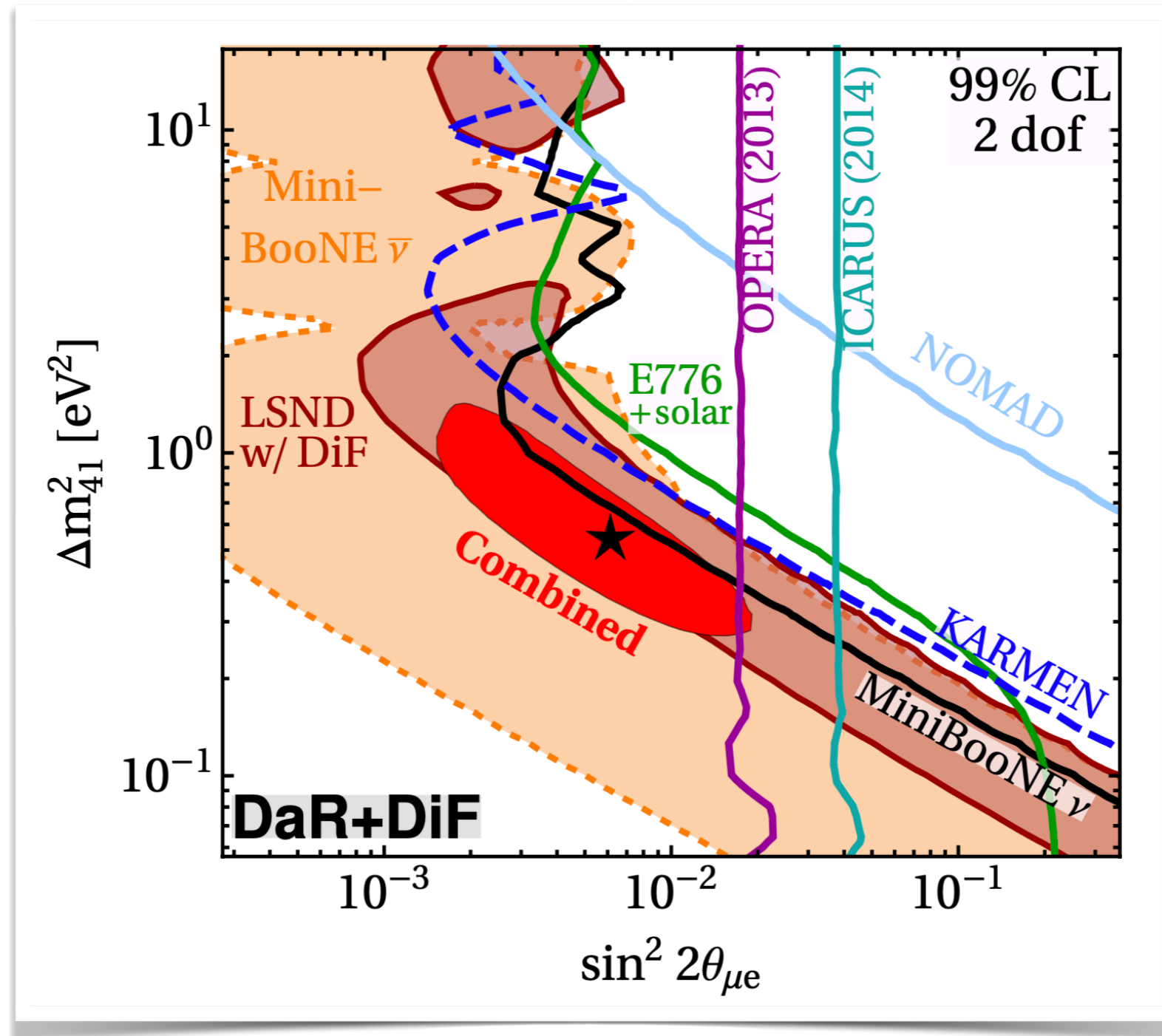
- ☑ Neutron capture on fission products



- ☑ Extra neutron flux/burnup dependence in  $\nu$  flux

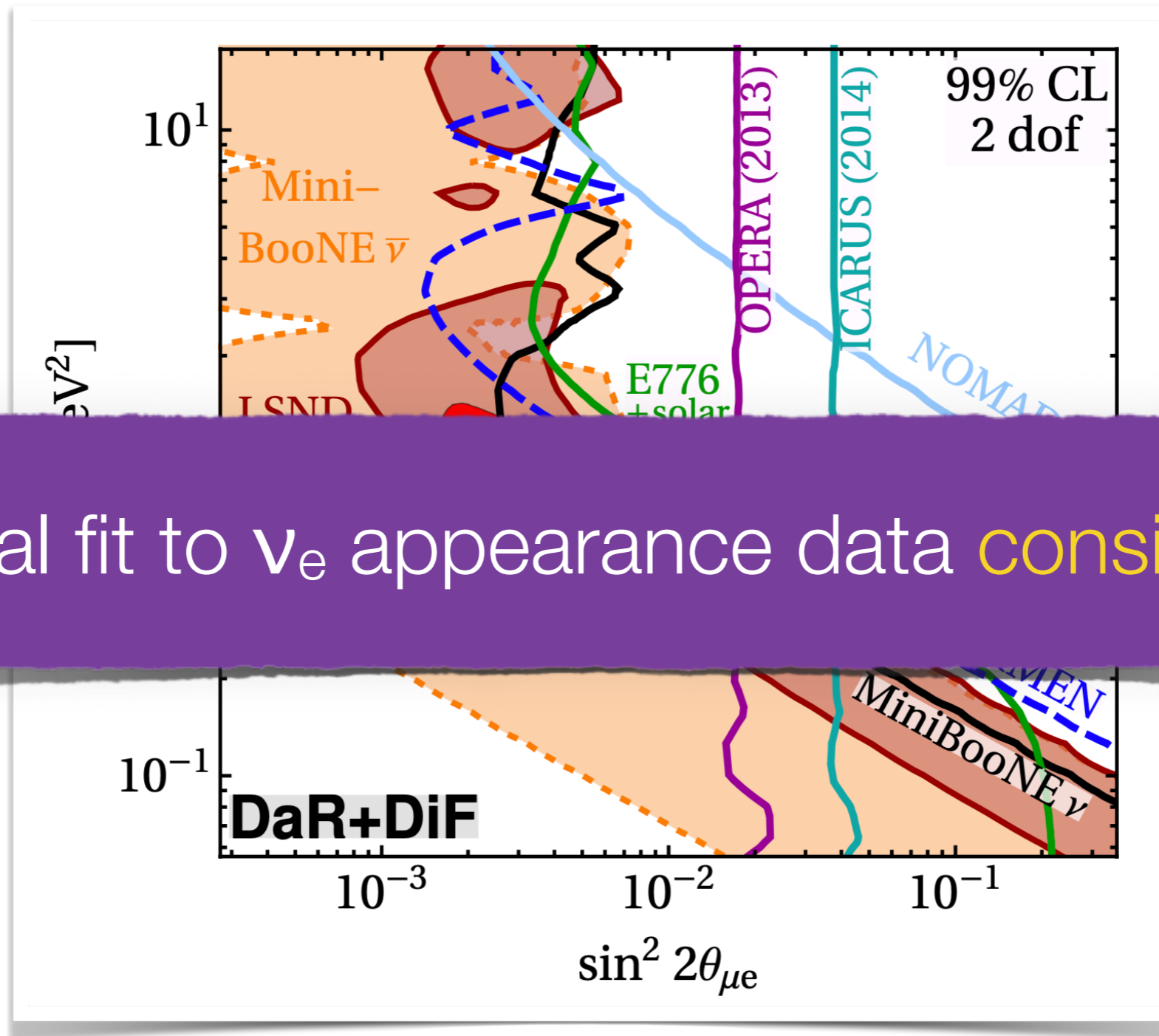
Jaffke Huber [1510.08948](#), Daya Bay [1904.07812](#)

# Global Fit to $\nu_e$ Appearance Data



Dentler *et al.*, [1803.10661](https://arxiv.org/abs/1803.10661)

# Global Fit to $\nu_e$ Appearance Data

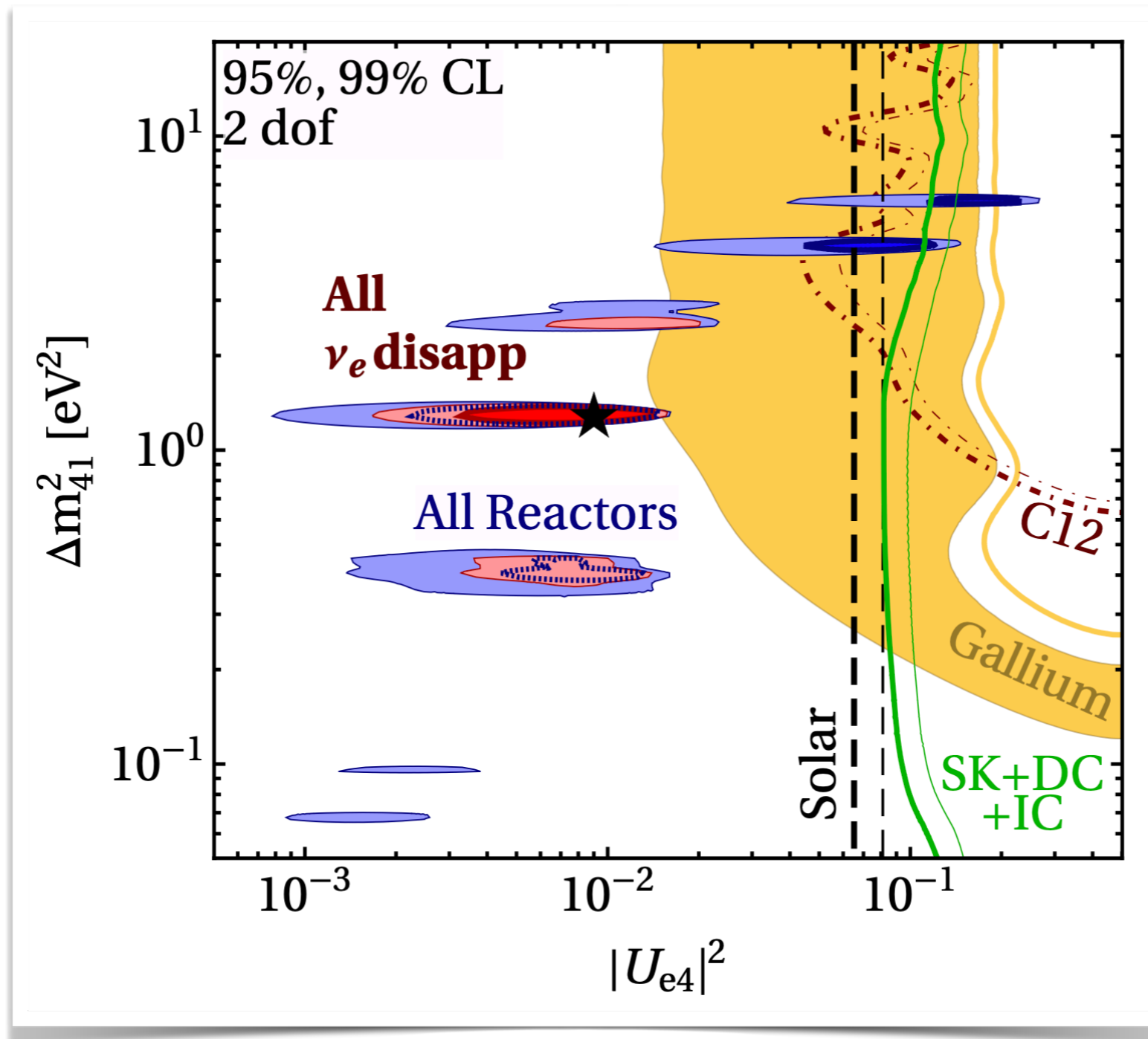


Global fit to  $\nu_e$  appearance data consistent.

Dentler *et al.*, [1803.10661](https://arxiv.org/abs/1803.10661)



# Global Fit to $\nu_e$ Disappearance



Dentler *et al.*, [1803.10661](https://arxiv.org/abs/1803.10661)