

# Searches for new phenomena in final states with 3rd generation quarks using the ATLAS detector

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# Introduction

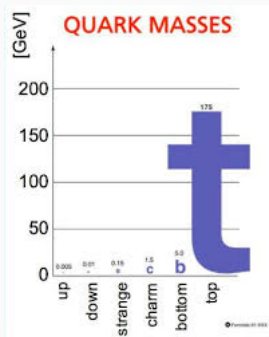
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# Motivation

- Deviations from the SM in lepton flavor universality seen in  $B$  physics.
- Third generation quarks a good place to look for new physics. Higher mass  $\rightarrow$  Stronger coupling with the Higgs boson.
- Decays of top and bottom quarks unique in the SM  
Bottom quarks decay with a displaced vertex while top quarks decay before hadronisation.

A few of the issues SM cannot explain

- Neutrino masses
- Smallness of the Higgs mass
- Dark Matter



This talk is split into two main parts:

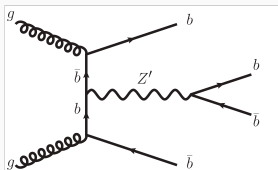
- **Lepton flavor universality**
  - Search for heavy particles with b-tagged dijet mass distribution and additional b-jets
  - Search for third generation leptoquarks decaying to a top quark and a  $\tau$  lepton
- **Higgs fine-tuning**
  - Pair-production of vector-like top/bottom quarks
  - Single-production of vector-like top/bottom quark
- **Bonus:** Search for  $t\bar{t}$  resonances (predicted by both classes of models)

This list is not exhaustive. A complete list of analyses with the full Run-2 data collected by ATLAS can be found [here](#).

## Lepton flavor universality

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# Search for heavy particles with $b$ -tagged dijet mass distribution and additional $b$ -jets [arxiv:2108.09059](https://arxiv.org/abs/2108.09059)



- Search for resonant peaks in  $b$ -tagged dijet invariant mass spectrum
- Models explaining LFU anomalies also predict  $Z'$  and  $W'$  coupling to third generation quarks
- First search in this final state probing masses upto 3.6 TeV
- Main source of background: multijet
- Dedicated trijet trigger used
- Innovative data-driven method to estimate background based on orthonormal functions

# Background estimation

## Fit to invariant mass spectrum of the two leading $b$ -tagged jets

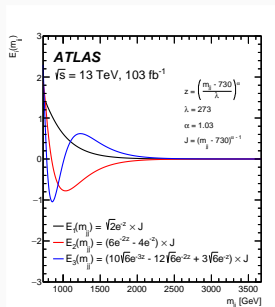
- Shape impacted by asymmetric thresholds of trijet triggers
- Functional decomposition used

[arxiv:1805.04536](https://arxiv.org/abs/1805.04536)

- Power law transformation on  $m_{jj}$

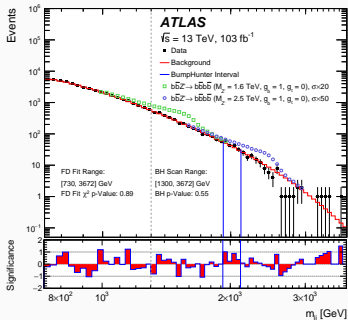
$$z = \left( \frac{m_{jj} - m_{jj}^0}{\lambda} \right)^\alpha$$

- Spectrum modelled by  $\Omega(z) = \sum_{n=1}^N c_n E_n(z)$
- Truncated series given by the optimized  $(\lambda, \alpha, N)$  and  $c_n$  determines the background estimate



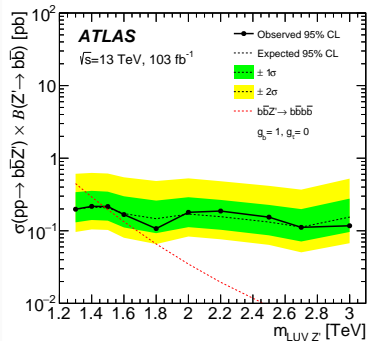
- Pseudodata obtained by scaling events passing pre-selection with the expected event-level  $b$ -tagging selection efficiency of multijet events in data used to make sure background estimate is adequate, and data is not over-fitted

# Results



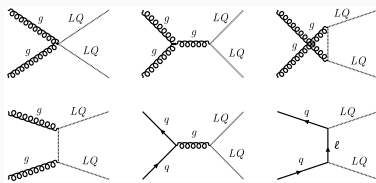
Most significant excess in  
 [1921, 2114] GeV with  
 a p-value of 0.55

$Z'$  bosons between 1.3 and 1.45  
 TeV excluded at 95% CL



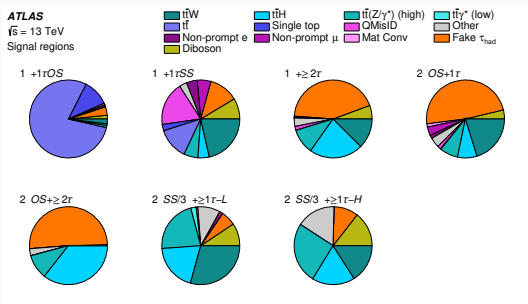


# Search for third generation leptoquarks decaying to a top quark and a $\tau$ lepton [arxiv:2101.11582](https://arxiv.org/abs/2101.11582)



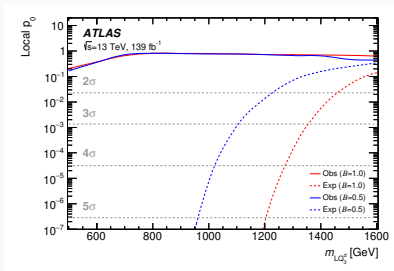
- Similarities between quark and lepton sectors suggest a **possible underlying symmetry connecting the two sectors**.
- Thus some extensions of SM predict leptoquarks (LQ) with non-zero Baryon and Lepton numbers.
- LQ can have spin-0 or spin-1. Spin-0 LQs couple to quarks and leptons via Yukawa interactions, and **can also mediate processes that violate LFU**.
- **Pair-production of LQ** via  $ggF$  and  $q - \bar{q}$  annihilation considered.
- Corresponding cross-sections are proportional to the mass of the LQ.
- A **narrow width** of roughly 0.2% assumed.
- The search considers the process  $LQ_3^d L\bar{Q}_3^d \rightarrow t\tau t\tau$

# Backgrounds

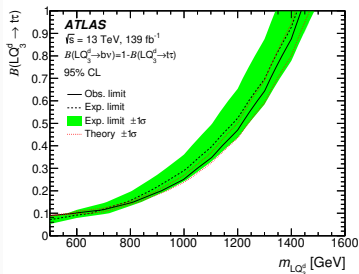
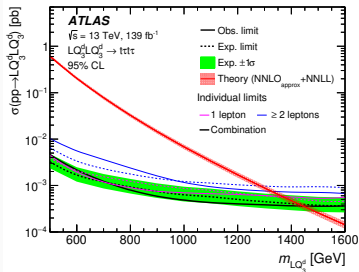


- At least one light lepton, one hadronically decaying  $\tau$ ,  $\geq 2$  jets,  $\geq 1$   $b$ -jet.
- Several final states based on multiplicity and flavor of lepton candidate.
- Main sources of background:  $t\bar{t}$  with or without fake lepton or  $\tau$ .
- Masses scanned: 500-1600 GeV
- Simulations with corrections derived from data used for both, reducible and irreducible backgrounds.
- $m_{eff}$  used as the discriminating variable in the signal regions.

# Results



- Sensitivity dominated by  $1\ell + \geq 1\tau$ , with improvements from  $2\ell OS + \geq 1\tau$  and  $2\ell SS/3\ell + \geq 1\tau$
- Expected sensitivity for  $\mathcal{B} = 1$  is  $> 5\sigma$  for  $m < 1.21 \text{ TeV}$  and  $> 3\sigma$  for  $m < 1.36 \text{ TeV}$ .
- Assuming  $\mathcal{B} = 1$ ,  $m_{LQ_3^d} < 1.43 \text{ TeV}$  excluded at 95% CL.
- Sizeable sensitivity for  $\mathcal{B} = 0.5$  as well.

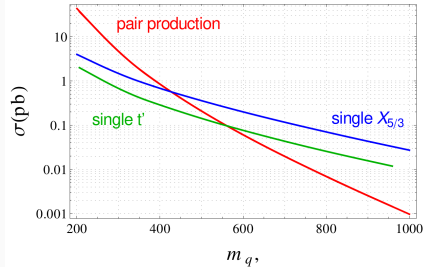


## Higgs fine-tuning

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# Composite-Higgs models and vector-like quarks

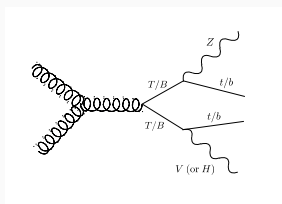
- The Higgs boson is a composite pseudo-Nambu-Goldstone boson (pNGB) from spontaneous breaking of a global symmetry in a new strongly coupled sector  
→ This protects the Higgs mass.
- Models with partial compositeness predict **new vector-like fermions**.
- Simplest extensions with VLQ ( $T$  and  $B$ )
- VLQs assumed to decay via **charged and neutral currents** to 3rd generation quarks.



- **QCD pair-production:** Mass-independent, dominant at low mass
- **Single-production:** Scales with coupling, model dependent, significant at high mass.

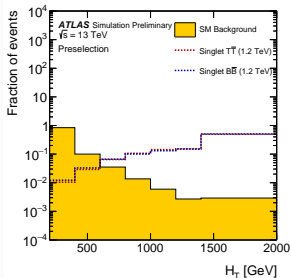
# Pair-production of vector-like quarks with at least one leptonically decaying $Z$ boson and a 3<sup>rd</sup> generation quark

ATLAS-CONF-2021-024



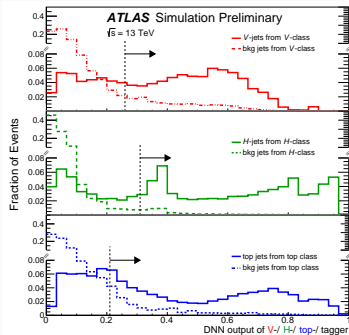
- Optimized for decays to a leptonically-decaying  $Z$  boson and a third generation SM quark.
- Events characterized by high- $p_T$   $Z$  boson,  $b$ -tagged jets, high- $p_T$  large- $R$  jets, exactly  $2\ell$  or  $\geq 3\ell$ , boosted  $W, Z, H$ , and  $t$ .
- Categorization done using a **neural-network based boosted object tagger**.

# Multi-Class Boosted Object Tagger (MCBOT)



- Based on multi-class DNN trained using RC jets from  $Z' \rightarrow t\bar{t}$  and  $W' \rightarrow WZ$  simulations, with multijet as background.
- Three signal labels ( $V$ ,  $H$ ,  $\text{top}$ ) are obtained by matching the RC jet to the corresponding boson or top quark at generator-level within  $\Delta R < 0.75$ .

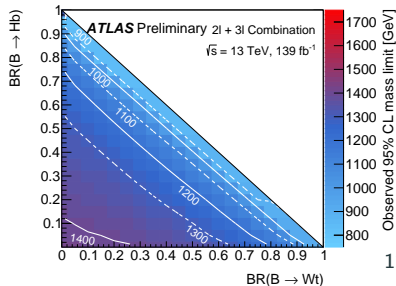
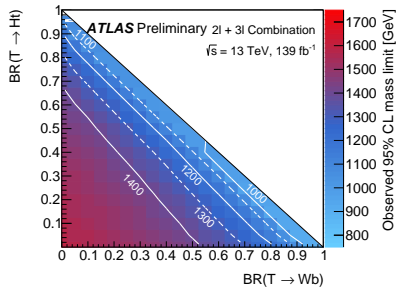
- Analysis exploits the high multiplicities of jets, large- $R$  jets, and  $b$ -jets in addition to requirements on  $p_T^Z$  and  $H_T$  to suppress backgrounds.
- Large- $R$  jets reclustered from calibrated  $R=0.4$  jets used as input to MCBOT to identify hadronically decaying  $V$ ,  $H$ , and top quark.



# Results

Model	Observed (Expected) Mass Limits [TeV]		
	$2\ell$	$3\ell$	Combination
$T\bar{T}$ Singlet	1.14 (1.16)	1.22 (1.21)	1.27 (1.29)
$T\bar{T}$ Doublet	1.34 (1.32)	1.38 (1.37)	1.46 (1.44)
100% $T \rightarrow Zt$	1.43 (1.43)	1.54 (1.50)	1.60 (1.57)
$B\bar{B}$ Singlet	1.14 (1.21)	1.11 (1.10)	1.20 (1.25)
$B\bar{B}$ Doublet	1.31 (1.37)	1.07 (1.04)	1.32 (1.38)
100% $B \rightarrow Zb$	1.40 (1.47)	1.16 (1.18)	1.42 (1.49)

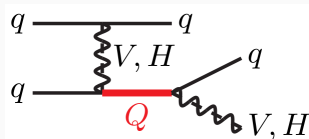
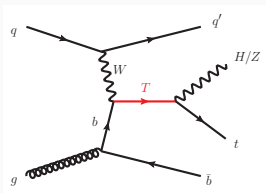
- No significant excesses
- Combined results **exclude T masses upto 1.27 and 1.46 TeV** for singlet and doublet configurations
- Combined results **exclude B masses upto 1.20 and 1.32 TeV** for singlet and doublet configurations
- These limits are better than the previous searches by more than 200 GeV.





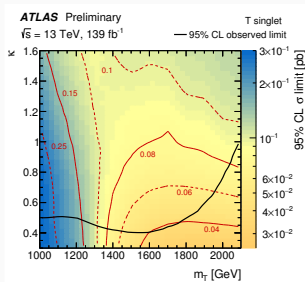
# Search for single production of vector-like $T$ and $B$ quarks

ATLAS-CONF-2021-040 ATLAS-CONF-2021-018

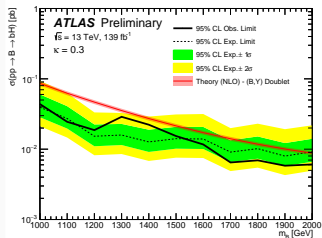


- $T \rightarrow Ht$  or  $T \rightarrow Zt$
  - Leptonic top, and hadronic boosted  $H/Z$
  - Events classified into low (3-5) or high ( $\geq 6$ ) jet multiplicity
  - At least one forward jet
  - $m_{eff}$  used to further discriminate signal and background
- $B \rightarrow Hb$ ,  $H \rightarrow bb$
  - Higgs candidates (HC) reconstructed as single large-R jets
  - VLB candidates formed by combining HC with small-R  $b$ -jet
  - Additional requirements used to exploit jet sub-structure

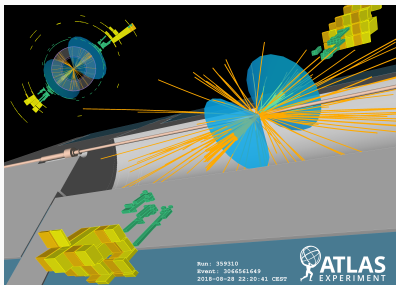
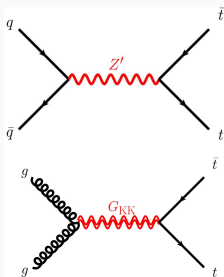
# Results



- No significant excesses
- Limits on **T quark mass** are stronger at higher couplings, and reach 2.07 TeV (expected 2.0 TeV) at  $\kappa = 1.0$
- At 1.6 TeV, all  $\kappa$  values above 0.4 are excluded



- No significant excesses
- Largest discrepancy between data and background prediction observed at  $m_B = 1.3$  TeV, with a local p-value of 0.03
- Resonances with  $\kappa = 0.3$  excluded across the full mass range



- $t\bar{t}$  resonances are predicted by 2HDMs, Randall-Sundrum (RS) models with warped extra dimensions etc.
- This analysis is optimized for  $m_{t\bar{t}} > 1.4$  TeV.
- Highly boosted tops, so difficult to individually identify decay products of the top quark.  
A deep neural network (DNN) developed to solve this problem.
- DNN is applied to large-R jets to identify boosted top quarks, using jet sub-structure information.

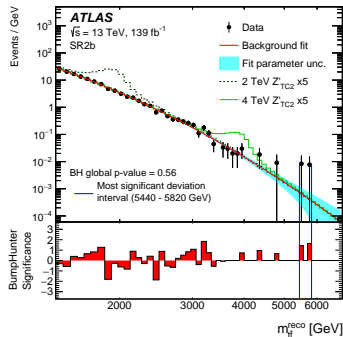
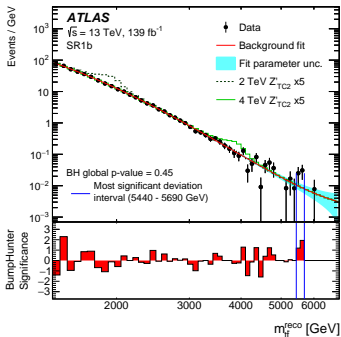
## Event selection

- Resonance ( $Z'_{TC2}$ ) mass range considered in the RS model:  
1.75 TeV – 5 TeV
- Signal region divided into two: 1 or 2  $b$ -jets associated with the large-Radius(=1.0) jets.
- Model-independent results obtained by bump-hunting on  $m_{t\bar{t}}$  spectrum.

### Selection cuts:

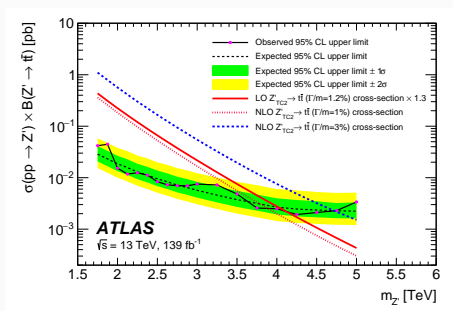
- At least two large-R jets ( $J$ ) which are top-tagged using the DNN
- $p_T^{\text{leading jet}} > 500$  GeV
- $p_T^{\text{sub-leading jet}} > 350$  GeV
- $m_{JJ} > 1.4\text{TeV}$
- Leading and sub-leading jets required to be back-to-back in the transverse planes
- Rapidity distance required to be less than 1.8 to reject multijet background

# Results I



- Using a fully data driven background, global function fitted in the SR.
- The Functional form and uncertainties estimated using a template built with MC + Data driven mixture.
- Most significant deviation in **5.44 – 5.69 TeV** for **1 b–jet** signal region and **5.44 – 5.82 TeV** for **2b–jets** signal region with the corresponding global p-values of **0.45** and **0.56**, respectively.

## Results II



- For a  $Z'$  signal with a width  $\Gamma/m = 1.2\%$ , masses up to 4.1 TeV are excluded at 95% CL.
- Below 4.5 TeV, the expected sensitivity is limited by the statistical uncertainty of the background prediction.
- Above 4.5 TeV, systematic uncertainty dominates over the statistical uncertainty in the  $2b$ -jet channel.

## Lepton flavor universality

- Deviations in LFU from the SM suggested by Belle and LHCb.
- Models attempting to ease the tension predict new particles such as heavy vector bosons and leptoquarks.
- Searches for  $Z'$  and spin-0 LQ presented here.
- No significant excesses seen but several new and innovative methods were developed.
- Limits on the masses with more data and newer methods stronger than before.

## Higgs fine-tuning

- Composite Higgs models provide a way to ensure a small Higgs mass.
- These models predict the existence of vector-like quarks.
- Searches for third generation vector-like quarks produced singly and in pairs presented here.

