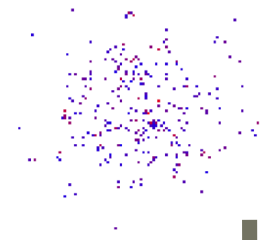
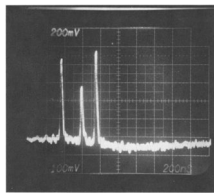


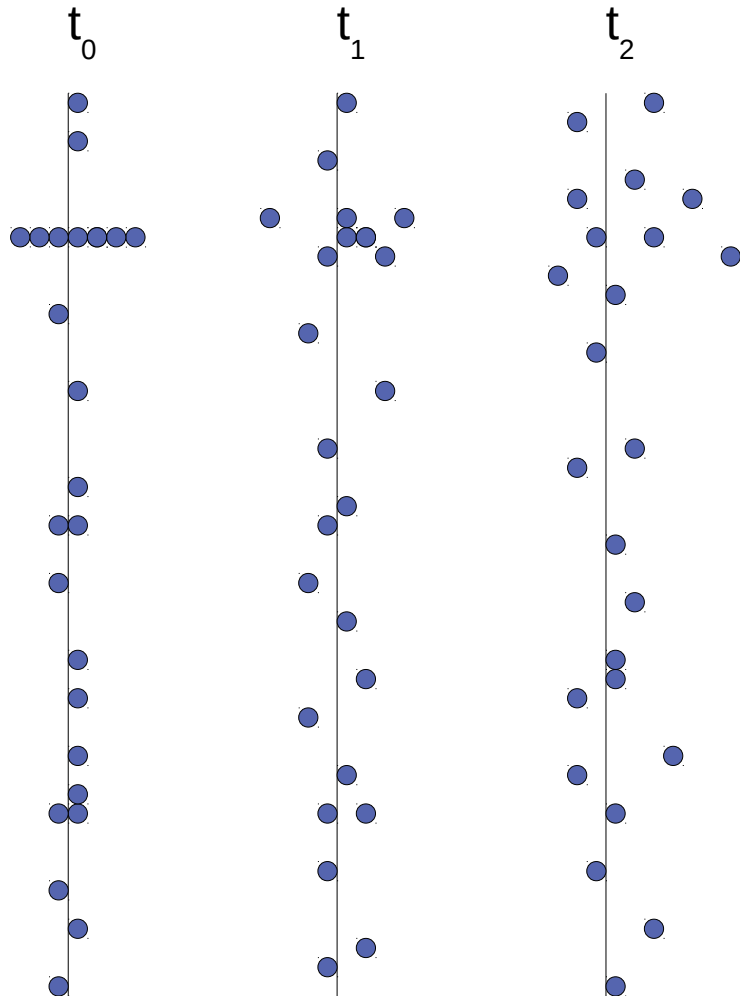
# Experimental Techniques of Charge-based Cluster Counting

Jochen Kaminski  
University of Bonn

RD51 Workshop on Gaseous Detector Contributions to PID  
zoom  
17.2.2021



# The Experimental Challenge



Charged particles create ion/electron pairs along their track. The charge is clustered by primary interactions, which create mostly one i/e pair, but can also create several or many i/e pairs.

Counting primary interactions ( $N_p$ ) has advantages over integrating the charge.

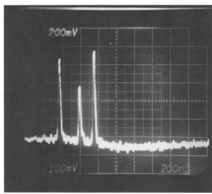
The challenge is to beat the diffusion!

Requirements are therefore:

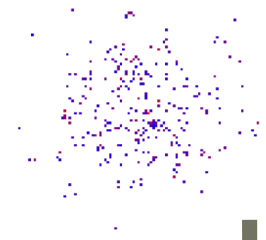
- Low diffusion compared to cluster distance
- High resolution readout
- No electronegative gases (losing primary charge)

Experimental approaches:

- 1.) Time-based paradigm – the classical approach
- 2.) Space-based paradigm – the MPGD approach



# Comparison of Gases



low  $\omega\tau$   
gas with  
high/low  
ionization  
density

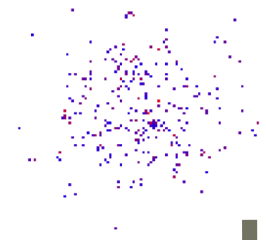
high  $\omega\tau$   
gas with  
high/low  
ionization  
density

gas	$E$ [ $\frac{V}{cm}$ ]	$D_T$ [ $\frac{\mu m}{\sqrt{cm}}$ ] $= \sigma_T(1 \text{ cm})$	$D_L$ [ $\frac{\mu m}{\sqrt{cm}}$ ] $= \sigma_L(1 \text{ cm})$	$v_{drift}$ [ $\frac{cm}{\mu s}$ ]	$N_P$ [ $\frac{1}{cm}$ ]	$N_T$ [ $\frac{1}{cm}$ ]	$= T_P = \frac{1}{N_P \cdot v_{drift}}$ $= \Delta\sigma_T(1 \text{ cm})$ $= \Delta\sigma_L(1 \text{ cm})$
Ar:CO <sub>2</sub> 80:20 $B = 0 \text{ T}$	500	$160 \pm 4$	$189 \pm 4$	$1.918 \pm 0.001$	27	97.6	$370 \mu m$ $= 19.31 \text{ ns}$ $= 2.31$ $= 1.96$
He:CO <sub>2</sub> 80:20 $B = 0 \text{ T}$	500	$144 \pm 3$	$142 \pm 4$	$1.225 \pm 0.001$	9.8	26.4	$1020 \mu m$ $= 83.29 \text{ ns}$ $= 7.1$ $= 7.2$
Ar:CF <sub>4</sub> :iC <sub>4</sub> H <sub>10</sub> 95:3:2 $B = 0 \text{ T}$	286	$324 \pm 5$	$200 \pm 3$	$7.891 \pm 0.001$	27.5	100	$364 \mu m$ $= 4.6 \text{ ns}$ $= 1.12$ $= 1.81$
Ar:CH <sub>4</sub> :iC <sub>4</sub> H <sub>10</sub> 95:3:2 $B = 4 \text{ T}$	286	$33.5 \pm 0.7$	$201.3 \pm 5.5$	$7.891 \pm 0.001$	27.5	100	$364 \mu m$ $= 4.6 \text{ ns}$ $= 10.9$ $= 1.81$

Data: Magboltz and PDG

# The Time-based Paradigm (I)

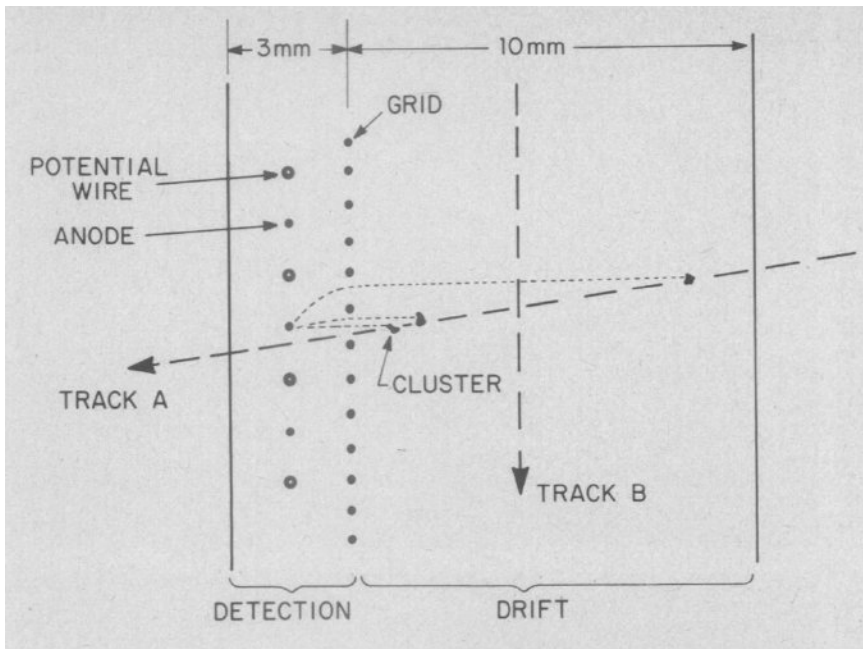
## First Measurements



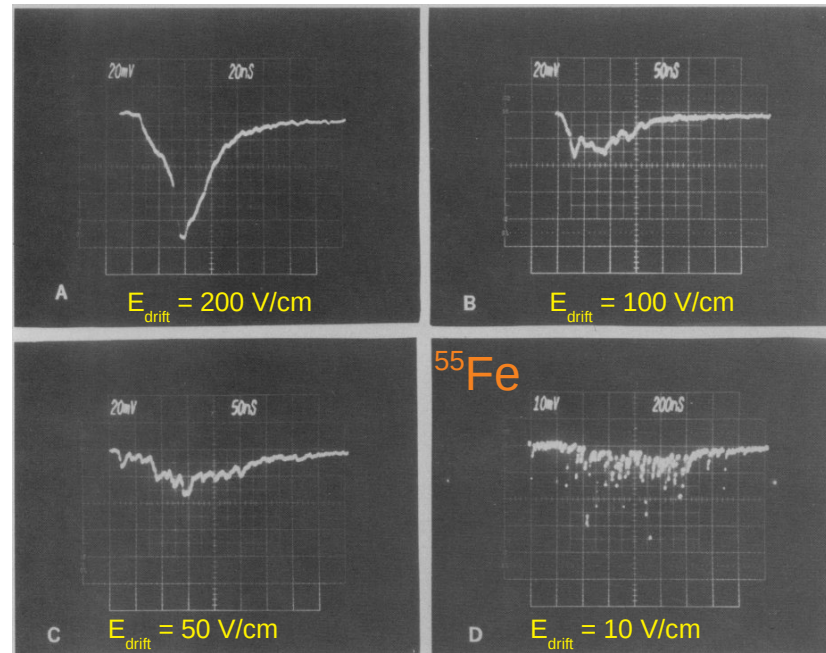
First suggested in the 70s; **first measurements of cluster sizes** by A. H. Walenta in 1979: IEEE TNS, NS-26, No. 1, 1979, p. 73-80

Initial problem: 'The response of the counter to a single cluster is too slow compared to the mean drift distance.' → Cluster are overlapping too much and can not be separated.

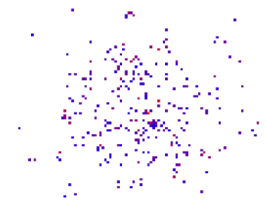
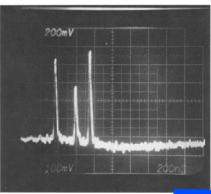
Solution: **Time Expansion Chamber**: Reduce drift velocity/field in drift region to increase time between clusters  
high field in detection region → fast signals



Electrode arrangement and principle of the TEC. Track A for ionization loss measurement, track B for position measurement.



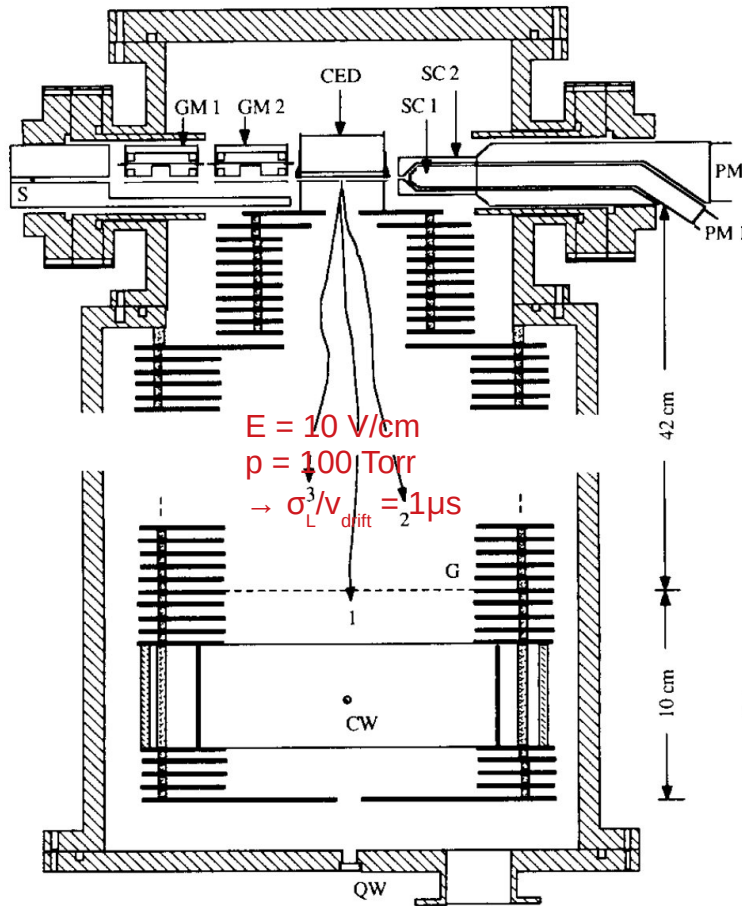
- Using
- A current amplifier with 4 ns rise time
  - Updating discriminator
  - 100 MHz scaler
- => **count clusters of tracks**



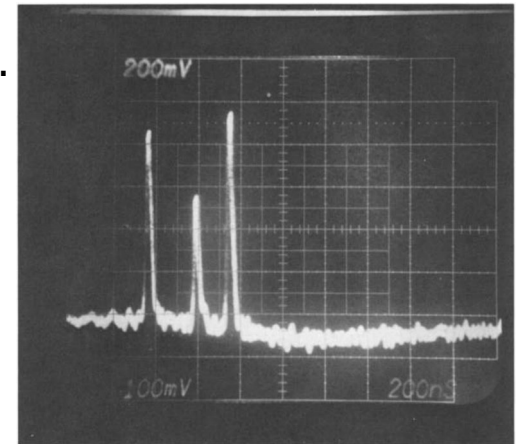
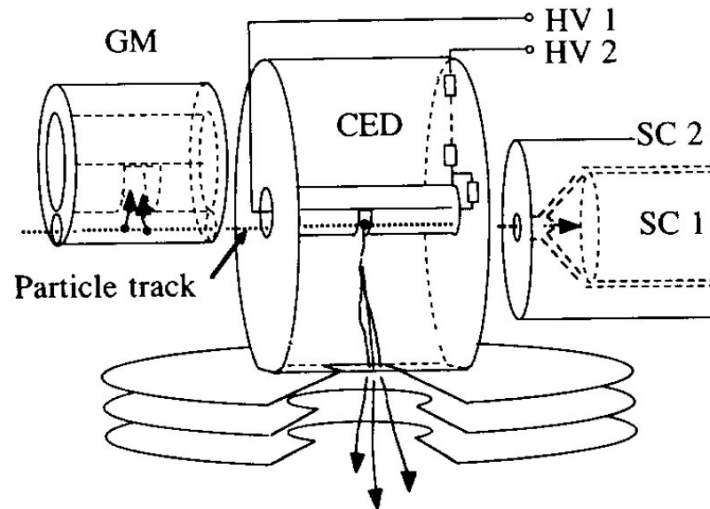
# The Time-based Paradigm (II)

## Precision Measurement of Cluster Sizes

H. Fischle, J. Heintze, B. Schmidt, "Experimental determination of ionization cluster size distributions in counting gases", NIMA 301 (1991) 202-214



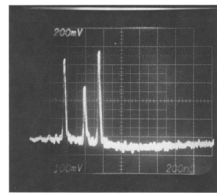
The detector operated at 100 Torr.  
 The opening slit is 1 mm wide:  
 → 10-20% probability of 1 cluster  
 → very low probability of 2 or more clusters  
 → Electrons extracted to drift region



### Electronics:

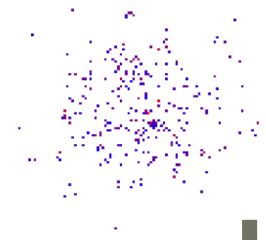
- High-gain common-base amplifier with a FET source follower
- Gaussian filter
- 100 MHz FADC (2<sup>nd</sup> input with 5ns delay)
- Complicated trigger with GMs, SCs





# The Time-based Paradigm (III)

## Designs for Particle Physics Exp.



After 2000 cluster counting was suggested for usage in several experiments and some examples are

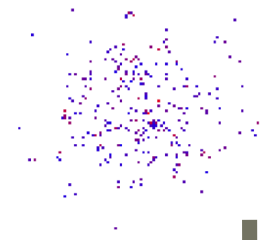
- Detector for ILC (4<sup>th</sup> Concept)
- Detector for SuperB
- Detector for FCC-ee (IDEA)

Prototypes with single drift chamber cells have been tested in test beam setups. High efficiencies could be demonstrated.

Some aspects typical for all setups are shown on the following slides.

# The Time-based Paradigm (IV)

## Detector Setups



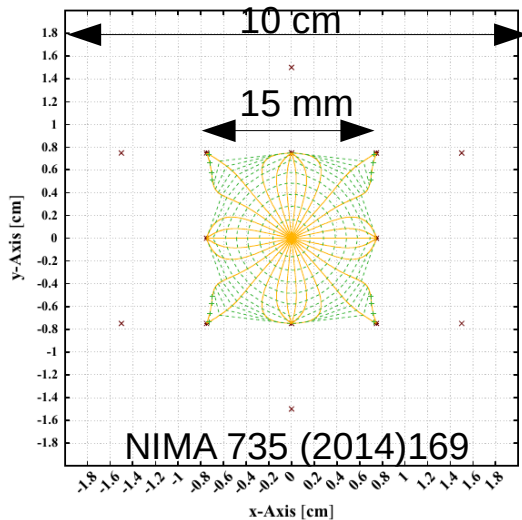
Gas mixture: often helium based gas mixtures is chose because of multiple scattering considerations of the respective experiments (mostly He:iC<sub>4</sub>H<sub>10</sub> 90:10).

Mostly long single cell prototype detectors are used (2-3 m).

Diameters of drift cells are 10-20 mm.

Either in large drift chambers with sense and field wires, or in separate cylindrical form.

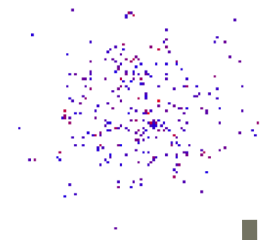
High gas gains do not improve cluster counting → medium gas gains are better



Particles should transverse the detector perpendicular to the sense wire.

Important are the electronic termination of the signal at the HV end ( $\neq$  readout end).

# The Time-based Paradigm (V) Readout Electronics



All electronics made for tests were home made for 1 or 2 channels and not scalable.

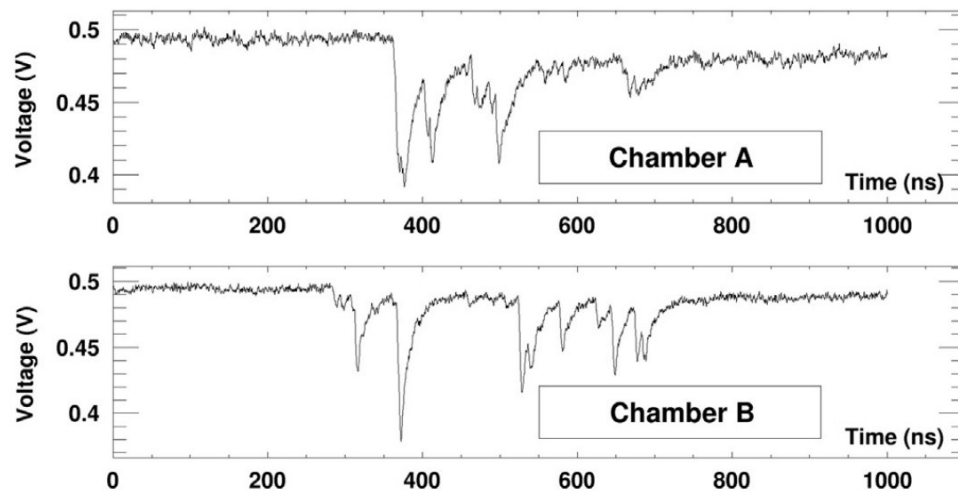
Signals of single clusters have a raise time of 2-5 ns.

Preamps are usually standard opamp stages (e.g. current/voltage converters) with high bandwidth: 125 MHz – to 3 GHz, but high bandwidth is not necessary.

Several hundred MHz are enough.

+ Some limited shaping

+ Digitization with a high band width oscilloscope, bandwidth  $\leq 1$  GHz is enough.



NIMA 735 (2014)169

A dedicated ASIC for cluster counting was designed for ILC (IEEE Proc. IWASI 2007):

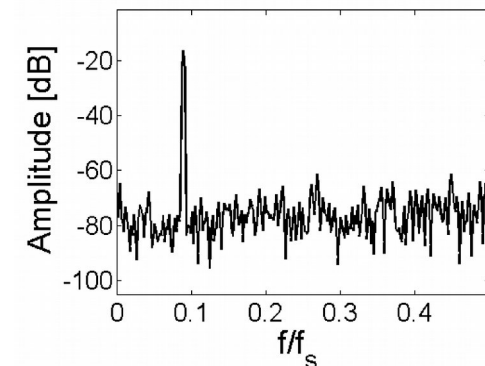
Technology: 130 nm CMOS

ADC resolution: 6 bit

Clock frequency: 1 GHz

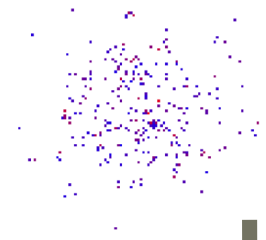
Sample rate: 1 Gsa/s

→ not sure if it was ever produced, maybe a 1 channel test ASIC

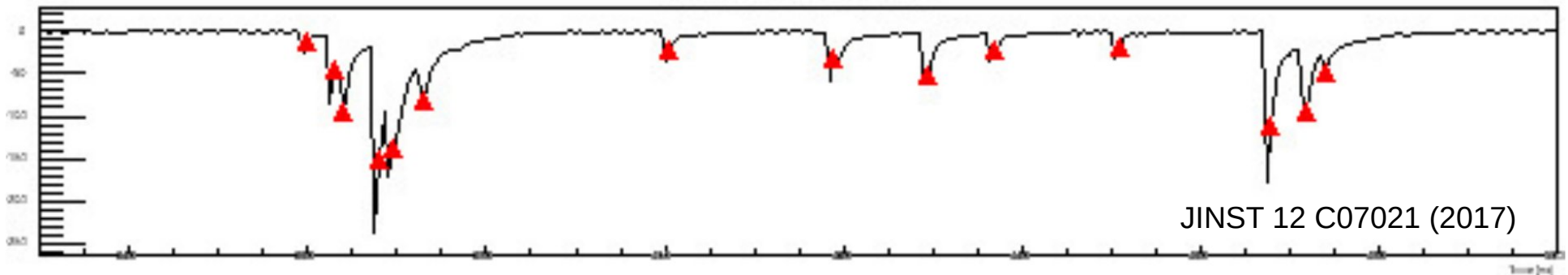




# The Time-based Paradigm (VI) Reconstruction / Analysis Methods



As signals of electrons of a single cluster are expected to be merged, the analysis is reduced to a peak finding:



Often the signal  $V$  is smoothed  $\bar{V}$  (hence high bandwidth electronics is not needed).

Then first derivative is taken

$$(V(t) - \tilde{V}_n(t-1) < \Delta) \& (V(t) - \tilde{V}_n(t-1) < \Delta)$$

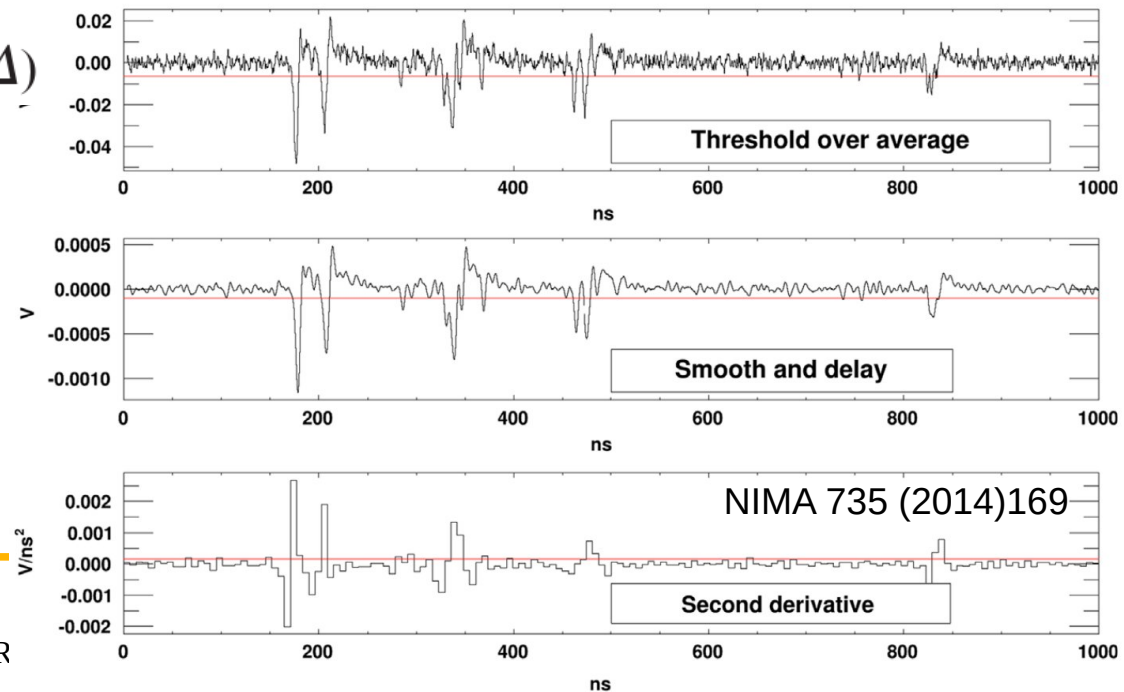
or a more general term of the first

$$\frac{\tilde{V}_p(t) - \tilde{V}_q(t-d)}{d} < \Delta$$

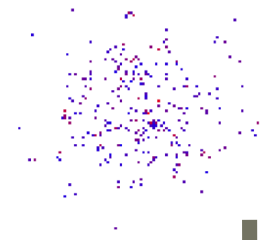
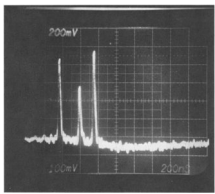
or a second derivative

$$\bar{V}''(\bar{t}) = \frac{1}{\delta^2}([\bar{V}(\bar{t}+2) - \bar{V}(\bar{t}+1)] - [\bar{V}(\bar{t}+1) - \bar{V}(\bar{t})])$$

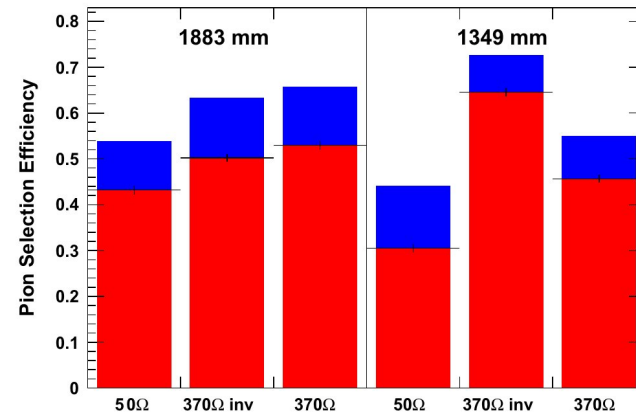
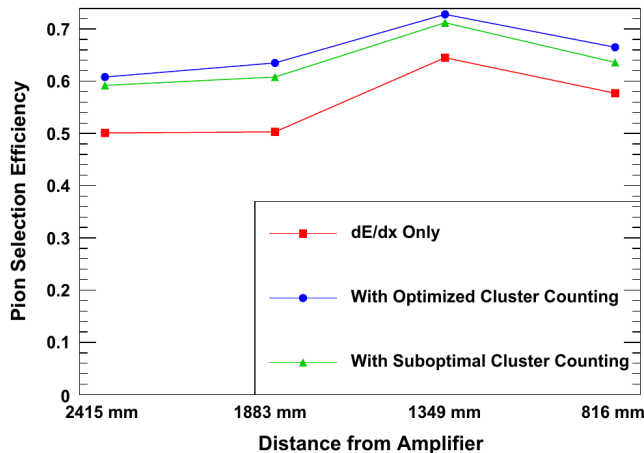
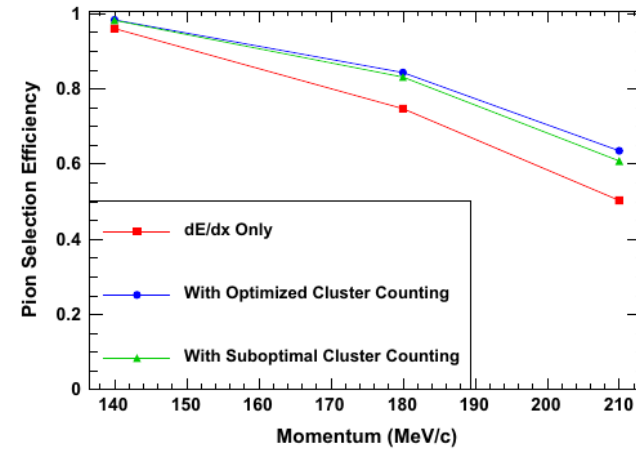
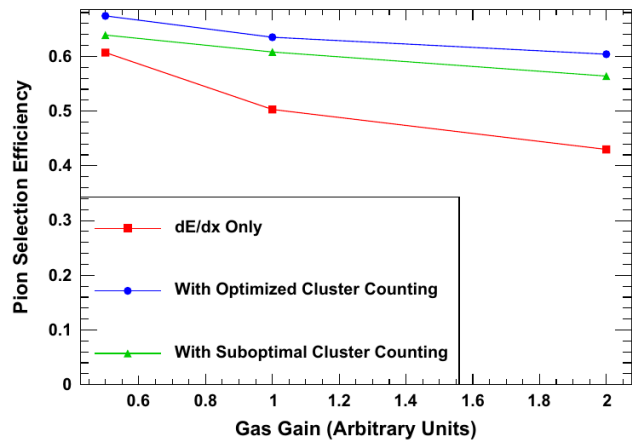
Algorithms can be implemented on  
FPGA (JINST 12 C07021 (2017)).

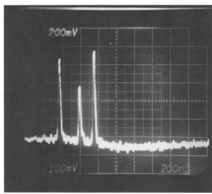


# The Time-based Paradigm (VII) Performance



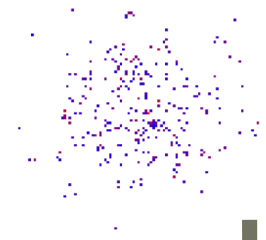
In the paper NIMA 735 (2014)169 the efficiency of positron, muon and kaon separation is studied. Different likelihoods are built and the effects of various setups are compared.





# The Space-based Paradigm (I)

## Optimization of Pad Size



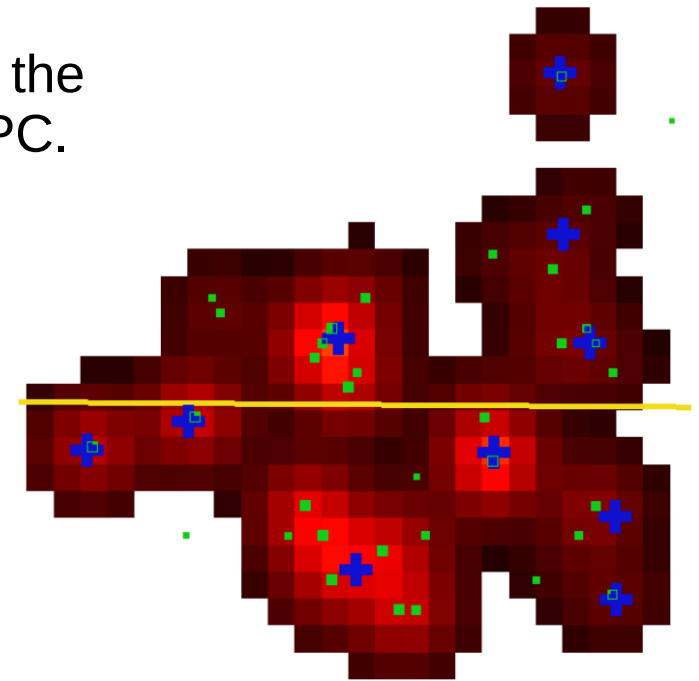
A simulation was performed by U. Einhaus (DESY) to optimize the pad size for PID with dE/dx and cluster counting for a potential ILD TPC.

The creation and diffusion of the electrons as well as the event reconstruction was done with MarlinTPC.

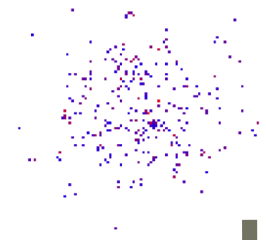
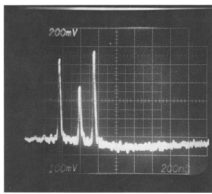
A signal broadening of a triple GEM stack was used.

As a figure of merit the separation power of pions and kaons was chosen:

$$S = \frac{|\mu_{\pi} - \mu_K|}{\sqrt{(\sigma_{\pi}^2 + \sigma_K^2)/2}}$$



Simulated charge distribution on 200 μm wide pads (arXiv: 1902.05519)



# The Space-based Paradigm (I)

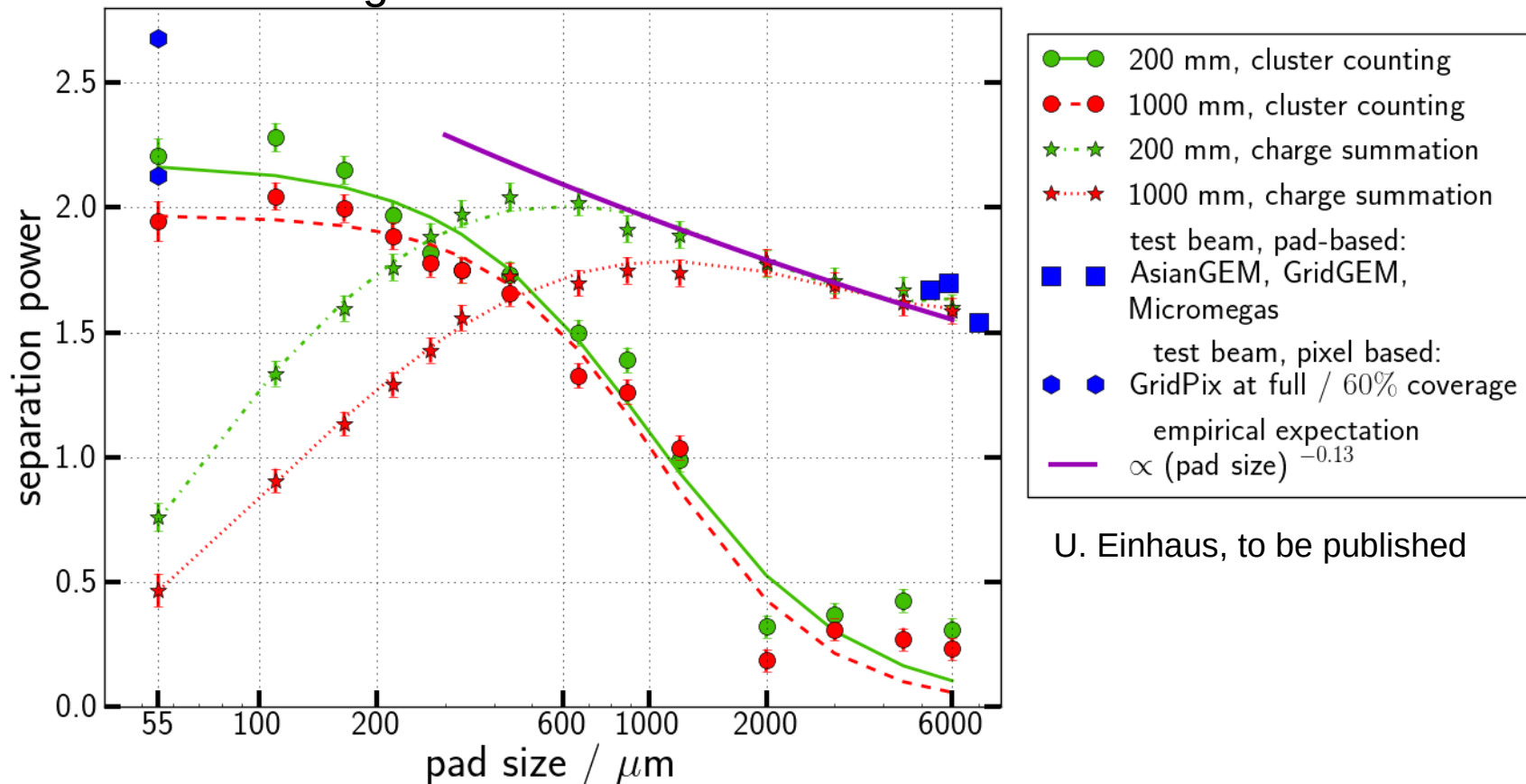
## Optimization of Pad Size

Separation power of pions and kaon at ILD conditions:

$B = 3.5 \text{ T}$ ,  $E_{\pi/K} \sim 3 \text{ GeV}$

Gas mixture:  $\text{Ar}:\text{CF}_4:\text{iC}_4\text{H}_{10} \text{ 95:3:2}$ ,

track length: 1.35 m

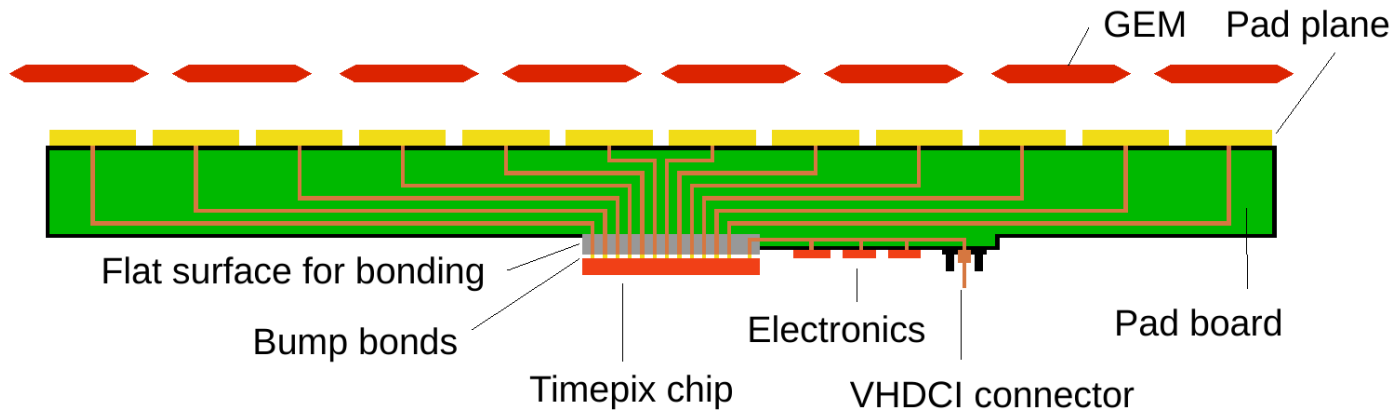


U. Einhaus, to be published

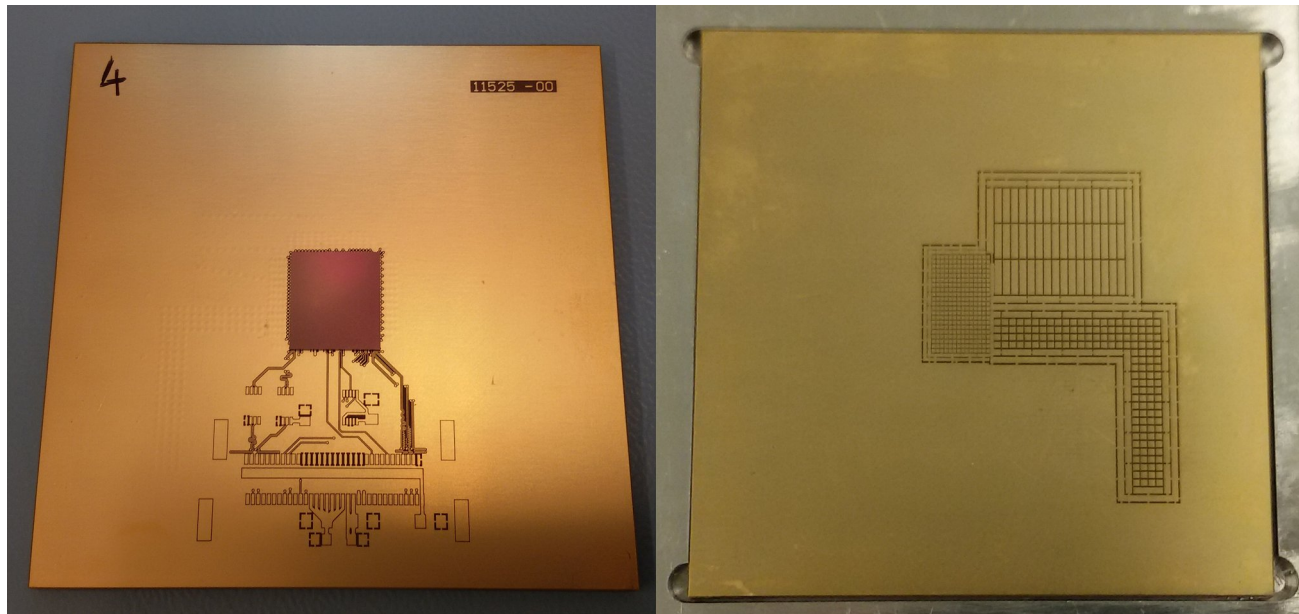
# The Space-based Paradigm (II)

## ROPPERI – Readout of Small Pads

Challenge for small pads: Reach high electronics density



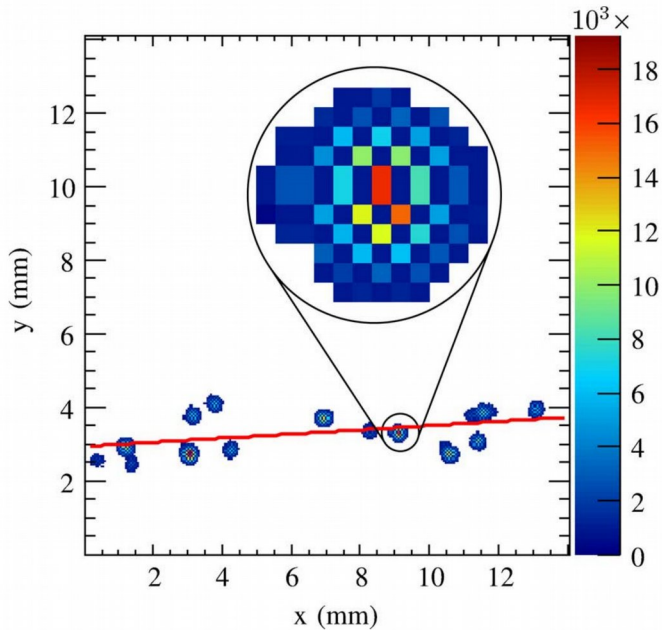
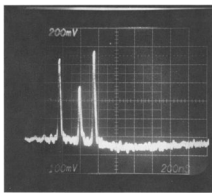
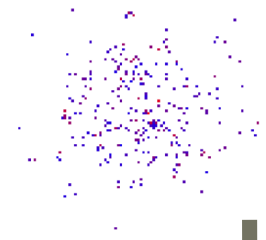
**Idea:** Connect pixel chip (Timepix) on backside of readout plane with gold stud bump bonds  
**Problem:** Chips heat up in operation and has a different expansion coefficient than PCB  
→ bump bonds break  
**Results so far:** Noise because of large capacitances seem manageable, increase of noise because of larger pads could be verified



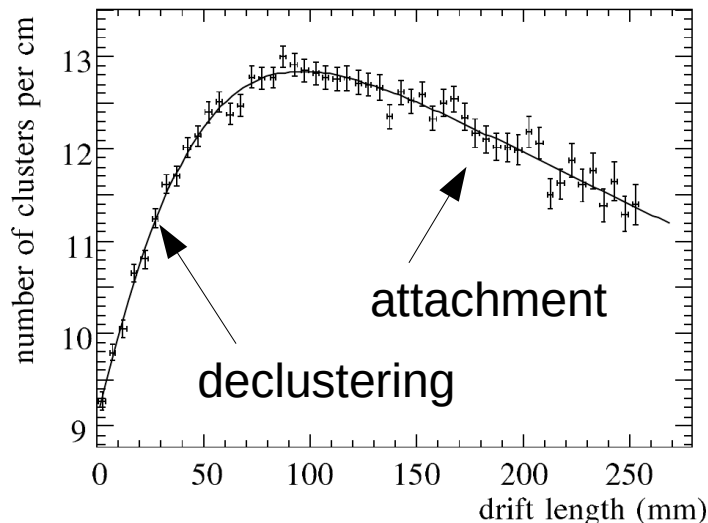


# The Space-based Paradigm (III)

## GEMs with Timepix



Test setup of a TPC (26 cm) and cosmic muon trigger:  
Gas mixture: He:CO<sub>2</sub> 70:30  
Amplification: triple GEM, 1 mm spacings  
Gas gain: ~160,000  
Readout: single Timepix



Expect:  $N_P = 13$  and  $N_T = 36$

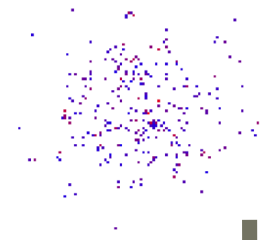
A maximum of 13 clusters was seen:

- Strong effect of declustering is observed
- doubts that this is cluster counting and not low efficiency

IEEE TNS, VOL 59, NO 6, 2012, 3221

# The Space-based Paradigm (IV)

## Larger Pixel Sizes

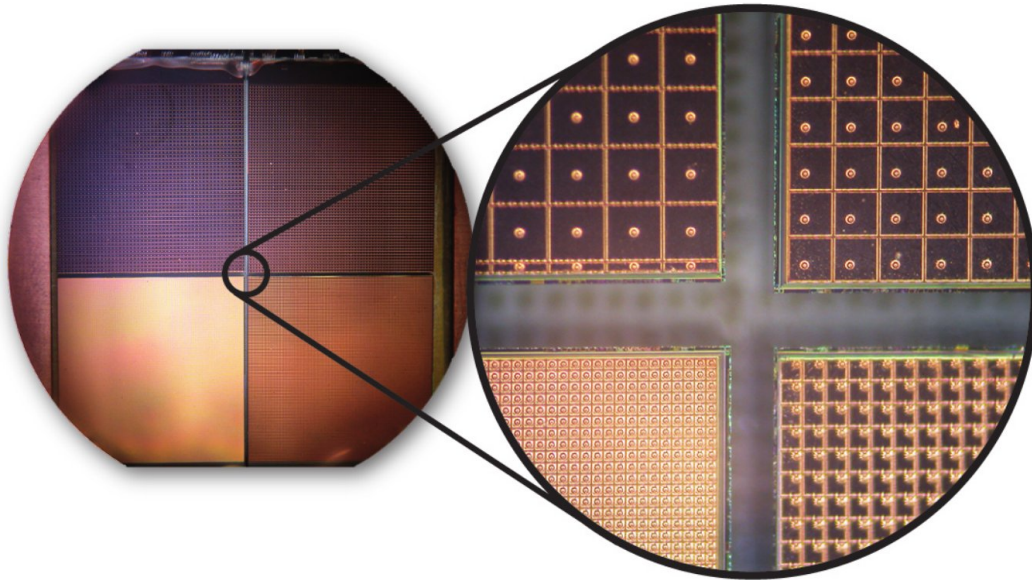


To collect more charge per pixel, we combined Timepix pixels 1x1, 2x2, 4x4, 5x5

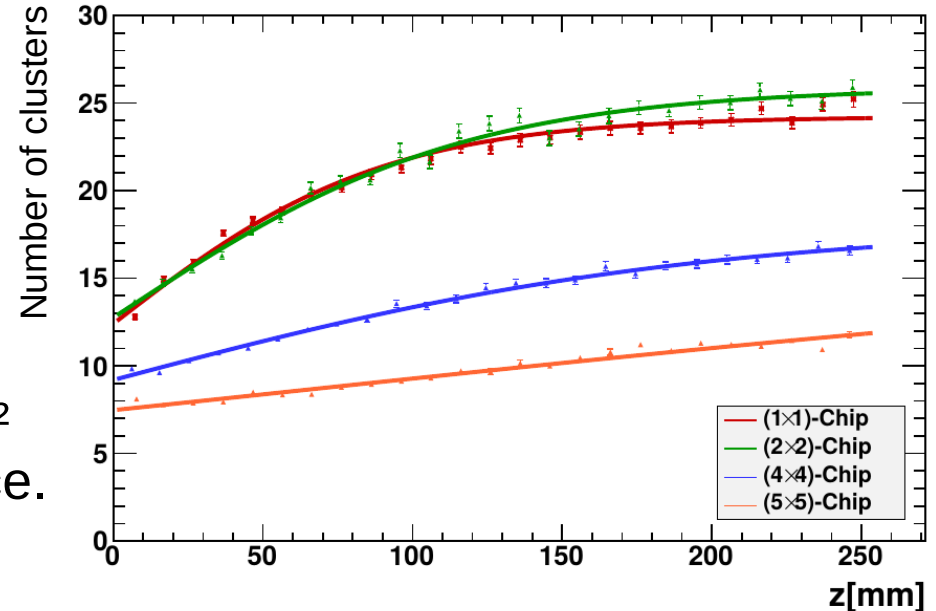
Chips modified by adding larger copper pads on top of an insulating layer.

Gas mixture: He:CO<sub>2</sub> 70:30

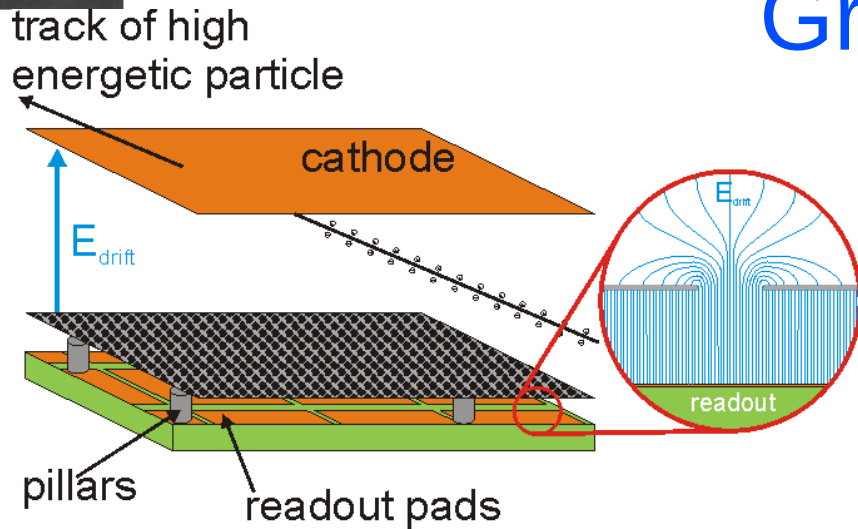
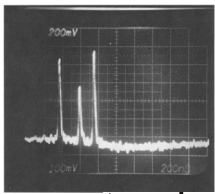
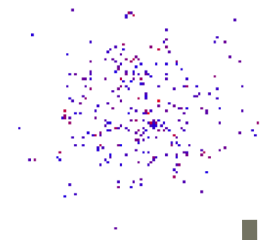
Amplification: triple GEM with 1 mm spacings



Pixel sizes of 55x55  $\mu\text{m}^2$  and 110x110  $\mu\text{m}^2$  performed very similar.  
Pixel sizes of 220x220  $\mu\text{m}^2$  and 275x275  $\mu\text{m}^2$  had already significantly reduced performance.



# The Space-based Paradigm (V) GridPix



Standard charge collection:

- Pads of several mm<sup>2</sup>
- Long strips (l~10 cm, pitch ~200 μm)

Instead: Bump bond pads are used as charge collection pads.

Could the spatial resolution of single electrons be improved?

$$\text{Ar:CO}_2 \text{ 80:20} \rightarrow D_T = 148 \mu\text{m}/\sqrt{\text{cm}}$$

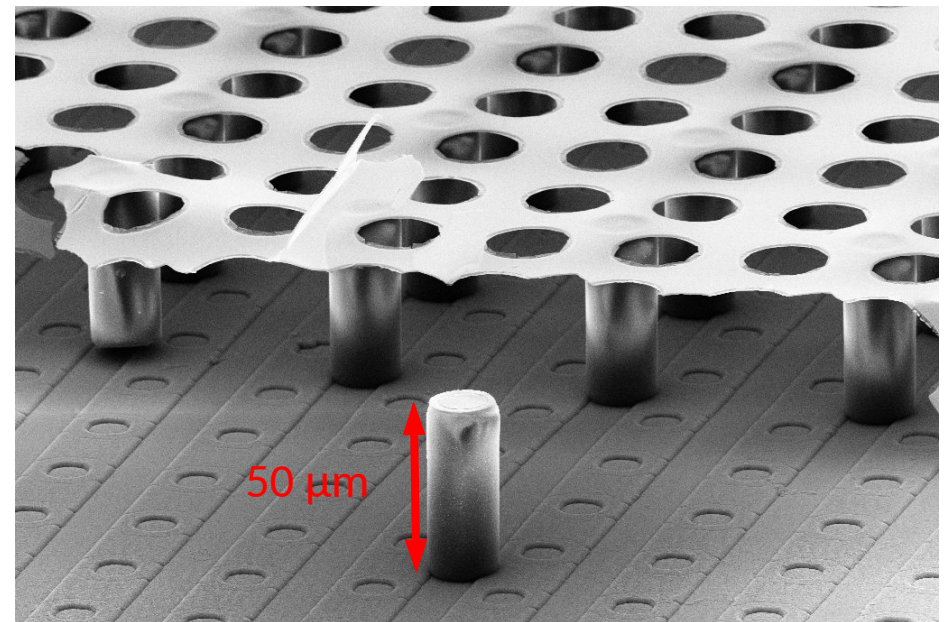
$$\rightarrow \sigma = 11 \mu\text{m}$$

$$\text{Ar:iC}_4\text{H}_{10} \text{ 95:5} \rightarrow D_T = 150 \mu\text{m}/\sqrt{\text{cm}}$$

$$\rightarrow \sigma = 11 \mu\text{m}$$

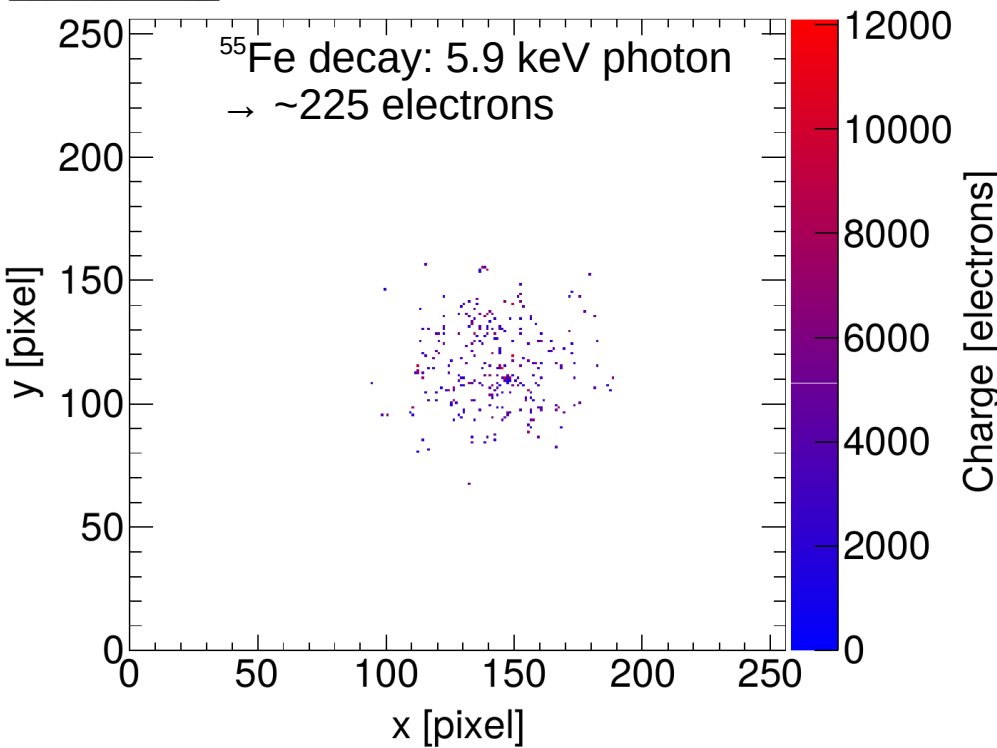
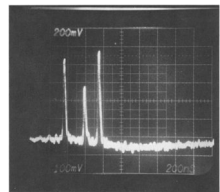
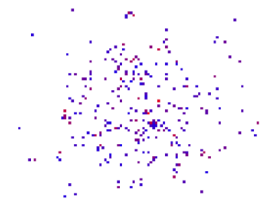
**Smaller pads/pixels could result in better resolution!**

**At Nikhef the GridPix was invented.**



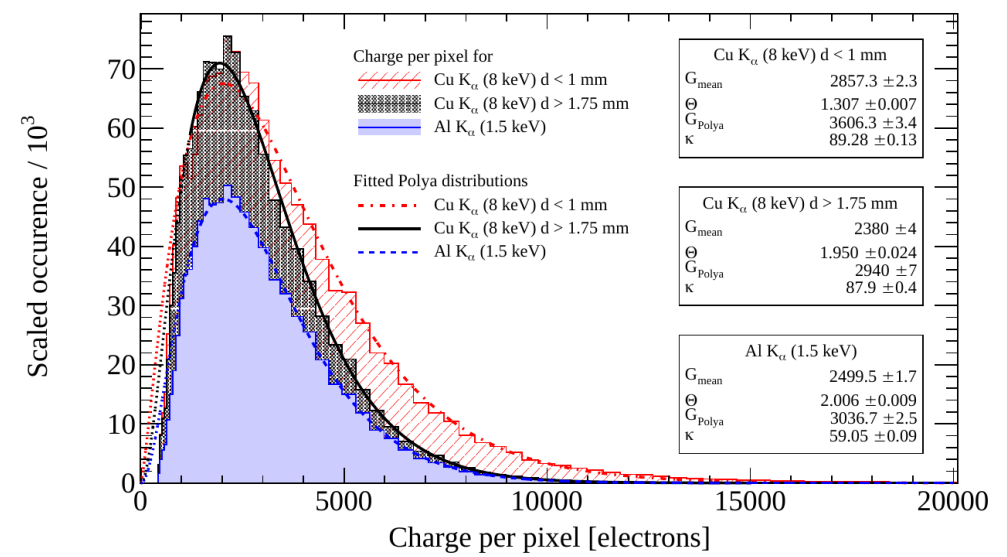
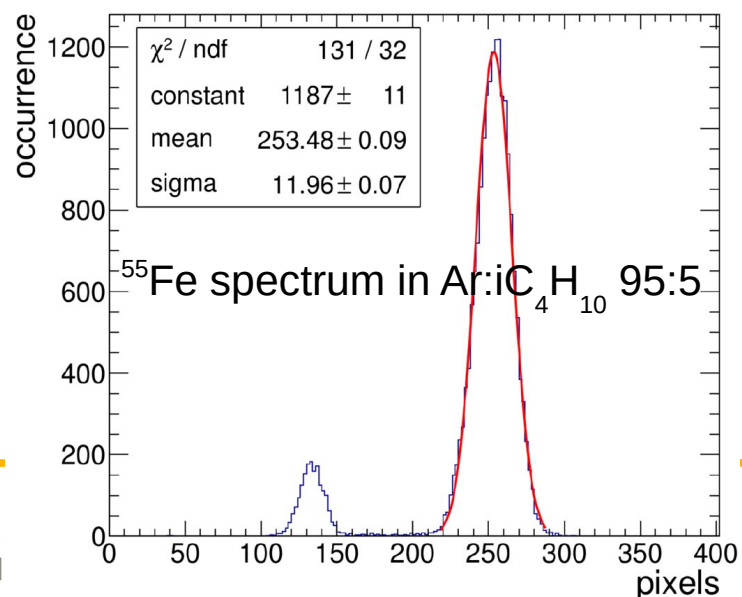
Mag = 303 X    Signal A = SE2    20μm    Stage at T = 70.1 °    Fraunhofer IZM  
WD = 18 mm    EHT = 20.00 kV

# GridPix: Experiences with X-ray

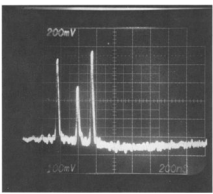


For CAST detailed studies with **X-rays**.  
 Cluster counting not possible, but **electron counting = pixel counting**  
 → high diffusion necessary  
 Detailed study with variable  
 X-ray source: NIMA 893 (2018) 26-34

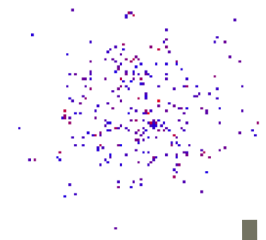
Single pixels show Polya distribution of gas gain



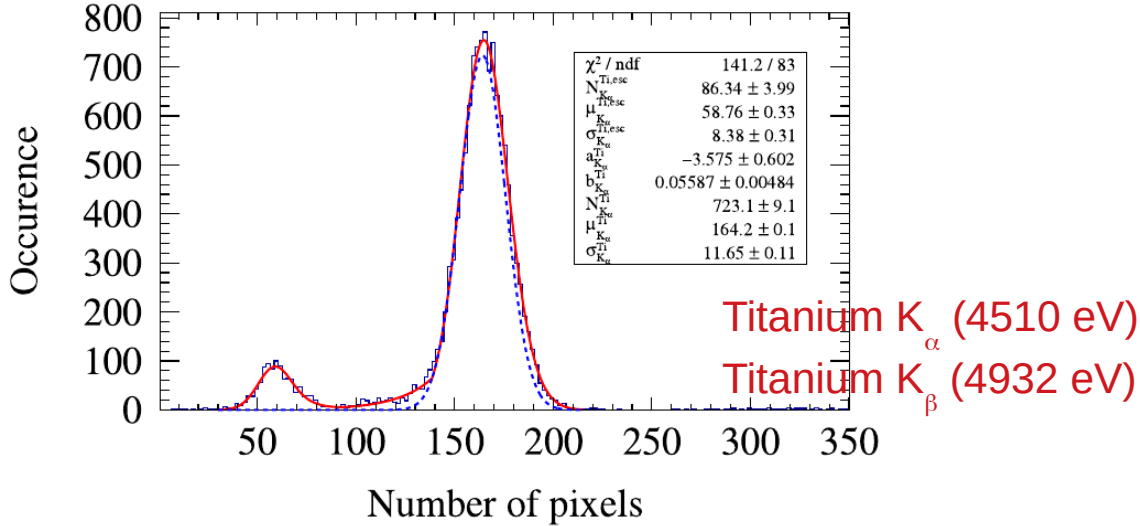




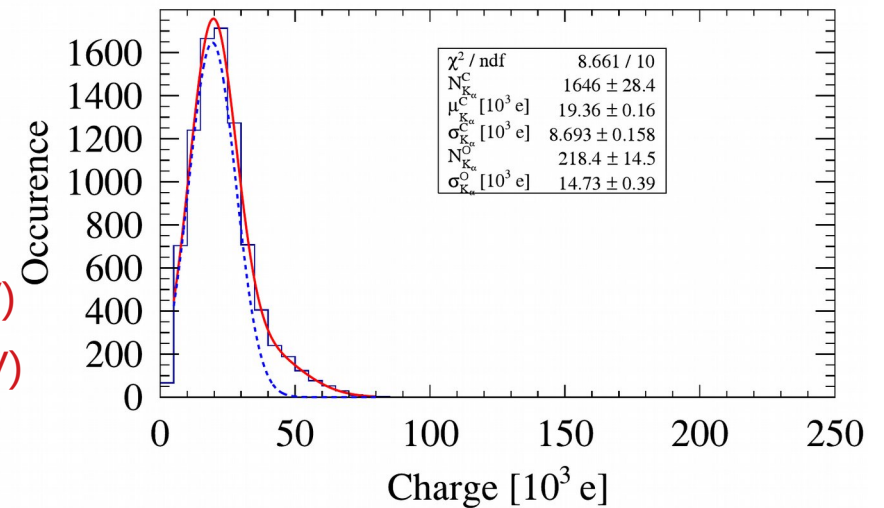
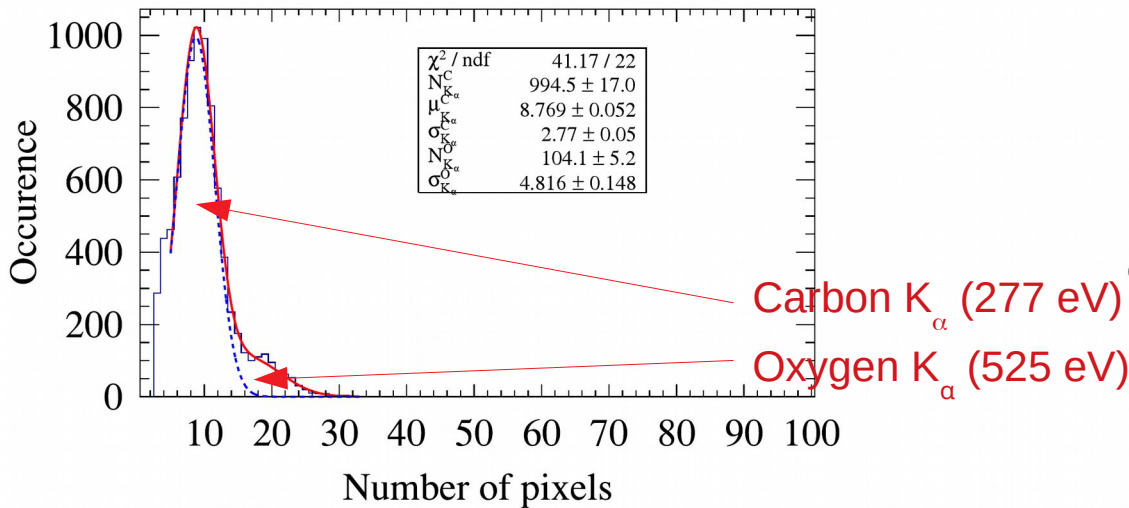
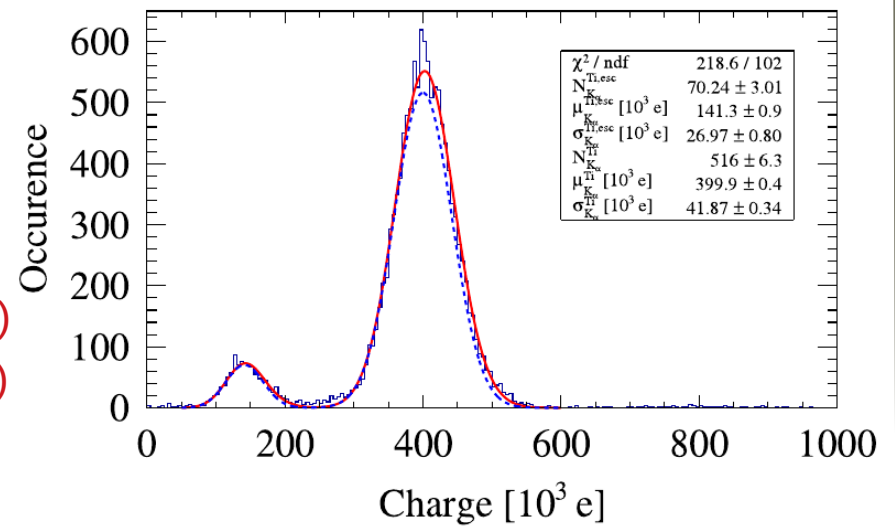
# GridPix: Some X-ray Lines



### Electron Counting

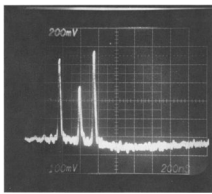


### Charge Summation

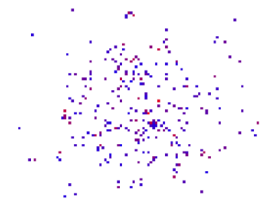


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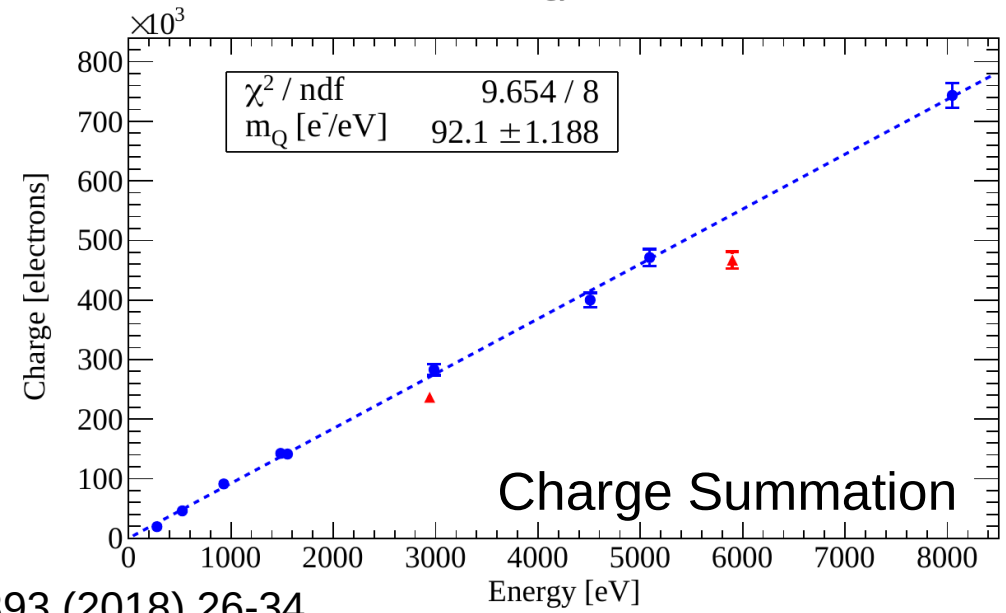
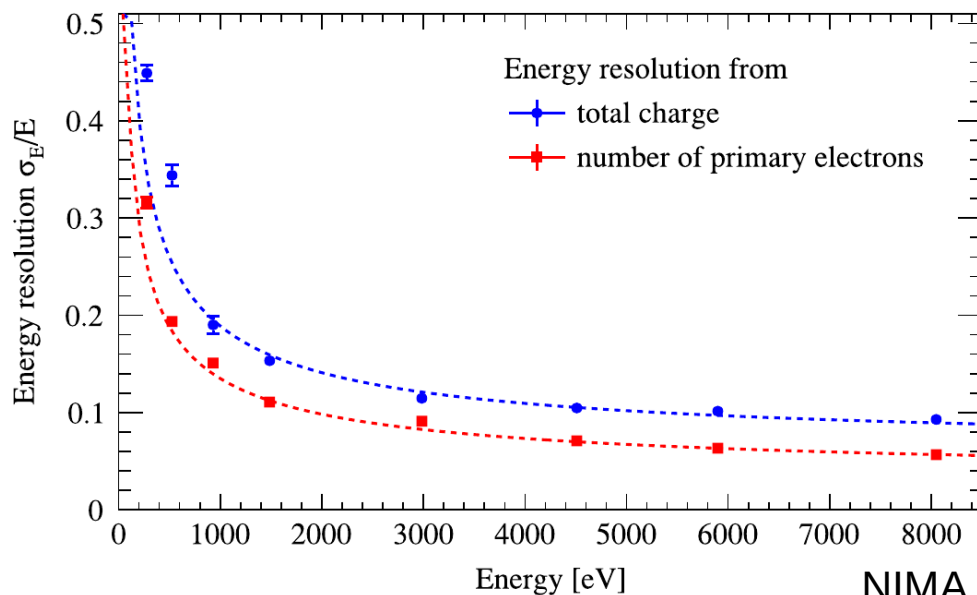
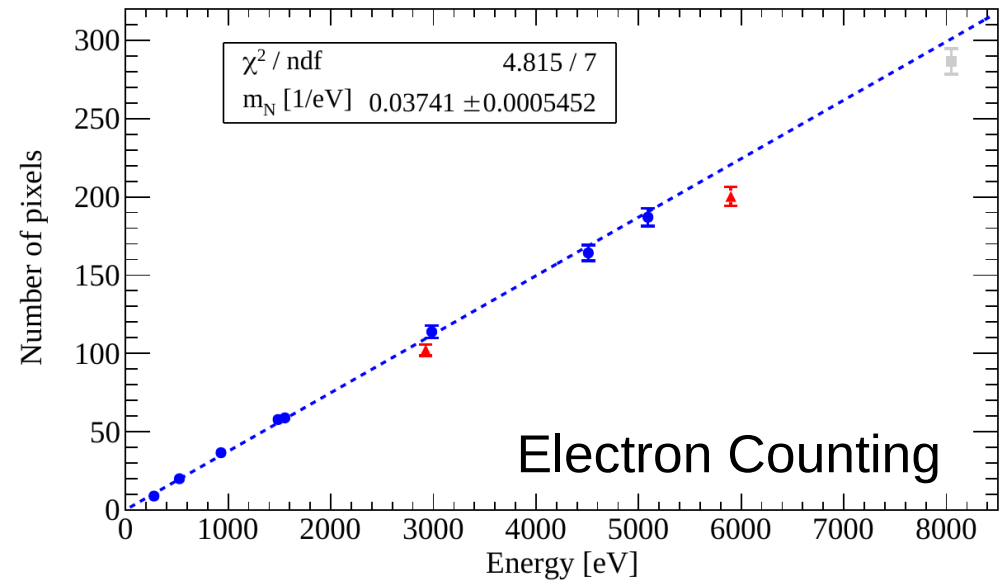




# GridPix: Energy Resolution

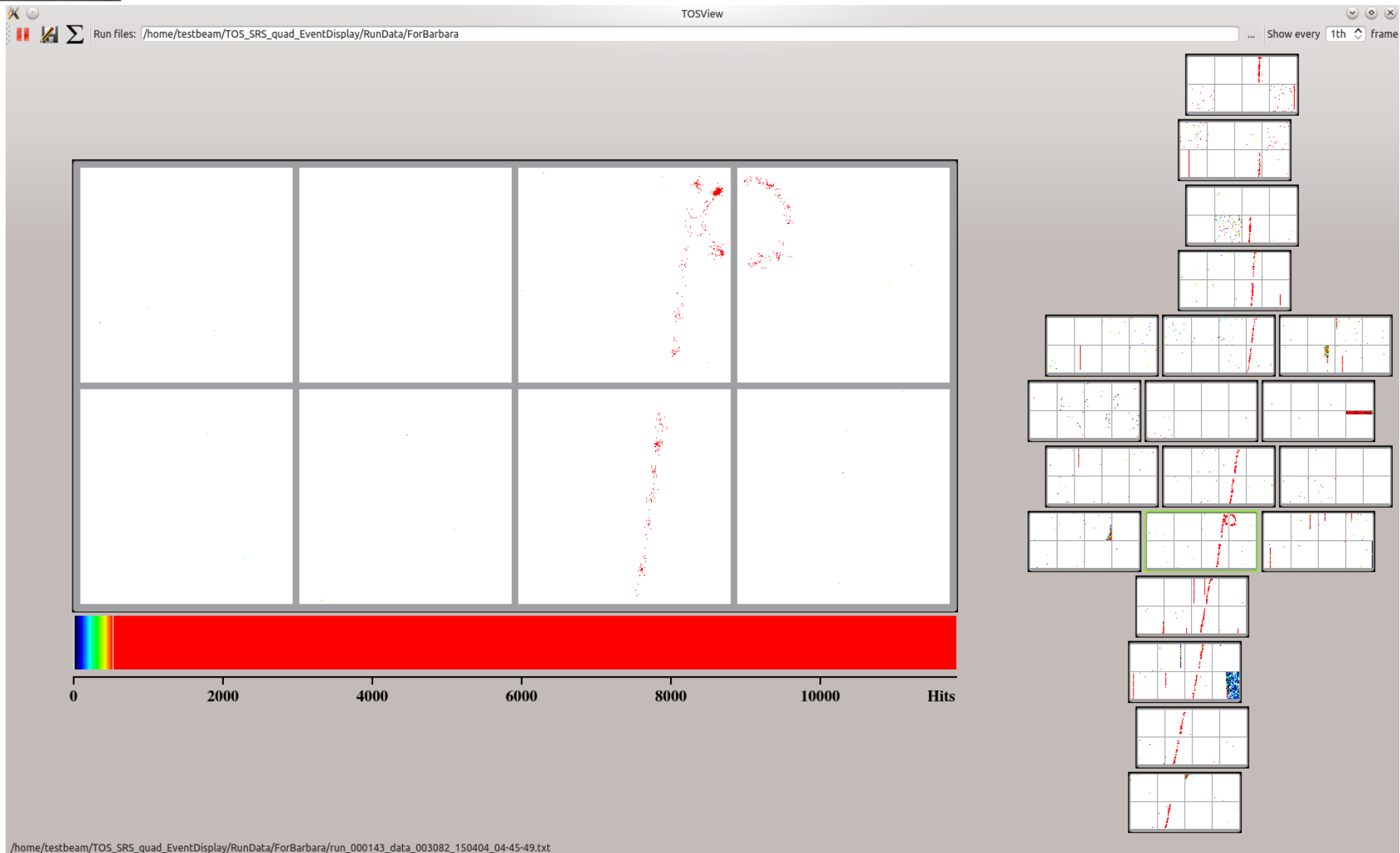
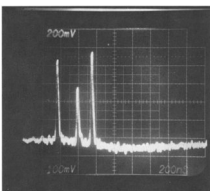
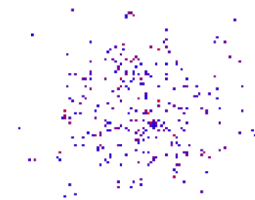


Pixel counting starts failing, if diffusion is not large enough and more than 1 electron ends upon a pixel.  
 Energy measurement based on collected charge still works fine.

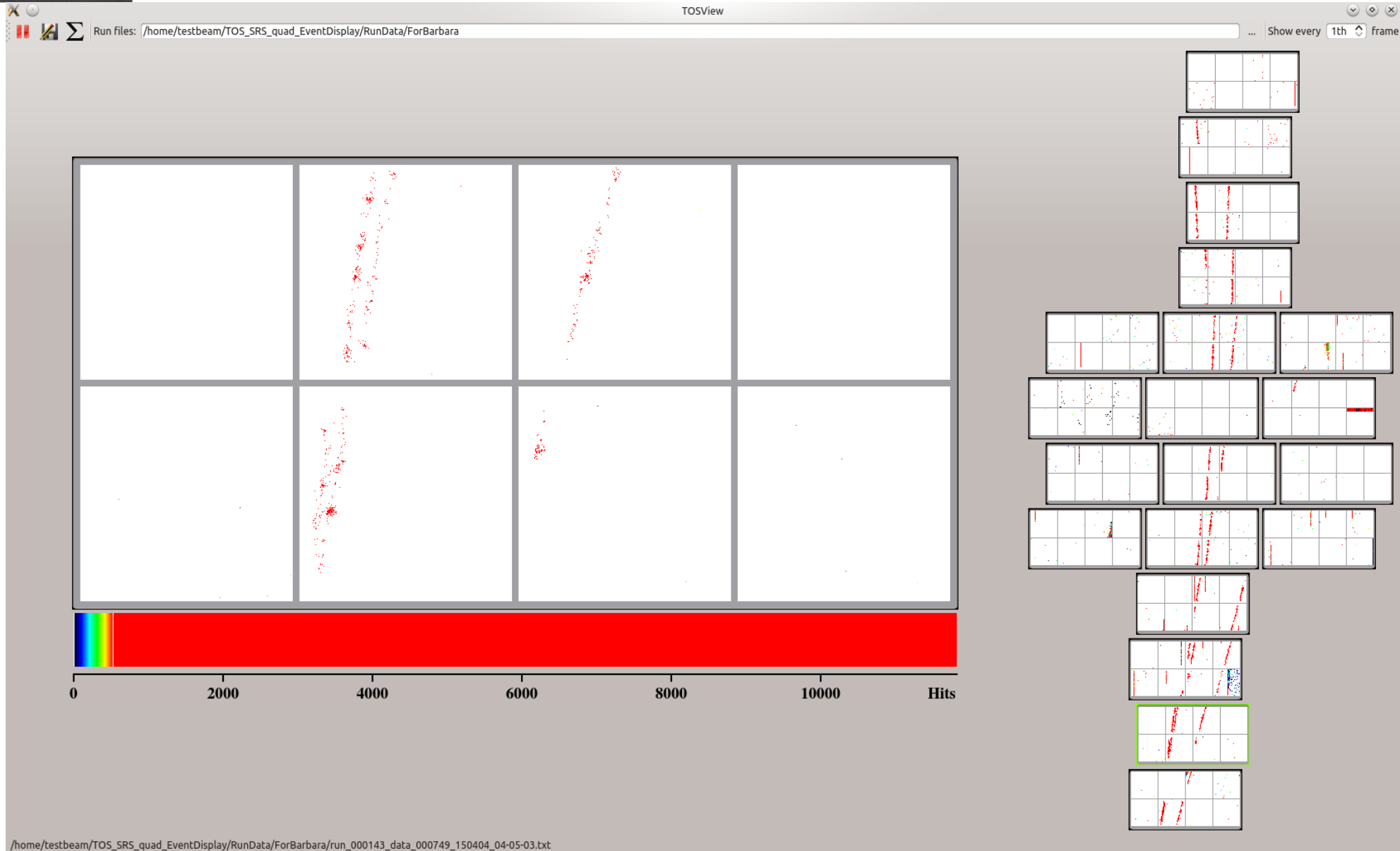
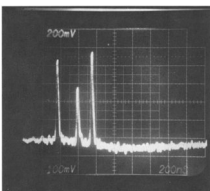
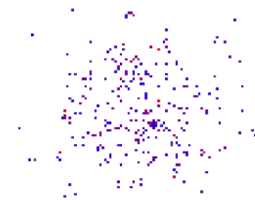


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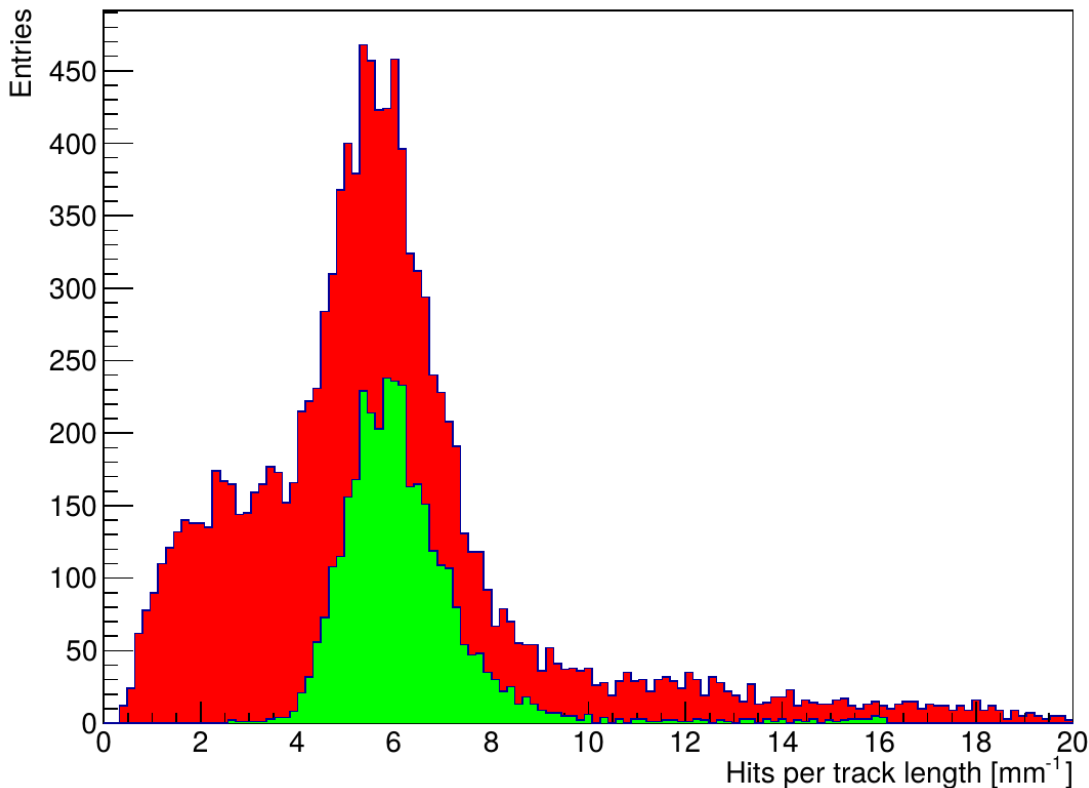
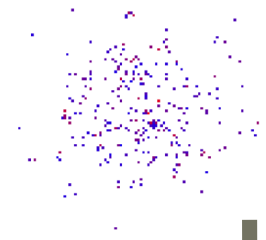
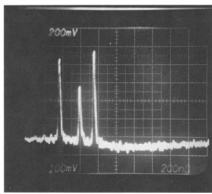
# Event Picture of 5 GeV e-beam



# Event Picture of 5 GeV e-beam



# GridPix: dE/dx Measurements



Measurement of primary electrons per mm:

Ar:CF<sub>4</sub>:iC<sub>4</sub>H<sub>10</sub> 95:3:2

B = 1 T

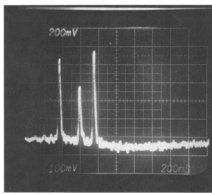
5 GeV electrons with a track length ~60 cm including gaps between GridPixes(!)

→ coverage of ~50-60 %

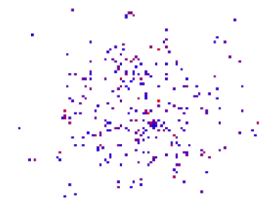
Using a truncated mean (central 90%) an energy resolution of 9.9 % was reached.

Extrapolation to ILD values gives 4.8 % with full coverage.

PhD thesis M. Lupberger

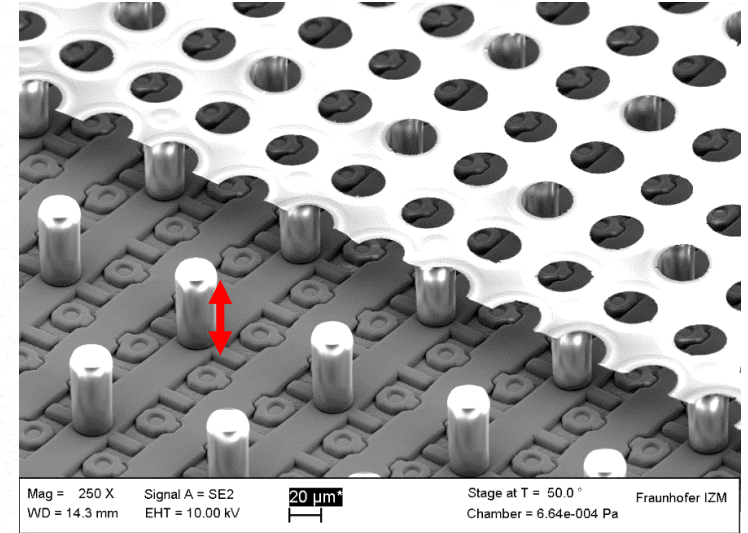
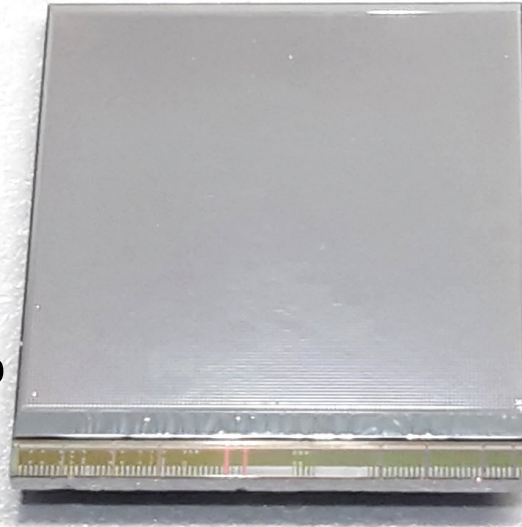


# GridPix based on Timepix3



GridPix detectors have moved from Timepix to Timepix3 ASICs.

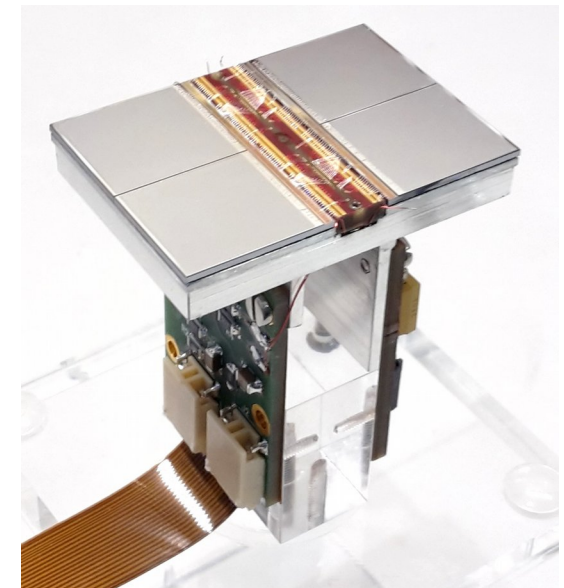
The grid layout was improved to have an active area increase from 91.3 % to 97.7 % of pixel matrix.



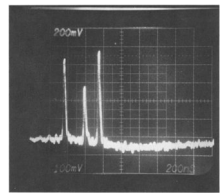
- Number of pixels:  $256 \times 256$  pixels
- Pixel pitch:  $55 \times 55 \mu\text{m}^2$
- ENC:  $\sim 60 e^-$
- Charge (ToT) and time (ToA) available for each hit
- Timing resolution: 1.56 ns for duration of  $\sim 410 \mu\text{s}$
- Zero suppression on chip (sparse readout)
- Multi-hit capable (pixels sensitive after  $t_{\text{ToT}} + 475 \text{ ns}$ )

Super-pixels store hits for some time

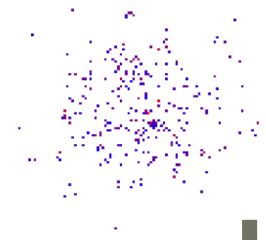
- Output rate up to 5.12 Gbps
- Power pulsing possible (800 ns for start up)







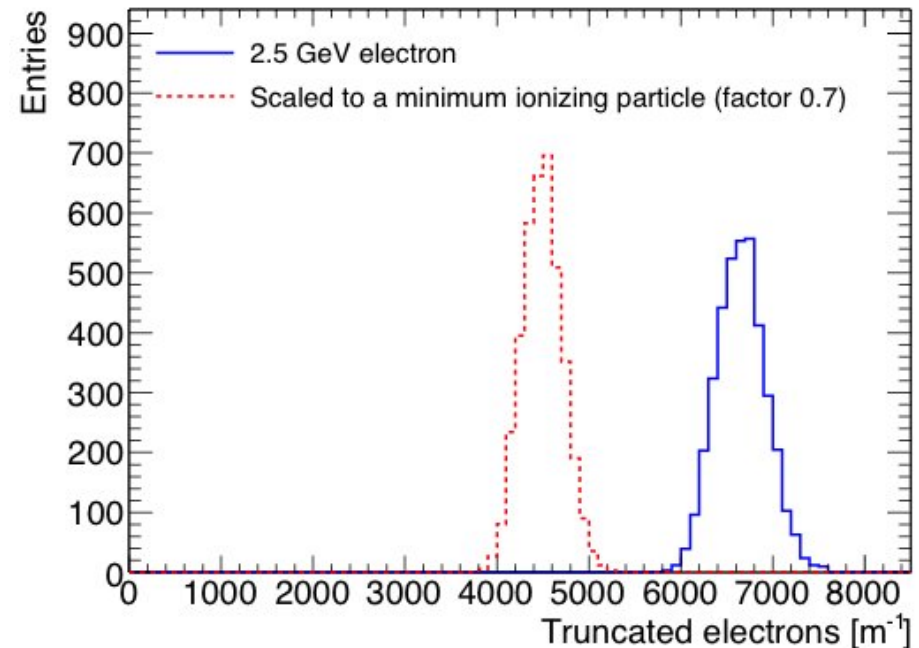
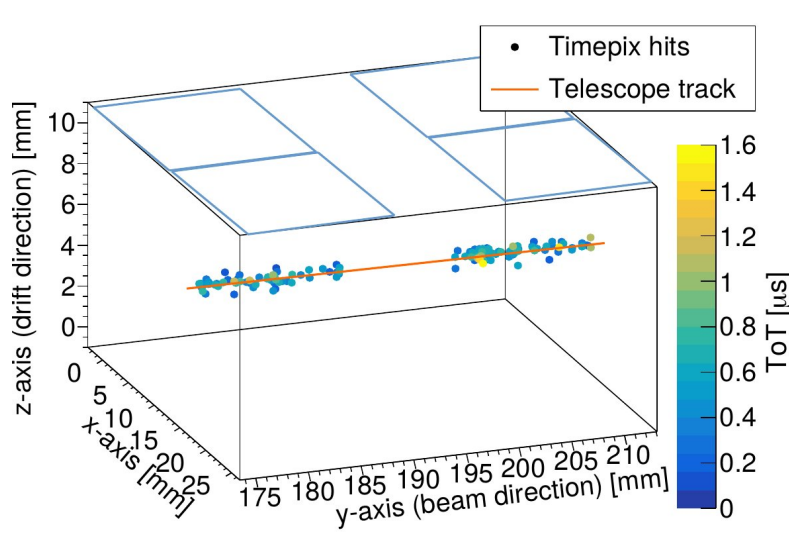
# Data Analysis of GridPix with TP3



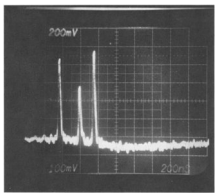
Test beams at ELSA accelerator at Bonn have been done with single GridPixes and QUADs.

Electrons with 2.5 GeV at a rate of 10 kHz  
 $\text{Ar}:\text{CF}_4:\text{C}_4\text{H}_{10} \text{ 95:3:2}$   
 SPIDR read out

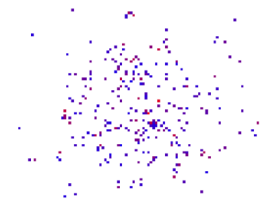
Combining events  $\rightarrow$  1m track lengths were generated  
 $\rightarrow$  energy resolution 4.1 % with truncated mean.  
 $\rightarrow$  extrapolation to MIP (x0.7 hits): energy resolution 4.9 %



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# Simulations & Extrapolation with Various Cluster Counting Algorithms



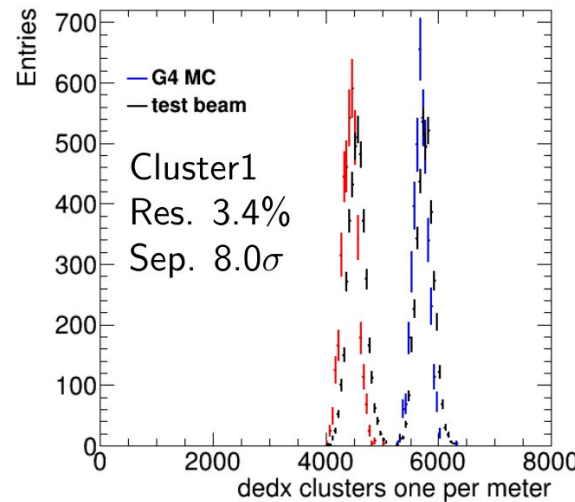
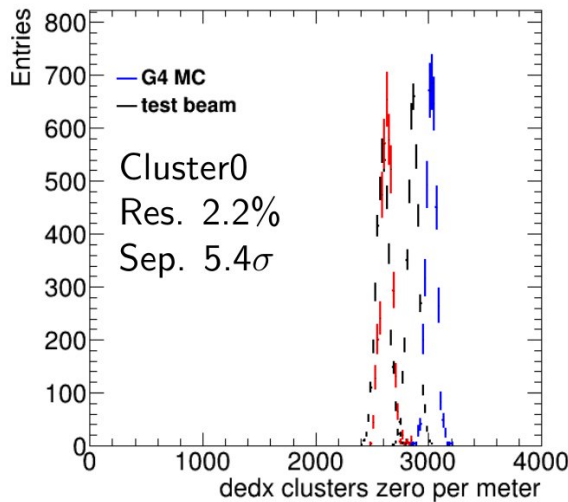
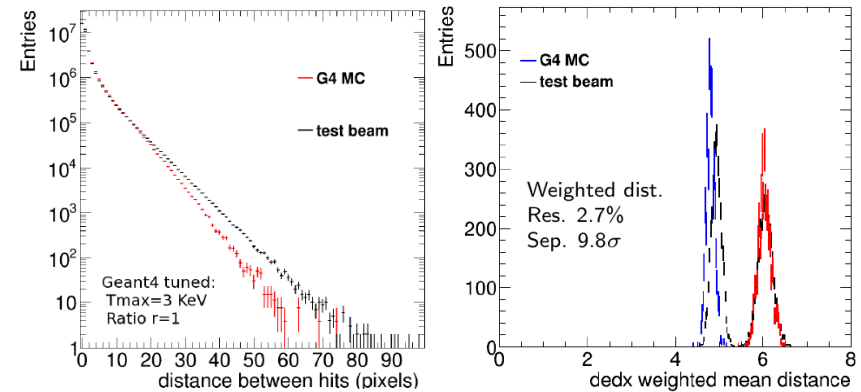
Geant4 MC data was tuned to correspond to test beam data, for which single chip events were added to get 1 m long tracks.

55  $\mu\text{m}$  thick pixel rows

From hits projected along track:

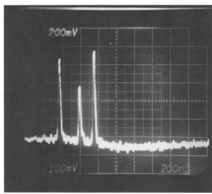
..	1	0	2	1	1	0	1	0	0	3	1	0	2	..
"Clusterz": Count number of clusters														
..	1	0	1	0	0	0	1	0	0	1	0	0	1	..
"Cluster1": Count number of columns with hits														
..	1	0	1	1	1	0	1	0	0	1	1	0	1	..

Observation: Distance between pixels varies more than expected from Poisson  
 → reweight these hits according to Poisson distribution

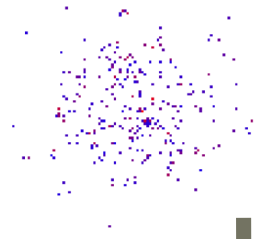


Algorithm	Data		Monte Carlo	
	Resolution	Separation	Resolution	Separation
Truncated Hits	4.1%	8.0 $\sigma$	3.8%	7.1 $\sigma$
Clusterz	2.2%	5.4 $\sigma$	1.5%	8.7 $\sigma$
Cluster1	3.4%	8.0 $\sigma$	2.3%	9.5 $\sigma$
Weighted distance	2.7%	9.8 $\sigma$	2.5%	12.9 $\sigma$

K. Ligtenberg at LCTPC-CM 3/2018



# Readout Electronics



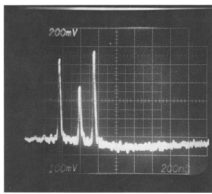
For Timepix and Timepix3 there is quite a variety of readout electronics. They differ in specific performance parameters and should be chosen according to the envisioned application. Here are some (certainly I have overlooked several):

## Timepix:

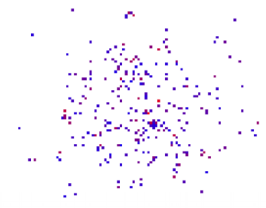
- MUROS developed by Nikhef (deprecated?, relying on NI card)
- USB-device/FitPix developed by U. Prag,
- PRIAM developed by ESRF Grenoble
- DEMAS developed by IFEA Barcelona
- SRS developed by U. Bonn, open source, scalability to 160 ASICs proven

## Timepix3:

- SPIDR developed by Nikhef: 2015 JINST 10 C12028 / 2017 JINST 12 C02040
- USB 3.0 developed by Advacam: 2016 JINST 11 C12065
- Katherine developed by U.s. Prague: 2017 JINST 12 C11001
- SRS developed by U. Bonn: s. next slide.

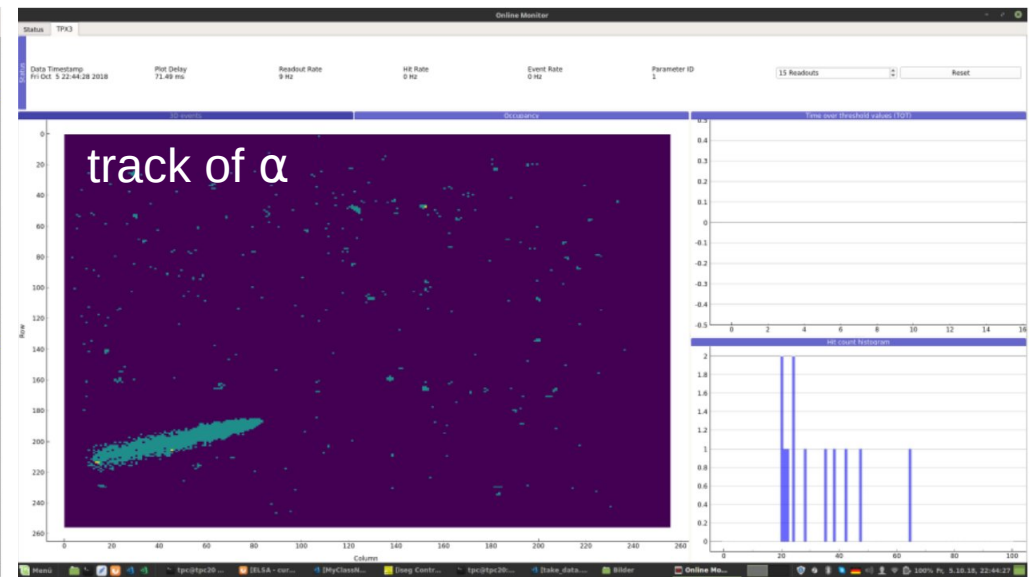
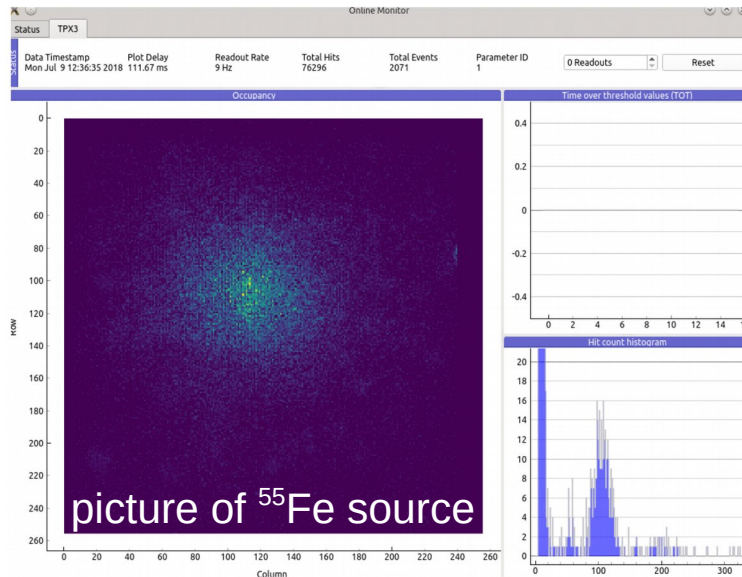
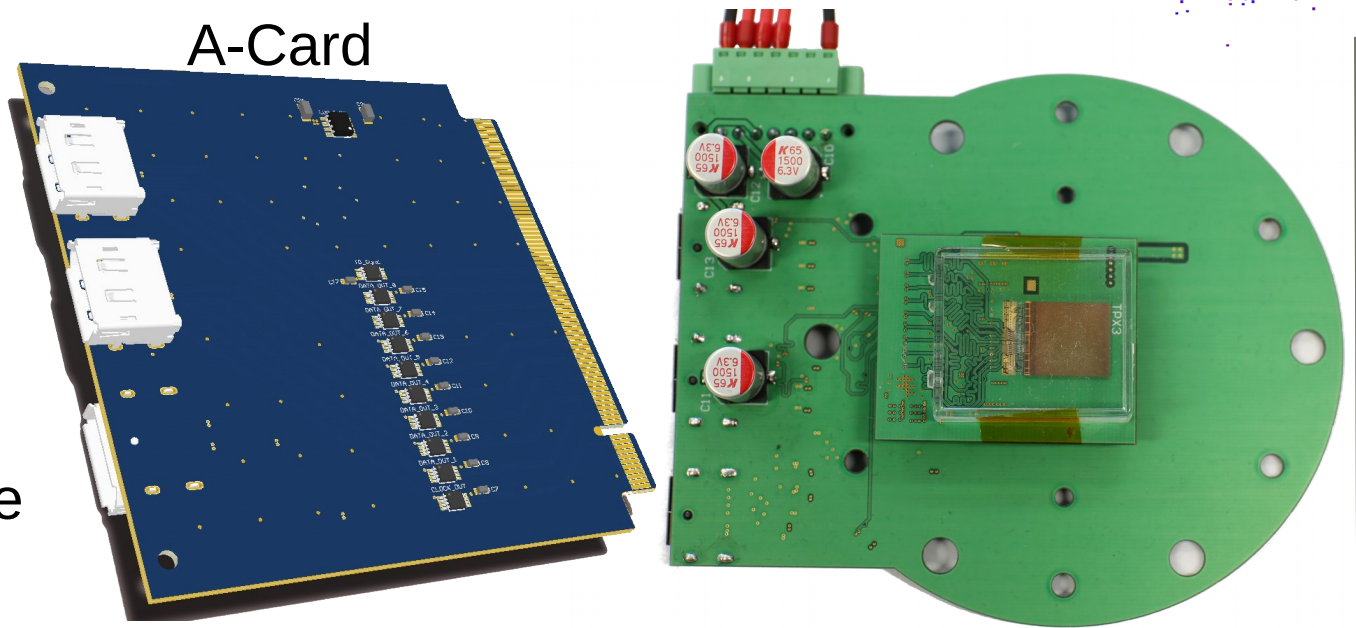


# Timepix3 in SRS

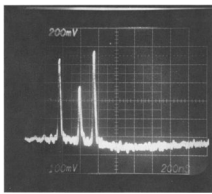


- Full functionality has been implemented
- Final tests and debugging is ongoing for a first code release
- So far only single chip
- Update for mutli-chip use (8 chips / FEC) soon

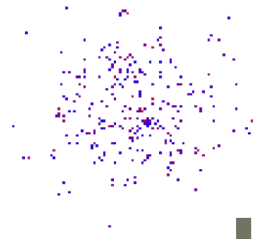
A-Card







# Conclusion



Cluster counting has been around for ~40 years.

There are two approaches:

1.) The time-based paradigm uses drift chambers of small radii (O(5-10mm))

Tracks entering perpendicular to the wire

Status: Several successful test beam demonstrators

Electronics mostly custom made with very high bandwidth amplifiers (> 100MHz)

2.) The space-based paradigm uses

Tracks enter parallel to the readout (e.g. TPC)

Status: Some theoretical considerations and simulations

Electronics is based on high resolution pixel readout ASICs (Timepix / Timepix3)

MPGD friendly, but best choice probably is due to other considerations of tracker

My guesses:

Possibly better for higher rate experiments.

Best choice of gas amplification stage: GridPix

Alternative approach for longer drift distances:

- electron counting instead of cluster counting → eliminates Polya of gas amplification

- negative ion TPC reduces the spatial diffusion and has very low drift velocity

→ both space and time-based approach possible (with Timepix 3)