

# Neutrino oscillations in a medium

Based on arXiv:1909.10478 & 2012.09474  
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# Outline

- Neutrinos interacting with a hot/dense medium

  - Coherent forward scattering modifies/drives neutrino oscillations Wolfenstein 78

  - Adiabatic conversion of solar neutrinos Mikheyev-Smirnov 85

- Study of dispersion relation at a finite temperature

  - Thermal mass of fermions Weldon 82

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- Dispersion of neutrinos in non-standard media

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- Implications:

  - Medium-induced masses and oscillations; cosmological/astrophysical limits



# Neutrino oscillations in matter

L. Wolfenstein

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(Received 6 October 1977; revised manuscript received 5 December 1977)

The effect of coherent forward scattering must be taken into account when considering the oscillations of neutrinos traveling through matter. In particular, for the case of massless neutrinos for which vacuum oscillations cannot occur, oscillations can occur in matter if the neutral current has an off-diagonal piece connecting different neutrino types. Applications discussed are solar neutrinos and a proposed experiment involving transmission of neutrinos through 1000 km of rock.



# Neutrino oscillations in matter

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general dispersion relations

dark matter

$\nu$ -DM interaction

by medium-induced mass-squared

# Neutrino oscillations in vacuum

- Propagation Hamiltonian:

$$\boxed{i \frac{d}{dt} \psi = H \psi} \quad \psi = (\nu_1, \nu_2, \nu_3)^T \quad \psi = (\nu_e, \nu_\mu, \nu_\tau)^T \quad \boxed{\nu_\alpha = U_{\alpha i} \nu_i}$$

$$H \approx \mathbf{p} + \frac{m_i^2}{2p} \quad H \Rightarrow \frac{U m^2 U^\dagger}{2p} = \frac{M M^\dagger}{2p}$$

- Two-flavor evolution:

$$H \approx \frac{\Delta m^2}{4p} \begin{bmatrix} -c_{2\theta} & s_{2\theta} \\ s_{2\theta} & c_{2\theta} \end{bmatrix} \rightarrow P_{e\mu} = |\langle \nu_\mu | e^{iHt} | \nu_e \rangle|^2 = \sin^2 2\theta \sin^2 \left( \frac{\Delta m^2 L}{4p} \right)$$

# Wolfenstein potential

- "Oscillations for massless neutrinos can occur as a result of coherent forward scattering provided that this scattering is off-diagonal in neutrino flavor":

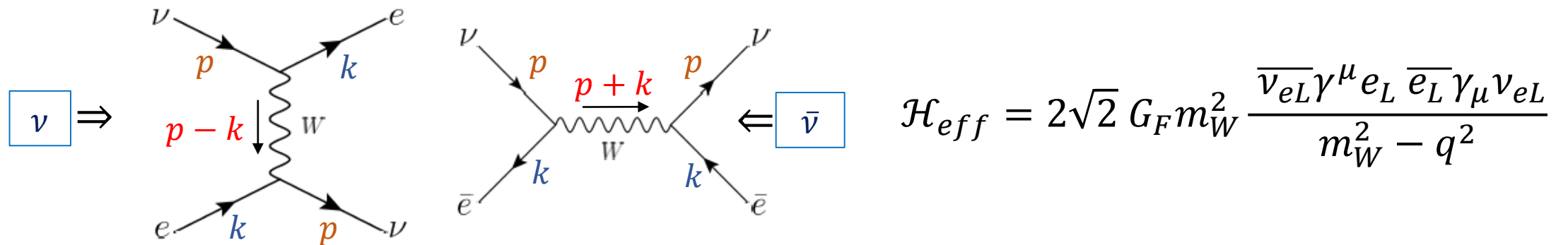
$$\mathcal{H}_{eff} = G_{\alpha\beta} \bar{\nu}_\alpha \gamma^\mu P_L \nu_\beta \bar{f} \gamma_\mu f \Rightarrow H \sim G_{\alpha\beta} N_f \quad (\text{momentum-independent})$$

- "In the standard model, vacuum oscillations are modified by the charged current scattering":

$$H_{\nu/\bar{\nu}} \approx \frac{\Delta m^2}{4p} \begin{bmatrix} -c_{2\theta} & s_{2\theta} \\ s_{2\theta} & c_{2\theta} \end{bmatrix} \pm \begin{bmatrix} V_W & 0 \\ 0 & 0 \end{bmatrix} \quad \boxed{V_W = \sqrt{2} G_F N_e(t)} \quad \text{MSW effect}$$

# Generalizing the Wolfenstein effect

- In a medium with arbitrary  $N_e$  and  $N_{\bar{e}}$



$$\langle \mathcal{H}_\nu \rangle = \not{k} \sqrt{2} G_F m_W^2 \left[ \frac{N_e/m_e}{m_W^2 - (p-k)^2} - \frac{N_{\bar{e}}/m_e}{m_W^2 - (p+k)^2} \right]$$

$$\langle \mathcal{H}_{\bar{\nu}} \rangle = \not{k} \sqrt{2} G_F m_W^2 \left[ \frac{N_{\bar{e}}/m_e}{m_W^2 - (p-k)^2} - \frac{N_e/m_e}{m_W^2 - (p+k)^2} \right]$$

$$\begin{aligned} \langle N_e, N_{\bar{e}} | e\bar{e} | N_e, N_{\bar{e}} \rangle = & \\ & - \frac{1}{2} \sum_s u_s(k) \bar{u}_s(k) \frac{N_e}{2k^0} \\ & + \frac{1}{2} \sum_s v_s(k) \bar{v}_s(k) \frac{N_{\bar{e}}}{2k^0} \end{aligned}$$

# "Free" neutrinos in a medium

- Self-energy correction by the coherent forward scattering:

$$\mathcal{L}_{kin} \Rightarrow \bar{\nu}_L (p^\mu - k^\mu \Sigma_W) \gamma_\mu \nu_L$$

$$\Sigma_W = g_v^2 \frac{N_{e+\bar{e}}}{m_e} \frac{-2m_e E + \epsilon(m_W^2 - p^2 - m_e^2)}{(m_W^2 - p^2 - m_e^2)^2 - 4m_e^2 E^2}$$

$$g_v^2 \equiv \sqrt{2} G_F m_W^2$$

$$\epsilon \equiv \frac{N_e - N_{\bar{e}}}{N_e + N_{\bar{e}}}$$

$$p^\mu = (E, \vec{p}) \quad p^2 = E^2 - p^2$$

$$k^\mu = (m_e, \vec{0})$$

- Dispersion of Weyl neutrino in the high-momentum limit ( $E \approx p$ ):

$$(p - k \Sigma_W)^2 = (E - m_e \Sigma_W)^2 - p^2 = 0$$

$$\begin{matrix} \xrightarrow{m_W^2 \gg 2m_e p} \\ \xrightarrow{2m_e p \gg m_W^2} \end{matrix} \left\{ \begin{array}{ll} E_{\nu/\bar{\nu}} \approx p \pm \epsilon g_v^2 \frac{N_{e+\bar{e}}}{m_W^2} + \dots & \text{Potential} \\ E_{\nu/\bar{\nu}} \approx p + g_v^2 \frac{N_{e+\bar{e}}/m_e}{2p} + \dots & \text{Oscillation} \end{array} \right.$$

(For Majorana neutrino, p.11)



# Dispersion of neutrinos in a medium

# General formulation

- Eqs. of motion in a Lorenz invariant medium:

$$(\not{p} - \not{p}\Sigma_{1L}^u - \not{k}\Sigma_{2L}^u)u_L(p) = (M^+ + \Sigma_0^+)u_R(p)$$

$$(\not{p} - \not{p}\Sigma_{1R}^u - \not{k}\Sigma_{2R}^u)u_R(p) = (M + \Sigma_0)u_L(p)$$

(3,4)

(1,2,3,4)

(5)

$$\Sigma_{1,2}^+ = \Sigma_{1,2}$$

- For  $v$ -spinors:  $\Sigma_1^v(p) = \Sigma_1^u(-p)$   
 $\Sigma_2^v(p) = -\Sigma_2^u(-p)$

- Neutrinos interacting with **dark matter** and **mediator**

$$\mathcal{L}' = g_{\alpha i} \overline{f_{iL}} \gamma^\mu v_{\alpha L} X_\mu + h.c. \quad (1)$$

$$g_{\alpha i} \overline{f_R} v_{\alpha L} \phi_i + h.c. \quad (2)$$

$$g_{\alpha i} \overline{f_{iR}} v_{\alpha L} \phi + h.c. \quad (3)$$

$$g_{\alpha\beta} \overline{v_{\beta R}^c} v_{\alpha L} \phi + h.c. \quad (4)$$

$$g_{\alpha\beta} \overline{v_{\beta R}^c} v_{\alpha L} \phi + y \phi \overline{f_R} f_L + h.c. \quad (5)$$

# General eqs. for dispersion

- For  $k = (m_\phi, \vec{0})$  &  $p = (E, \hat{z}p)$ , EoM (for  $\nu_L/\nu_R$ ) is solved by

$$L \cdot R - m_\nu^2 \pm H = 0 \quad \begin{aligned} L &\equiv p - \Sigma_L \equiv (L_0, \hat{z}L_z) \\ R &\equiv p - \Sigma_R \equiv (R_0, \hat{z}R_z) \end{aligned}$$

$$H \equiv L_z R_0 - R_z L_0$$

$$0 = (E^2 - p^2)(1 - \Sigma_{1L})(1 - \Sigma_{1R}) - m_\nu^2 + m_\phi^2 \Sigma_{2L} \Sigma_{2R}$$

$$-m_\phi(E \pm p)\Sigma_{2L}(1 - \Sigma_{1R}) - m_\phi(E \mp p)\Sigma_{2R}(1 - \Sigma_{1L})$$

$$\xrightarrow{E \rightarrow p} 2p m_\phi \begin{cases} \Sigma_{2L}(1 - \Sigma_{1R}) \\ \Sigma_{2R}(1 - \Sigma_{1L}) \end{cases}$$

- Approximate solutions for  $|\Sigma_1| \ll 1$  &  $m_\phi |\Sigma_2| \ll m_\nu, p$

$$E^2 \approx p^2 + m_\nu^2 \left( 1 + \Sigma_{1L}^{(0)} + \Sigma_{1R}^{(0)} \right)$$

$$-m_\phi \left( E_0 [\Sigma_{2L}^{(0)} + \Sigma_{2R}^{(0)}] \pm p [\Sigma_{2L}^{(0)} - \Sigma_{2R}^{(0)}] \right)$$

$$\Sigma^{(0)} = \Sigma(E_0, p);$$

$$E_0 = \sqrt{p^2 + m_\nu^2}$$

Wolfenstein:

$$(\Sigma_{1L,R} = 0; m_\phi \Sigma_{2L,R} = \pm V_W)$$

$$E_{\nu, \bar{\nu}}^2 = p^2 + m_\nu^2 + V_W^2 \pm 2p V_W$$

$$(\text{Sun: } m_\nu^2 \sim 2pV_W)$$

# Application to different types of neutrinos

- Weyl ( $m_\nu = 0$ ):  $u_L(u_R)$  for  $\nu$  ( $\bar{\nu}$ )

$$(E_{\nu,\bar{\nu}} - p)(1 - \Sigma_{1L,R}) - m_\phi \Sigma_{2L,R} = 0 \Rightarrow E_{\nu,\bar{\nu}} \approx p + m_\phi \Sigma_{2L,R}^{(0)}$$

- Majorana ( $\nu = \nu^c$ ):  $u_L(u_R)$  for  $\nu$  ( $\bar{\nu}$ ) for  $E \rightarrow p$

$$\begin{aligned} (u_R = v_L^c, v_R = u_L^c) \\ \Sigma_R^u(p) = [\Sigma_L^v(p)]^* = -[\Sigma_L^u(-p)]^* \\ = [\Sigma_L^u(p)]_{\epsilon \rightarrow -\epsilon}^* \end{aligned}$$

$$\begin{aligned} E_{\nu,\bar{\nu}} \approx E_0 + \frac{m_\nu^2}{2E_0} (\Sigma_{1L}^{(0)} + \Sigma_{1R}^{(0)}) \\ + \frac{m_\phi}{2} \left( (\Sigma_{2L}^{(0)} + \Sigma_{2R}^{(0)}) \pm \frac{p}{E_0} (\Sigma_{2L}^{(0)} - \Sigma_{2R}^{(0)}) \right) \end{aligned}$$

asymmetric

- Dirac ( $\nu \neq \nu^c, m_\nu \neq 0$ ) with  $\Sigma_R = 0$ :

$$\begin{aligned} (E^2 - p^2)(1 - \Sigma_{1L}) - m_\nu^2 \\ - m_\phi \Sigma_{2L}(E \pm p) = 0 \end{aligned}$$

$$E_{\nu_L, \nu_R} \approx E_0 + \frac{m_\nu^2}{2E_0} \Sigma_{1L}^{(0)} + \frac{m_\phi}{2} \Sigma_{2L}^{(0)} \left( 1 \pm \frac{p}{E_0} \right) \xrightarrow{\epsilon \rightarrow -\epsilon} E_{\bar{\nu}_L, \bar{\nu}_R}$$

# Neutrinos in a medium of complex scalar

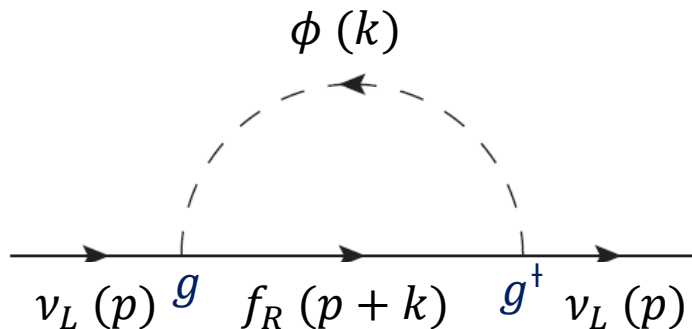
$$\mathcal{L}' = g \bar{f}_R \nu_L \phi + g^* \bar{\nu}_L f_R \phi^*$$

# Modified neutrino propagator

- Finite temperature/density calculation

$$S_v^{-1}(p) = (\not{p} - \not{\Sigma}) = (\not{p} - \not{p}\Sigma_1 - \not{k}\Sigma_2)$$

$$\not{\Sigma} = i g g^\dagger \int \frac{d^4 k}{(2\pi)^4} \Delta_\phi(k) S_f(p+k)$$



$$\Delta_\phi(k) = \frac{1}{k^2} - 2\pi i \delta(k^2 - m_\phi^2) f_\phi(k)$$

$$S_f(q) = (\not{q} + m_f) \left( \frac{1}{q^2 - m_f^2} + 2\pi i \delta(q^2 - m_f^2) f_f(q) \right)$$

Medium 4-momentum:  $k = (k^0, \vec{k}) \simeq (m_\phi, 0)$

Distribution functions:

$$f_f(q) = 0$$

$$f_\phi(k) = (\theta(k_0)n_\phi + \theta(-k_0)n_{\bar{\phi}})(2\pi)^3 \delta^3(\vec{k})$$

# Self-energy corrections

$$\begin{aligned}\Sigma_{1L}^{u,v}(p), \Sigma_{1L,R} &= S(p) \pm \epsilon A(p) \\ \Sigma_{2L}^{u,v}(p), \Sigma_{2L,R} &= A(p) \pm \epsilon S(p)\end{aligned}$$

$$\epsilon \equiv \frac{N_\phi - N_{\bar{\phi}}}{N_\phi + N_{\bar{\phi}}} \quad \delta m^2 \equiv |g|^2 \frac{N_\phi + N_{\bar{\phi}}}{2 m_\phi}$$

$$\begin{aligned}S(p) &= \delta m^2 \frac{p^2 + m_\phi^2 - m_f^2}{(p^2 + m_\phi^2 - m_f^2)^2 - 4m_\phi^2 E^2} \\ A(p) &= \delta m^2 \frac{-2m_\phi E}{(p^2 + m_\phi^2 - m_f^2)^2 - 4m_\phi^2 E^2}\end{aligned}$$

$$E^2 = p^2 - p^2$$

nb) Consistent with the diagrammatic calculation.

$$S_\nu^{-1}(p) = (\not{p} - \not{p}\Sigma_1 - \not{k}\Sigma_2)$$

Modified dispersion relations

$$E = E(p; m_\nu^2, \delta m^2, m_f^2, m_\phi^2)$$

depending on the parameter hierarchies:

1. Decoupling limit:  $m_f^2 \gg \delta m^2, m_\nu^2, 2m_\phi p \gg m_\phi^2$
2. Heavy neutrino limit:  $m_\nu^2 \gg \delta m^2, m_f^2, 2m_\phi p$
3. High momentum limit:  $2m_\phi p \gg m_f^2, \delta m^2, m_\nu^2$
4. High density limit:  $\delta m^2 \gg m_f^2, m_\nu^2, 2m_\phi p$

# Dispersion of Weyl/Majorana neutrinos

\*) Weyl:  $m_\nu = 0$

## 1. Decoupling limit

$$\text{i) } p \rightarrow \infty: E_{\nu, \bar{\nu}} \approx p + \frac{m_\nu^2}{2p} \left( 1 - 2 \frac{\delta m^2}{m_f^2} \right) \mp \epsilon m_\phi \frac{\delta m^2}{m_f^2}$$

$$\text{ii) } p \rightarrow 0: E_{\nu, \bar{\nu}} \approx m_\nu \left( 1 - \frac{\delta m^2}{m_f^2} \right)$$

## 2. Heavy neutrino limit

$$\text{i) } p \rightarrow \infty: E_{\nu, \bar{\nu}} \approx p + \frac{m_\nu^2}{2p} \left( 1 + 2 \frac{\delta m^2}{m_\nu^2} \right) \pm \epsilon m_\phi \frac{\delta m^2}{m_\nu^2}$$

$$\text{ii) } p \rightarrow 0: E_{\nu, \bar{\nu}} \approx m_\nu \left( 1 + \frac{\delta m^2}{m_\nu^2} \right)$$

## 3. High momentum limit

$$E_{\nu, \bar{\nu}} \approx p + \frac{m_\nu^2 + \delta m^2}{2p} \mp \epsilon \frac{\delta m^2 (m_\nu^2 - m_f^2)}{4m_\phi p^2}$$

## 4. High density limit

$$\text{i) } p \rightarrow \infty: E_{\nu, \bar{\nu}} \approx p + \frac{m_M^2}{2p} \pm \epsilon m_\phi \frac{\delta m^2}{m_M^2 + \delta m^2}$$

$$\text{ii) } p \rightarrow 0: E_{\nu, \bar{\nu}} \approx m_M$$

$$m_M \equiv \frac{1}{2} \left( m_\nu + \sqrt{m_\nu^2 + 4\delta m^2} \right)$$

Rest mass correction!

$m_\nu^2 \gg \delta m^2$



# Dispersion of Dirac neutrinos

## 1. Decoupling limit

i)  $p \rightarrow \infty$ :

$$E_{\nu_L, \bar{\nu}_L} (E_{\nu_R, \bar{\nu}_R}) \approx p + \frac{m_\nu^2}{2p} \left( 1 - \frac{\delta m^2}{m_f^2} \right) \mp (0) \epsilon m_\phi \frac{\delta m^2}{m_f^2}$$

ii)  $p \rightarrow 0$ :  $E_{\nu, \bar{\nu}} \approx m_\nu \left( 1 - \frac{\delta m^2}{2m_f^2} \right) \mp \epsilon m_\phi \frac{\delta m^2}{2m_f^2}$

## 2. Heavy neutrino limit

i)  $p \rightarrow \infty$ :

$$E_{\nu_L, \bar{\nu}_L} (E_{\nu_R, \bar{\nu}_R}) \approx p + \frac{m_\nu^2 + \delta m^2}{2p} + \frac{\delta m^2}{2p} \frac{m_f^2}{m_\nu^2} \left( \mp \epsilon m_\phi \frac{\delta m^2}{m_\nu^2} \right)$$

ii)  $p \rightarrow 0$ :  $E_{\nu, \bar{\nu}} \approx m_\nu \left( 1 + \frac{\delta m^2}{2m_\nu^2} \right)$

## 3. High momentum limit

$$E_{\nu_L, \bar{\nu}_L} \approx p + \frac{m_\nu^2 + \delta m^2}{2p} \pm \epsilon \frac{\delta m^2 m_f^2}{4m_\phi p^2}$$

$$E_{\nu_R, \bar{\nu}_R} \approx p + \frac{m_\nu^2}{2p} \pm \epsilon \frac{\delta m^2 m_\nu^2}{4m_\phi p^2}$$

## 4. High density limit

i)  $p \rightarrow \infty$ :  $E_{\nu_L, \bar{\nu}_L} \approx p + \frac{m_D^2}{2p} + \frac{\delta m^2}{2p} \frac{m_f^2}{m_D^2}$

$$E_{\nu_R, \bar{\nu}_R} \approx p + \frac{m_D^2}{2p} + \frac{\delta m^2}{2p} \frac{m_f^2}{m_D^2} \mp \epsilon m_\phi \frac{\delta m^2}{m_D^2}$$

ii)  $p \rightarrow 0$ :  $E_{\nu, \bar{\nu}} \approx m_D$

$$m_D \equiv \sqrt{m_\nu^2 + \delta m^2} \neq m_M!!$$

$m_\nu^2 \gg \delta m^2$  ←

# Implications

# Effective mass in a medium

- L-conserving mass-squared without chirality-flip:

$$\delta m^2 = \frac{g g^+ \rho_{\phi+\bar{\phi}}}{2 \cdot 2m_\phi}$$

$$E \xrightarrow{p \rightarrow 0} m_{M,D}$$

$$m_M = \frac{1}{2} \left( m_\nu + \sqrt{m_\nu^2 + 4\delta m^2} \right)$$

$$m_D = \sqrt{m_\nu^2 + \delta m^2}$$

- Affecting neutrino oscillations:

$$p^2 \gg 2m_\phi p \gg \delta m^2, m_\nu^2 \Rightarrow E \sim \frac{m_\nu^2 + \delta m^2}{2p}$$

$$p^2 \gg \delta m^2, m_\nu^2 \gg 2m_\phi p \Rightarrow E \sim \frac{m_{M,D}^2}{2p}$$

# Oscillation as a dark medium effect

- Solar and Atmospheric neutrino oscillations:  $E_\nu \approx p$

$$p_{\text{sol}} = (0.1 - 10) \text{ MeV}, \quad p_{\text{atm}} = (0.1 - 100) \text{ GeV} \quad \frac{\Delta m^2}{2p} = \left\{ \begin{array}{l} 3.8 \times 10^{-10:-12} \text{ eV} \\ 1.2 \times 10^{-11:-14} \text{ eV} \end{array} \right\}$$

$$\Delta m_{\text{sol}}^2 = 7.5 \times 10^{-5} \text{ eV}^2, \quad \Delta m_{\text{atm}}^2 = 2.5 \times 10^{-3} \text{ eV}^2$$

- Weyl neutrinos can oscillates due to  $\rho_{\text{DM}}^{\text{loc}} \approx 0.3 \text{ GeV/cm}^3 \approx 2.3 \times 10^{-6} \text{ eV}^4$

$$\delta m_{\text{loc}}^2 = g^2 \frac{\rho_{\text{DM}}^{\text{loc}}}{2m_{\text{DM}}^2} \sim \Delta m_{\text{sol, atm}}^2 \Rightarrow m_\phi \approx 0.03g \text{ eV} \left( \frac{2.5 \times 10^{-3} \text{ eV}^2}{\delta m_{\text{loc}}^2} \right)^{\frac{1}{2}}$$

- CPV may also appear:  $E_\nu \ni \pm \epsilon \frac{m_f^2}{2m_{\text{DM}} p} \frac{\delta m^2}{2p}; \quad \pm \epsilon m_{\text{DM}}$

# Cosmological limitation

- Neutrinos were heavier at earlier time.

$$\delta m^2(z) = \delta m_{loc}^2 \frac{\rho_{DM}^0}{\rho_{DM}^{loc}} (1+z)^3 \approx 6650 \left( \frac{z}{1100} \right)^3 \delta m_{loc}^2$$

- Around the CMB decoupling, neutrinos cannot be too heavy:

$$\delta m^2(z) \lesssim (0.1\text{eV})^2 \Rightarrow \delta m_{loc}^2 < 10^{-6}\text{eV}^2$$

- To explain the neutrino oscillations as an local DM effect, the current DM component needs to be generated well after the decoupling.

# Astrophysical bounds

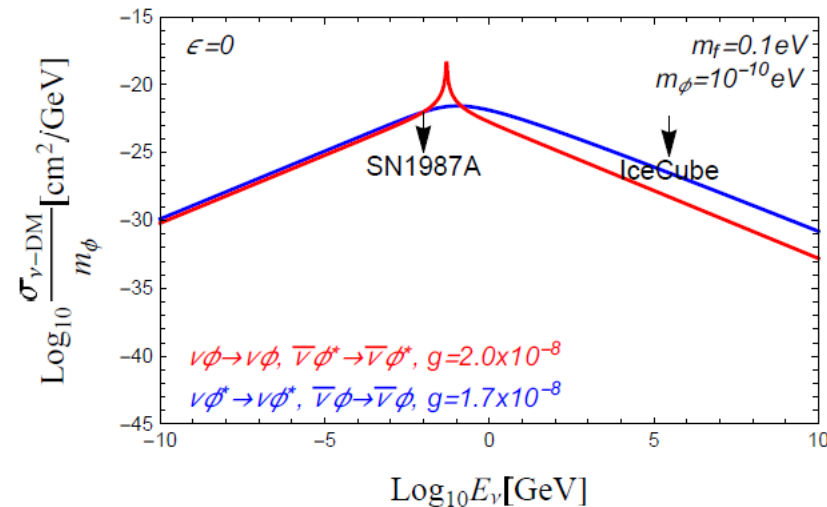
- The present neutrino-DM scattering cross-section is constrained by SN1987A ( $E_\nu \sim 10$  MeV) and IceCube-170922A ( $E_\nu \sim 300$  TeV) neutrino observations:

$$\frac{\sigma_{\nu-\phi}}{m_\phi} \approx \frac{g^4}{32\pi m_\phi^2 E_\nu} \lesssim 10^{-22} \text{ cm}^2/\text{GeV} \Rightarrow m_\phi \gtrsim 2 \times 10^6 g^2 \text{ eV}$$

- Combined with the condition for the "massless" oscillation

$$g \lesssim 1.5 \times 10^{-8} \left( \frac{2.5 \times 10^{-3} \text{ eV}^2}{\delta m_{loc}^2} \right)^{\frac{1}{2}}$$

$$m_\phi \lesssim 4.5 \times 10^{-10} \text{ eV} \left( \frac{2.5 \times 10^{-3} \text{ eV}^2}{\delta m_{loc}^2} \right)^{\frac{1}{2}}$$



# Conclusion

- General dispersion relations of neutrinos propagating in a medium are found up to first order in perturbation considering the four limiting situations.
- Effective mass is generated and the neutrino oscillations may be due to the medium effect (partly or totally even for Weyl neutrinos).
- The scenario is limited by the cosmological mass generation and the neutrino-DM interaction is constrained by the astrophysical neutrino observations.
- Sensible UV-completion for the origin of scalar DM?

Dark sector coupling only to neutrinos; late generation of ultra-light cold DM