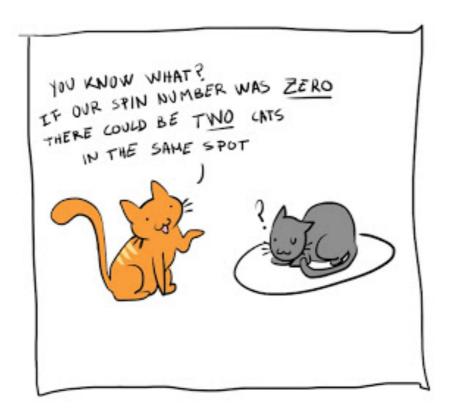
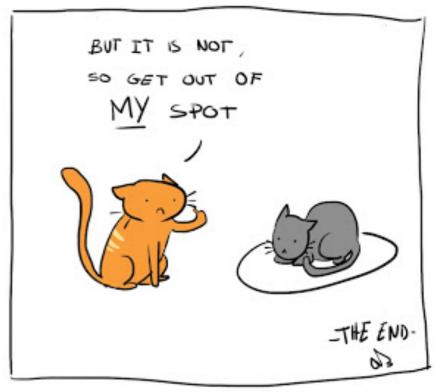
Tutorial: Calculating scattering rates

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http://dingercatadventures.blogspot.com/2012/08/



Yesterday: The energy loss function (ELF)

Coulomb potential in a dielectric:

$$H = eQ_{\chi} \int \frac{d^3 \mathbf{k}}{(2\pi)^2} \frac{1}{\epsilon(\mathbf{k}, \omega)} \frac{e^{i\mathbf{k} \cdot \mathbf{x}}}{k^2}$$

In QFT language:



(Non-relativistic limit)

We are interested in energy dissipation:

$$\sim \sim \sim \operatorname{Im} \left[\frac{-1}{\epsilon(\mathbf{k},\omega)} \right] = \frac{\operatorname{Im} \epsilon(\mathbf{k},\omega)}{|\epsilon(\mathbf{k},\omega)|^2}$$
 Screening

"Energy Loss Function" (ELF)

DM-electron scattering rate

Lindhard dielectric function (see e.g. Dressel & Gruner textbook)

$$\epsilon(\mathbf{q}, \omega) = 1 - \frac{4\pi\alpha}{V} \lim_{\eta \to 0^+} \sum_{j,j',\mathbf{p}_e} \frac{|\langle \mathbf{p}_e + \mathbf{k} | \mathbf{p}_e \rangle_{\Omega}|^2}{|\mathbf{k}|^2|} \frac{f_j(\mathbf{p}_e) - f_{j'}(\mathbf{p}_e + \mathbf{k})}{\omega_{j',\mathbf{p}_e + \mathbf{k}} - \omega_{j,\mathbf{p}_e} - \omega - i\eta}$$

Imaginary part

matrix element from Tien-Tien's lectures!

$$\operatorname{Im} \epsilon(\mathbf{q}, \omega) = \frac{4\pi^2 \alpha}{V} \sum_{\mathbf{p}_e} \frac{|\langle \mathbf{p}_e + \mathbf{k} | \mathbf{p}_e \rangle_{\Omega}|^2}{|\mathbf{k}|^2} \left(f(\mathbf{p}_e) - f(\mathbf{p}_e + \mathbf{k}) \right) \delta(\omega_{\mathbf{p}_e + \mathbf{k}} - \omega_{\mathbf{p}_e} - \omega)$$

Full formula

$$R = \frac{1}{\rho_T} \frac{\rho_\chi}{m_\chi} \frac{\bar{\sigma}_e}{\mu_{\chi e}^2} \frac{\pi}{\alpha_{em}} \int d^3v \int_{\chi}^{\pi} \frac{d^3k}{(2\pi)^3} k^2 \int_{\chi}^{\pi} \frac{1}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \mathbf{k} \cdot \mathbf{v} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^2}{2m_\chi} - \frac{k^2}{2m_\chi} \right) \right] \int_{\chi}^{\pi} \frac{d\omega}{1 - e^{-\beta\omega}} \left[\operatorname{Im} \left[\frac{-1}{\epsilon(\omega, \mathbf{k})} \right] \delta \left(\omega + \frac{k^$$

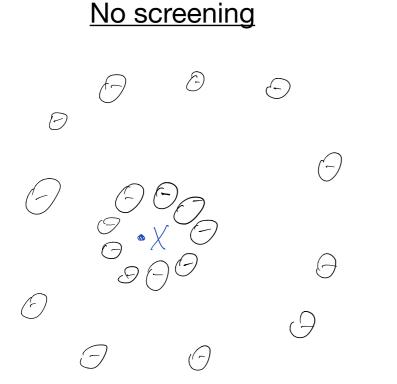
Advantages of using the ELF:

- Screening included automatically
- ELF has been measured and calculated extensively in the condensed matter literature

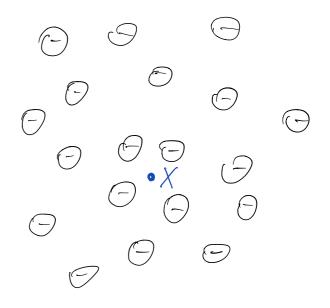
Sidebar: Screening is mediator independent

Consider a DM particle in the crystal, sourcing an external force

This creates a local overdensity in the electron number density







Density perturbations are suppressed because of Pauli blocking and electric repulsion

Purely standard model effect, and does not depend on the DM mediator

Derivation (I)

Need to use *linear response theory*, essentially non-relativistic QFT

Susceptibility: how does the crystal respond to a density perturbation?

$$\chi(\omega,\mathbf{k}) = -\frac{i}{V} \int_0^\infty dt \, e^{i\omega t} \langle [n_\mathbf{k}(t),n_{-\mathbf{k}}(0)] \rangle$$

$$\downarrow$$
 Crystal volume Electron number density operator

This is the non-relativistic, retarded Green's function (fully dressed)

Now we use the fluctuation-dissipation theorem

$$\operatorname{Im}\chi(\omega, \mathbf{k}) = -\frac{1}{2}(1 - e^{-\beta\omega})S(\omega, \mathbf{k}) \qquad \beta \equiv \frac{1}{k_B T}$$

With the dynamical structure factor defined as

$$S(\omega, \mathbf{k}) \equiv \frac{2\pi}{W} \sum_{i,f} \frac{e^{-\beta E_i}}{Z} |\langle f | n_{-\mathbf{k}} | i \rangle|^2 \delta(\omega + E_i - E_f)$$

Fermi's golden rule

Derivation (II)

Now consider the response to an external electromagnetic perturbation. The induced electron number density is

$$\langle \delta n(\mathbf{k}, \omega) \rangle = \langle n(\mathbf{k}, \omega) H_{coul} \rangle \qquad \text{with} \qquad H_{coul} = -e \int \frac{d^3 \mathbf{k}}{(2\pi)^2} \frac{e^{i\mathbf{k} \cdot \mathbf{x}}}{k^2} n(-\mathbf{k}, \omega) \rho_{ext}(\mathbf{k}, \omega)$$
$$= -\frac{e}{k^2} \chi(\mathbf{k}, \omega) \rho_{ext}(\mathbf{k}, \omega)$$

Using Maxwell's equations

$$i\mathbf{k} \cdot \mathbf{D}(\mathbf{k}, \omega) = 4\pi \rho_{ext}(\mathbf{k}, \omega)$$

$$i\mathbf{k} \cdot \mathbf{E}(\mathbf{k}, \omega) = 4\pi \rho_{ext}(\mathbf{k}, \omega) - 4\pi e \langle \delta n(\mathbf{k}, \omega) \rangle$$
 with
$$\mathbf{D}(\mathbf{k}, \omega) = \epsilon(\mathbf{k}, \omega) \mathbf{E}(\mathbf{k}, \omega)$$

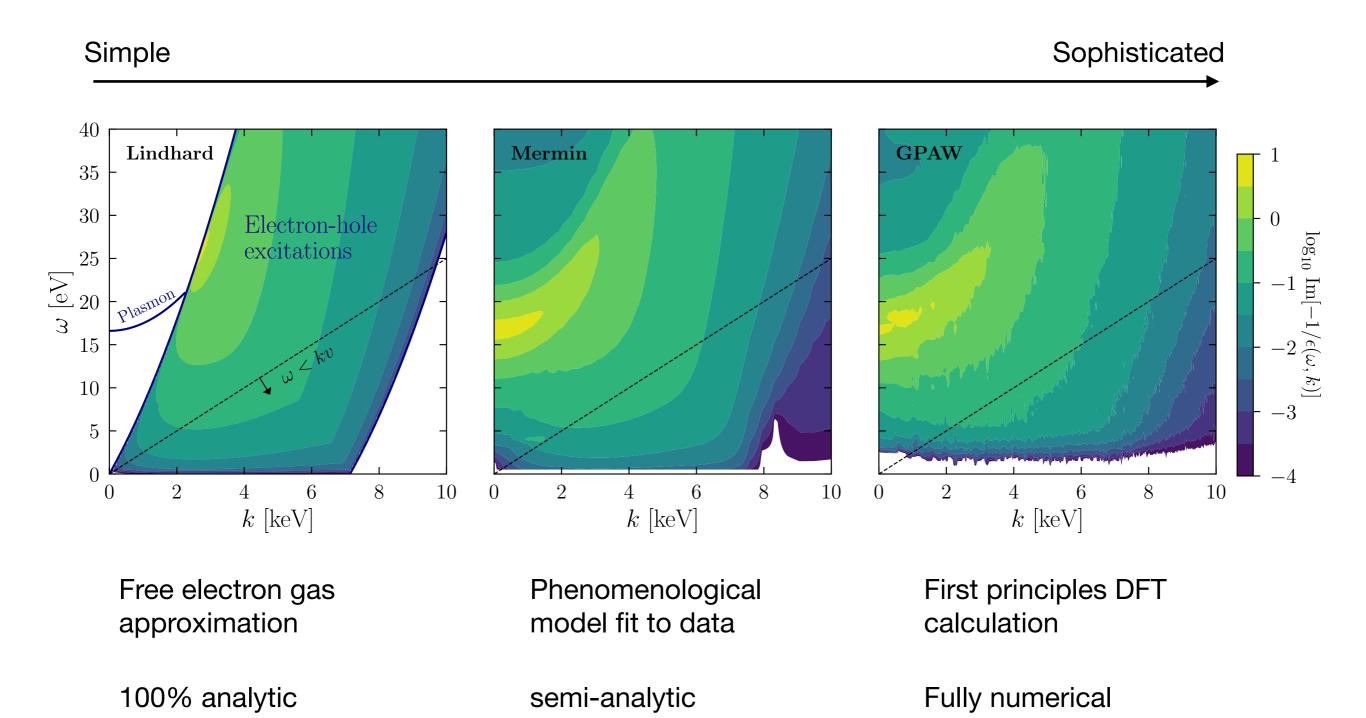
Which results in the relation

$$\frac{1}{\epsilon(\omega, \mathbf{k})} = 1 + \frac{4\pi\alpha_{em}}{k^2} \chi(\omega, \mathbf{k}),$$

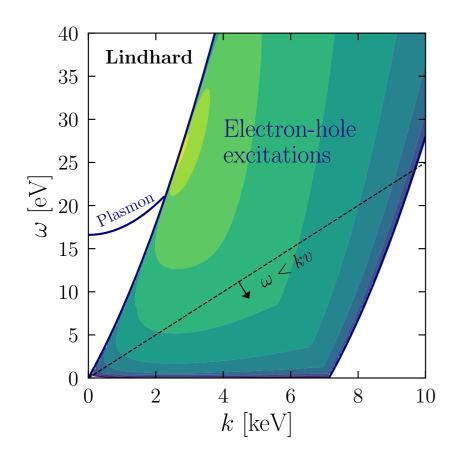
Now plugging this into the fluctuation-dissipation theorem

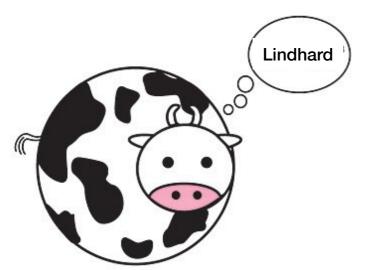
$$S(\omega,\mathbf{k}) = \frac{k^2}{2\pi\alpha_{em}} \frac{1}{1 - e^{-\beta\omega}} \mathrm{Im}\left[\frac{-1}{\epsilon(\omega,\mathbf{k})}\right]$$
 Energy Loss Function (ELF)

Calculating the ELF



Lindhard model





Homogenous, free electron gas:

$$\epsilon_{\text{Lin}}(\omega, k) = 1 + \frac{3\omega_p^2}{k^2 v_F^2} \lim_{\eta \to 0} \left[f\left(\frac{\omega + i\eta}{k v_F}, \frac{k}{2m_e v_F}\right) \right]$$

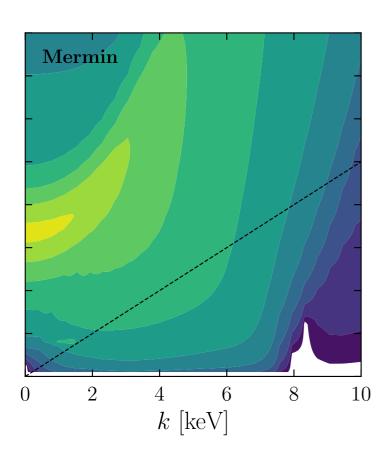
with

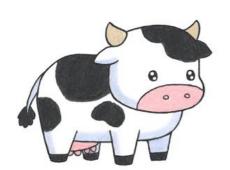
$$v_F = \left(rac{3\pi\omega_p^2}{4\alpha m_e^2}
ight)^{1/3}$$
 Plasmon frequency $f(u,z) = rac{1}{2} + rac{1}{8z}\left[g(z-u) + g(z+u)
ight]$ $g(x) = (1-x^2)\log\left(rac{1+x}{1-x}
ight)$

Features:

- Pauli blocking
- e-h pair continuum
- Plasmon width
- Low k region
- Bandgap

Mermin model





M. Vos, P. Grande: chapidif package Data from Y. Sun et. al. Chinese Journal of Chemical Physics 9, 663 (2016)

Homogenous, free electron gas with dissipation (Γ)

$$\epsilon_{\mathrm{Mer}}(\omega,k) = 1 + \frac{(1+i\frac{\Gamma}{\omega})(\epsilon_{\mathrm{Lin}}(\omega+i\Gamma,k)-1)}{1+(i\frac{\Gamma}{\omega})\frac{\epsilon_{\mathrm{Lin}}(\omega+i\Gamma,k)-1}{\epsilon_{\mathrm{Lin}}(0,k)-1}}.$$

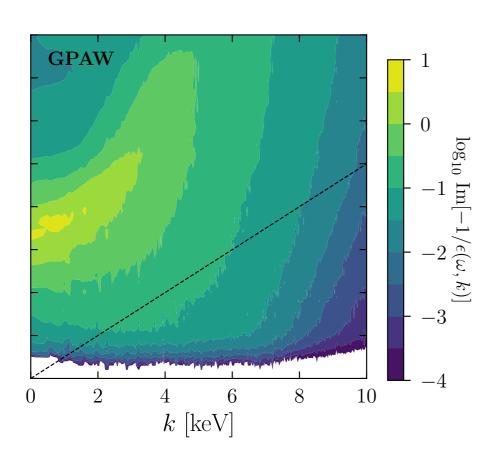
Fit a linear combination of Mermin oscillators to optical data:

$$\operatorname{Im}\left[\frac{-1}{\epsilon(\omega,k)}\right] = \sum_{i} A_{i}(k) \operatorname{Im}\left[\frac{-1}{\epsilon_{\operatorname{Mer}}(\omega,k;\omega_{p,i},\Gamma_{i})}\right]$$

Features:

- Pauli blocking
- e-h pair continuum
- Plasmon width
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GPAW method



Compute the ELF from first principles with timedependent Density Functional Theory methods (TD-DFT)

Puts atoms on periodic lattice and model interacting e- as non-interacting e- + effective external potential (Kohn-Sham method)

Inner shell e- are treated as part of the ion (frozen core approximation)

Features:

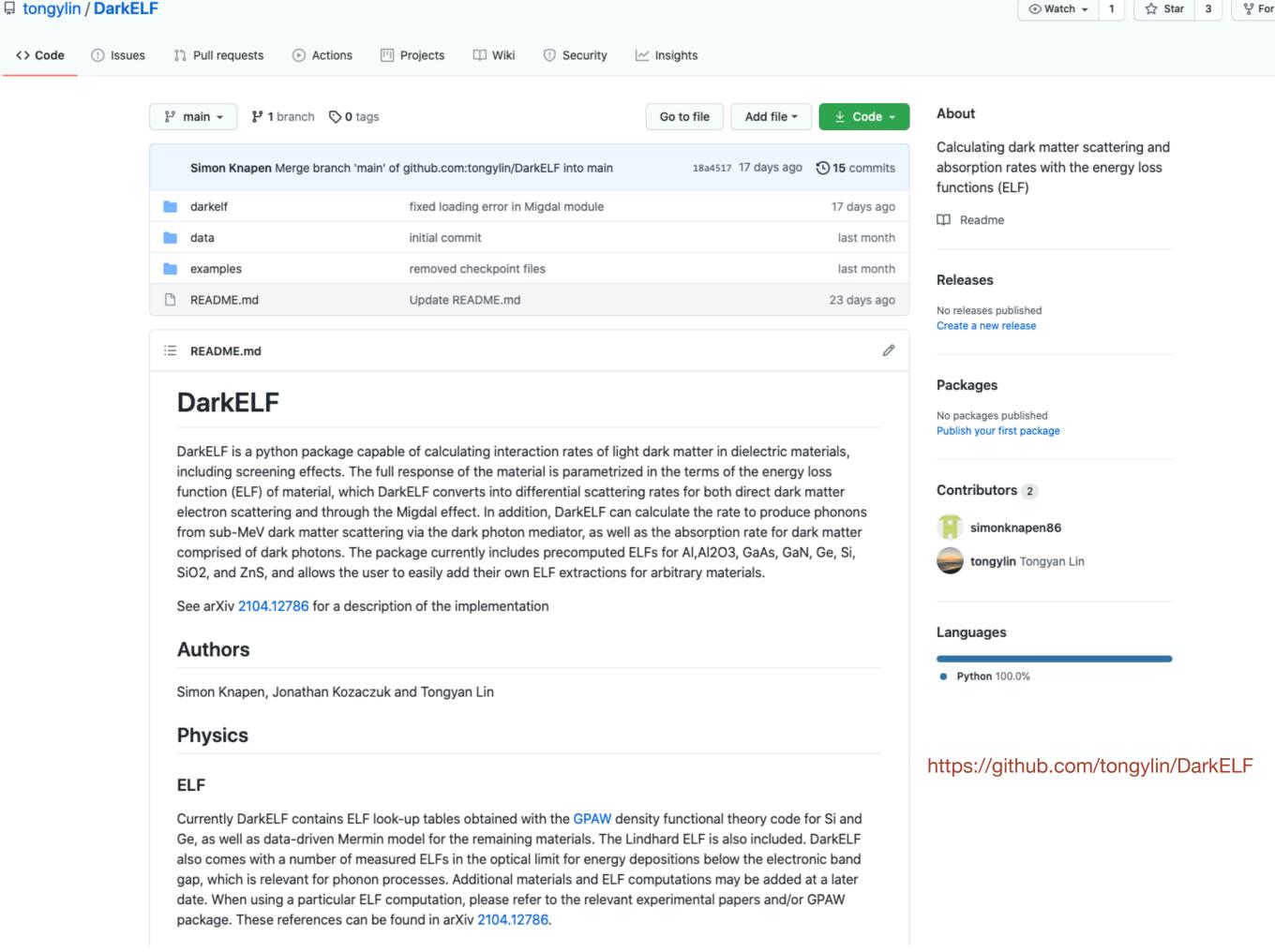
Pauli blocking

e-h pair continuum

Plasmon width

Bandgap

GPAW: https://wiki.fysik.dtu.dk/gpaw/



Let's do a few small problems

We'll be making a few plots, with data computed with darkELF

The easiest is to do this directly in python, but you can export the numbers to your favorite plotting tool, e.g. Mathematica, Matlab etc

Please open "vienna_tutorial.ipynb"

Make sure darkELF is in your python path or copy "vienna_tutorial.ipynb" to the darkELF examples folder