QCD and Event Generators

Lecture 2 of 2

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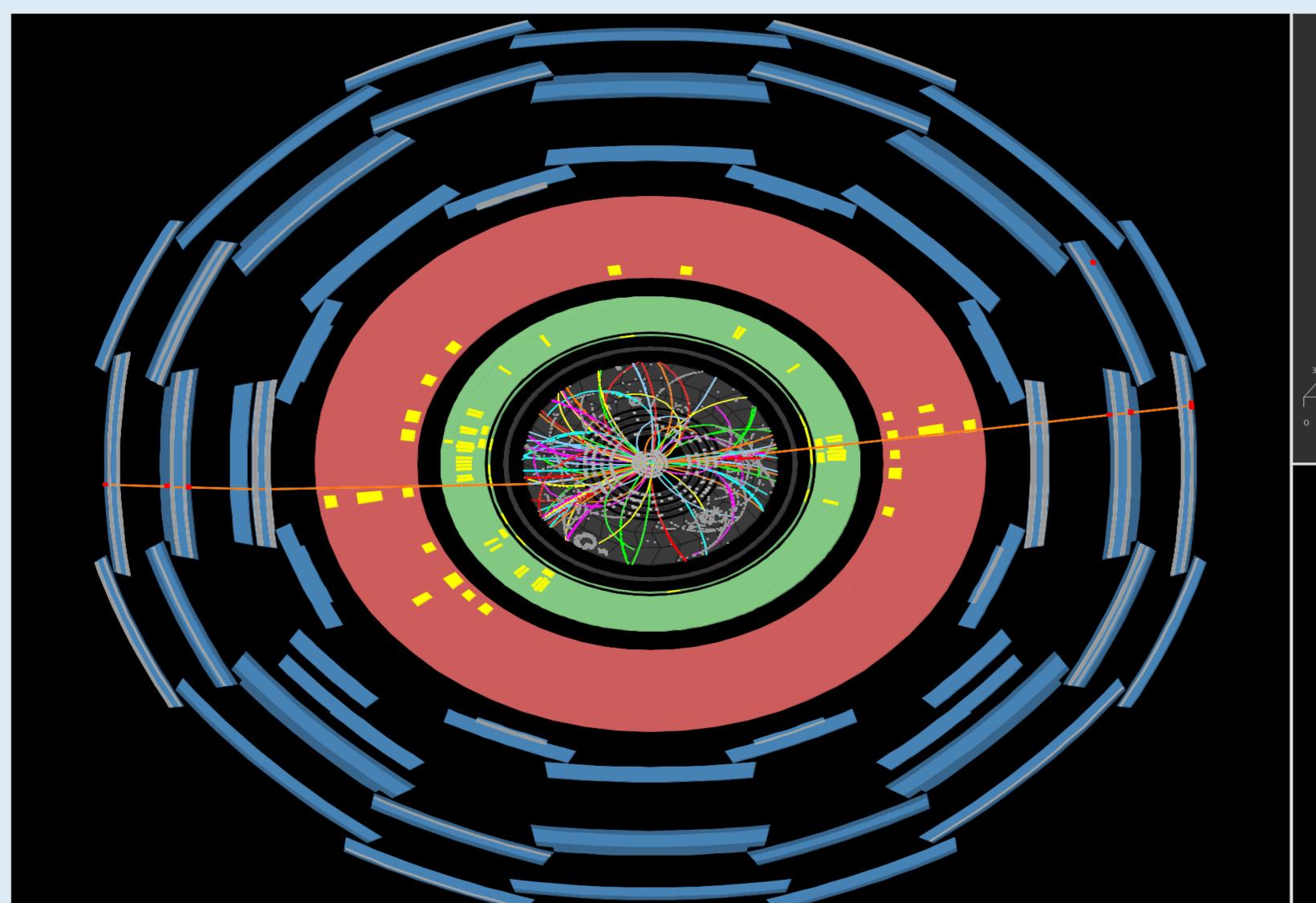
(Seoul, Republic of Korea)

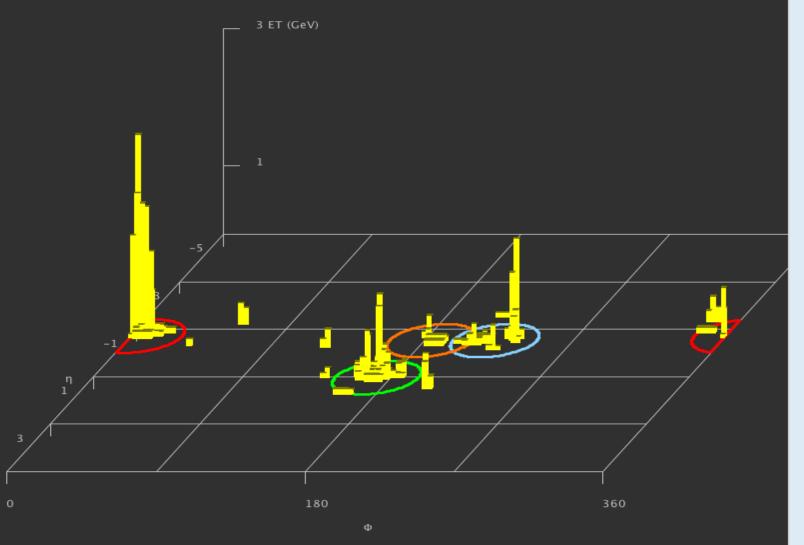


Some slides of these Lectures are based on:

- o talk by P. Skands at HCPSS, 2020
- Lectures by T. Sjöstrand at Lund, 2018

... But fixed Order QCD is not enough







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7 TeV Event with Jets and 2 Muons

Accelerated charges

Accelerated charged particle with charge $\pm Ze$ will continuously emit radiation (when changing its velocity from β_1 to β_2)

$$\lim_{\omega \to 0} \frac{\mathrm{d}^2 N}{\mathrm{d}\omega \mathrm{d}\Omega_{\gamma}} = \frac{Z^2 \alpha}{4\pi^2 \omega} \left| e^* \cdot \left(\frac{\beta_2}{1 - \mathbf{n} \cdot \beta_2} - \frac{\beta_1}{1 - \mathbf{n} \cdot \beta_1} \right) \right|^2 \text{(see J. D. Jackson, Classical Electrodynamics)}$$

Two important consequences:

- The particle is very fast; $\beta_i \cdot \mathbf{n} = 0$ (collinear singularity)
- . $N \propto \int \mathrm{d}\omega/\omega \implies$ infinitely many infinitely soft emitted photons but the net energy taken is finite.

$$E_{\text{Total}} \propto \hbar N\omega \approx \hbar \int d\omega \rightarrow \text{finite}$$

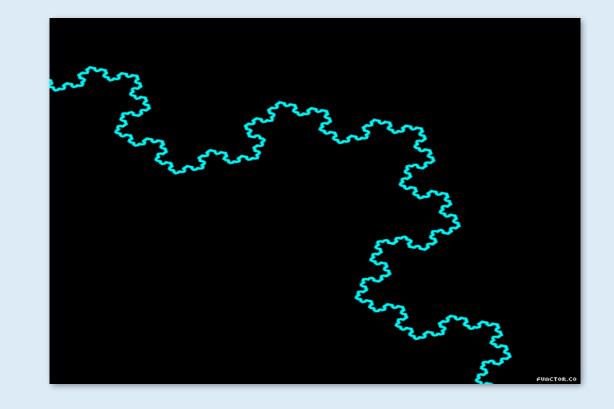
(soft photons continuously emitted & reabsorbed)

In QCD, the situation is quite similar with two main differences:

QCD is a non-Abelian gauge theory; we have also gluon emission off a gluon.

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• The strong coupling diverges for $Q^2 o \Lambda_{
m QCD}^2$.



Small couplings are not sufficient?

Naively, QCD radiation suppressed by $\alpha_{s} \approx 0.1$



But beware the jet-within-a-jet-within-a-jet

 \Longrightarrow 100 GeV can be "soft" at the LHC

Example: SUSY pair production at LHC₁₄, with M_{SUSY} ≈ 600 GeV

LHC - sps1a - m~600 Ge	Plehn, Rainwater, PS PLB645(2007)217					
FIXED ORDER pQCD	$\sigma_{\mathrm{tot}}[\mathrm{pb}]$	$\widetilde{g}\widetilde{g}$	$\tilde{u}_L \tilde{g}$	$\tilde{u}_L \tilde{u}_L^*$	$\tilde{u}_L \tilde{u}_L$	TT
$p_{T,j} > 100 \text{ GeV}$	σ_{0j}	4.83	5.65	0.286	0.502	1.30
inclusive X + 1 "jet"	$\longrightarrow \sigma_{1j}$	2.89	2.74	0.136	0.145	0.73
inclusive X + 2 "jets"	$\rightarrow \sigma_{2j}$	1.09	0.85	0.049	0.039	0.26
$p_{T,j} > 50 \text{ GeV}$	σ_{0j}	4.83	5.65	0.286	0.502	1.30
	σ_{1j}	5.90	5.37	0.283	0.285	1.50
	σ_{2j}	4.17	3.18	0.179	0.117	1.21
(Computed with SUSY-MadGraph)						

 σ for X + jets much larger than naive factor- α_s estimate

σ for 50 GeV jets ≈ larger than total
 cross section
 → what is going on?

All the scales are high, $Q\gg 1$ GeV, so perturbation theory **should** be OK

Why is fixed-order QCD not enough?

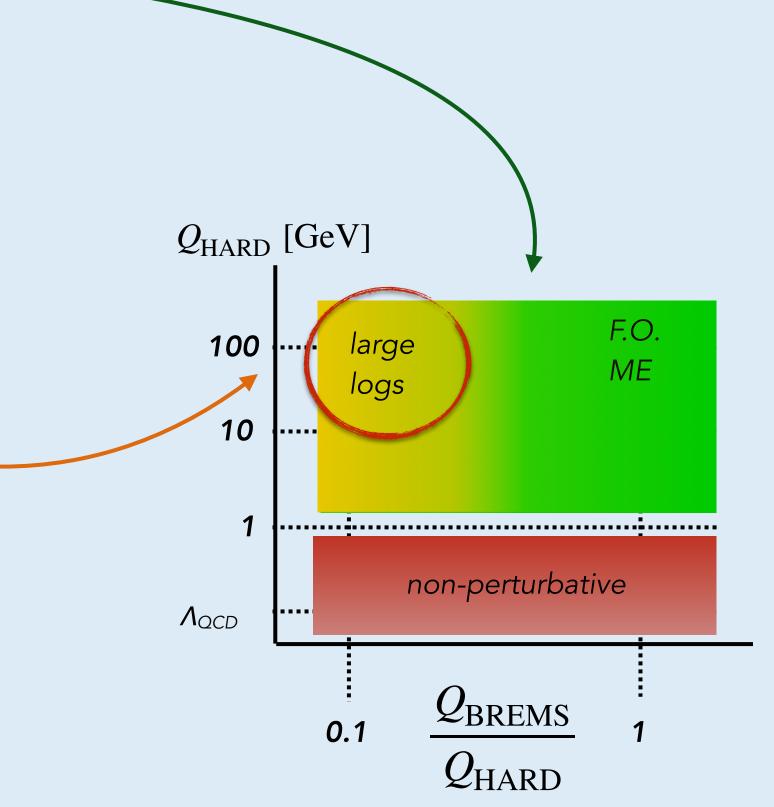
Fixed Order (F.O.) QCD requires Large scales (α_s small enough to be perturbative \rightarrow highscale processes)

F.O. QCD also requires No hierarchies

Bremsstrahlung propagators $\propto 1/Q^2$ integrated over phase space $\propto dQ^2$ \rightarrow logarithms

$$\alpha_s^n \ln^m \left(Q_{\text{Hard}}^2 / Q_{\text{Brems}}^2 \right) \quad ; \quad m \le 2n$$

 \rightarrow cannot truncate at any fixed order n if upper and lower integration limits are hierarchically different



Harder Processes are accompanied by Harder Jets

The hard process "kicks off" a shower of successively softer radiation

Fractal structure: if you look at $Q_{JET}/Q_{HARD} \ll 1$, you will resolve substructure.

So it's **not** like you can put a cut at X (e.g., 50, or even 100) GeV and say: "Ok, now fixed-order matrix elements will be OK"

Extra radiation:

Will generate corrections to your kinematics

Extra jets from bremsstrahlung can be important **combinatorial background** especially if you are looking for decay jets of similar p_T scales (often, $\Delta M \ll M$)

Is an unavoidable aspect of the quantum description of quarks and gluons (no such thing as a "bare" quark or gluon; they depend on how you look at them)

This is what parton showers are for

Parton showers: General formalism

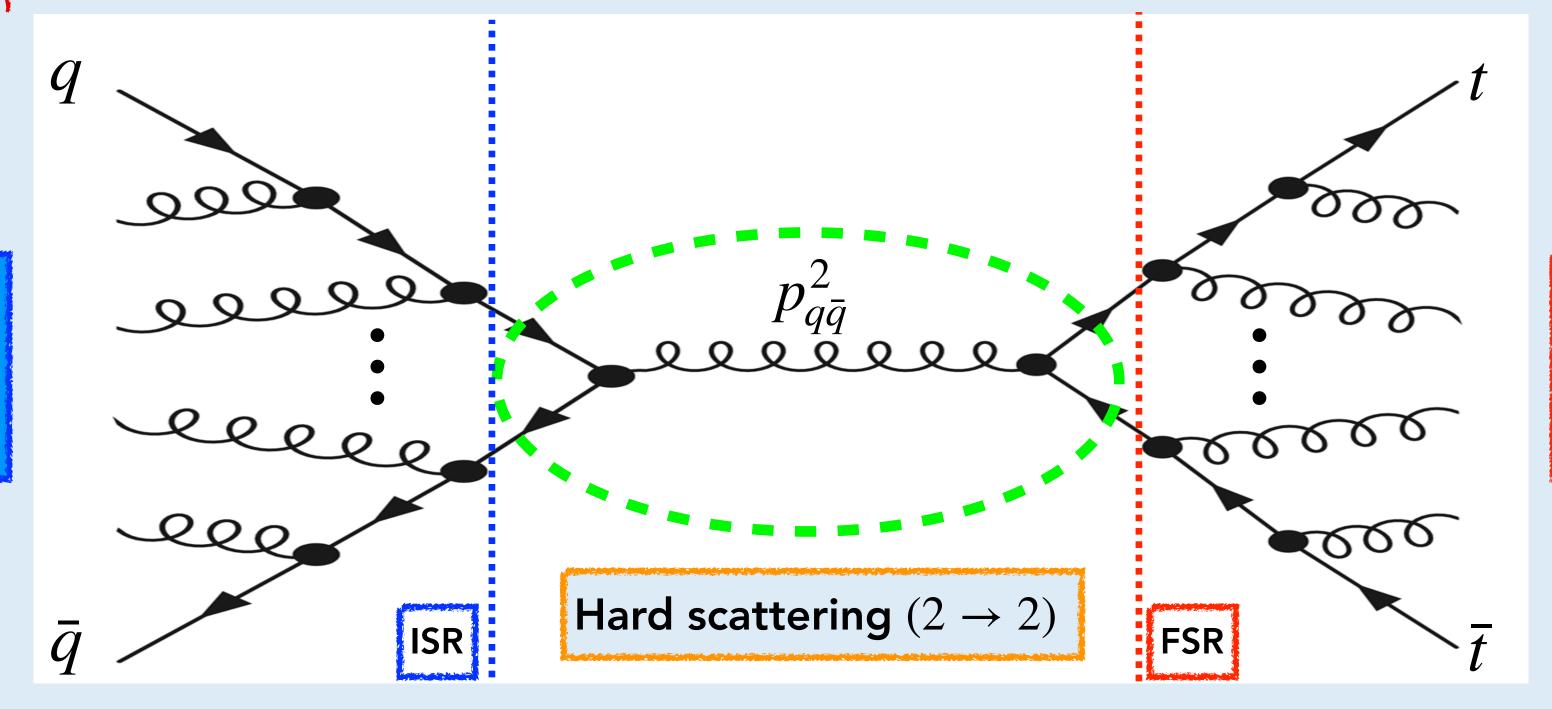
Consider $q\bar{q} \rightarrow t\bar{t}$

Extra radiation implies a $2 \rightarrow N$ (not a $2 \rightarrow 2$) process.

Factorise and conquer $\implies 2 \rightarrow N \equiv 2 \rightarrow 2 \oplus ISR \oplus FSR$

Initial-State radiation (ISR):

Space-like showers, i.e. $Q_{ij}^2 < 0$



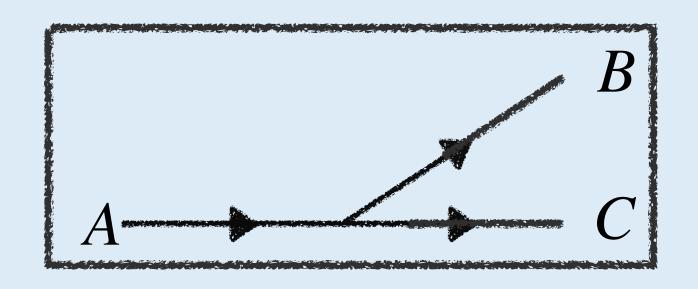
Final-State Radiation (FSR):

Time-like showers

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Time-like vs space-like showers

Consider the following branching $A \rightarrow BC$



$$p_i = (E_i, p_{\perp,i}, p_{L,i}); i \in \{A, B, C\}$$

(Choose a frame where $p_{\perp,A}=0$)

The emitting particle is off-shell while the branching products are massless; i.e. if A branches; then $M_A^2 \neq 0$; $M_B^2 = M_C^2 = 0$

Using energy-momentum conservation ($p_{i,\pm} = E_i \pm p_{L,i}$):

$$\frac{p_{+,A}p_{-,A}}{p_{+,A}} = \frac{p_{+,A}p_{-,B}}{p_{+,A}} + \frac{p_{+,A}p_{-,C}}{p_{+,A}} \implies \frac{p_{+,A}p_{-,A}}{p_{+,A}} = \frac{p_{+,B}p_{-,B}}{z p_{+,A}} + \frac{p_{+,C}p_{-,C}}{(1-z) p_{+,A}} \qquad \text{we used } p_B = zp_A, p_C = (1-z); z \in [0,1]$$

$$\implies \frac{m_A^2 + p_{\perp,A}}{p_{+,A}} = \frac{m_B^2 + p_{\perp,B}}{z p_{+,A}} + \frac{m_A^2 + p_{\perp,C}}{(1-z) p_{+,A}} \implies m_A^2 = \frac{m_B^2}{z} + \frac{m_C^2}{1-z} + \frac{p_\perp^2}{z (1-z)}$$

ISR: B branches;
$$m_A = m_C = 0 \implies m_B^2 = -\frac{p_\perp^2}{(1-z)} < 0$$
 (space-like showers)

FSR: A branches;
$$m_B = m_C = 0 \implies m_A^2 = \frac{p_\perp^2}{z(1-z)} > 0$$
 (time-like showers)

Parton showers & production rates

Parton showering implies a probabilistic function which does not change the total cross section (see next slides).

The situation is more complicated that just a multiplicative factor with total probability of unity:

ISR: parton showers affect the evolution of the PDFs. Therefore, it's impact enter in the expression of the inclusive cross section:

$$\sigma_{pp\to X} \equiv \sum_{i,i} \int \int dx_1 dx_2 f_i(x_1, Q_F^2) f_j(x_2, Q_F^2) \hat{\sigma}_{ij\to X}(\alpha_s(Q_R^2), x_1, x_2)$$

 $f_i(x_1, Q_F^2)$ can be determined through Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP) evolution equations.

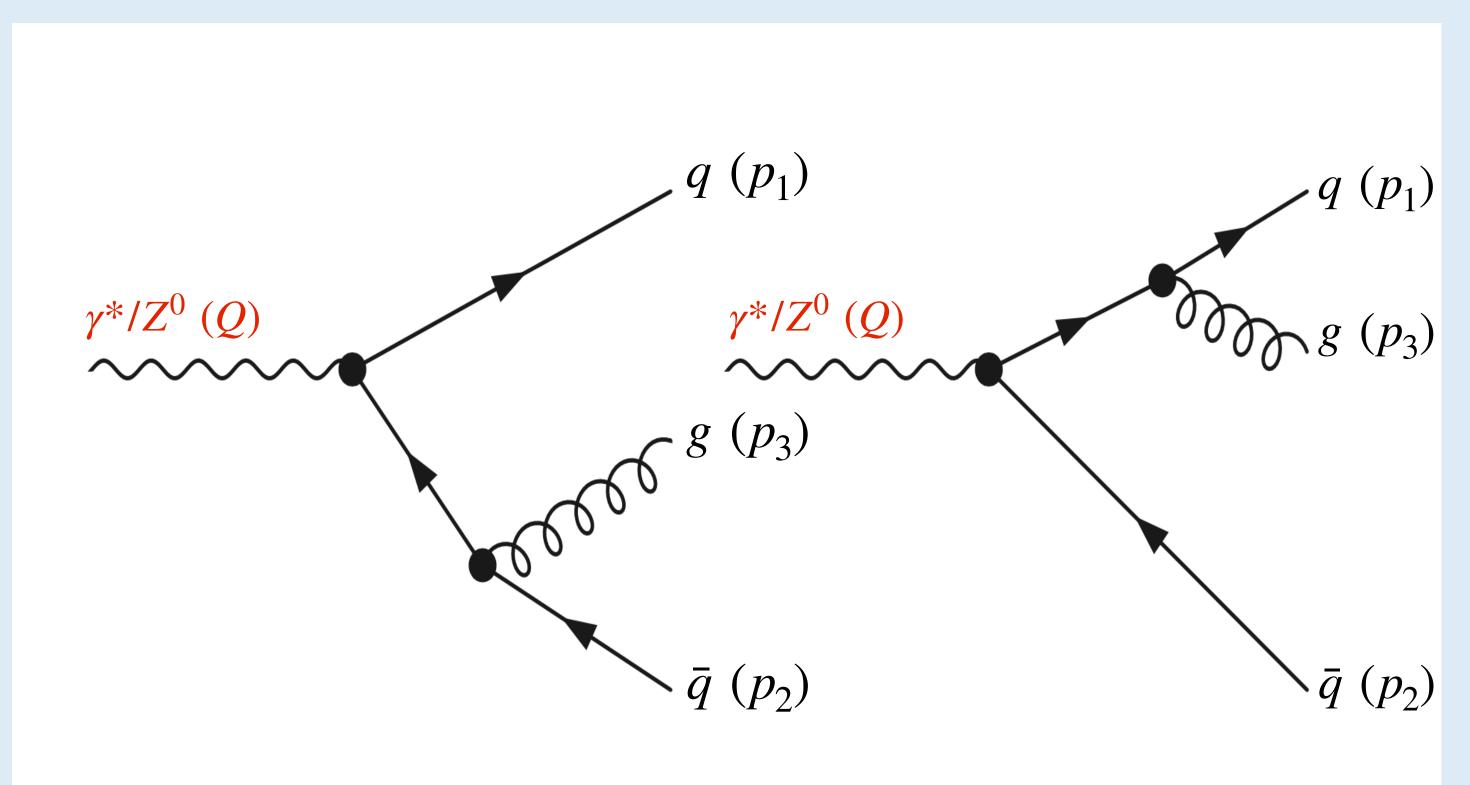
- · G. Altarelli, G. Parisi; Nuclear Physics B, 126 (2): 298-318 (1977).
- · Yu. L. Dokshitzer; Sov. Phys. JETP 46:461 (1977).
- · N. Gribov, L. N. Lipatov; Sov. J. Nucl. Phys. 15:438 (1972).
- FSR: parton showers has important effects on the kinematics (event-shapes).

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Assume we have the production of two jets with $p_{\perp 1}=p_{\perp 2}=150~{
m GeV}$. Parton showers may produce a third jet plus recoiling the existing two; $p_{\perp 1} = 180 \text{ GeV}$, $p_{\perp 2} = 140 \text{ GeV}$ and $p_{\perp 3} = 40 \text{ GeV}$

Parton showers: formalism

We consider the following process: $e^+e^- \rightarrow q\bar{q}g$



Define

$$x_{i} = \frac{2Q \cdot p_{i}}{Q^{2}} = \frac{2E_{i}}{\sqrt{s}} = 1 - \frac{m_{jk}^{2}}{s}$$

$$\implies x_{1} + x_{2} + x_{3} = 2 \quad (0 \le x_{i} \le 1)$$

Notable limits

$$x_1, x_2 \rightarrow 1 \Leftrightarrow m_{qg}^2, m_{\bar{q}g}^2 \rightarrow 0$$

 \Longrightarrow Propagator for $\bar{q} \to \bar{q}g$ and $q \to qg$ goes on shell

Parton showers: formalism

After some algebra

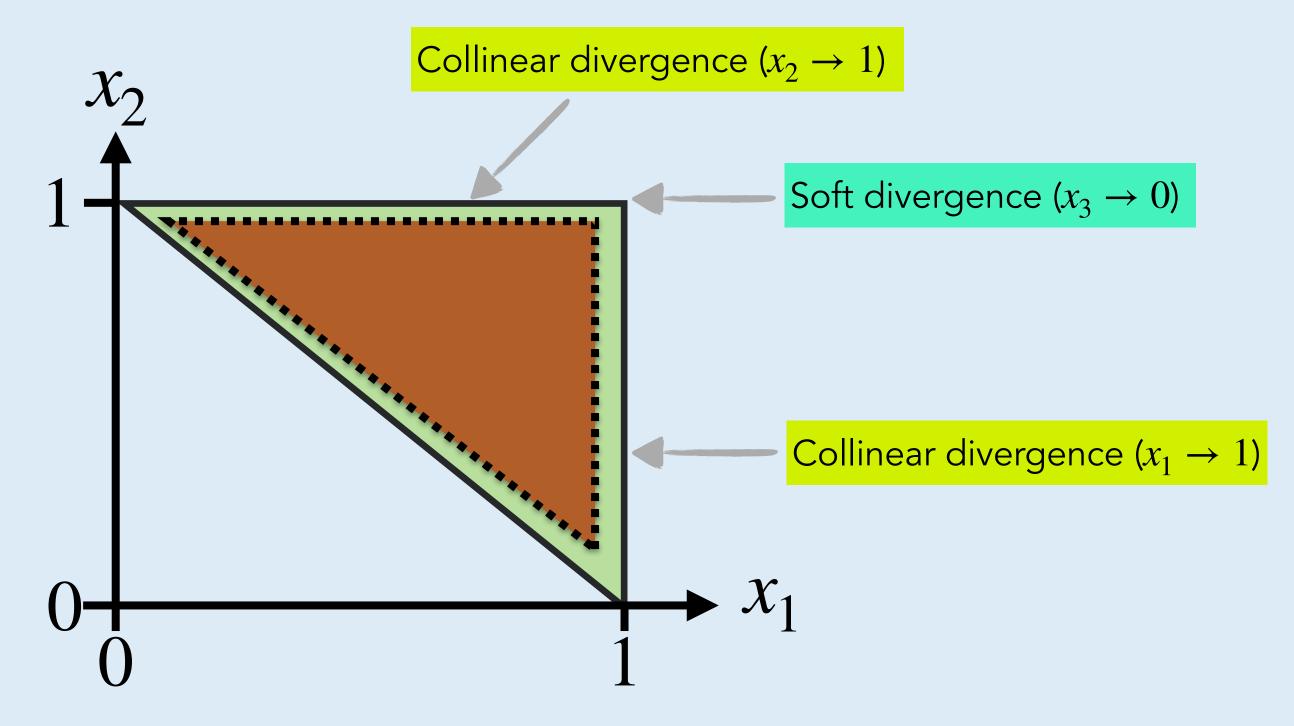
$$\frac{\mathrm{d}^2 \sigma}{\sigma_0} = \frac{\alpha_s}{2\pi} C_F \frac{x_1^2 + x_2^2}{(1 - x_1)(1 - x_2)} \mathrm{d}x_1 \mathrm{d}x_2 \approx \frac{\alpha_s}{2\pi} C_F \frac{2 \, \mathrm{d}x_1 \mathrm{d}x_2}{(1 - x_1)(1 - x_2)}$$

For example, we can choose

$$\max\{x_1, x_2, x_3\} < 1 - \min\{y_{23}, y_{13}, y_{12}\}$$

$$\int_{\delta} \frac{\mathrm{d}^2 \sigma}{\sigma_0} \approx \frac{\alpha_s}{\pi} C_F \int_{\delta} \frac{\mathrm{d}y_{23} \mathrm{d}y_{13}}{y_{23} y_{13}} \approx C_F \frac{\alpha_s}{\pi} \log^2(\delta)$$

Double-logarithmic enhancement!!



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DGLAP splitting kernels

Take, for example, the collinear limit $(x_1 \rightarrow 1)$

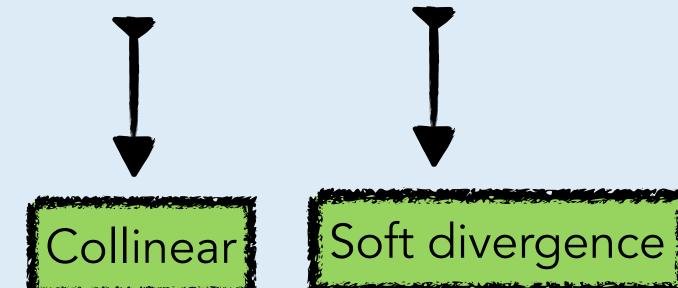
$$1 - x_1 = \frac{m_{23}^2}{Q^2} \implies dx_2 = \frac{dm_{23}^2}{Q^2}$$

Define z as the fraction the anti-quark takes in the branching $q \rightarrow qg$:

$$x_1 \approx z \implies \mathrm{d} x_1 \approx \mathrm{d} z \quad \text{and} \quad x_3 \approx (1-z)$$

$$\implies d\mathscr{P} = \frac{d\sigma}{\sigma_0} = \frac{\alpha_s}{2\pi} C_F \frac{x_2^2 + x_1^2}{(1 - x_2)} dx_1 \approx \frac{\alpha_s}{2\pi} \frac{dm_{23}^2}{m_{23}^2} C_F \frac{1 + z^2}{1 - z} dz$$

Universal and holds in the limit $x_2 \to 1$ as well



DGLAP splitting kernels

$$d\mathcal{P}_{i\to jk} = \frac{\alpha_s}{2\pi} \frac{dQ^2}{Q^2} P_{i\to jk}(z) dz$$

In general, we can obtain the universal branching kernels (DGLAP)

$$P_{q \to qg} = P_{\bar{q} \to \bar{q}g} = C_F \frac{1 + z^2}{1 - z}$$

$$P_{g \to gg} = C_A \frac{(1 - z(1 - z))^2}{z(1 - z)}$$

$$P_{g \to q\bar{q}} = n_f T_F (z^2 + (1 - z)^2)$$

These are the limits of any-matrix element in the collinear region (holds for any process).

The ordering variable

Now, we can generalize to multiple emissions.

⇒ probabilities are large for one & should large for multiple (resummations)

 \iff need to impose cuts on the soft/collinear to get rid of non-perturbative QCD

The choice of the ordering variable is not unique
$$\implies \text{If } Q^2 \equiv m_{jk}^2 \text{ is a variable then } P^2 = f(z)Q^2 \text{ can also be a variable} \qquad \left| \frac{\mathrm{d}(P^2,z)}{\mathrm{d}(Q^2,z)} \right| = \left| \frac{\partial P^2}{\partial Q^2} \frac{\partial P^2}{\partial z} \right| = \left| f(z) \frac{\mathrm{d}f(z)}{\mathrm{d}z} \right| = f(z)$$

$$\implies \mathrm{d}\mathscr{P}_{i\to jk} = \frac{\alpha_s}{2\pi} \frac{f(z) \; \mathrm{d}Q^2}{f(z) \; Q^2} P_{i\to jk}(z) \mathrm{d}z = \mathrm{d}\mathscr{P}_{i\to jk} = \frac{\alpha_s}{2\pi} \frac{\mathrm{d}P^2}{P^2} P_{i\to jk}(z) \mathrm{d}z$$
Examples

- $P^2 = E^2 \theta^2 \approx \frac{Q^2}{z(1-z)}$ (angular-ordered shower); used by HERWIG.
- $P^2 = p_1^2 \approx Q^2 z (1-z)$ (transverse-momentum shower); used by PYTHIA8.
- $P^2 = Q^2$ (virtuality ordered shower); used by PYTHIA6.

Sudakov factors

Using conservation of total probability (unitarity)

$$\mathscr{P}(\text{no emission}) = 1 - \mathscr{P}(\text{emission}); \quad \mathscr{P}_{0 < t \le T} = \mathscr{P}_{0 < t \le T_1} \times \mathscr{P}_{T_1 < t \le T}$$

Split the interval [0, T] into infinitesimally small and equal intervals

$$\mathcal{P}_{\text{no emission}}(0 < t \le T) = \lim_{N \to \infty} \prod_{i=0}^{N-1} \mathcal{P}_{\text{no emission}}(T_i < t \le T_{i+1})$$

$$= \lim_{N \to \infty} \prod_{i=0}^{N-1} (1 - \mathcal{P}_{\text{emission}}(T_i < t \le T_{i+1}))$$

$$= \exp\left(-\lim_{N \to \infty} \sum_{i=0}^{N-1} \mathcal{P}_{\text{emission}}(T_i < t \le T_{i+1})\right)$$

$$= \exp\left(-\int_0^T \frac{d\mathcal{P}_{\text{emission}}(t)}{dt} dt\right)$$

Sudakov factors

We find the probability for the first emission

$$d\mathcal{P}_{first}(T) = d\mathcal{P}_{emission}(T) \times \exp\left(-\int_{0}^{T} \frac{d\mathcal{P}_{emission}(t)}{dt}dt\right)$$

$$\Longrightarrow_{Q \simeq 1/t} d\mathcal{P}_{i \to jk} = \frac{\alpha_s}{2\pi} \frac{dQ^2}{Q^2} P_{i \to jk}(z) dz \times \exp\left(-\sum_{j,k} \int_{Q_1^2}^{Q_2^2} \frac{dQ^2}{Q^2} \int_{z_{\min}}^{z_{\max}} \frac{\alpha_s}{2\pi} P_{i \to jk}(z') dz'\right)$$

Sudakov form factors; $\Delta(Q_1^2, Q_2^2)$

Note that the total probability is one; i.e.

$$\sum_{j,k} \int_{Q^2} \int_{z} d\mathcal{P}_{i \to jk}(z, Q^2) = 1$$

Connection to matrix elements

Take our favorite process as an example: $e^+e^- \rightarrow q\bar{q}g$

⇔ use thrust cuts on the phase space (see slide 11)

$$rac{\sigma_{ ext{real}}}{\sigma_0} pprox C_F rac{lpha_s}{\pi} \log^2 \delta$$
 and $rac{\sigma_{ ext{virtual}}}{\sigma_0} pprox rac{lpha_s}{\pi} - C_F rac{lpha_s}{\pi} \log^2 \delta$

$$\implies \sigma_{\text{NLO}} = \sigma_0 + \sigma_{\text{real}}(\delta) + \sigma_{\text{virtual}}(\delta) = \left(1 + \frac{\alpha_s}{\pi}\right)\sigma_0 \qquad \sigma_0 \equiv \sigma(e^+e^- \to q\bar{q})$$

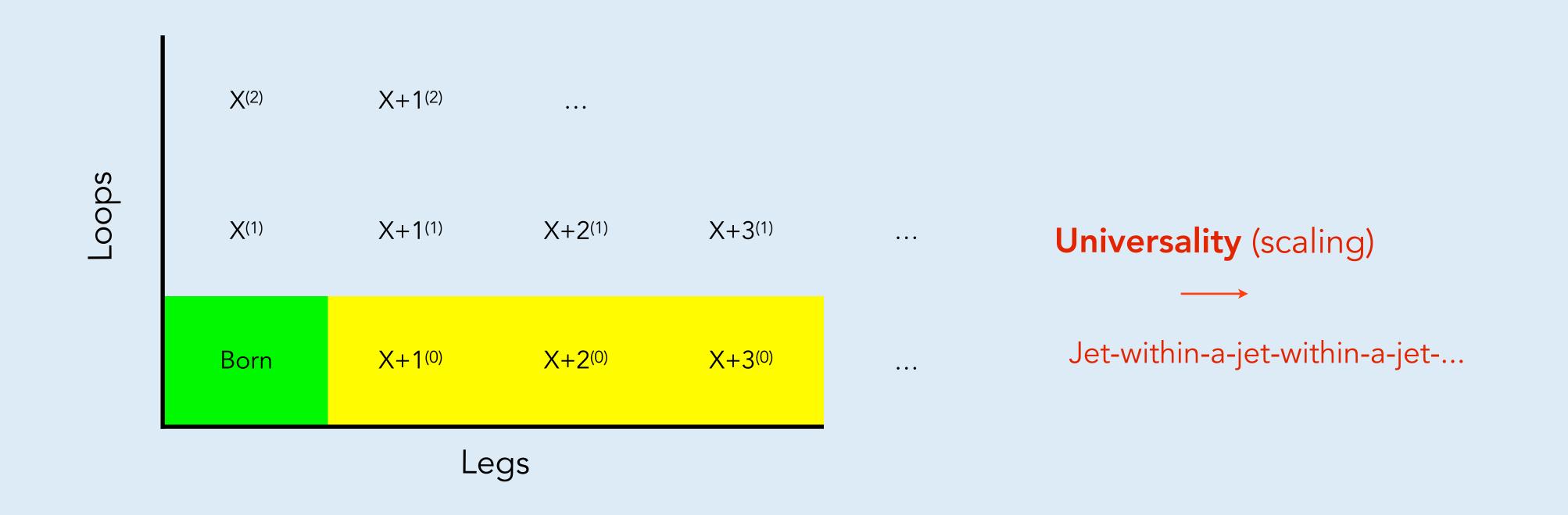
Neglect the small correction (α_s/π) $\implies \sigma_{\rm virtual}(\delta) = -\sigma_{\rm real}(\delta)$

$$\frac{\mathrm{d}\mathscr{P}}{\mathrm{d}y} = \frac{1}{\sigma_0} \frac{\mathrm{d}\sigma_{\text{real}}}{\mathrm{d}y} \exp\left(-\int_y^1 \frac{1}{\sigma_0} \frac{\mathrm{d}\sigma_{\text{real}}}{\mathrm{d}y'} \mathrm{d}y'\right) = \frac{1}{\sigma_0} \frac{\mathrm{d}\sigma_{\text{real}}}{\mathrm{d}y} \left(1 + \frac{\sigma_{\text{virtual}}(y)}{\sigma_0} + \left(\frac{\sigma_{\text{virtual}}(y)}{\sigma_0}\right)^2 + \cdots\right)$$

Parton showers: diagrammatic

Starting from an arbitrary Born ME, we can now:

Obtain tree-level ME with any number of legs (in soft/collinear approximation)

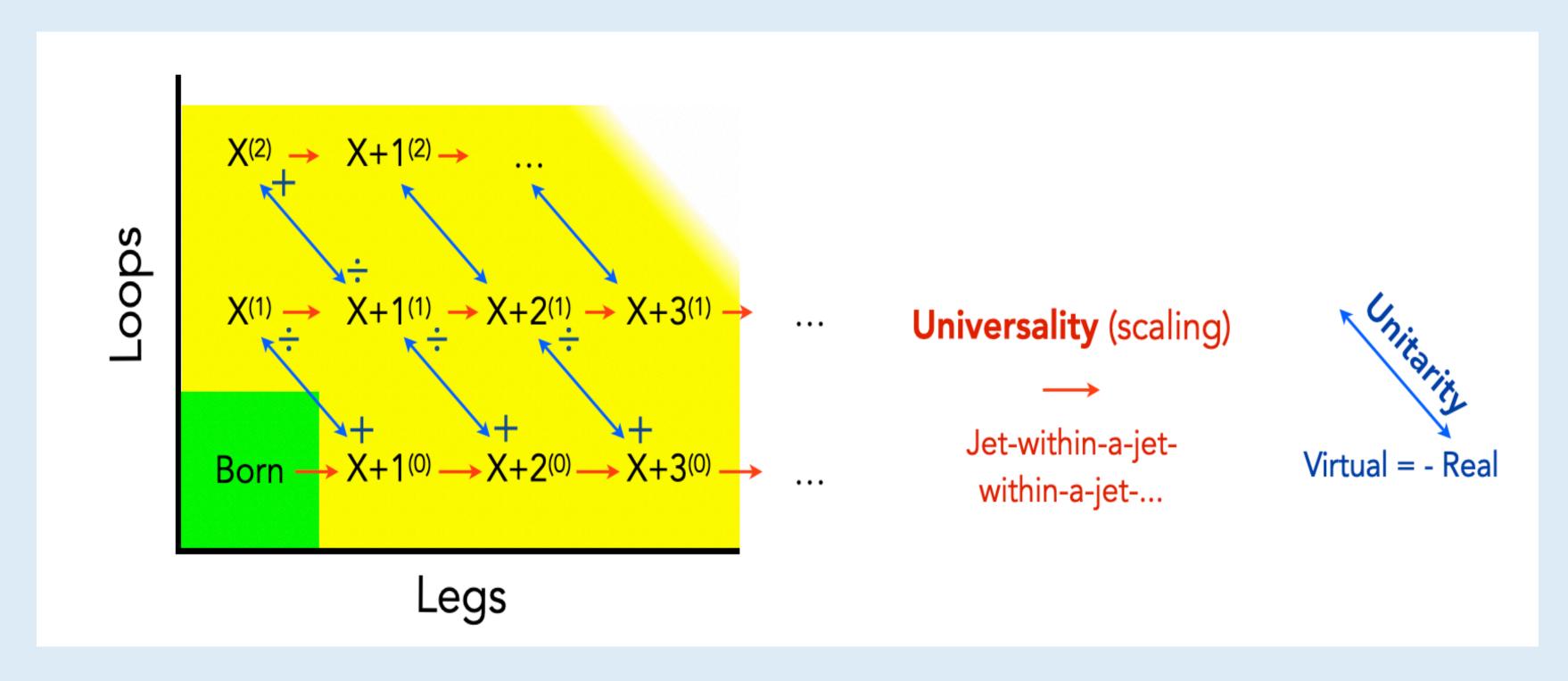


Doesn't look very "all-orders" though, does it? What about the loops?

Parton showers: An all-order QCD

Showers impose Detailed Balance (a.k.a. Probability Conservation ↔ Unitarity)

When X branches to X+1: Gain one X+1, Lose one $X \rightarrow Virtual Corrections$



→ Showers do "Bootstrapped Perturbation Theory" Imposed via differential event evolution

Evolution "Fine-Graining the Description of the Event

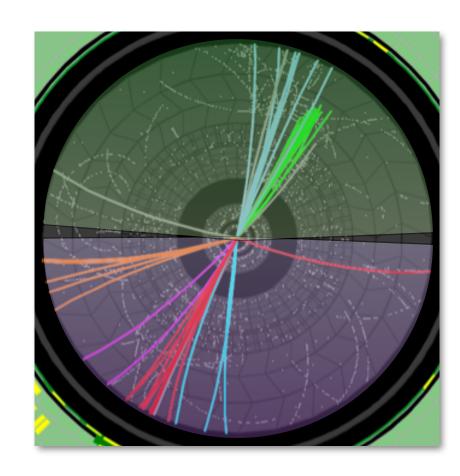
Resolution Scale

 $Q \sim Q_{\mathrm{HARD}}$

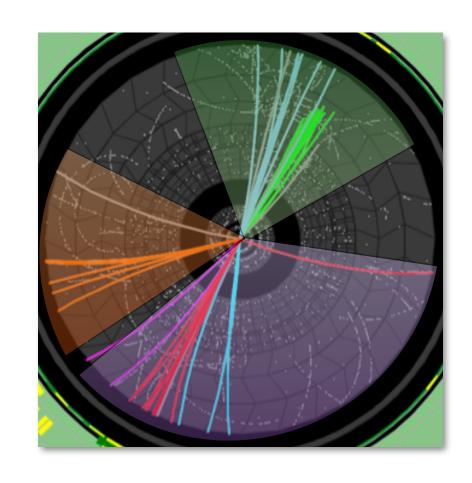


 $Q \ll Q_{\rm HARD}$

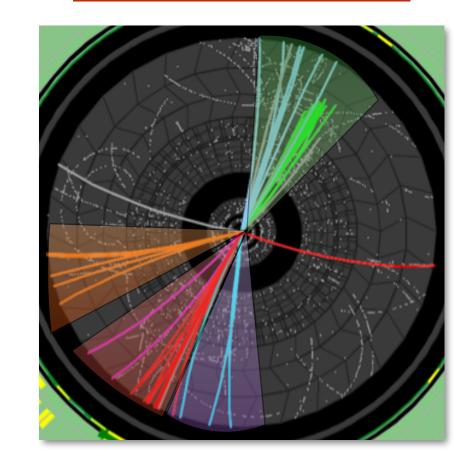
Scale Hierarchy!



At most inclusive level "Everything is 2 jets"



At (slightly) finer resolutions, some events have 3, or 4 jets



At high resolution, most events have >2 jets

Cross sections

QCD and event generators

Fixed order: **O**inclusive

Fixed order: $\sigma_{X+n} \sim \alpha_s^n \sigma_X$

Fixed order diverges: $\sigma_{X+n} \sim \alpha_s^n \ln^{2n}(Q/Q_{HARD})\sigma_X$

Unitarity → number of splittings diverges

while cross section remains $\sigma_{inclusive}$



Parton showers: some ambiguities

Final-state particles generated by any shower algorithm depends on many factors:

- 1. The choice of perturbative evolution variable(s) $t^{[i]}$. \leftarrow Ordering & Evolution-scale choices
- 2. The choice of phase-space mapping $d\Phi_{n+1}^{[i]}/d\Phi_n$. Recoils, kinematics
- 3. The choice of radiation functions a_i , as a function of the phase-space variables.
- 4. The choice of renormalization scale function μ_R .
- Phase-space limits / suppressions for hard 5. Choices of starting and ending scales. radiation and choice of hadronization scale

 \rightarrow gives us additional handles for **uncertainty estimates**, beyond just μ_R (+ ambiguities can be reduced by including more pQCD → merging!)

Peter Skands (HCPSS 2020)

QCD and event generators

Non-singular terms,

Coherence, Subleading Colour

Combining showers and fixed-order QCD

Fixed Order QCD

Provide solutions for a single process (fully automated at LO):

Most of the SM processes can be computed up to NLO; some can be computed at NNLO or even NNNLO.

Beyond the SM processes only known at LO (or NLO for some).

Accurate for hard process, to a given perturbative order. Good accuracy in the full phase space regions.

Limited generality:

Problem of multi scales (see slide 4) which needs resummations (analytical or semi-classical a.k.a. parton-showers)

→ loss of accuracy.

All-orders QCD

Universal solutions to all the processes (SM or BSM).

Accurate in strongly ordered (soft/collinear) limits (=regions with enhanced probabilities)

Maximum generality:

Problem of process-dependence = sub-leading corrections, large for hard resolved jets.



Jet Merging

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Jet Merging: General Idea

Idea: combine fixed-order QCD with parton showers to get the best of the two!

Naive prescription:

```
Run generator for X + shower
Run generator for X+1 + shower
...
Run generator for X+m + shower
```

Add all these generated samples together!

Problem:

If you do that, you get a "double counting" of terms present in both expansions:

e.g. the X + shower sample covers some of the phase-space in the (X+1) + shower sample.

Solution:

Develop algorithms to remove the "double counting":

- Based on Matrix-Element Corrections (MECs): Pythia8 and Powheg.
- Based on Phase-space slicing: MLM and CKKW-L.



Jet Merging: MECs

Idea: Modify parton shower to use radiation functions \propto full matrix element for 1st emission:

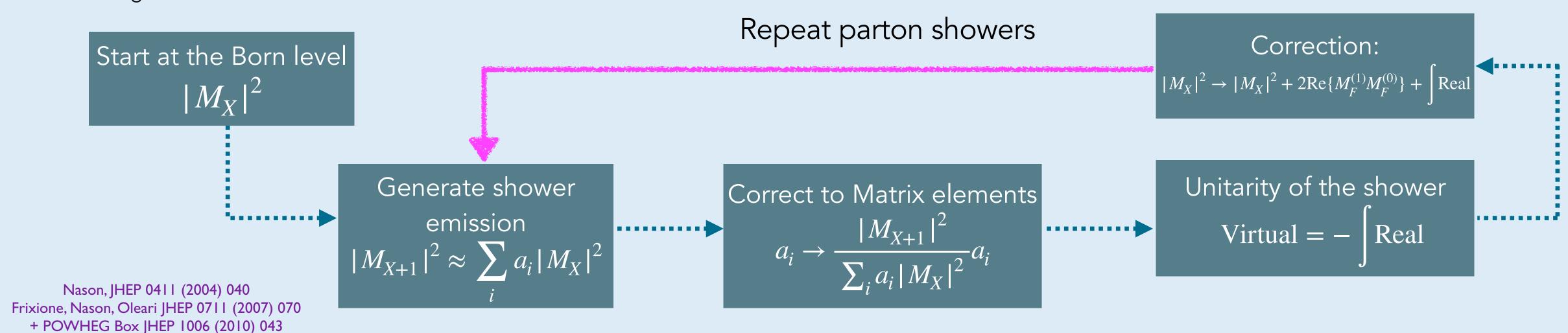
$$\frac{P(z)}{Q^2} \to \frac{P'(z)}{Q^2} = \frac{P(z)}{Q^2} \frac{|M_{n+1}|^2}{\sum_i P_i(z)/Q^2 |M_n|^2}$$

Implemented in PYTHIA8 for: all the SM processes and many BSM processes

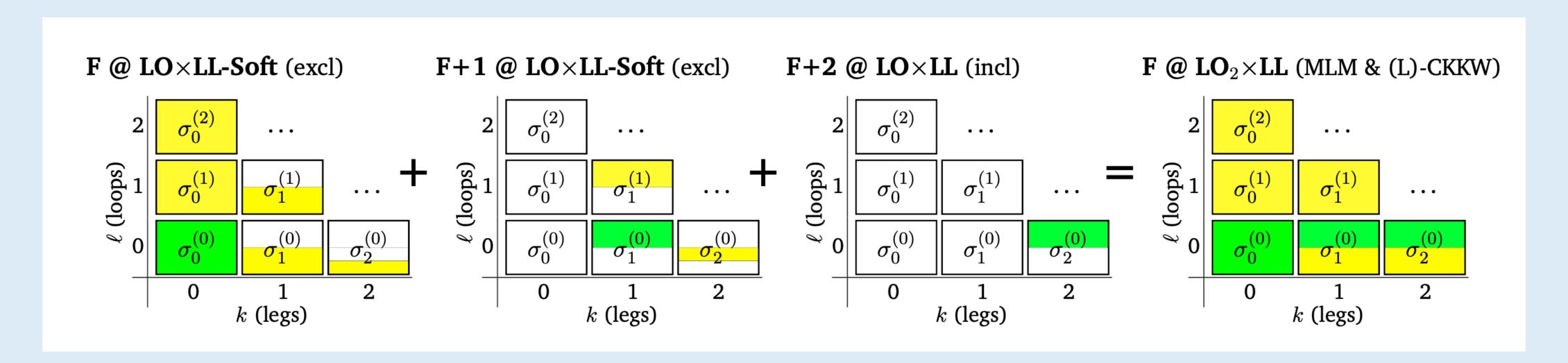
Difficult to generalise beyond one emission



Positive Weight Hardest Emission Generator



Jet Merging: Slicing Algorithms



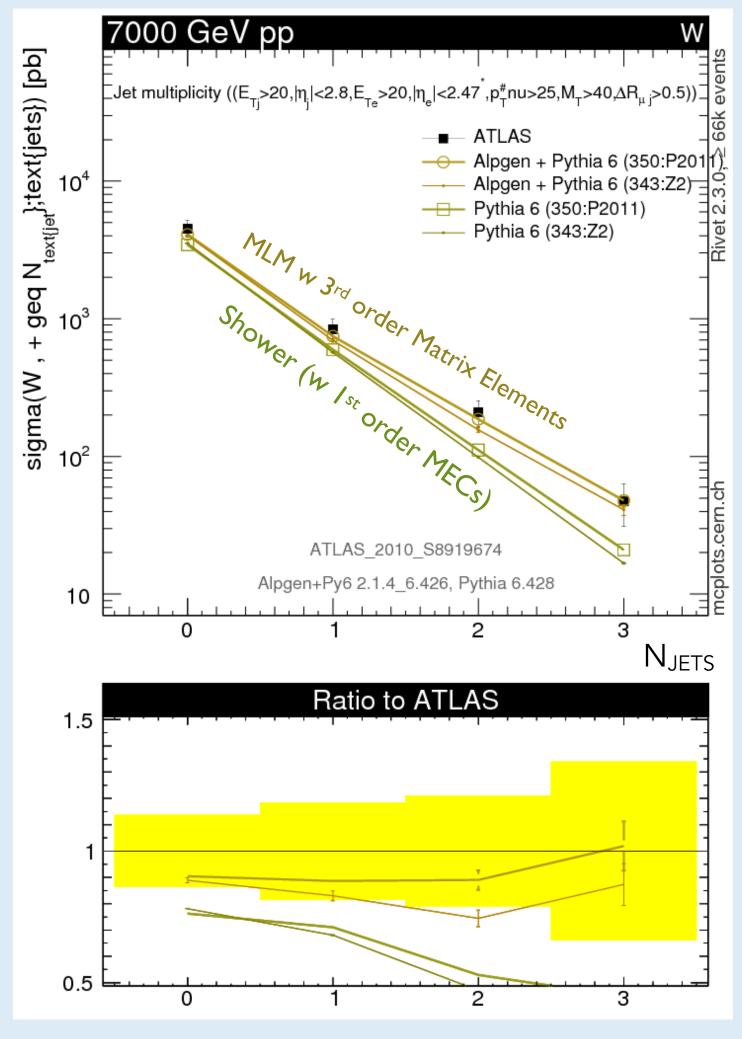
Shower approximation is set to zero above some scale:

- Due to "dead-cone" regions (as it occurs in Herwig).
- Veto some emissions above some matching scale.

Multi-jet merging algorithms such as CKKW-L and MLM tend to fill this empty region by

- Generating multi-jet samples corresponding to high-multiplicity tree-level matrix elements.
- The multi-jet samples must be associated with Sudakov form factors (to ensure smooth transitions).

Example: LHC₇: W + 20-GeV Jets



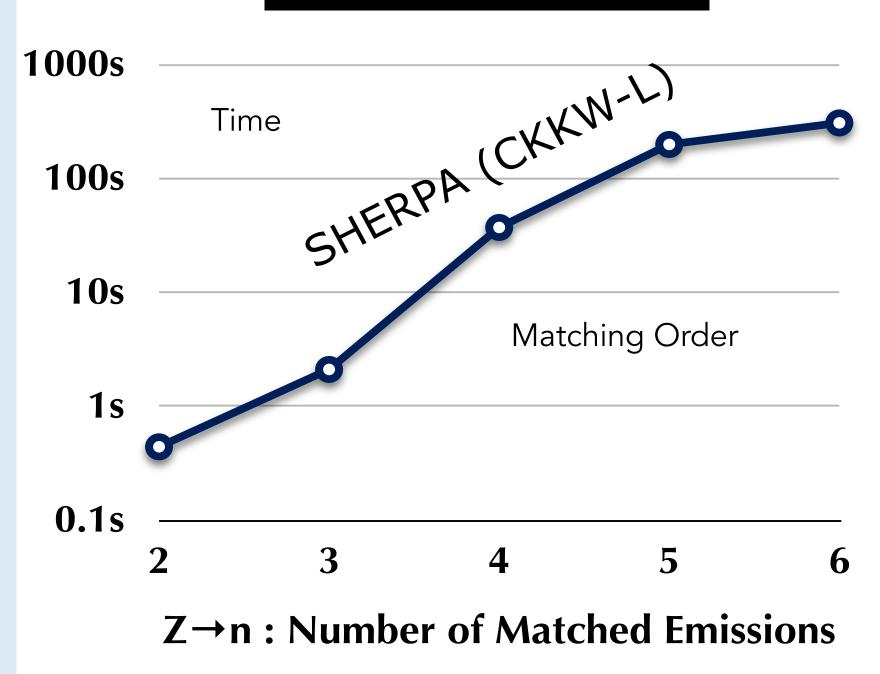
Plot from mcplots.cern.ch; see arXiv:1306.3436

QCD and event generators

Example: $e^+e^- \rightarrow Z \rightarrow Jets$

2. Time to generate 1000 events (Z → partons, fully showered & matched. No hadronization.)

1000 SHOWERS



See e.g. Lopez-Villarejo & Skands, arXiv:1109.3608

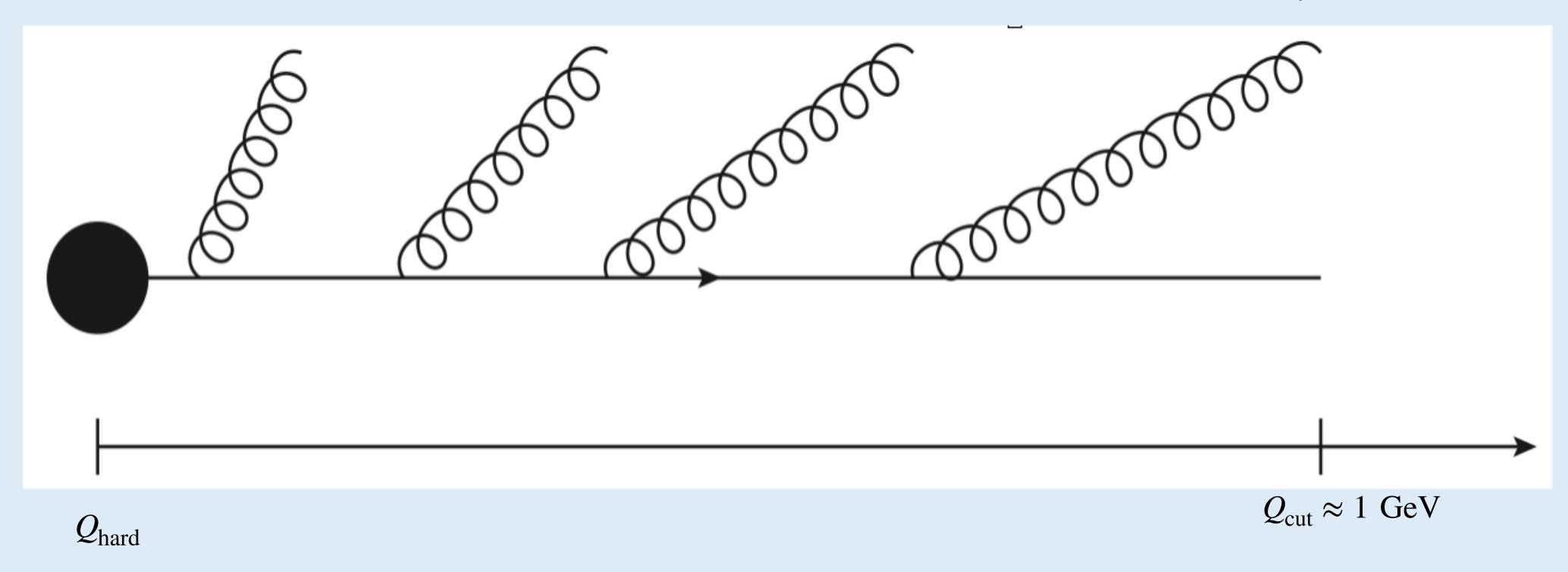
From Partons to Hadrons

Parton starts at a high factorization scale

$$Q = Q_F = Q_{\text{hard}}$$

It showers (bremsstrahlung) It ends up at a low effective factorization scale

$$Q \approx m_{\rho} \approx 1 \text{ GeV}$$

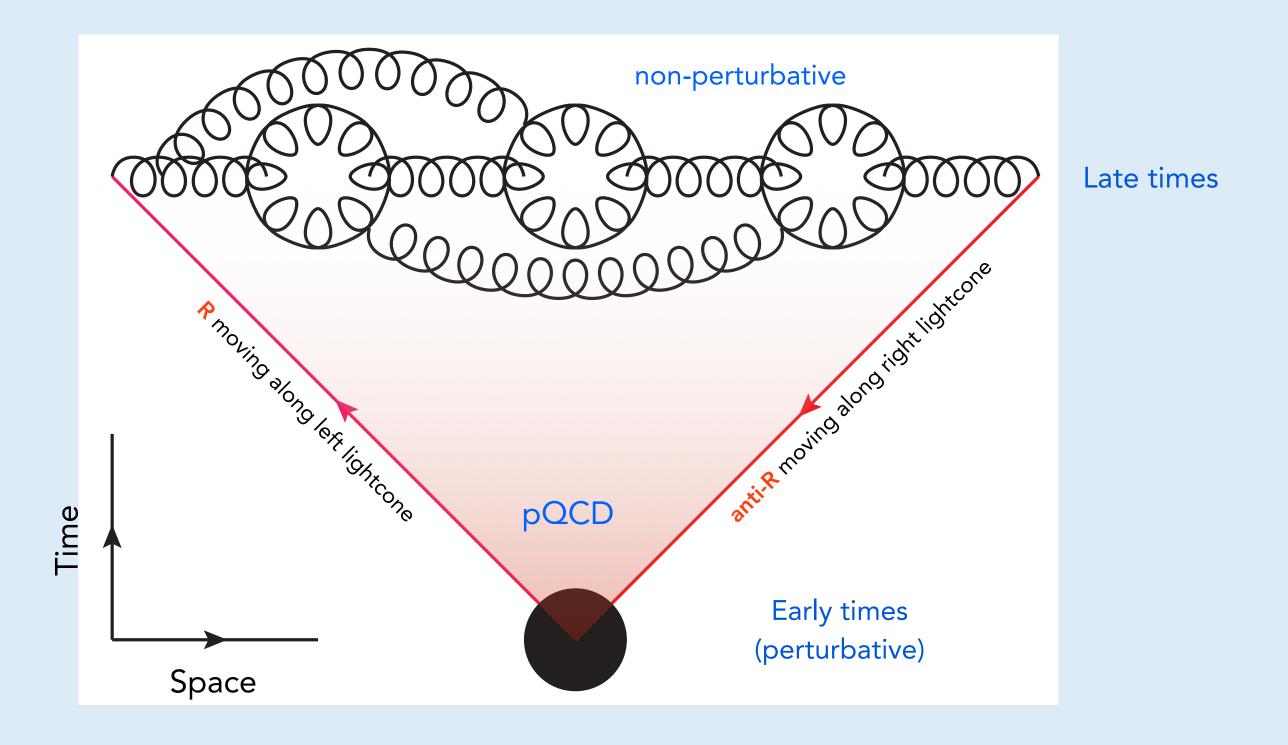


Colour Neutralisation

A physical hadronization model

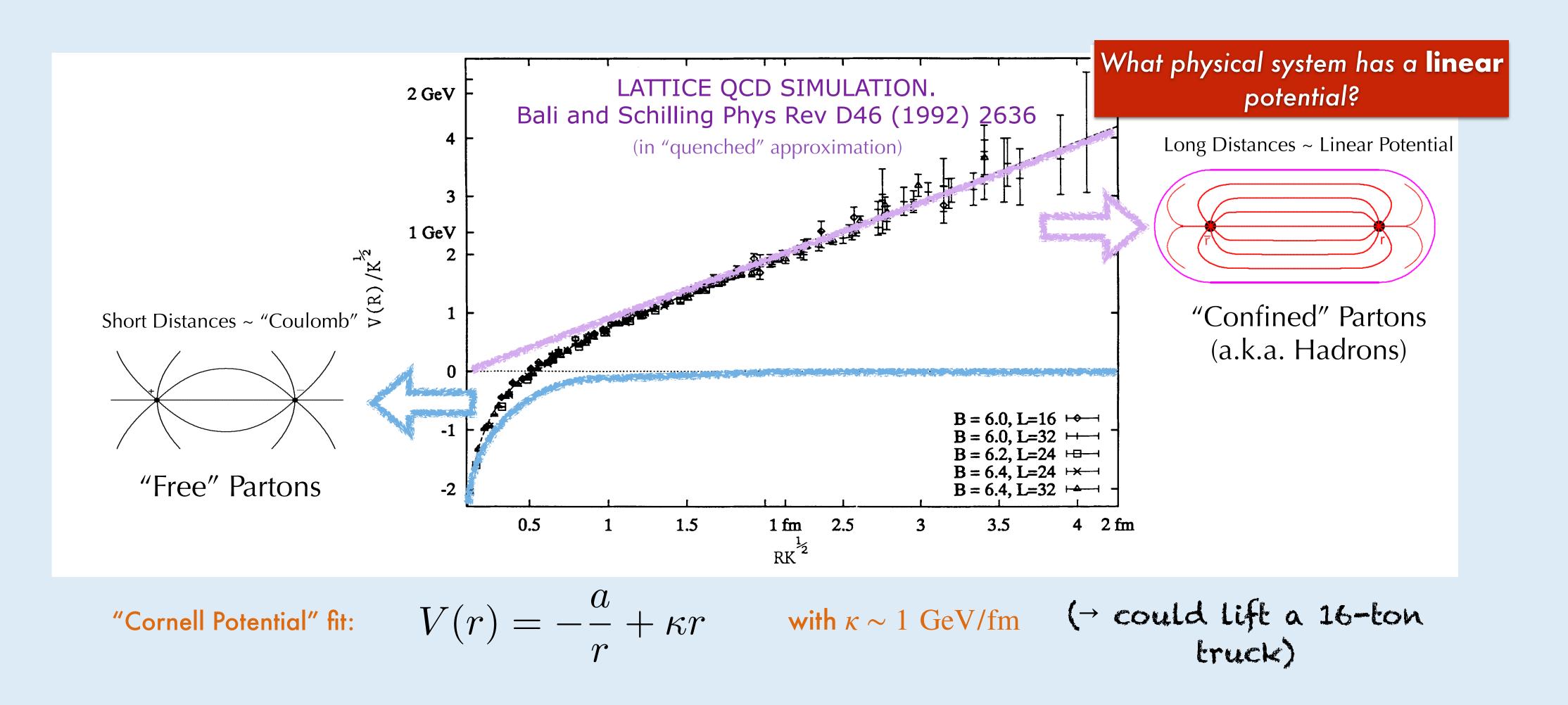
Should involve at least two partons, with opposite color charges

A strong **confining field** emerges between the two when their separation ≥ 1fm



Linear confinement

Explicit computer simulations of QCD on a 4D "lattice" (lattice QCD) can provide the potential of the colour-singlet $q\bar{q}$ system



Hadronisation: Lund string model

The Lund string model is based on the following symmetric function

Causality and Lorentz invariance $\implies f(z, m_{\perp h}) \equiv N \frac{(1-z)^a}{z} \exp\left(-\frac{-bm_{\perp h}^2}{z}\right)$

This function gives

the probability to produce a hadron with energy fraction z and transverse mass $m_{\perp h}$.

This function depends on:

a and b are tunable parameters with the former controls the number of high energy hadrons while the latter controls the number of low energy hadrons. (Plus about few tens of others which control flavors...etc).

Properties of the Lund symmetric function

- \circ If f(z) is peaked around 1, then the QCD jet consists of few hadrons each carrying a high fraction of the parent energy.
- \circ If f(z) is peaked around 0, then the QCD jet consists of many hadrons each carrying a very low fraction of the parent energy.

Iterative string break-ups

