

ASP Online Seminars

Exact Kohn-Sham Density Functional Theory on a Lattice

Kossi Amouzouvi

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Outline

- 1 Bibliography**
- 2 PhD Thesis**
 - One dimensional lattice
 - 1D Hubbard Hamiltonian
 - Lattice - DFT
 - Exact method
- 3 Maths Initiative: Togo Maths Camp**



Bio

- 1 2011: Maitrise en Science Es Mathématiques, University of Lomé
- 2 2014: PGD @ AIMS Ghana (Graduated from University of Cape Coast)
- 3 2014: ASP Meeting, Senegal
- 4 2018: Ph.D in Computational and Applied Physics (University of Witwatersrand)
- 5 2018-2020: Teaching Assistant at AIMS
- 6 2021: Lecturer at KNUST



ASP 2014



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- 1 Bibliography
- 2 **PhD Thesis**
 - One dimensional lattice
 - 1D Hubbard Hamiltonian
 - Lattice - DFT
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PhD Thesis: Motivation

- Density Functional Theory (DFT) is an elegant reformulation of quantum mechanics in which the density distribution is the variable that formally contains all the information about a system.
- For small lattice sizes described by the Hubbard model, the exact solutions can be found numerically and for a uniform infinite chain an analytic solution is available.
- The exact solutions can be used as a reference to approximate implementations of DFT.



Two-site lattice with $n_e = 0, 1, 2, 4$

- Two sites lattice with zero electron

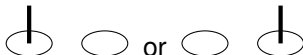


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where \uparrow is either a spin up \uparrow or a spin down \downarrow electron.

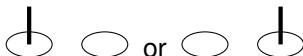


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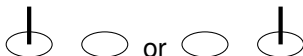


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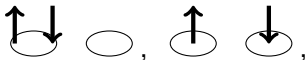


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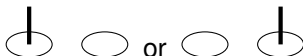


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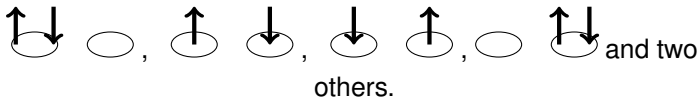


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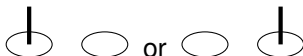


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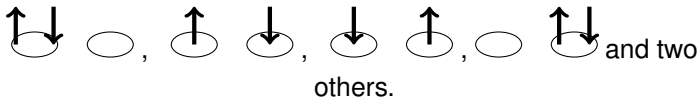


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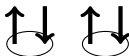


where $|$ is either a spin up \uparrow or a spin down \downarrow electron.

- Two sites lattice with two electrons



- Two sites lattice with four electrons



One Dimensional Hubbard Hamiltonian (1D HH)

The Hamiltonian, \hat{H} , of a quantum system gives information about the total energy of the system. In our case,



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The Hamiltonian, \hat{H} , of a quantum system gives information about the total energy of the system. In our case,

\hat{H} is the single chain Hubbard Hamiltonian^a used to describe discrete quantum systems (Lattice):

- t the nearest-neighbor hopping amplitude,
- u_0 the on-site interaction,
- v_i the on-site spin-independent external potential

$$\hat{H} = \hat{T} + \hat{u} + \hat{v}:$$

$$\hat{T} = -t \sum_{\langle ij \rangle, \sigma} \hat{C}_{j\sigma}^\dagger \hat{C}_{i\sigma}$$

$$\hat{u} = u_0 \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow}$$

$$\hat{v} = \sum_{i,\sigma} v_i \hat{n}_{i\sigma}$$

^aJ. Hubbard, *Proc. R. Soc. (London) A* **276**, 238–257 (1963).

where $\hat{n}_{i\sigma} = \hat{C}_{i\sigma}^\dagger \hat{C}_{i\sigma}$ is the on-site particle number operator, and $\hat{C}_{i\sigma}^\dagger$ and $\hat{C}_{i\sigma}$ are the creation and annihilation operators.



1D HH

• To see how the different operators account for the physics that undergo the electrons, let us consider a two sites lattice with two electrons.

The case $n_e = 2$ is relevant since it easily points out the physics behind the Hubbard model.



1D HH: Kinetic operator

Let us denote by $\hat{t}_{ji\sigma}$ the operator $\hat{C}_{j\sigma}^\dagger \hat{C}_{i\sigma}$. The kinetic operator for a two sites lattice takes the expression

$$\begin{aligned}\hat{T} &= -t \sum_{\langle ij \rangle, \sigma}^2 \hat{C}_{j\sigma}^\dagger \hat{C}_{i\sigma} \\ &= -t \sum_{\langle ij \rangle, \sigma}^2 \hat{t}_{ji\sigma} \\ &= -t (\hat{t}_{21\uparrow} + \hat{t}_{12\uparrow} + \hat{t}_{21\downarrow} + \hat{t}_{12\downarrow}).\end{aligned}\tag{1}$$

From the following configurations, let us see how the kinetic operator conveys the idea of moving an electron from one site to the nearest neighbour sites. This characterized the motion of a valence electron from one atom to another.



1D HH: Kinetic operator

ϕ_i	$\hat{t}_{21\uparrow} \phi_i$	$\hat{t}_{12\uparrow} \phi_i$
$\phi_1 = \hat{c}_{1\uparrow}^\dagger \hat{c}_{2\uparrow}^\dagger \rangle := \uparrow \uparrow$	$\hat{c}_{2\uparrow}^\dagger \hat{c}_{2\uparrow}^\dagger \rangle = \rangle := \circ \uparrow \uparrow$ This state is not allowed	$-\hat{c}_{1\uparrow}^\dagger \hat{c}_{1\uparrow}^\dagger \rangle = \rangle := \uparrow \uparrow \circ$ This state is not allowed
$\phi_2 = \hat{c}_{1\uparrow}^\dagger \hat{c}_{1\downarrow}^\dagger \rangle := \uparrow \downarrow \circ$	$\hat{c}_{2\uparrow}^\dagger \hat{c}_{1\downarrow}^\dagger \rangle = \phi_4 := \downarrow \uparrow$	$ \rangle := \circ \circ$ Complete annihilation
$\phi_3 = \hat{c}_{1\uparrow}^\dagger \hat{c}_{2\downarrow}^\dagger \rangle := \uparrow \downarrow$	$\hat{c}_{2\uparrow}^\dagger \hat{c}_{2\downarrow}^\dagger \rangle = \phi_5 := \circ \uparrow \downarrow$	$ \rangle := \circ \circ$ Complete annihilation
$\phi_4 = \hat{c}_{2\uparrow}^\dagger \hat{c}_{1\downarrow}^\dagger \rangle := \downarrow \uparrow$	$ \rangle := \circ \circ$ Complete annihilation	$\hat{c}_{1\uparrow}^\dagger \hat{c}_{1\downarrow}^\dagger \rangle = \phi_2 := \downarrow \uparrow \circ$
$\phi_5 = \hat{c}_{2\uparrow}^\dagger \hat{c}_{2\downarrow}^\dagger \rangle := \circ \uparrow \downarrow$	$ \rangle := \circ \circ$ Complete annihilation	$\hat{c}_{1\uparrow}^\dagger \hat{c}_{2\downarrow}^\dagger \rangle = \phi_3 := \uparrow \downarrow$
$\phi_6 = \hat{c}_{1\downarrow}^\dagger \hat{c}_{2\downarrow}^\dagger \rangle := \downarrow \downarrow$	$ \rangle := \circ \circ$ Complete annihilation	$ \rangle := \circ \circ$ Complete annihilation

Table: Images $\hat{t}_{ij\sigma} \phi_i$ of the basis elements ϕ_i by $\hat{t}_{21\uparrow}$ and $\hat{t}_{12\uparrow}$.



1D HH: Kinetic operator

ϕ_i	$\hat{t}_{21\downarrow} \phi_i$	$\hat{t}_{12\downarrow} \phi_i$
$\phi_1 = \hat{c}_{1\uparrow}^\dagger \hat{c}_{2\uparrow}^\dagger \rangle := \begin{array}{c} \uparrow \\ \circ \\ \uparrow \\ \circ \end{array}$	$ \rangle := \begin{array}{c} \circ \\ \circ \end{array}$ Complete annihilation	$ \rangle := \begin{array}{c} \circ \\ \circ \end{array}$ Complete annihilation
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Table: Images $\hat{t}_{ij\sigma} \phi_i$ of the basis elements ϕ_i by $\hat{t}_{12\downarrow}$ and $\hat{t}_{21\downarrow}$.



1D HH: Kinetic operator

In summary,

$$\begin{aligned}\hat{T}\phi_1 &= 0 & \hat{T}\phi_2 &= -t\phi_4 - t\phi_3, & \hat{T}\phi_3 &= -t\phi_5 - t\phi_2, \\ \hat{T}\phi_4 &= -t\phi_2 - t\phi_5, & \hat{T}\phi_5 &= -t\phi_3 - t\phi_4, & \hat{T}\phi_6 &= 0.\end{aligned}\quad (2)$$



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	$\hat{T}\phi_1$	$\hat{T}\phi_2$	$\hat{T}\phi_3$	$\hat{T}\phi_4$	$\hat{T}\phi_5$	$\hat{T}\phi_6$
ϕ_1	0	0	0	0	0	0
ϕ_2	0	0	$-t$	$-t$	0	0
ϕ_3	0	$-t$	0	0	$-t$	0
ϕ_4	0	$-t$	0	0	$-t$	0
ϕ_5	0	0	$-t$	$-t$	0	0
ϕ_6	0	0	0	0	0	0

$$\begin{aligned} \phi_1 &= \hat{C}_{1\uparrow}^\dagger \hat{C}_{2\uparrow}^\dagger | \rangle \\ \phi_2 &= \hat{C}_{1\uparrow}^\dagger \hat{C}_{1\downarrow}^\dagger | \rangle \\ \phi_3 &= \hat{C}_{1\uparrow}^\dagger \hat{C}_{2\downarrow}^\dagger | \rangle \\ \phi_4 &= \hat{C}_{2\uparrow}^\dagger \hat{C}_{1\downarrow}^\dagger | \rangle \\ \phi_5 &= \hat{C}_{2\uparrow}^\dagger \hat{C}_{2\downarrow}^\dagger | \rangle \\ \phi_6 &= \hat{C}_{1\downarrow}^\dagger \hat{C}_{2\downarrow}^\dagger | \rangle \end{aligned}$$

Table: Matrix representation of the kinetic operator.



1D HH: Onsite interaction operator

The onsite interaction operator for two sites and two electrons is read

$$\hat{u} = u_0 \hat{n}_{1\uparrow} \hat{n}_{1\downarrow} + u_0 \hat{n}_{2\uparrow} \hat{n}_{2\downarrow}. \quad (3)$$



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	$\hat{u}\phi_1$	$\hat{u}\phi_2$	$\hat{u}\phi_3$	$\hat{u}\phi_4$	$\hat{u}\phi_5$	$\hat{u}\phi_6$
ϕ_1	0	0	0	0	0	0
ϕ_2	0	u_0	0	0	0	0
ϕ_3	0	0	0	0	0	0
ϕ_4	0	0	0	0	0	0
ϕ_5	0	0	0	0	u_0	0
ϕ_6	0	0	0	0	0	0

$$\begin{aligned} \phi_1 &= \hat{C}_{1\uparrow}^\dagger \hat{C}_{2\uparrow}^\dagger | \rangle \\ \phi_2 &= \hat{C}_{1\uparrow}^\dagger \hat{C}_{1\downarrow}^\dagger | \rangle \\ \phi_3 &= \hat{C}_{1\uparrow}^\dagger \hat{C}_{2\downarrow}^\dagger | \rangle \\ \phi_4 &= \hat{C}_{2\uparrow}^\dagger \hat{C}_{1\downarrow}^\dagger | \rangle \\ \phi_5 &= \hat{C}_{2\uparrow}^\dagger \hat{C}_{2\downarrow}^\dagger | \rangle \\ \phi_6 &= \hat{C}_{1\downarrow}^\dagger \hat{C}_{2\downarrow}^\dagger | \rangle \end{aligned}$$

Table: Matrix representation of the interaction operation.



1D HH: External potential operator

Electrons of the lattice experience the external potential described by

$$\hat{v} = v_1(\hat{n}_{1\uparrow} + \hat{n}_{1\downarrow}) + v_2(\hat{n}_{2\uparrow} + \hat{n}_{2\downarrow}). \quad (4)$$

	$\hat{v}\phi_1$	$\hat{v}\phi_2$	$\hat{v}\phi_3$	$\hat{v}\phi_4$	$\hat{v}\phi_5$	$\hat{v}\phi_6$
ϕ_1	$v_1 + v_2$	0	0	0	0	0
ϕ_2	0	$2v_1$	0	0	0	0
ϕ_3	0	0	$v_1 + v_2$	0	0	0
ϕ_4	0	0	0	$v_1 + v_2$	0	0
ϕ_5	0	0	0	0	$2v_2$	0
ϕ_6	0	0	0	0	0	$v_1 + v_2$

$$\begin{aligned} \phi_1 &= \hat{C}_{1\uparrow}^\dagger \hat{C}_{2\uparrow}^\dagger | \rangle \\ \phi_2 &= \hat{C}_{1\uparrow}^\dagger \hat{C}_{1\downarrow}^\dagger | \rangle \\ \phi_3 &= \hat{C}_{1\uparrow}^\dagger \hat{C}_{2\downarrow}^\dagger | \rangle \\ \phi_4 &= \hat{C}_{2\uparrow}^\dagger \hat{C}_{1\downarrow}^\dagger | \rangle \\ \phi_5 &= \hat{C}_{2\uparrow}^\dagger \hat{C}_{2\downarrow}^\dagger | \rangle \\ \phi_6 &= \hat{C}_{1\downarrow}^\dagger \hat{C}_{2\downarrow}^\dagger | \rangle \end{aligned}$$

Table: Matrix representation of the external potential operator.



1D HH: Exact diagonalization

The action of the HH on the one dimension two-electron lattice basis elements generates the **Hubbard Hamiltonian matrix**.

$$H = \begin{pmatrix} v_1 + v_2 & 0 & 0 & 0 & 0 & 0 \\ 0 & u_0 + 2v_1 & -t & -t & 0 & 0 \\ 0 & -t & v_1 + v_2 & 0 & -t & 0 \\ 0 & -t & 0 & v_1 + v_2 & -t & 0 \\ 0 & 0 & -t & -t & u_0 + 2v_2 & 0 \\ 0 & 0 & 0 & 0 & 0 & v_1 + v_2 \end{pmatrix}.$$



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Example

For $t = 1$,

$u_0 = -2$ and

$v_{i\sigma} = 1$, the Hamiltonian reads

$$H = \begin{pmatrix} 2 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -1 & -1 & 0 & 0 \\ 0 & -1 & 2 & 0 & -1 & 0 \\ 0 & -1 & 0 & 2 & -1 & 0 \\ 0 & 0 & -1 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 2 \end{pmatrix}$$



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The Schrödinger equation or eigenvalue problem: $\hat{H}\psi_i = E_i\psi_i$.

This can be solved by performing an Exact Diagonalization (ED):

$\psi_0 = 0.602\phi_2 + 0.372\phi_3 + 0.372\phi_4 + 0.602\phi_5$ is the **ground state wave function**.



1D HH: Exact diagonalization

Except for small number of site or lattice sizes, the eigenvalue problems is really difficult to be solved by ED.

Therefore numerical approximations draw upon methods like the Lanczos algorithm or the Bethe ansatz¹ are used instead.

¹E. H. Lieb, and F. Y. Wu, *Phys. Rev. Lett.*, **20**, 1445–1448 (1968).

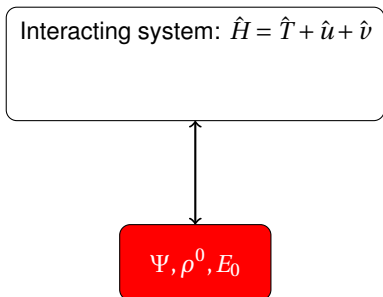


Lattice - DFT

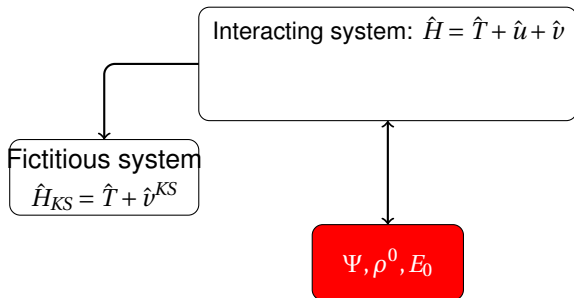
- Density Functional Theory (DFT), a theoretical reformulation of Quantum Mechanics which makes the density the controlling variable, is often used in condensed matter physics, material science and chemistry to study ground state properties of many body system (finite 1D lattice system or shortly lattice).
- It is almost impossible to solve straightforward the Schrödinger equation and find the ground state wave function.
- Functionals of the density are (or DFT is) used instead.



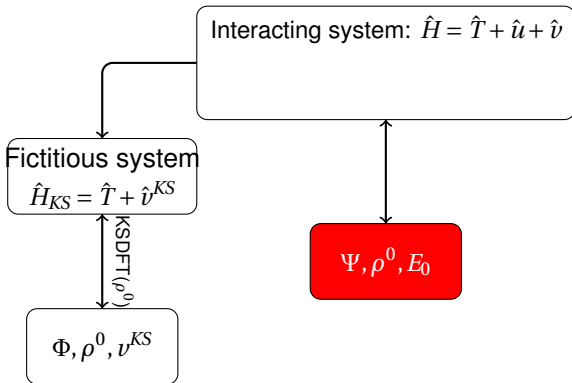
Lattice-DFT: What is done.



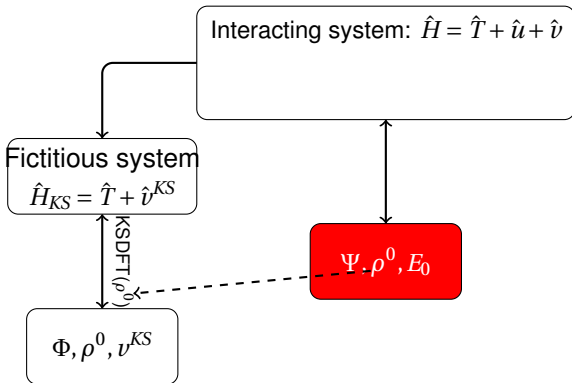
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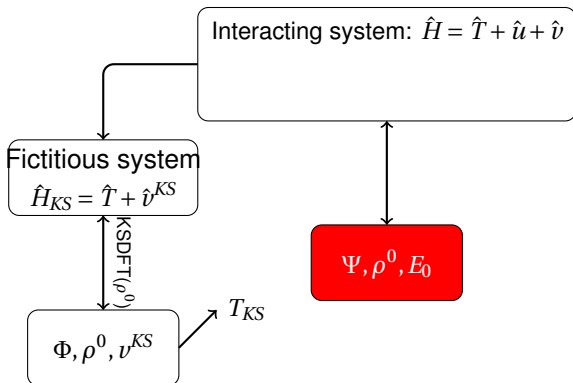
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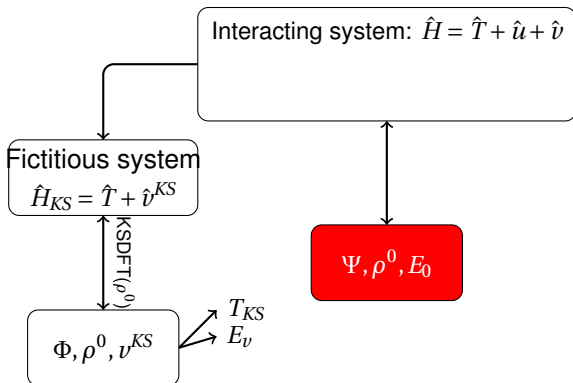


Energies from KSDFT

$$T_{KS} = \langle \Phi | \hat{T} | \Phi \rangle$$



Lattice-DFT: What is done.

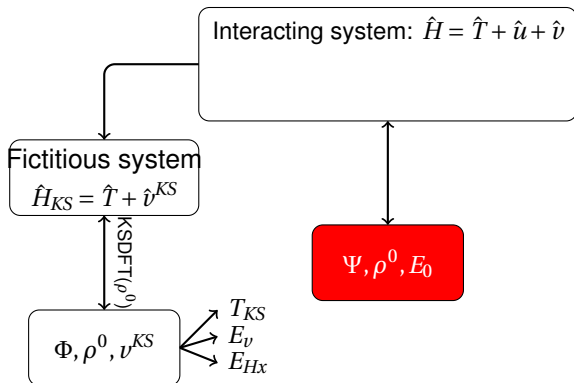


Energies from KSDFT

$$E_v = \langle \Phi | \hat{v} | \Phi \rangle$$



Lattice-DFT: What is done.

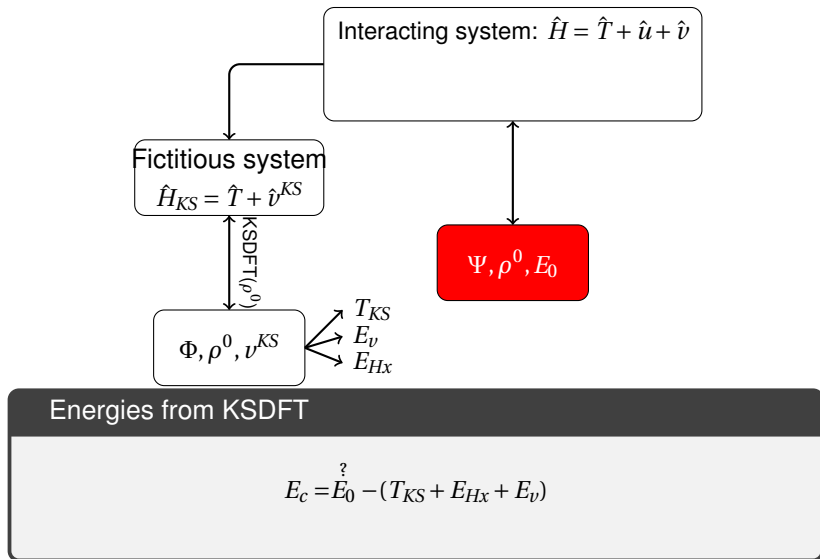


Energies from KSDFT

$$E_{Hx} = \langle \Phi | \hat{u} | \Phi \rangle$$



Lattice-DFT: What is done.



What we are doing: Hartree plus Exchange approximation.

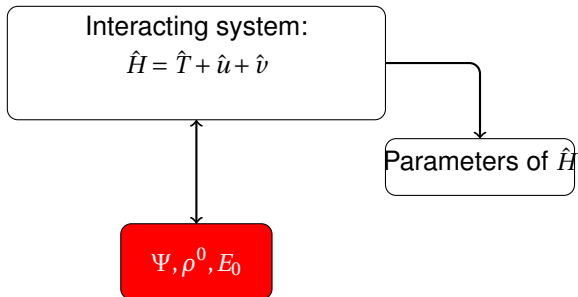
Interacting system:

$$\hat{H} = \hat{T} + \hat{u} + \hat{v}$$

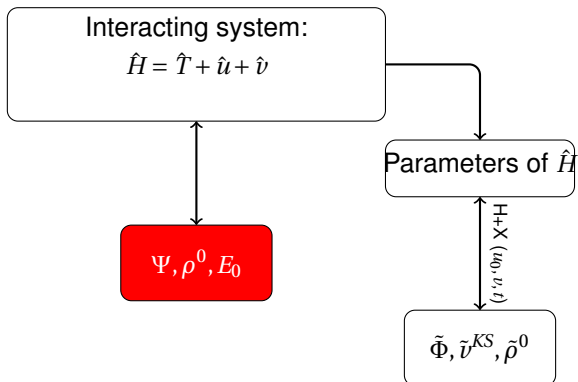
$$\Psi, \rho^0, E_0$$



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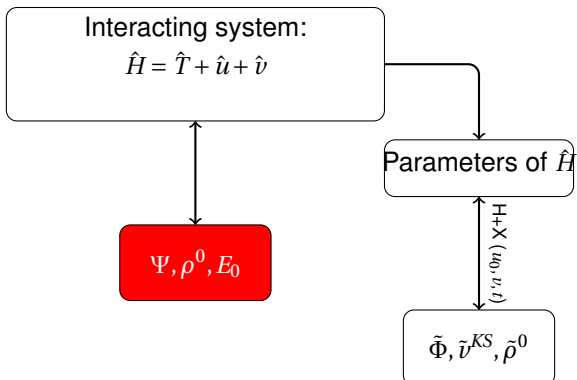


Approximate KS potential

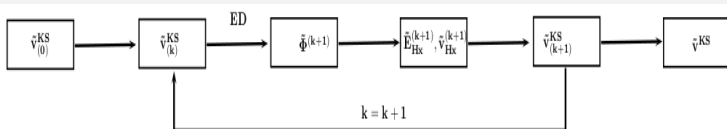
$$v^{KS} = v_c + (v_H + v_x) + v \text{ and } \tilde{v}^{KS} = v_{Hx} + v$$



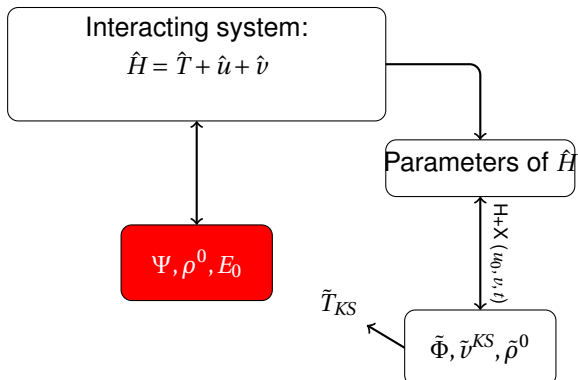
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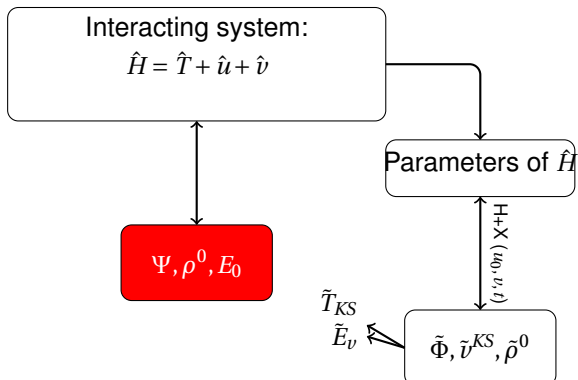


Energies from H+X approximation

$$\tilde{T}_{KS} = \langle \tilde{\Phi} | \hat{T} | \tilde{\Phi} \rangle$$



What we are doing: Hartree plus Exchange approximation.

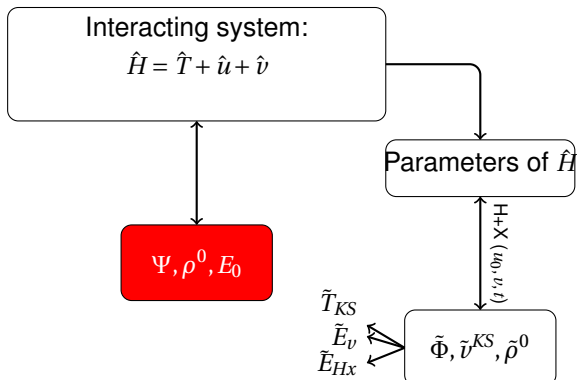


Energies from H+X approximation

$$\tilde{E}_v = \langle \tilde{\Phi} | \hat{v} | \tilde{\Phi} \rangle$$



What we are doing: Hartree plus Exchange approximation.

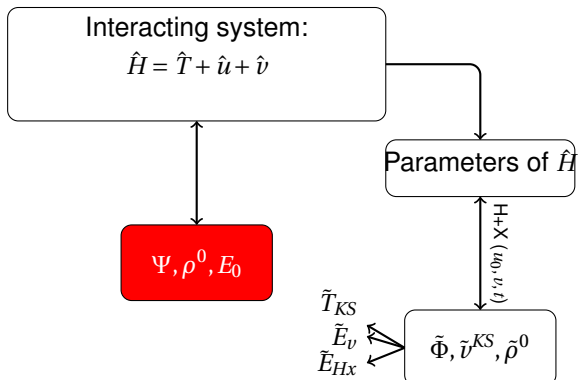


Energies from H+X approximation

$$\tilde{E}_{Hx} = \langle \tilde{\Phi} | \hat{u} | \tilde{\Phi} \rangle$$



What we are doing: Hartree plus Exchange approximation.

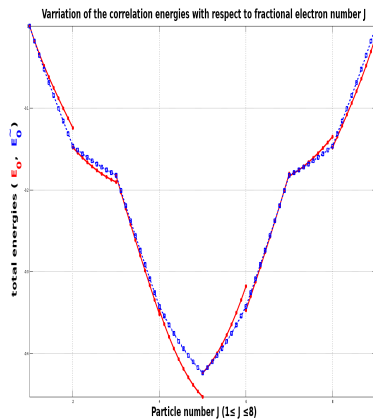
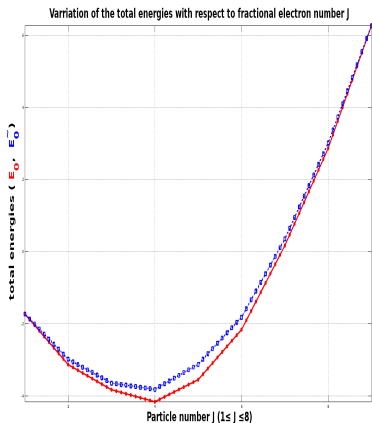


Energies from H+X approximation

$$\tilde{E}_c = \overset{?}{\tilde{E}_0} - (\tilde{T}_{KS} + \tilde{E}_{Hx} + \tilde{E}_v)$$



Results: Correlation energy from H+X approximation

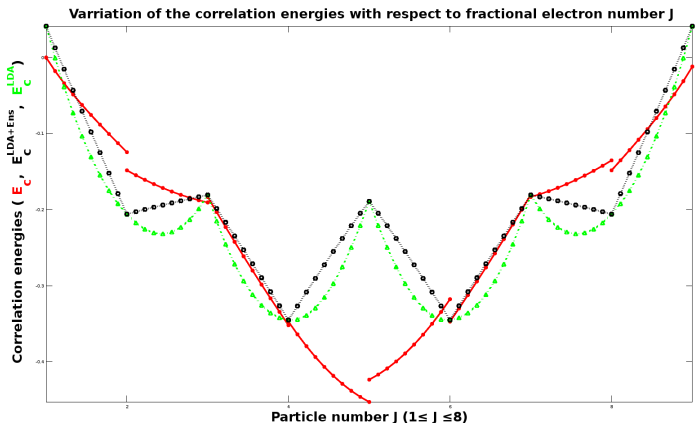


where

$$\tilde{E}_0 = \tilde{T}_{KS} + \tilde{E}_{Hx} + \tilde{E}_v \text{ and } \tilde{E}_c = E_0 - \tilde{E}_0$$



Results: Correlation energy from LDA



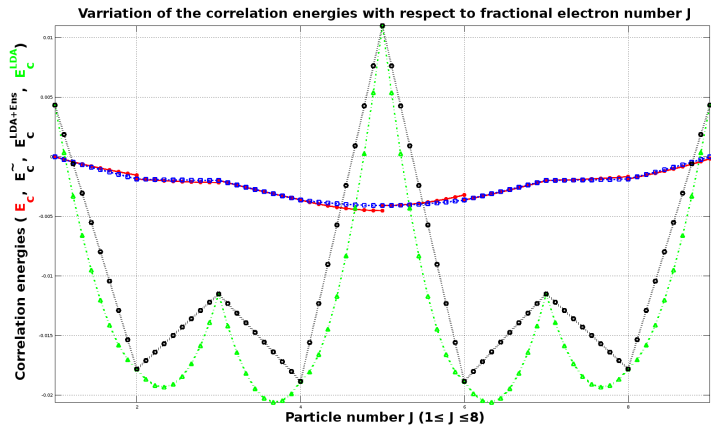
$$LDA \Rightarrow E_{Hxc}^{LDA} \Rightarrow E_C^{LDA}[\rho(J)] = E_{Hxc}^{LDA}[\rho(J)] - E_{Hx}^{LDA}[\rho(J)]$$

or

$$E_C^{LDA+Ens}[\rho(J)] = (1-\alpha)E_C^{LDA+Ens}[\rho[N_0, \nu^{KS}(J)]] + \alpha E_C^{LDA+Ens}[\rho[N_0+1, \nu^{KS}(J)]]$$



Results: All the correlation energies together



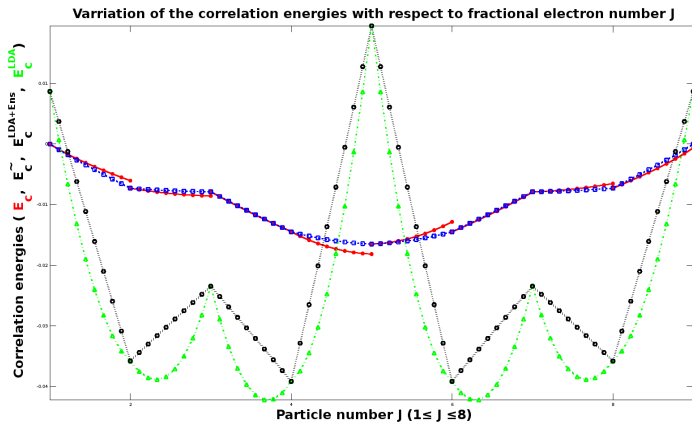
$$v_i = 0, 1 \leq i \leq 10$$

$$u_0 = 0.2$$

$$t = 1$$



Results: All the correlation energies together



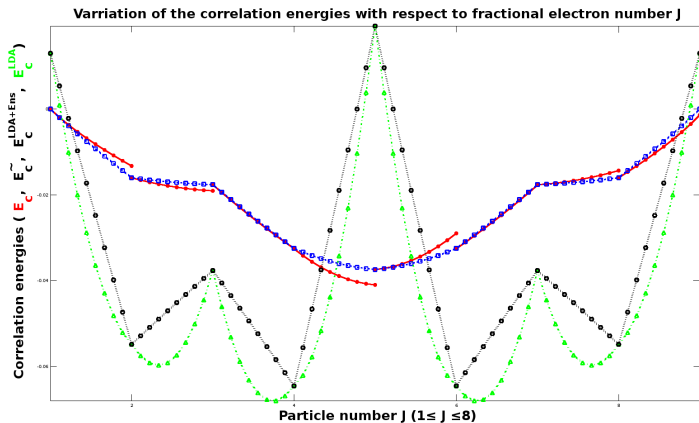
$$v_i = 0, 1 \leq i \leq 10$$

$$u_0 = 0.4$$

$$t = 1$$



Results: All the correlation energies together



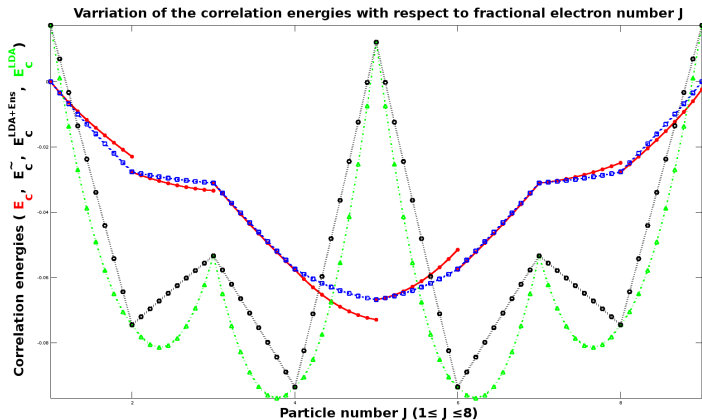
$$v_i = 0, 1 \leq i \leq 10$$

$$u_0 = 0.6$$

$$t = 1$$



Results: All the correlation energies together



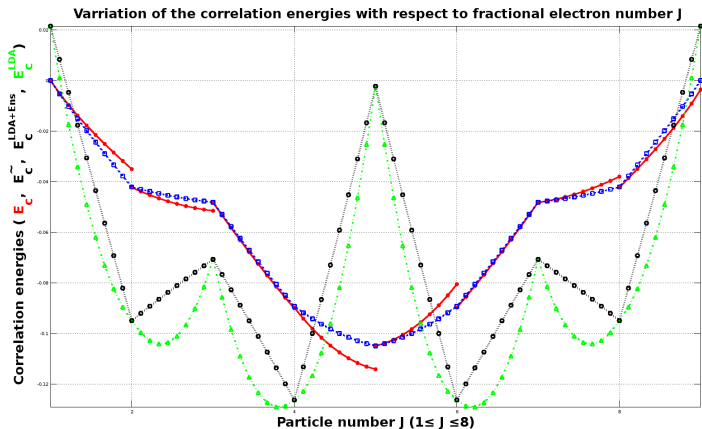
$$v_i = 0, 1 \leq i \leq 10$$

$$u_0 = 0.8$$

$$t = 1$$



Results: All the correlation energies together



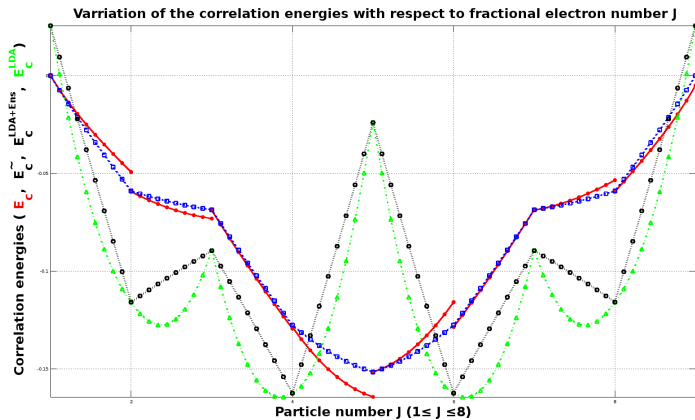
$$v_i = 0, 1 \leq i \leq 10$$

$$u_0 = 1.0$$

$$t = 1$$



Results: All the correlation energies together



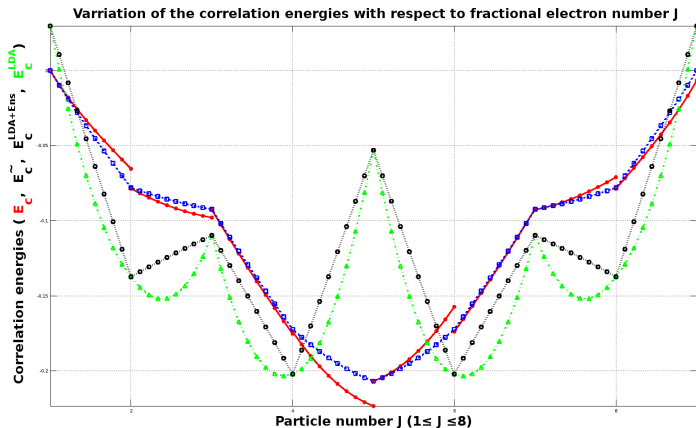
$$v_i = 0, 1 \leq i \leq 10$$

$$u_0 = 1.2$$

$$t = 1$$



Results: All the correlation energies together



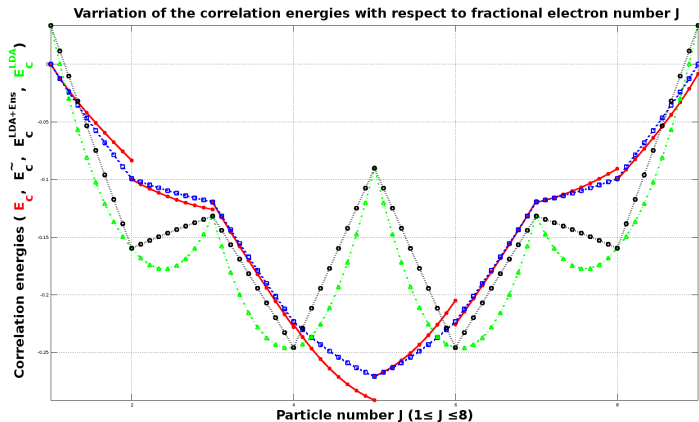
$$v_i = 0, 1 \leq i \leq 10$$

$$u_0 = 1.4$$

$$t = 1$$



Results: All the correlation energies together



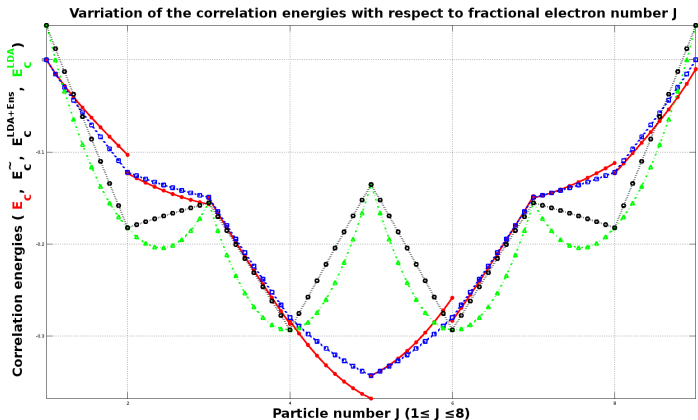
$$v_i = 0, 1 \leq i \leq 10$$

$$u_0 = 1.6$$

$$t = 1$$



Results: All the correlation energies together



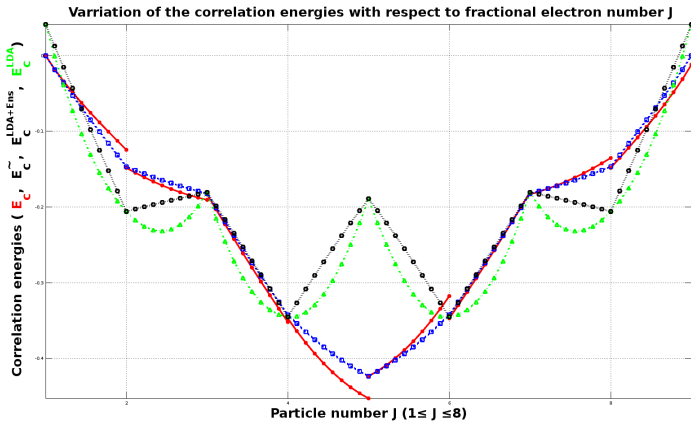
$$v_i = 0, 1 \leq i \leq 10$$

$$u_0 = 1.8$$

$$t = 1$$



Results: All the correlation energies together



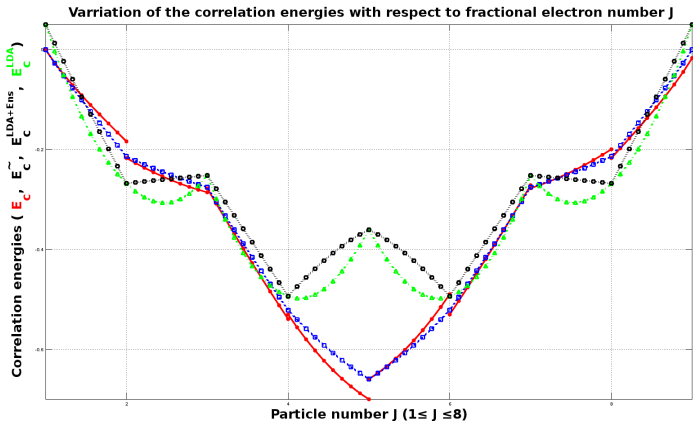
$$v_i = 0, 1 \leq i \leq 10$$

$$u_0 = 2.0$$

$$t = 1$$



Results: All the correlation energies together



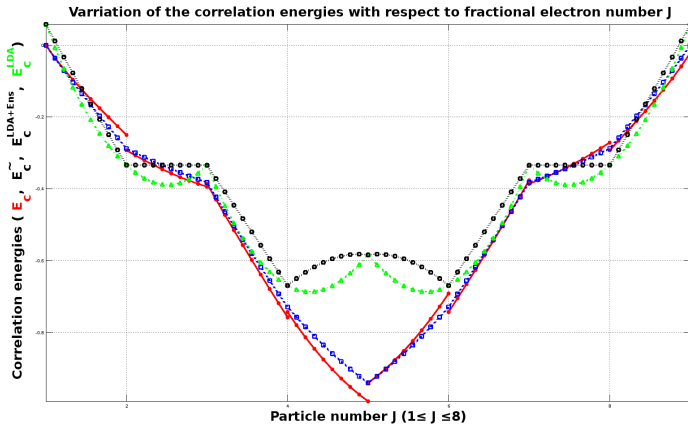
$$v_i = 0, 1 \leq i \leq 10$$

$$u_0 = 2.5$$

$$t = 1$$



Results: All the correlation energies together



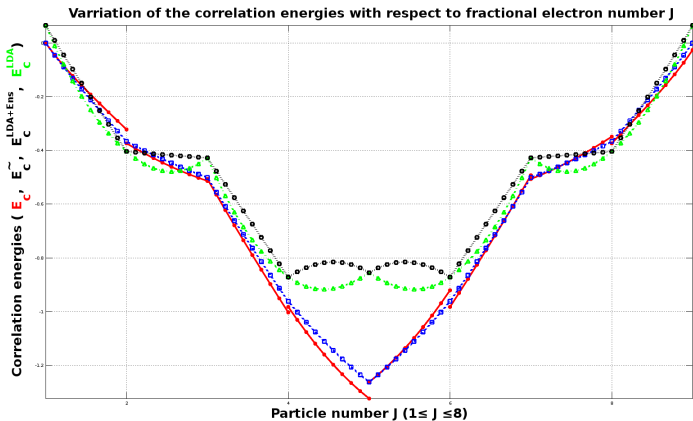
$$v_i = 0, 1 \leq i \leq 10$$

$$u_0 = 3$$

$$t = 1$$



Results: All the correlation energies together



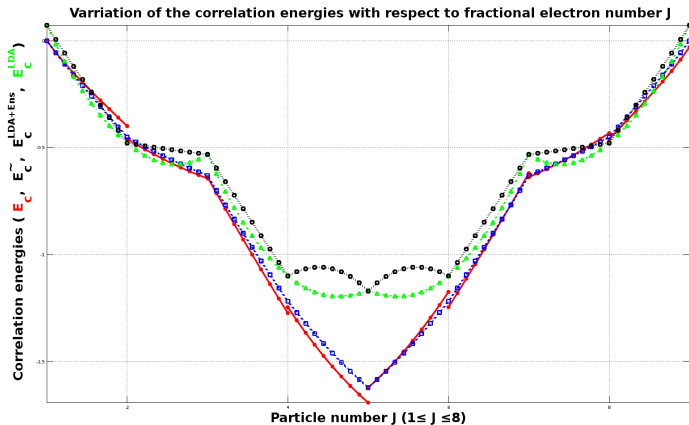
$$v_i = 0, 1 \leq i \leq 10$$

$$u_0 = 3.5$$

$$t = 1$$



Results: All the correlation energies together



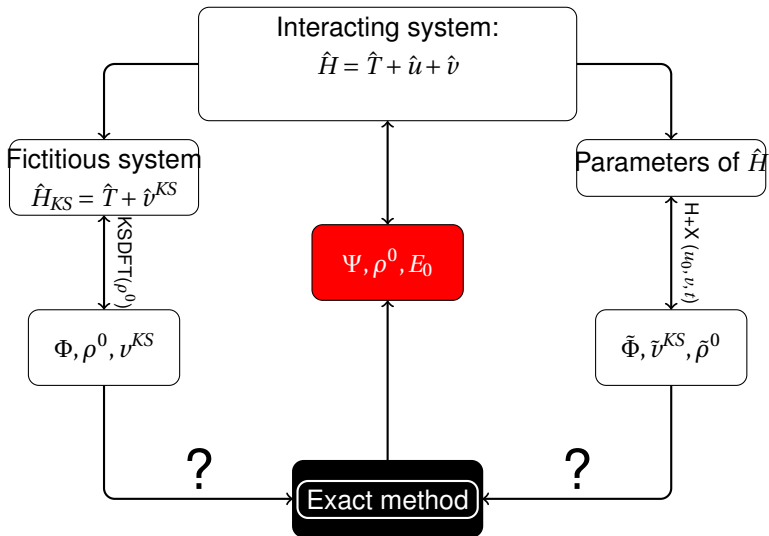
$$v_i = 0, 1 \leq i \leq 10$$

$$u_0 = 4$$

$$t = 1$$



What we did: Main problem.



What we are doing: Exact method-Jastrow factor operator

- Our exact method is based on Jastrow factor \hat{J} defined by

$$\hat{J} = e^{-\sum_{m=1}^{\#} \gamma_m \hat{P}_m}$$

so that

$$\Phi = \sum_{m=1}^{\#} b_m \phi_m \implies \hat{J} \Phi = \sum_{m=1}^{\#} b_m e^{-\gamma_m} \phi_m$$



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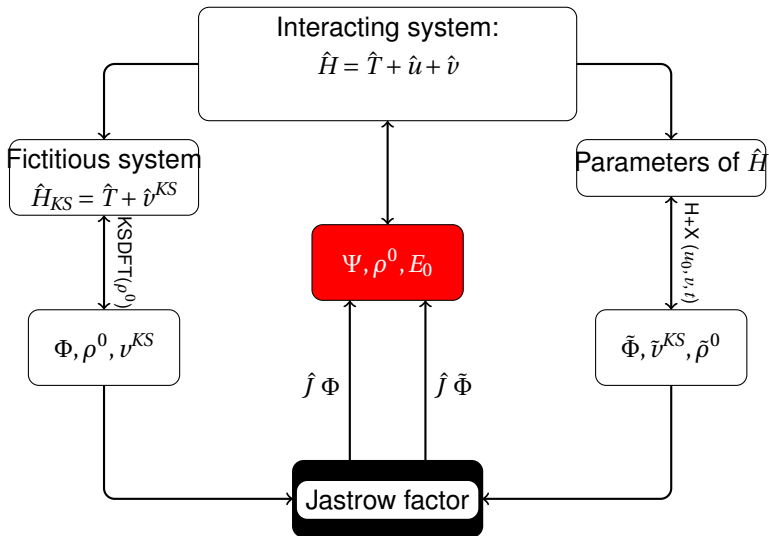
$$\Phi = \sum_{m=1}^{\#} b_m \phi_m \implies \hat{J} \Phi = \sum_{m=1}^{\#} b_m e^{-\gamma_m} \phi_m$$

- and

$$\hat{J} \Phi = \Psi$$



Completed diagram



Conclusion

- It is clear to us that the use of Jastrow factor, after performing a KSDFT, is a good way to investigate on possible way to map KSDFT results (Φ, E^{KS}) onto the noninteracting ones (Ψ, E_0) .
- The H+X approximation appears to highly compete with the LDA approximation
- We seek for applying our methods to more complex Hubbard Hamiltonians from lattices to realistic systems.



Outline

- 1 Bibliography
- 2 PhD Thesis
 - One dimensional lattice
 - 1D Hubbard Hamiltonian
 - Lattice - DFT
 - Exact method
- 3 Maths Initiative: Togo Maths Camp



What is a Math Camp

Math camps are two weeks camp consisted of an initial planning week for instructors or volunteers and a second week of activities for the students.

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 - solving puzzles and playing games;
 - running workshops that allow students to discover the applications of maths in the real world;
 - doing extra-curriculum activities.



1 Supporting African Maths Initiatives (SAMI):



²www.samicharity.co.uk/projects/maths-camps/

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Togo Maths Camp 2019: Gallery



Togo Maths Camp 2019: Gallery



What Happened after COVID19 Outbreak


- 1 Virtual Math Camps ³: SAMI and its partners joined efforts across Africa and internationally to create
 - a collection of resources across different countries
 - platforms and delivery mechanism with the potential to have long lasting impacts



³www.virtualmathscamp.com

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Virtual Maths Camp
Card Deck

ami sami ami
GHANA

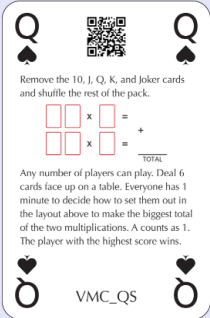
IDEMS INNODEMS

hcm
HUMAN CAPITAL
FOR RESILIENCE

imt
Initiative en
Mathématiques
Togo

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Card Sums



Remove the 10, J, Q, K, and Joker cards and shuffle the rest of the pack.

$$\begin{array}{r} \square \square \times \square = \\ \square \square \times \square = \end{array} + \underline{\hspace{2cm}}$$

TOTAL

Any number of players can play. Deal 6 cards face up on a table. Everyone has 1 minute to decide how to set them out in the layout above to make the biggest total of the two multiplications. A counts as 1. The player with the highest score wins.

VC_QS

³www.virtualmathscamp.com



Togo Virtual Maths Camp 2020

