

R&D for Dual-Readout Fibre-Sampling Calorimetry

@ECFA Detector R&D Roadmap Symposium
Task Force 6: Calorimetry



ECFA

European Committee for Future Accelerators

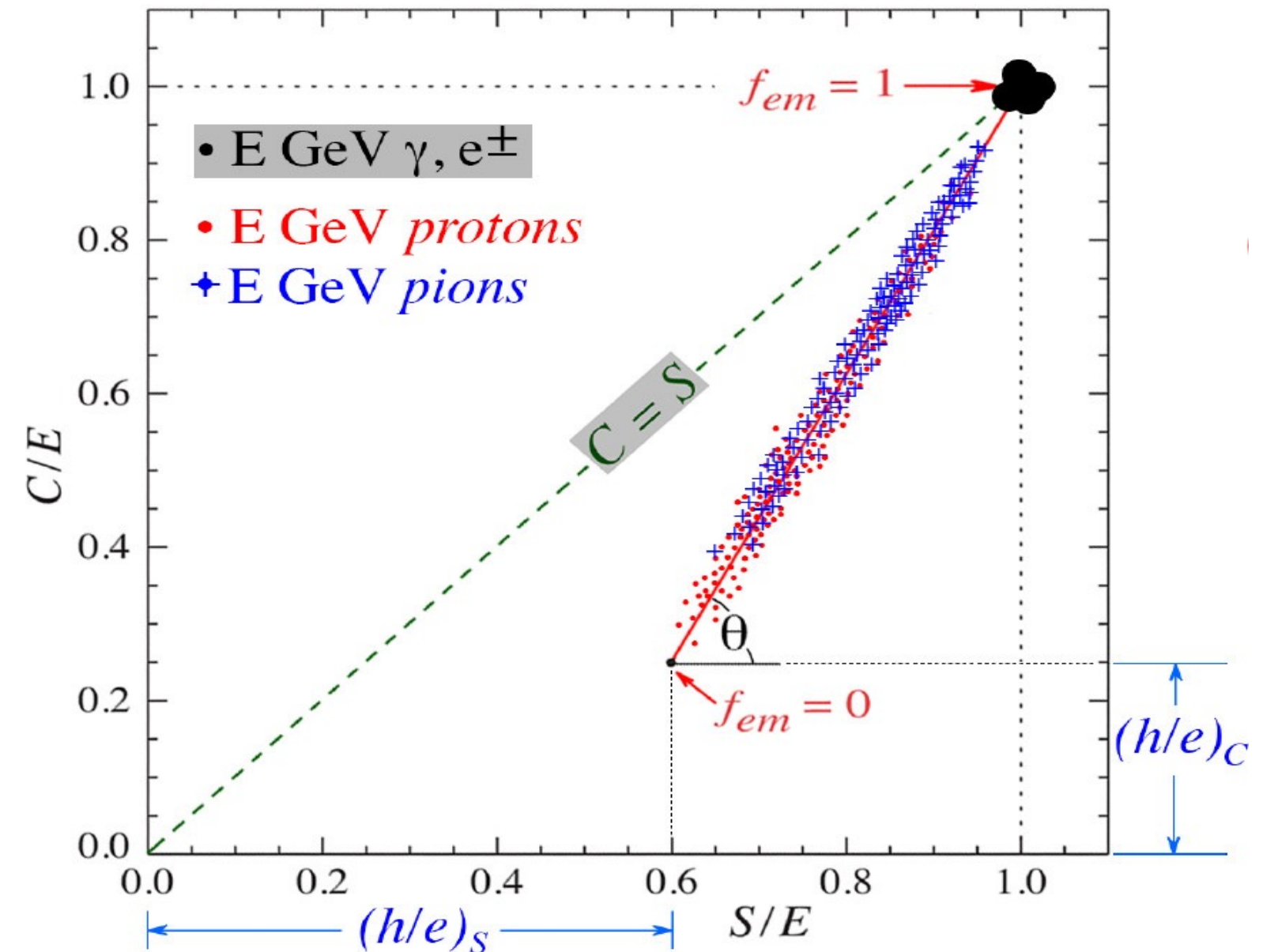
Dual-readout in a nutshell

Simultaneous measurement on event-by-event basis of em fraction of hadron showers

$$\begin{cases} S = \left[f_{em} + \left(\frac{h}{e} \right)_S (1 - f_{em}) \right] E \\ C = \left[f_{em} + \left(\frac{h}{e} \right)_C (1 - f_{em}) \right] E \end{cases}$$

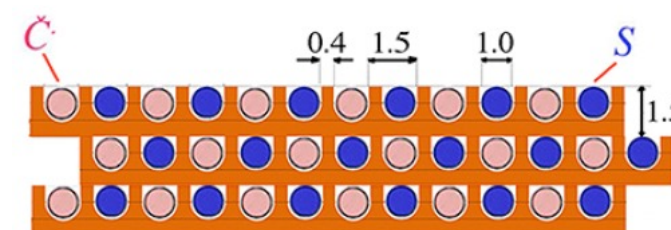
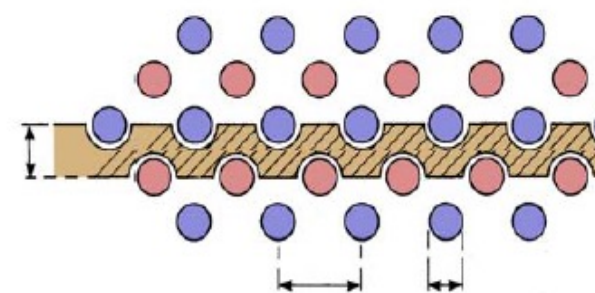
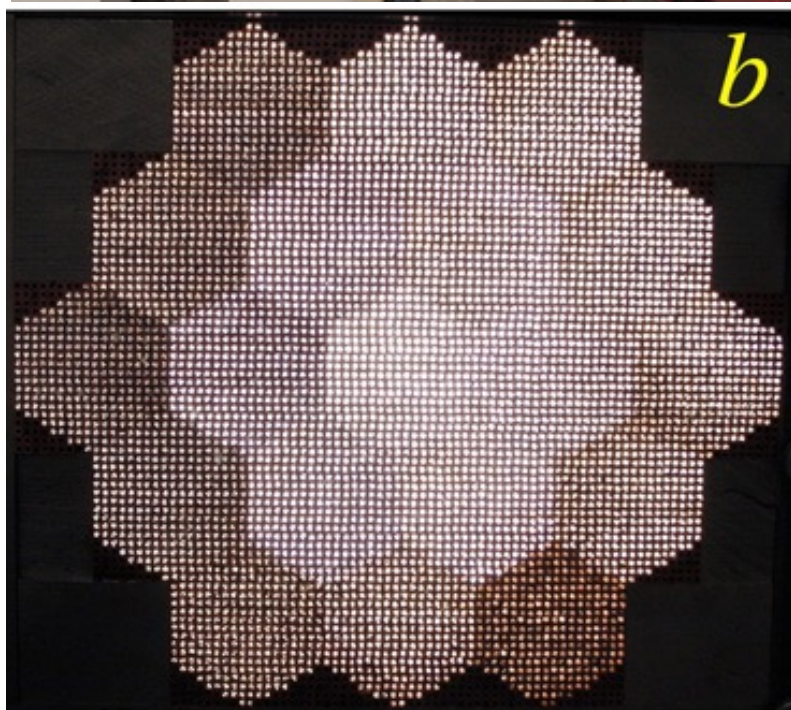
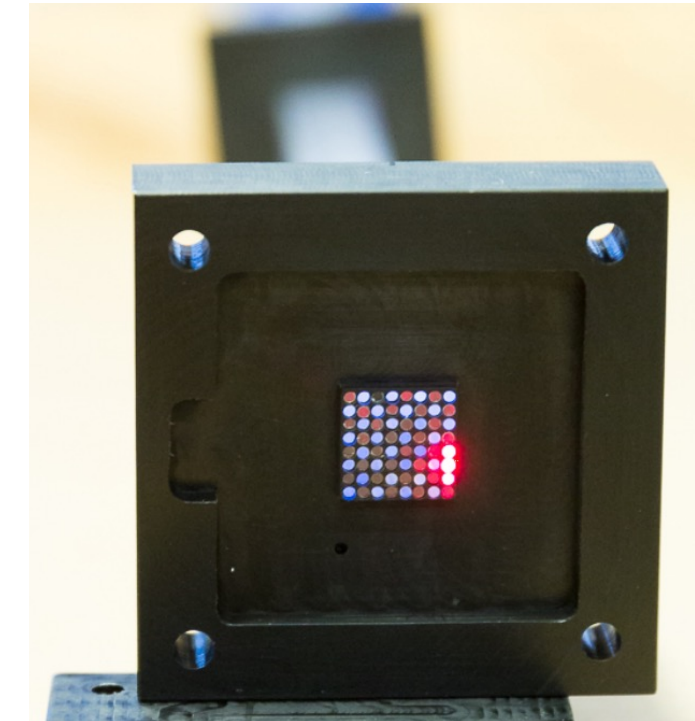
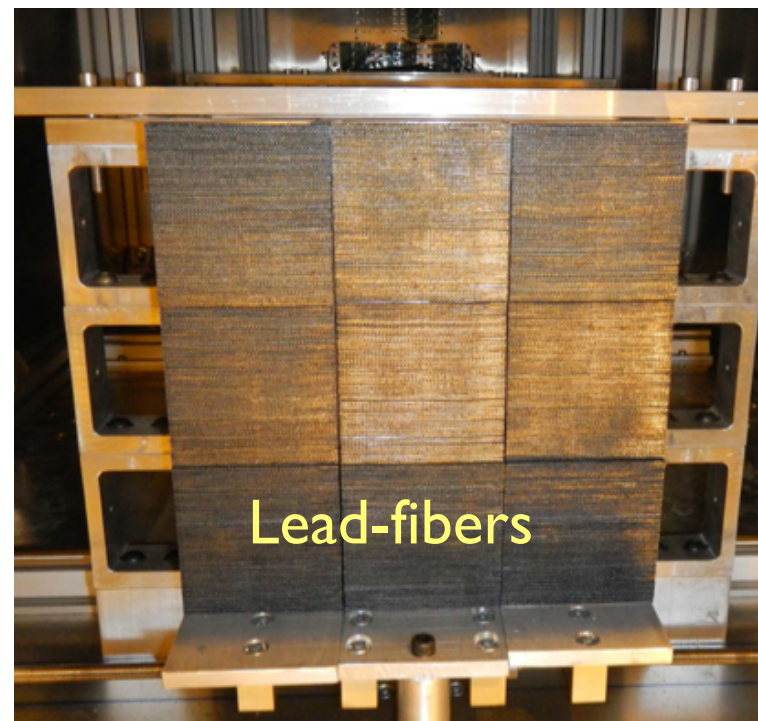
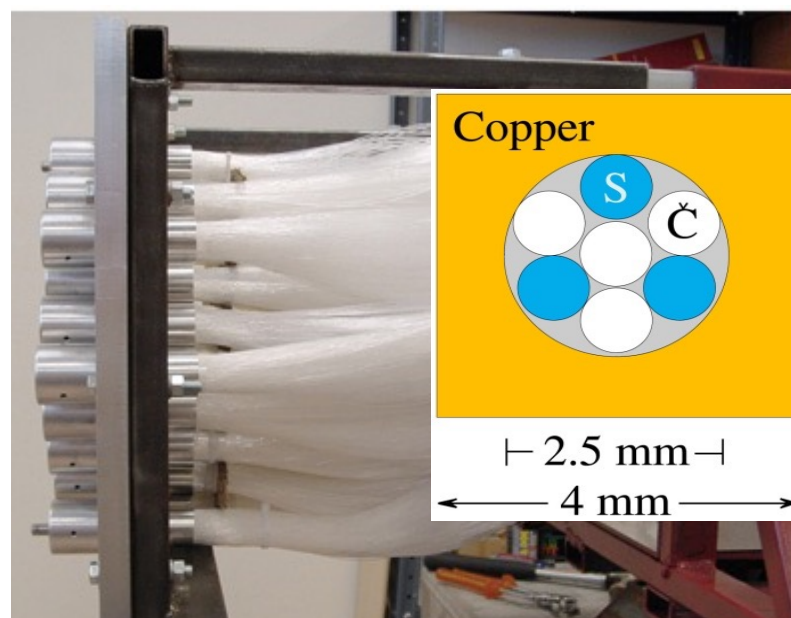
$$s = \left(\frac{h}{e} \right)_S ; \quad c = \left(\frac{h}{e} \right)_C$$

$$\begin{cases} f_{em} = \frac{c - s(C/S)}{(C/S)(1 - s) - (1 - c)} \\ E = \frac{S - \chi C}{1 - \chi} \end{cases}$$

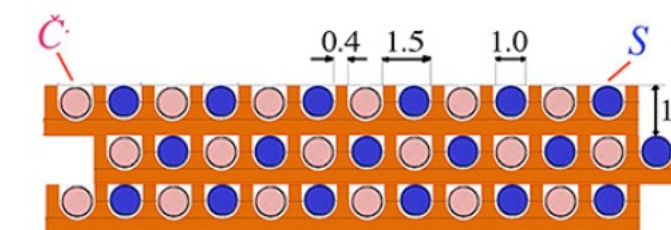


S. Lee, M. Livan, R. Wigmans, , Rev. Mod. Phys. 90 (2018) 025002

State of the Art – DREAM & RD52 collaboration

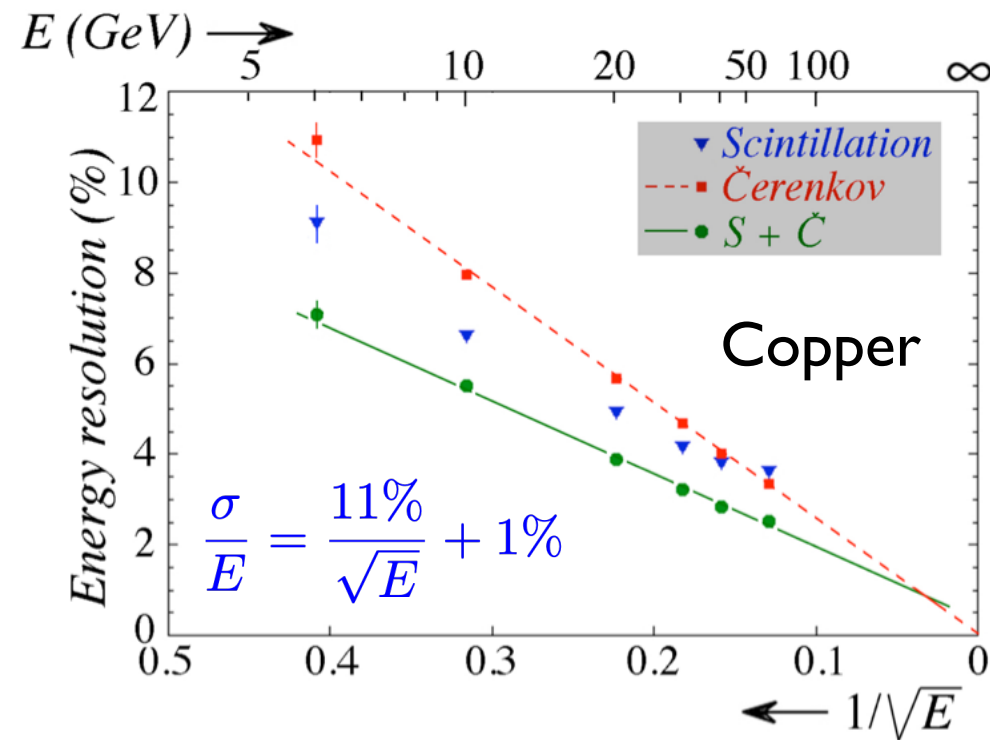


PMT readout



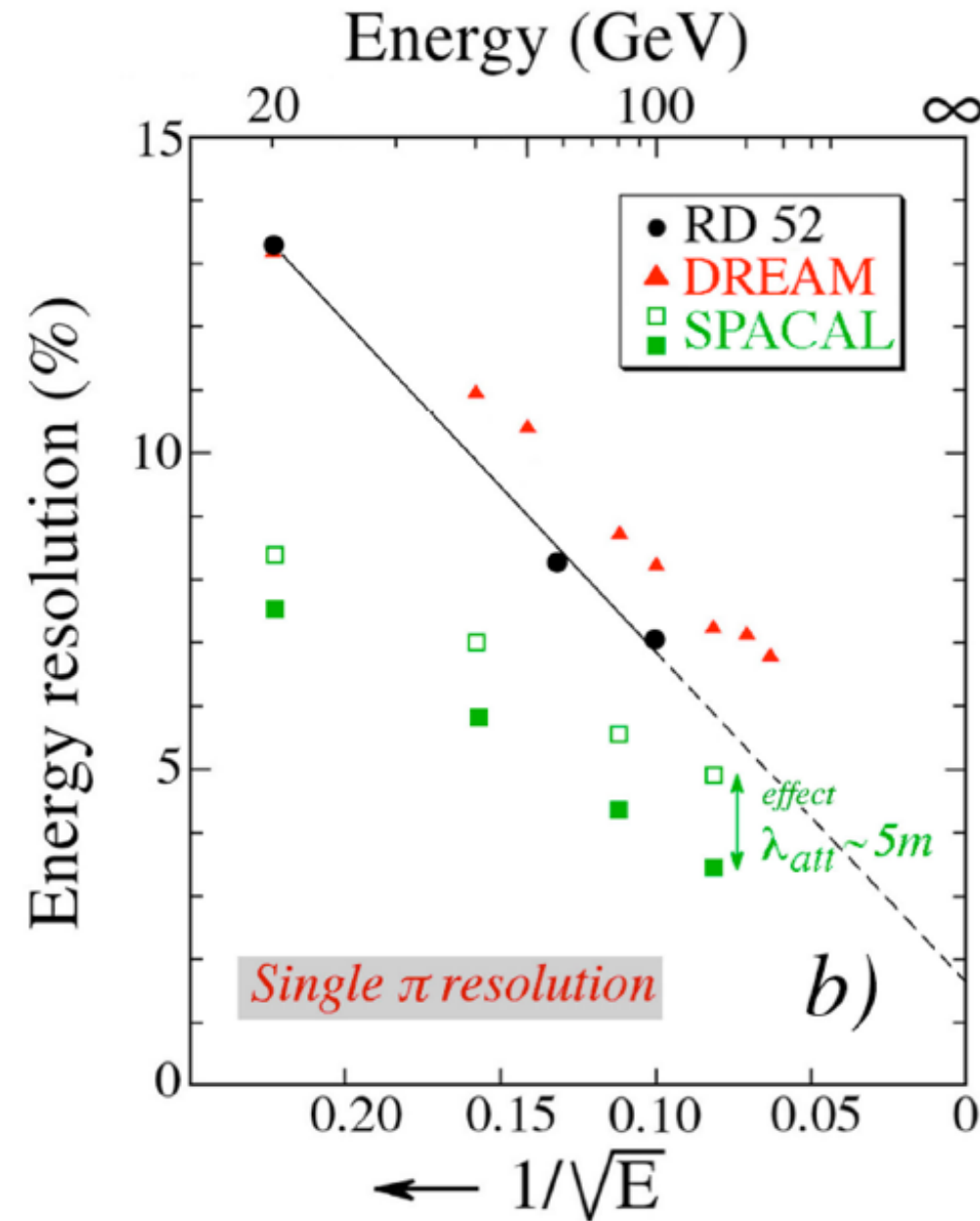
SiPM readout

Electromagnetic Resolution



N. Akchurin, et al., NIM. A 735 (2014) 130.

Hadronic Resolution (Lead Module)

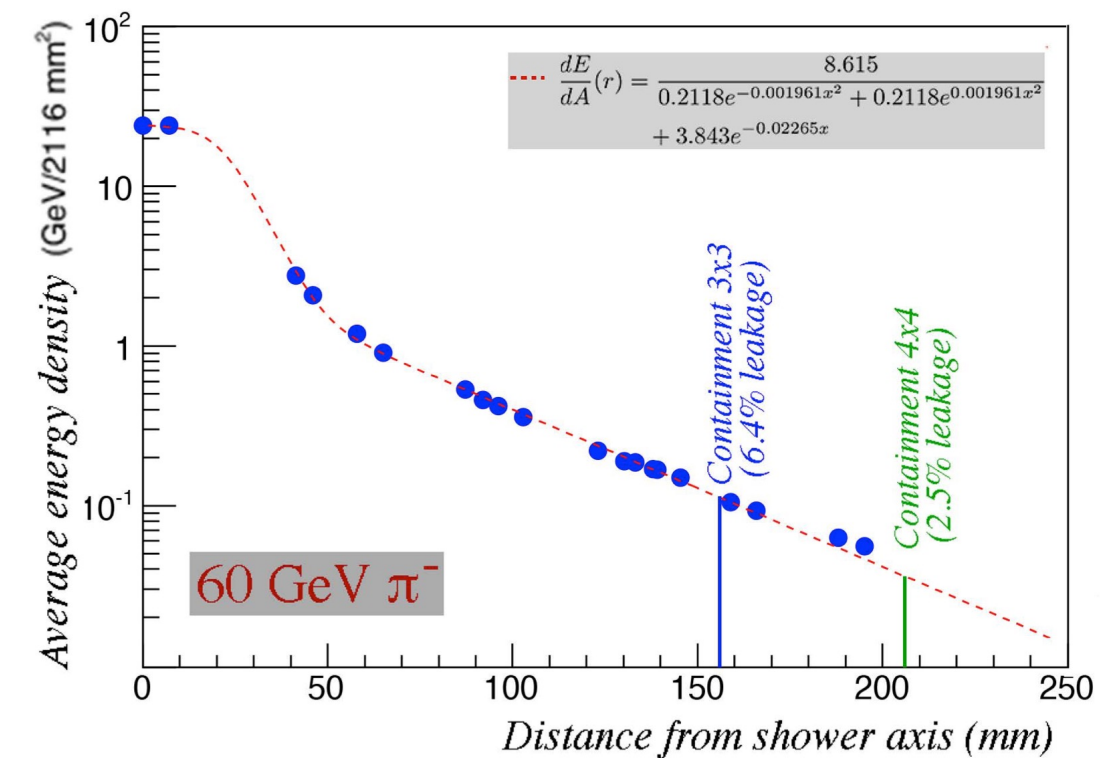


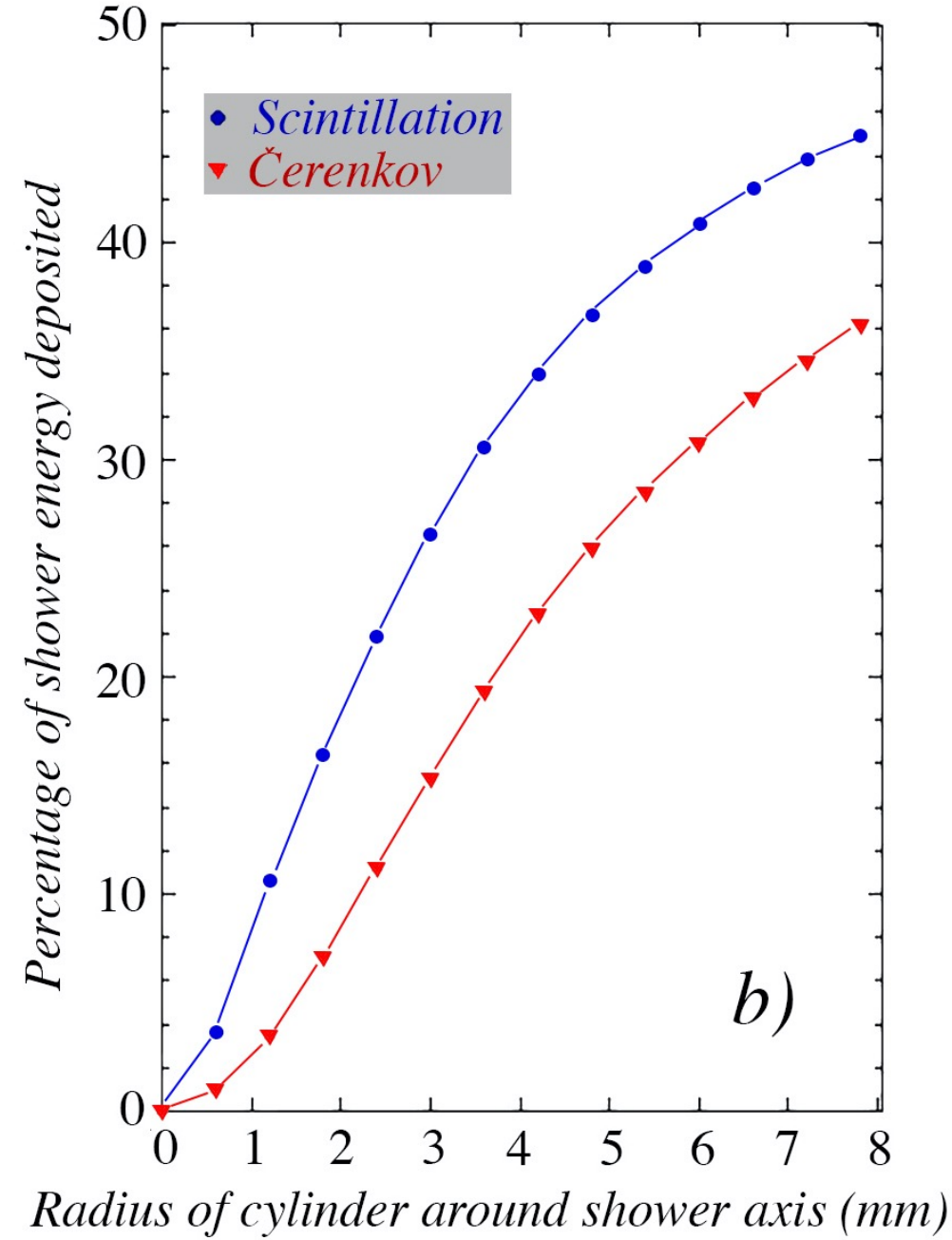
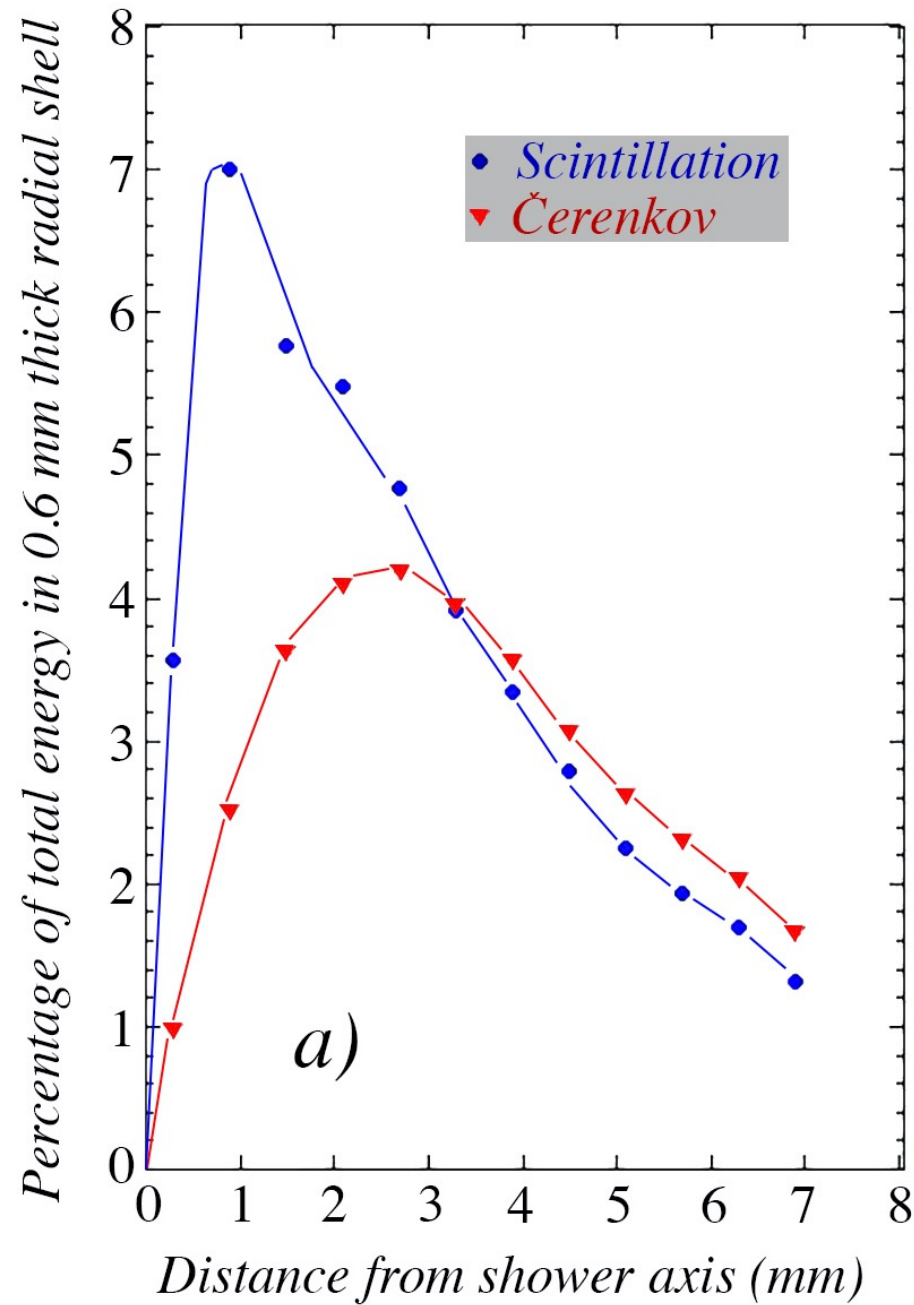
S. Lee, M. Livan, R. Wigmans, , Rev. Mod. Phys. 90 (2018) 025002

$$\frac{\sigma}{E} = \frac{53\%}{\sqrt{E}} + 1.7\%$$

To include corrections on:

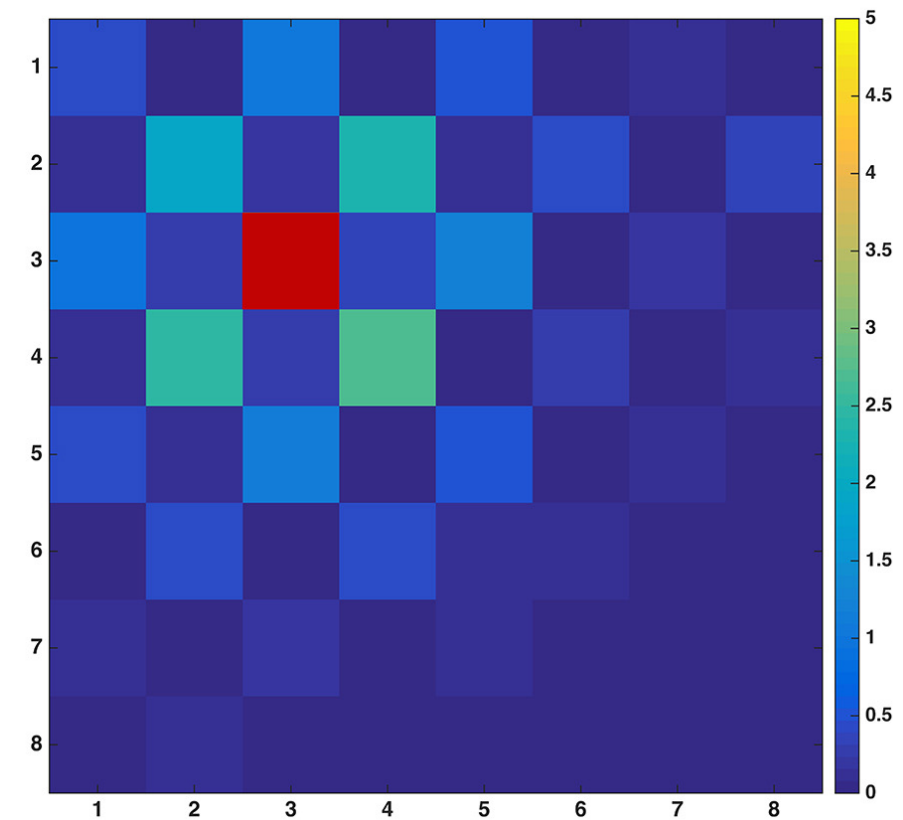
- light attenuation
- lateral leakage





M. Antonello, et al, NIM. A 899 (2018) 52

High granularity mapping of an electromagnetic shower achieved by reading out single fibres with SiPM



Physics Requirements:

HADRONIC CALORIMETER

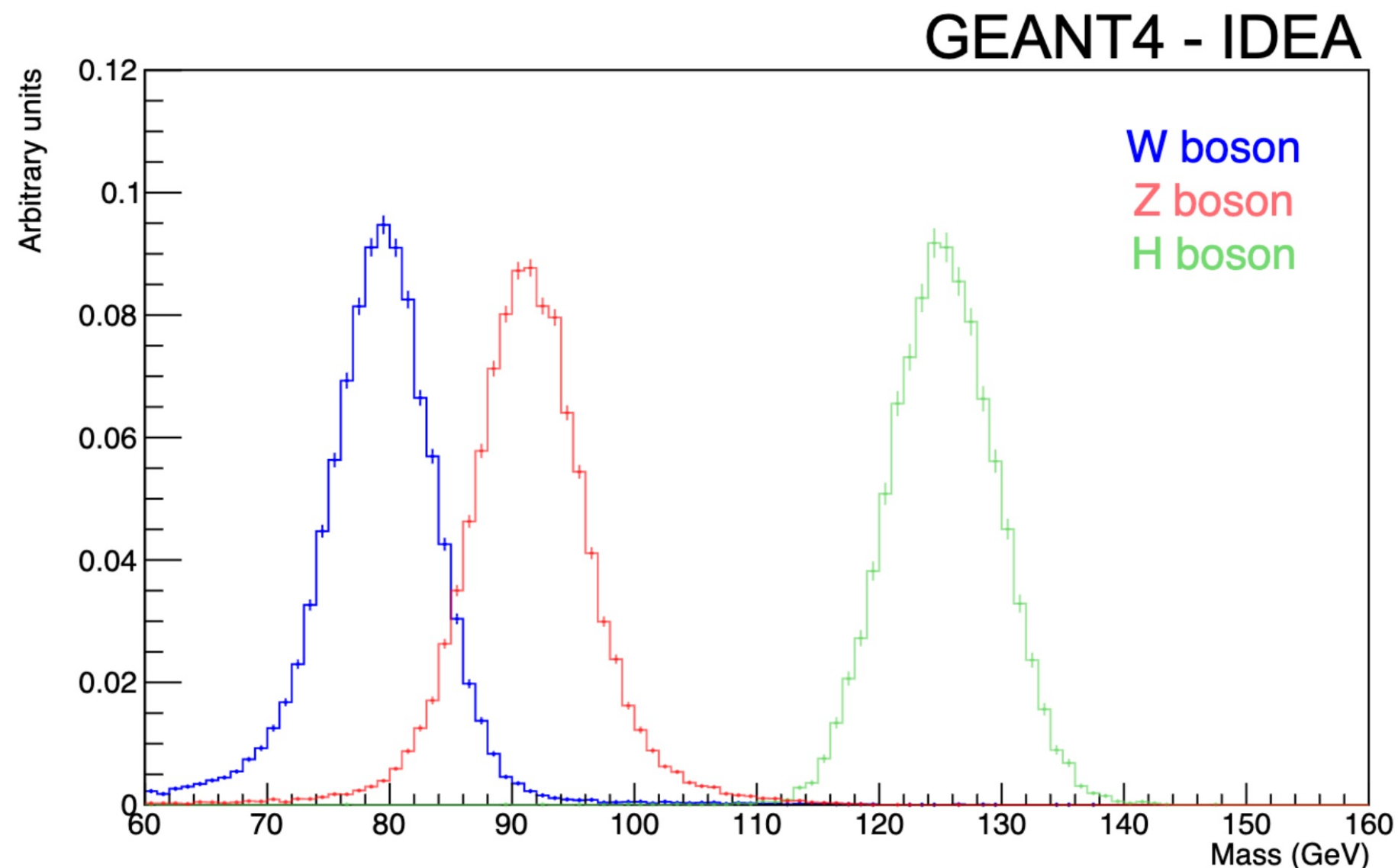
$H \rightarrow qq, WW^*, ZZ^*$ in hadronic final states

$$\frac{\sigma}{E} = \frac{30\%}{\sqrt{E}}$$

ECAL CALORIMETER

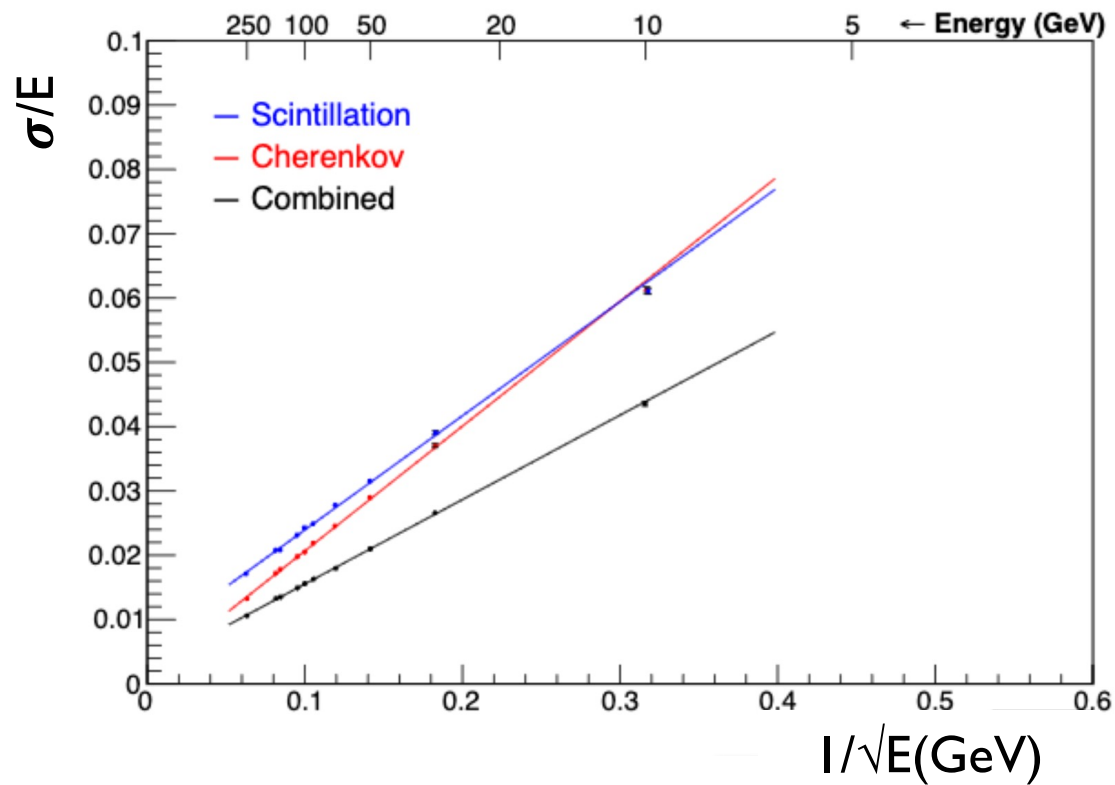
$H \rightarrow \gamma\gamma, \text{ALP}, \dots$ better than

$$\frac{\sigma}{E} = \frac{20\%}{\sqrt{E}} \oplus 0.01$$



State of the Art: Dual Readout in IDEA@FCC (CepC)

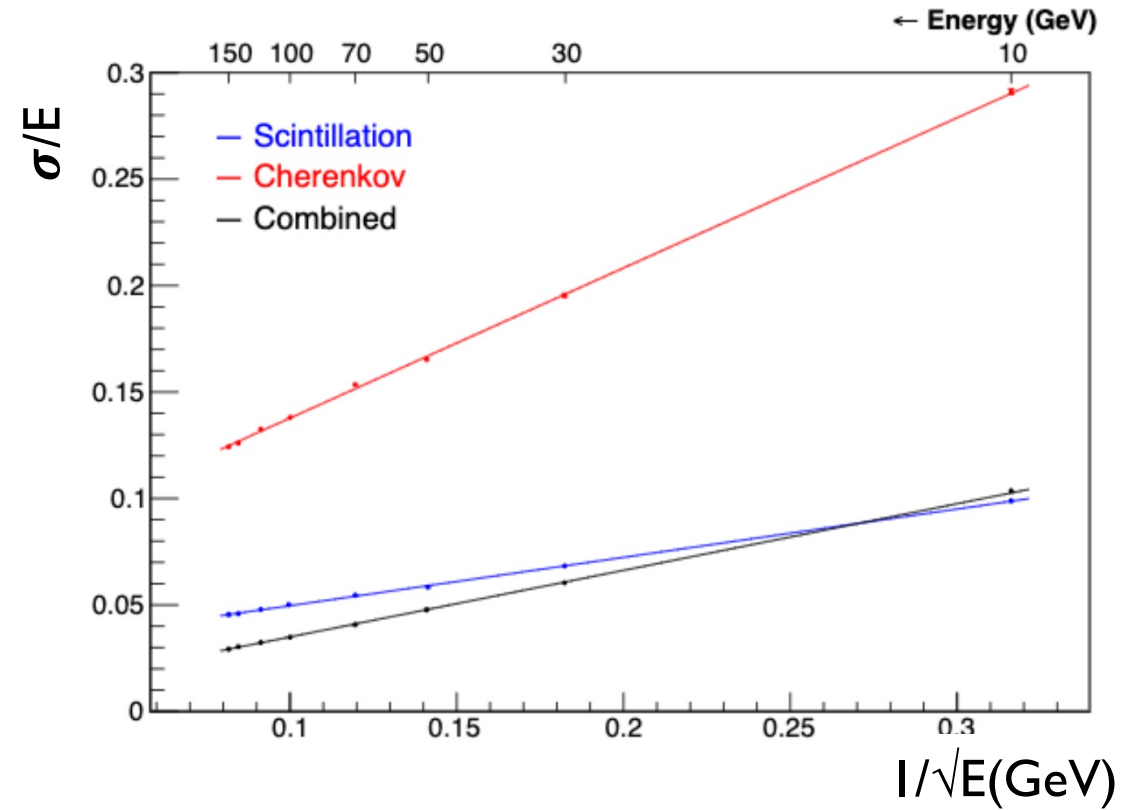
GEANT4 - IDEA / e^- 10 – 150 GeV



electrons

$$\frac{\sigma}{E} = \frac{14\%}{\sqrt{E}} \oplus 0.6\%$$

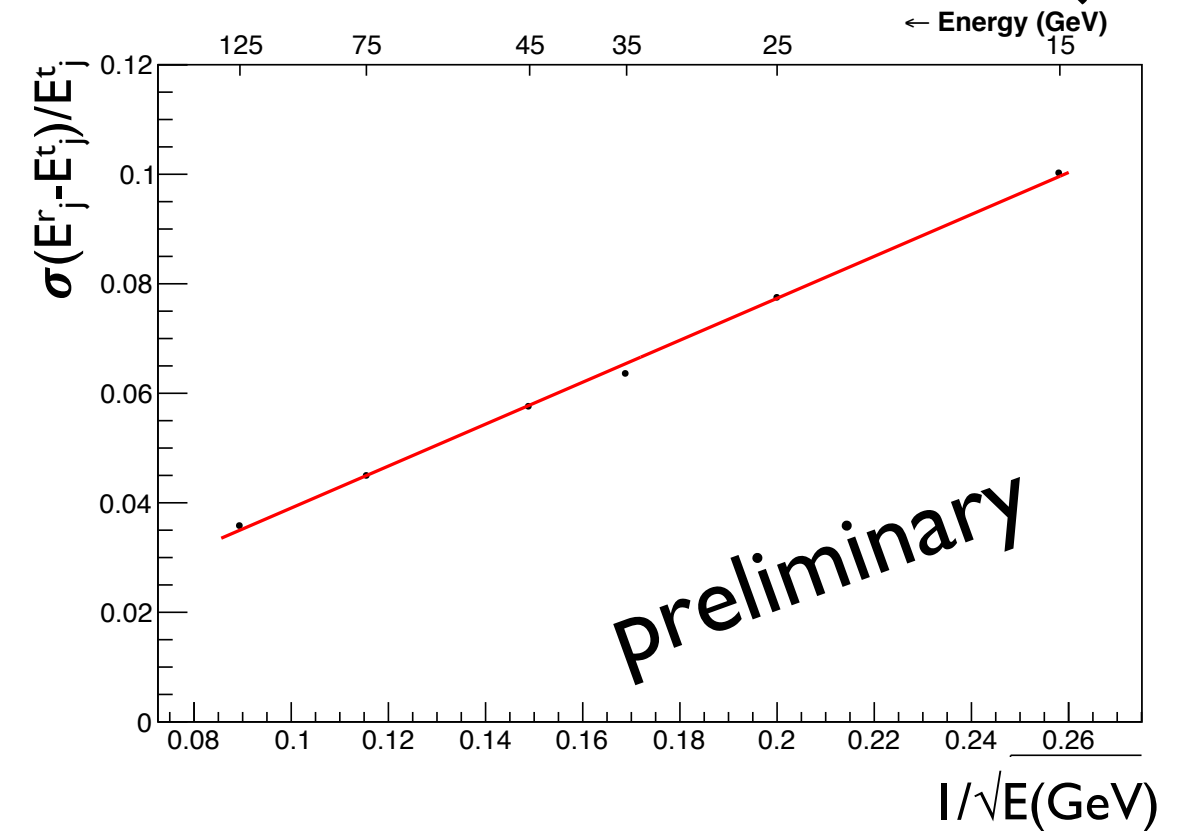
GEANT4 - IDEA / 10-150 GeV π^-



pions

$$\frac{\sigma}{E} = \frac{32\%}{\sqrt{E}} \oplus 1.3\%$$

GEANT4 – IDEA / 15-150 GeV j



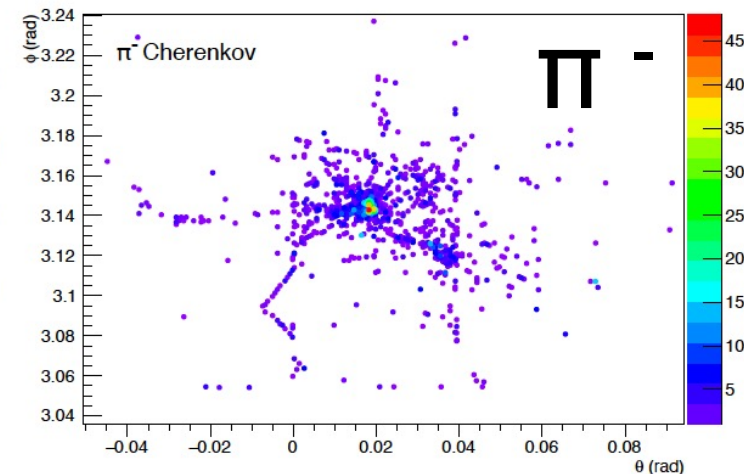
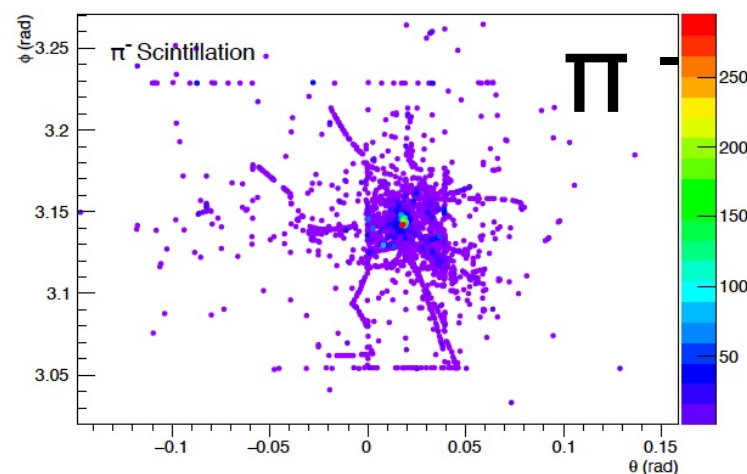
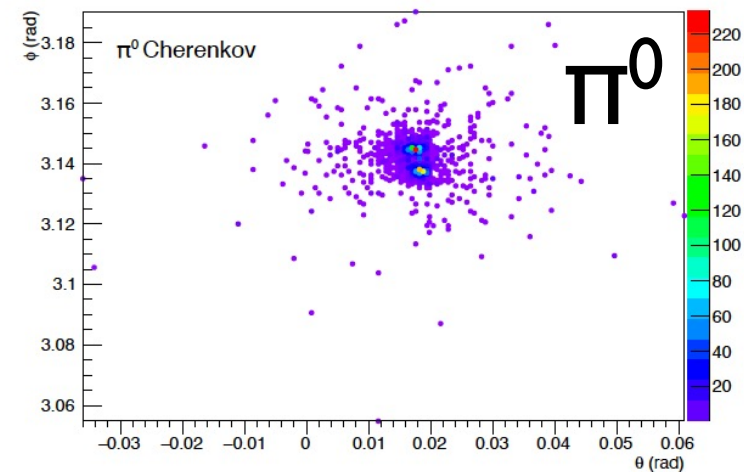
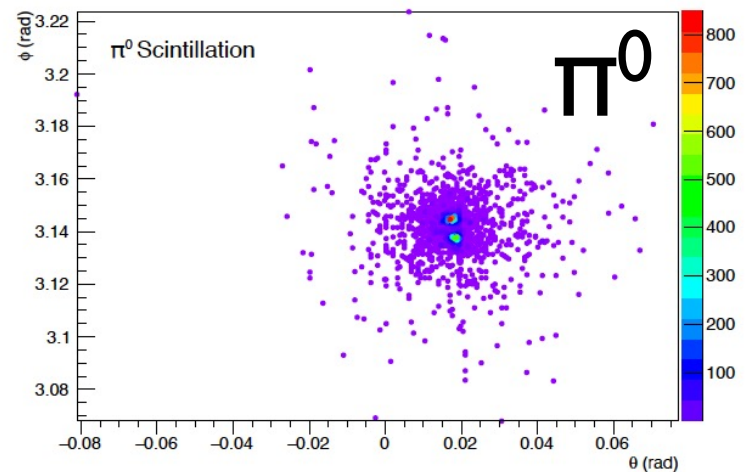
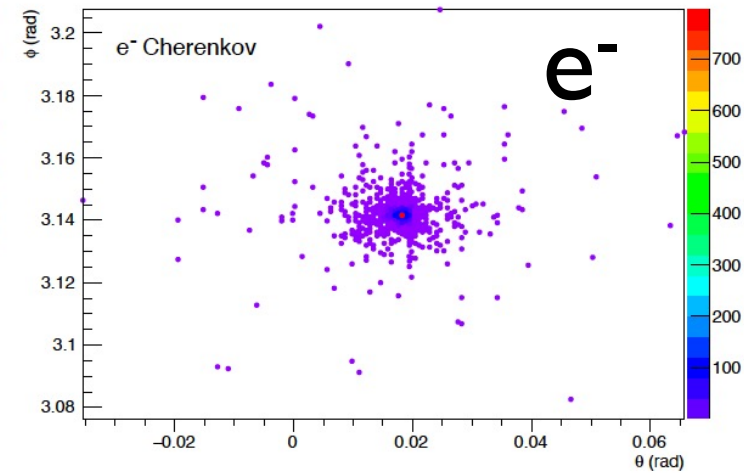
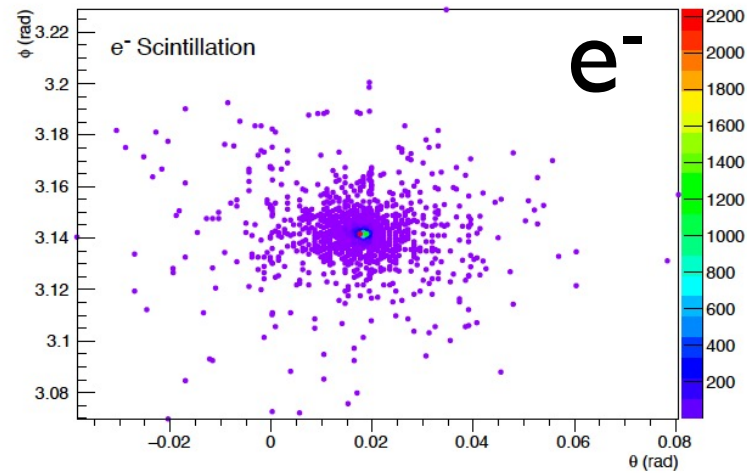
jet resolution in 2j final states

$$\frac{\sigma}{E} = \frac{38\%}{\sqrt{E}}$$

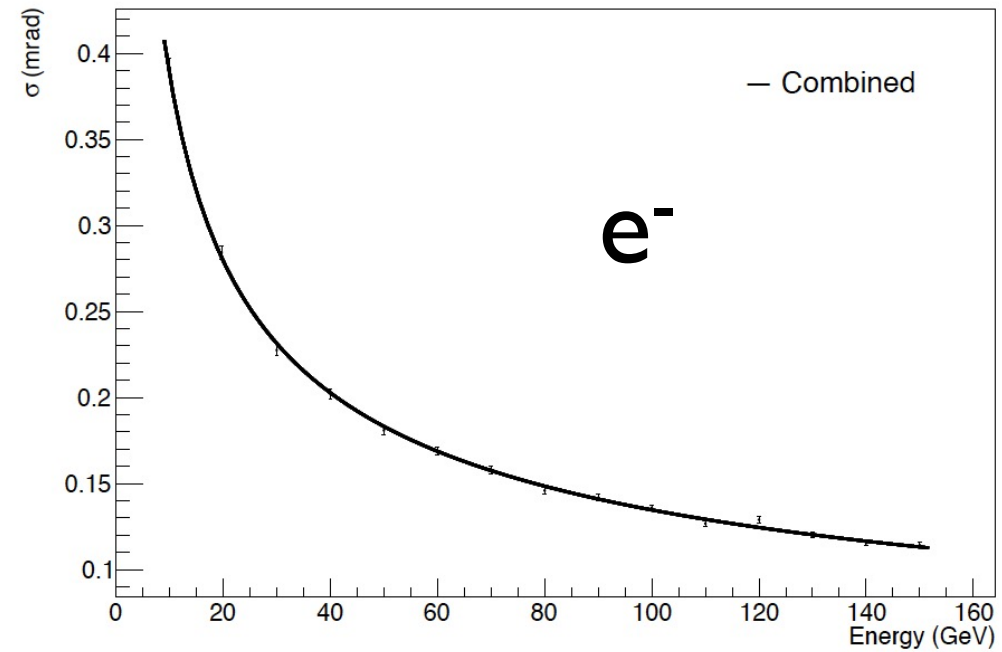
State of the Art: Dual Readout in IDEA@FCC (CepC)

Scintillation

Cherenkov



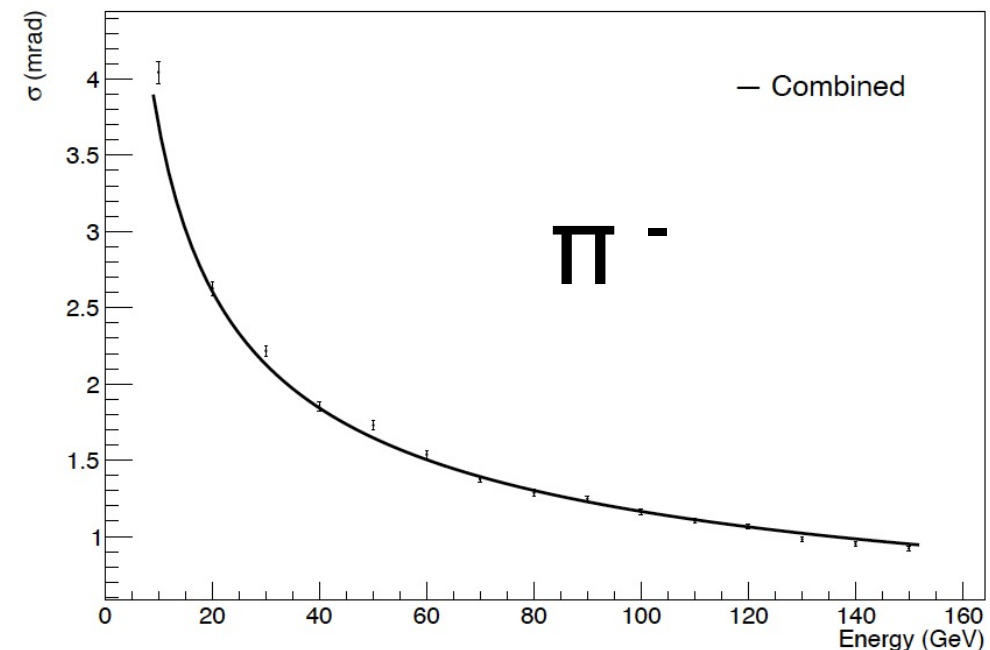
GEANT4 – IDEA / e^- 15-150 GeV



High granularity
good angular resolution

$$\sigma(\text{mrad}) = \frac{1.23}{\sqrt{E}} \oplus 0.05$$

GEANT4 – IDEA / π^- 15-150 GeV



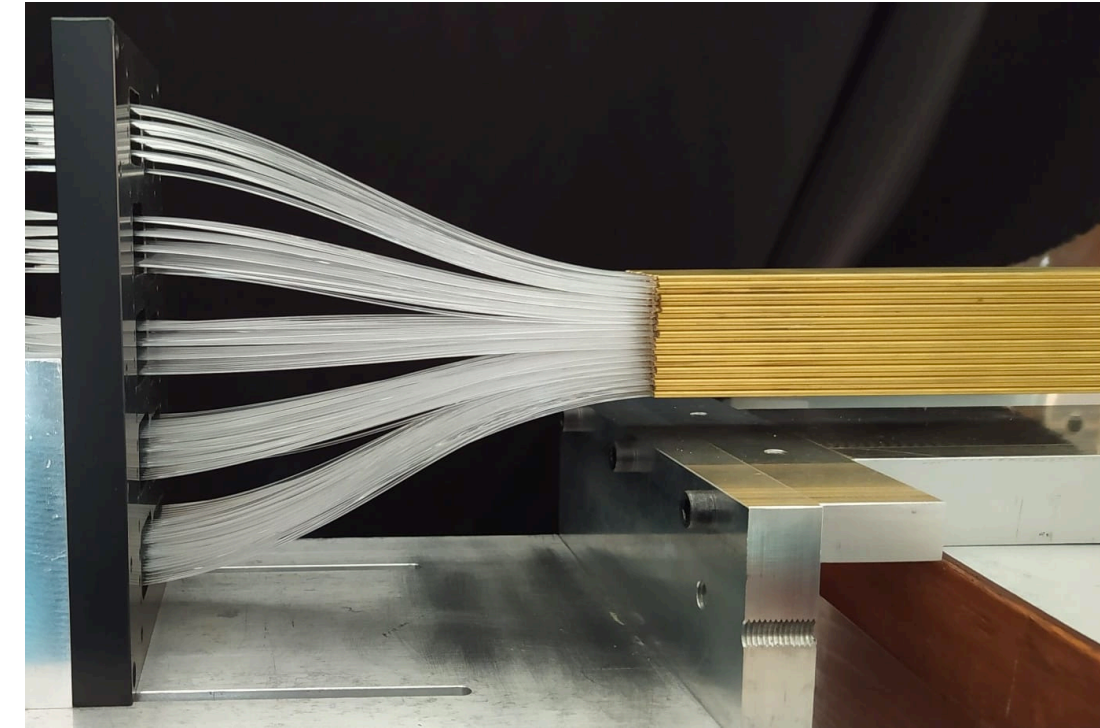
$$\sigma(\text{mrad}) = \frac{11.6}{\sqrt{E}}$$

GEANT4 – IDEA

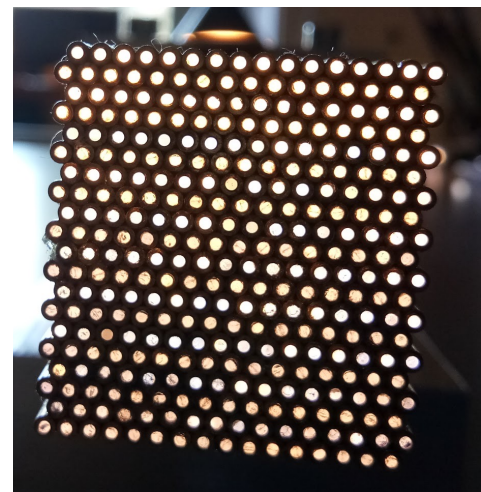
State of the Art: Dual Readout in IDEA@FCC (CepC)



SiPM readout for
central tower



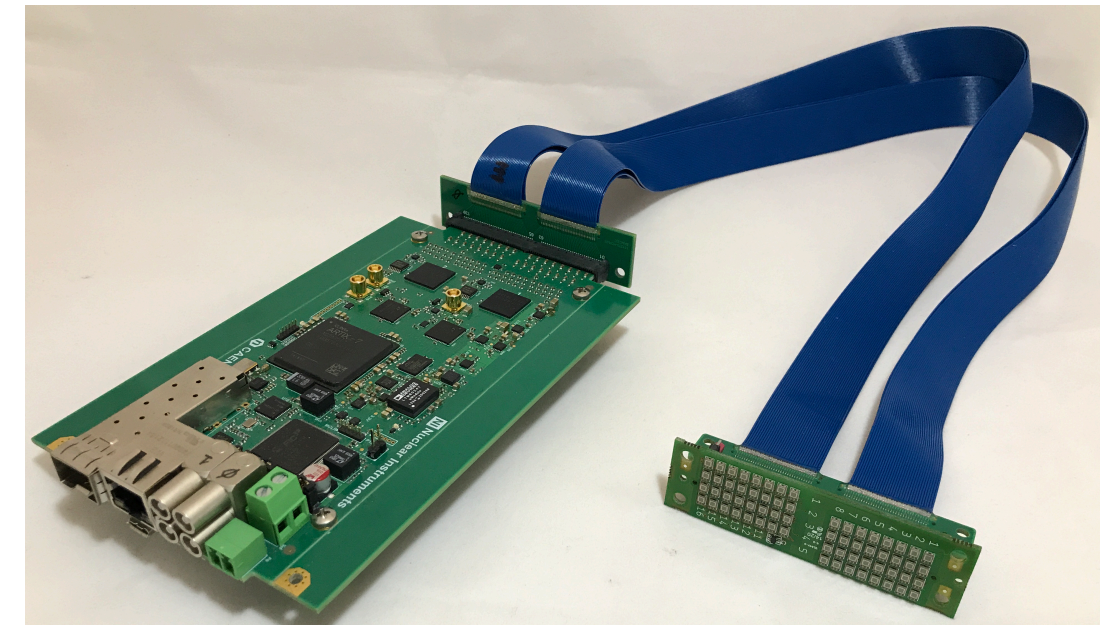
2020 “EM-size” prototype
10x10 cm² divided in 9 towers
16x20 capillary each
160 C + 160 S fibres
Capillary: brass CuZn37
2 mm OD, 1.1 mm ID, L = 1 m



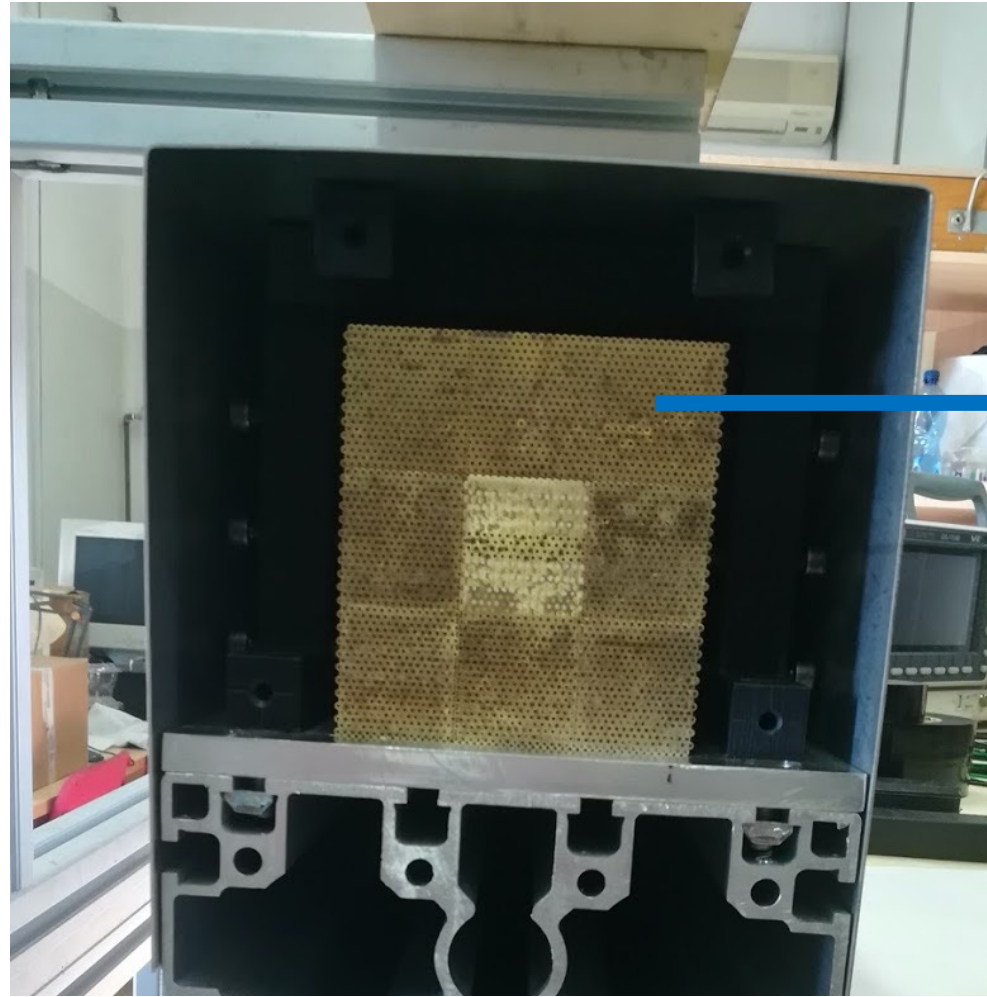
FEE – Boards
5 Boards

Each board:
64 SiPMs
(32 S +32 C)

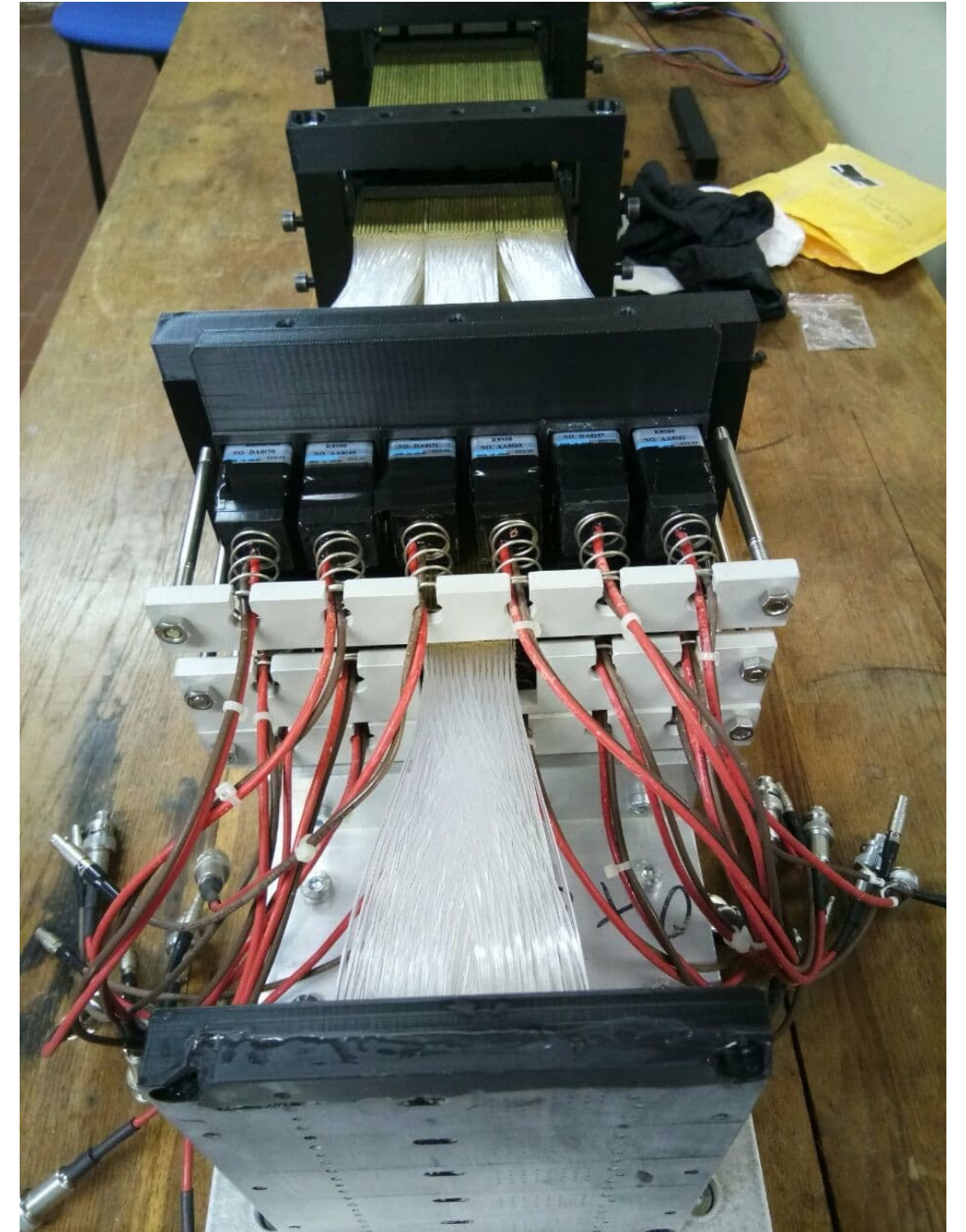
Readout Boards:
5 FERS - A5202
CITIROC ASIC



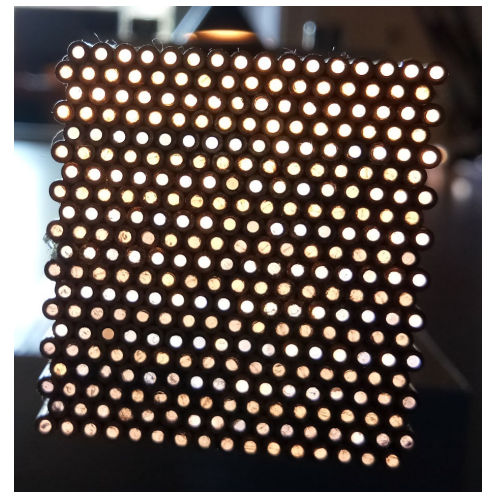
State of the Art: Dual Readout in IDEA@FCC (CepC)



PMT readout for external towers



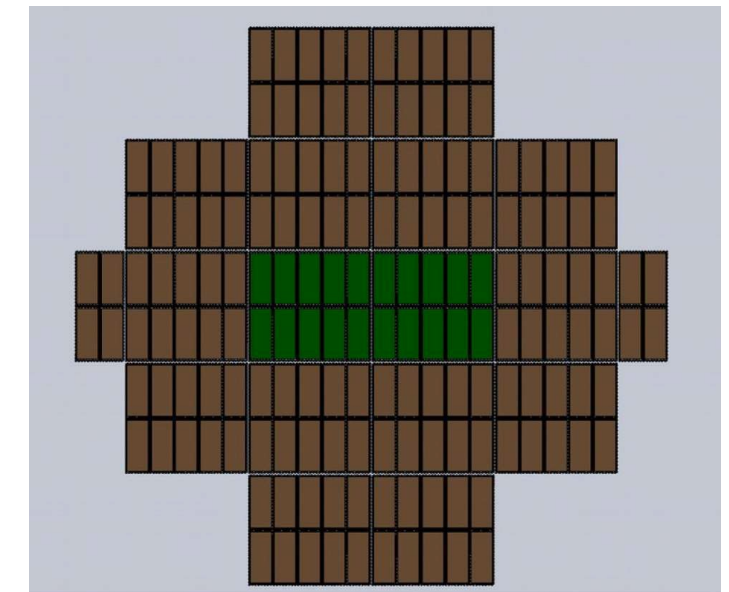
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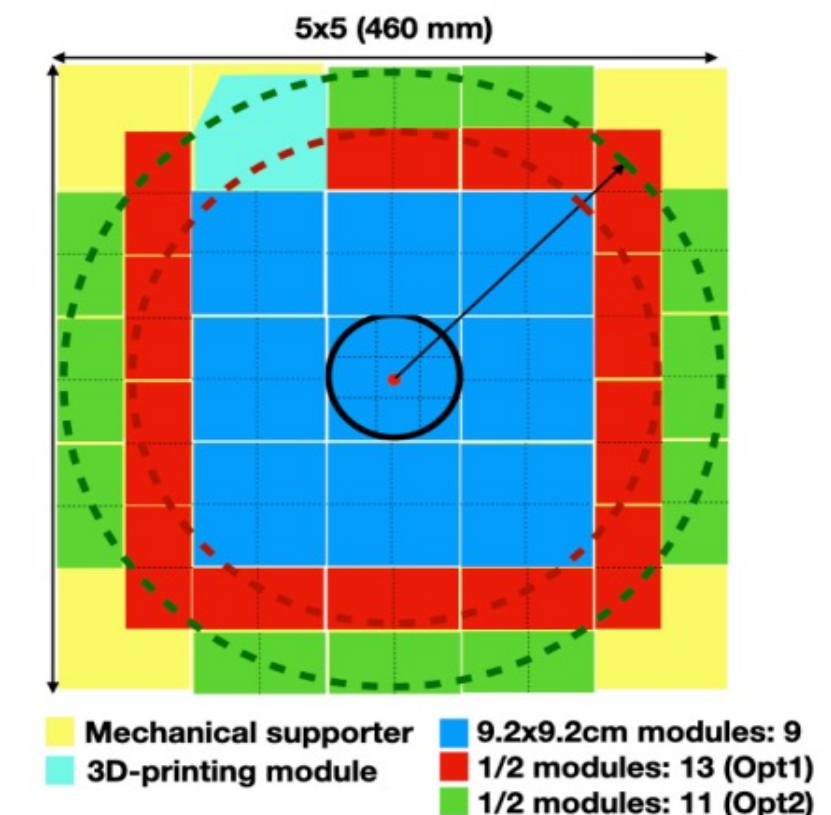
Short-term program: scaling up

“Hadronic-size” prototype is the goal for the next 3-4 year program

- ◆ validate **GEANT4** simulations for hadronic showers
- ◆ assess (in particular) hadronic resolution performance
 - ◆ overcome limitation from shower containment
- ◆ assess feasibility in **scalable mechanical structure**
- ◆ handling of $O(10k)$ SiPMs for dual-readout
 - ◆ target to a **scalable sensor – readout – DAQ** chain
 - ◆ timing and shower shape info for PID
- ◆ test “experiment-like” **supports and services**



Prototype Detector (2025)



(Some) key elements for future DR

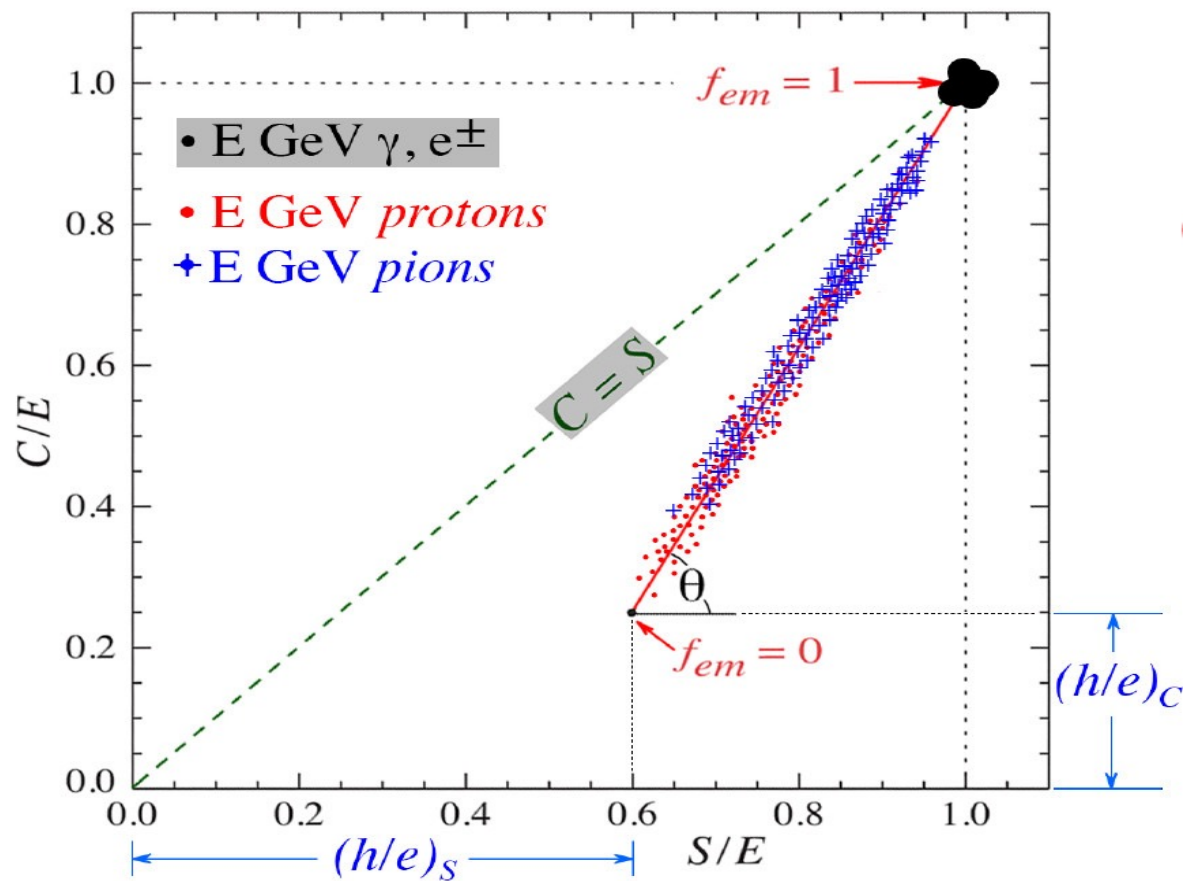
- ◆ Full understanding of dual readout (χ parameter)
- ◆ Invisible energy and triple readout
- ◆ MIP-like particles in the calorimeter

deepening the understanding
of DR mechanism and of
calorimetric response

- ◆ The Cherenkov component
- ◆ How to shape the absorber
- ◆ Effect of non-uniformity on the calibration and performance
- ◆ Longitudinal segmentation... or not?
- ◆ Crystal fibers
- ◆ SiPM and dSiPM

detector related
development

Full understanding of dual readout

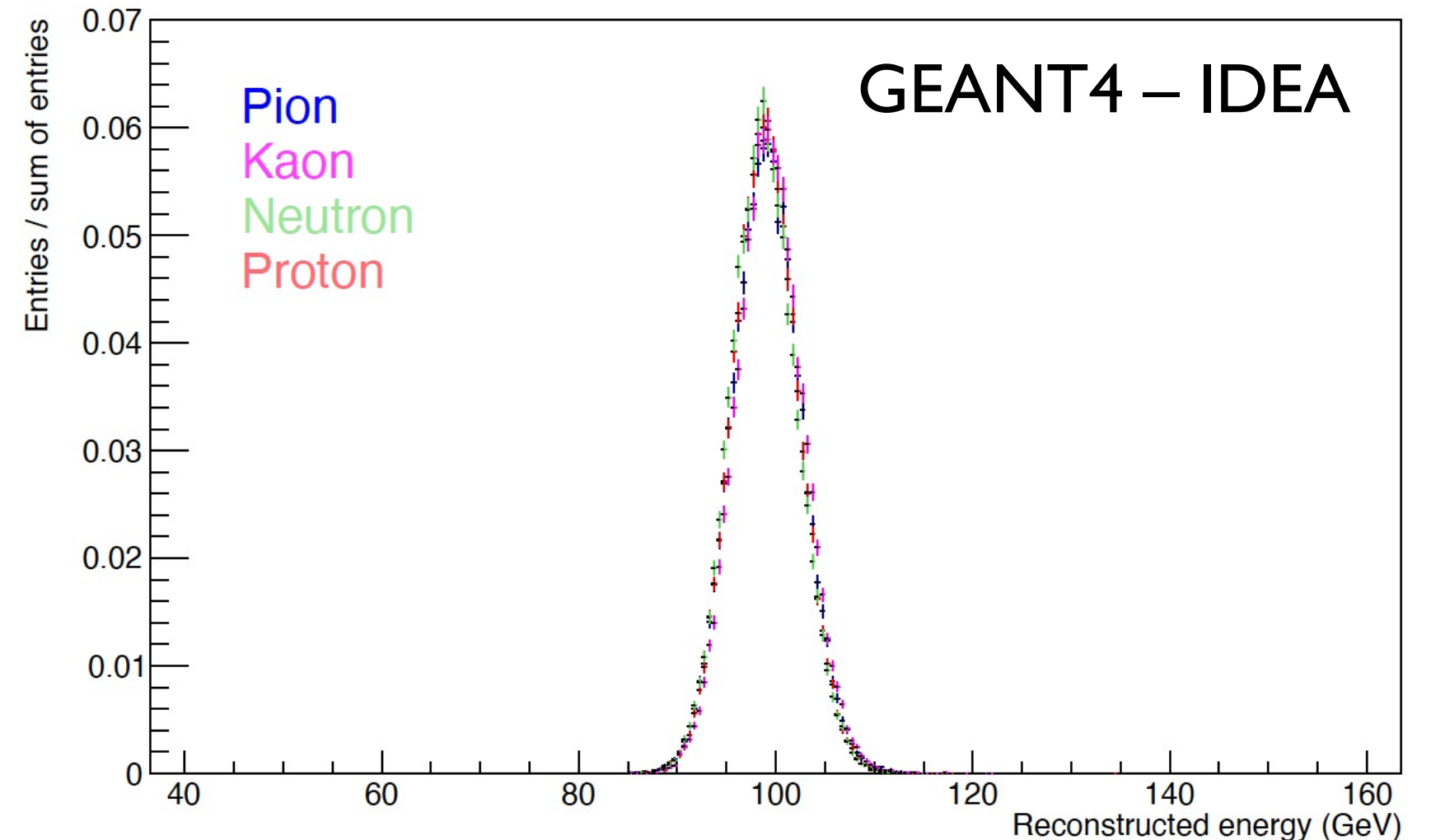


$$E = \frac{S - \chi C}{1 - \chi}$$



$$\chi = \frac{1 - (h/e)_s}{1 - (h/e)_c} = \frac{E - S}{E - C}$$

- ◆ χ is fundamental parameter of the DR method
- ◆ assumed to be independent of the particle type
- ◆ measurable if E is known



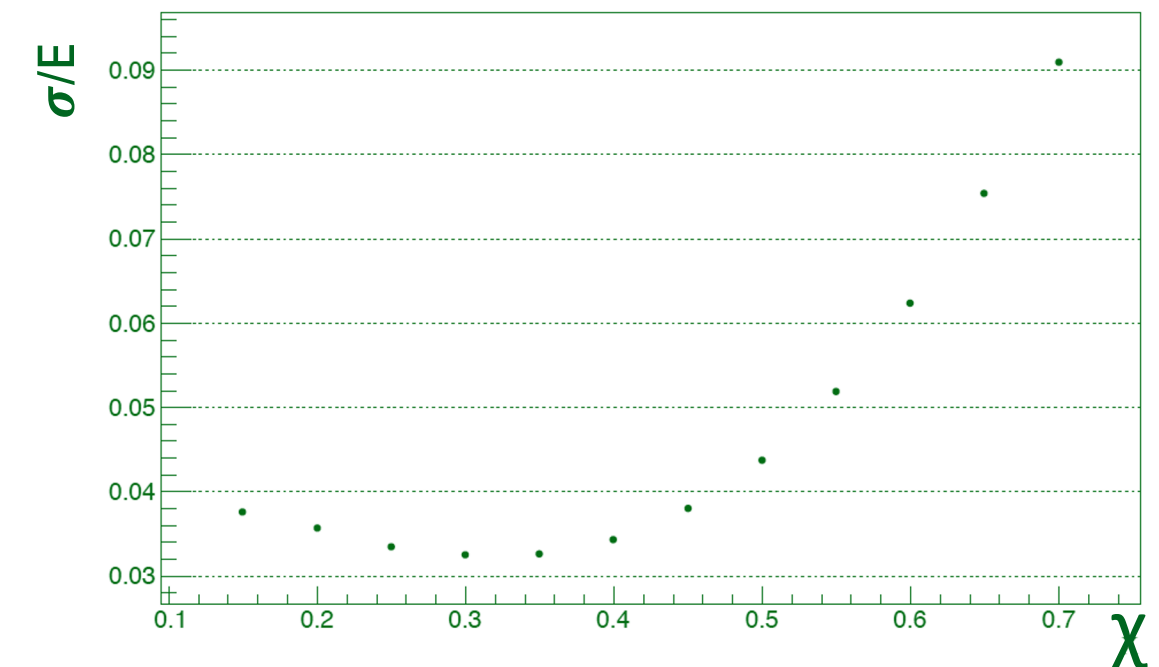
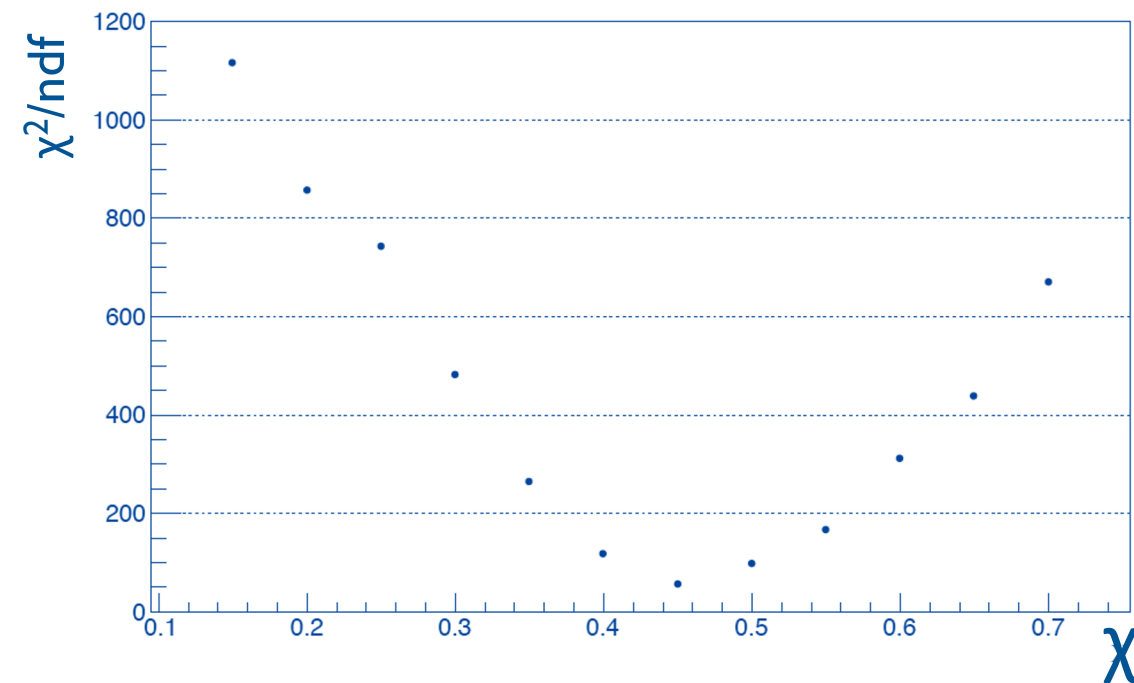
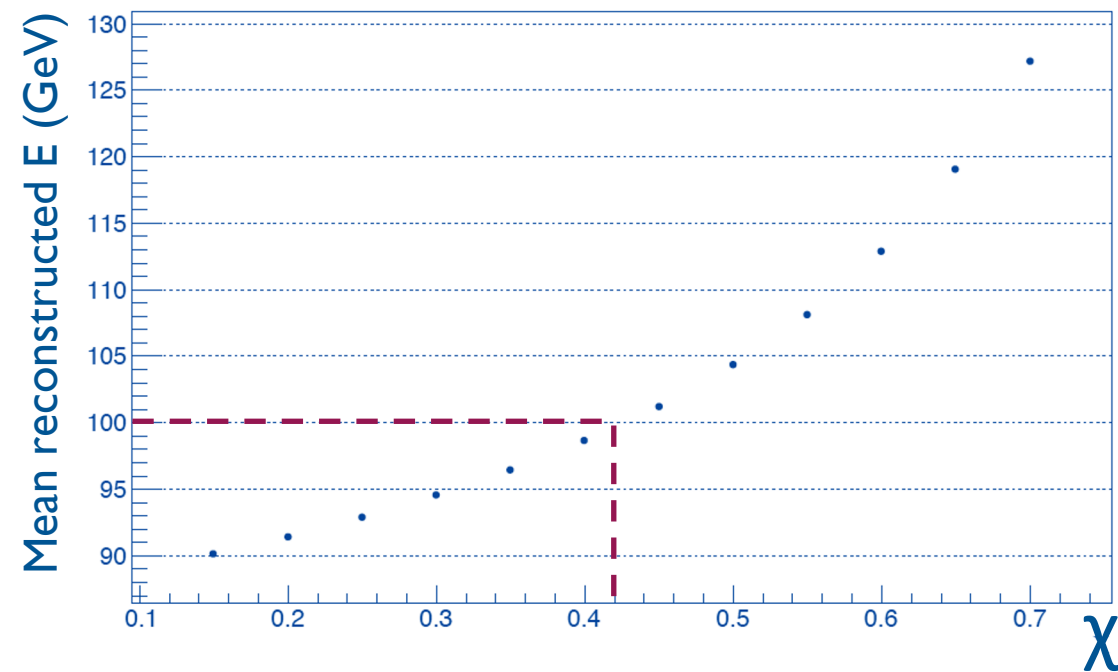
Full understanding of dual readout

$$\chi = \frac{1 - (h/e)_S}{1 - (h/e)_C} = \frac{E - S}{E - C}$$

estimation

100 GeV π^-

GEANT4 – IDEA



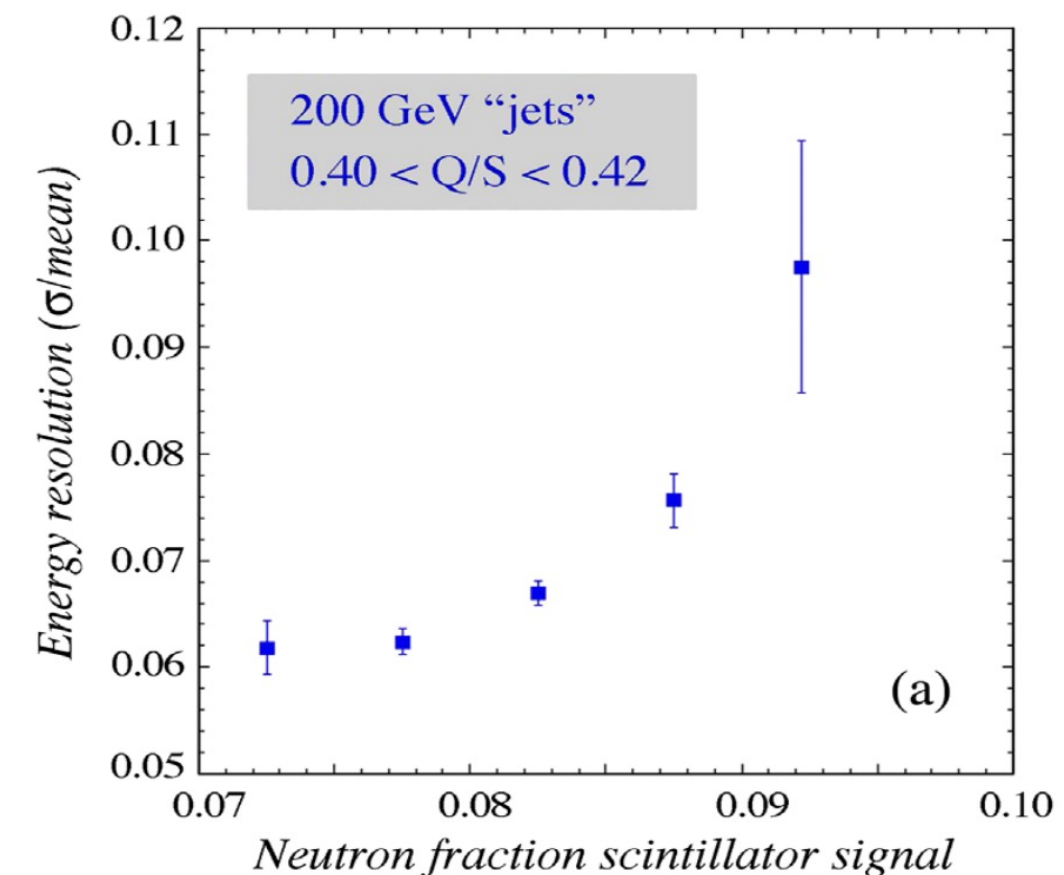
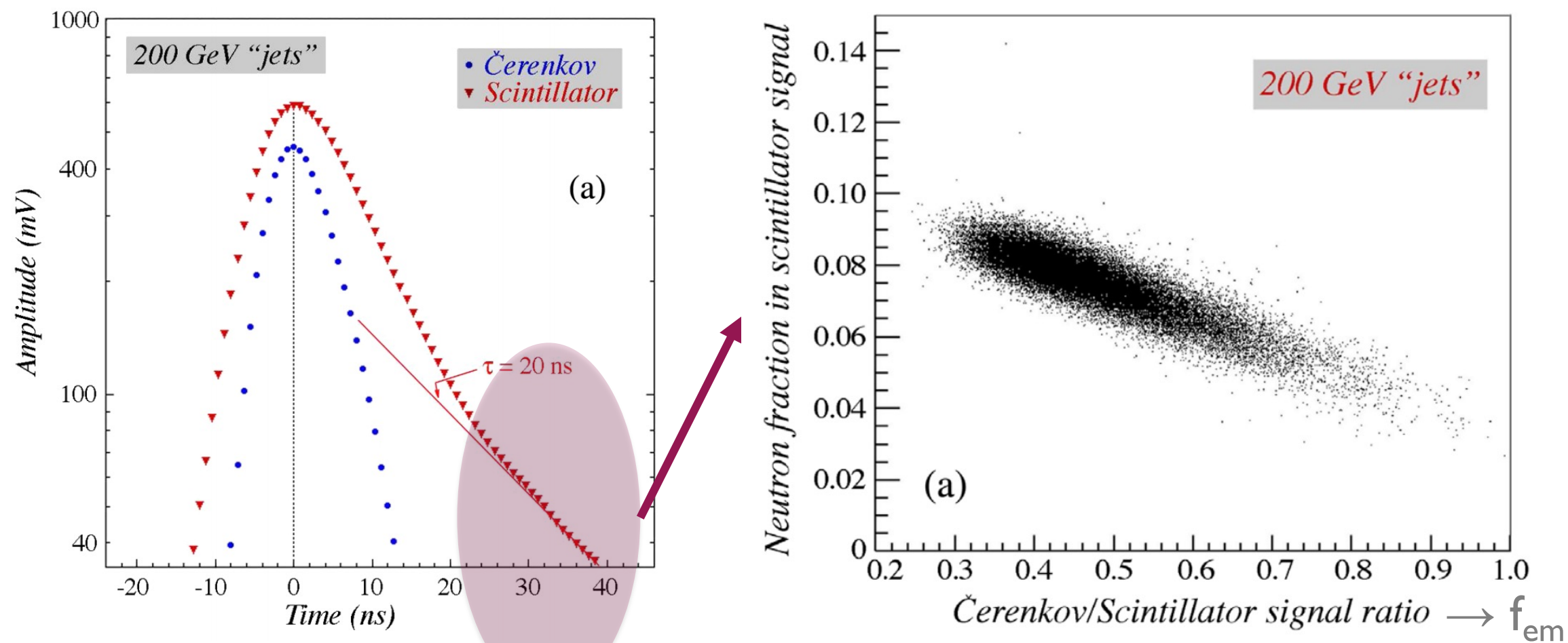
linearity optimisation:
best accuracy (how much the mean value is close to the true value)

resolution optimisation:
best sensitivity (how much the measurements are close to the mean value)

To be assessed with (hadronic) data

The invisible energy and triple readout

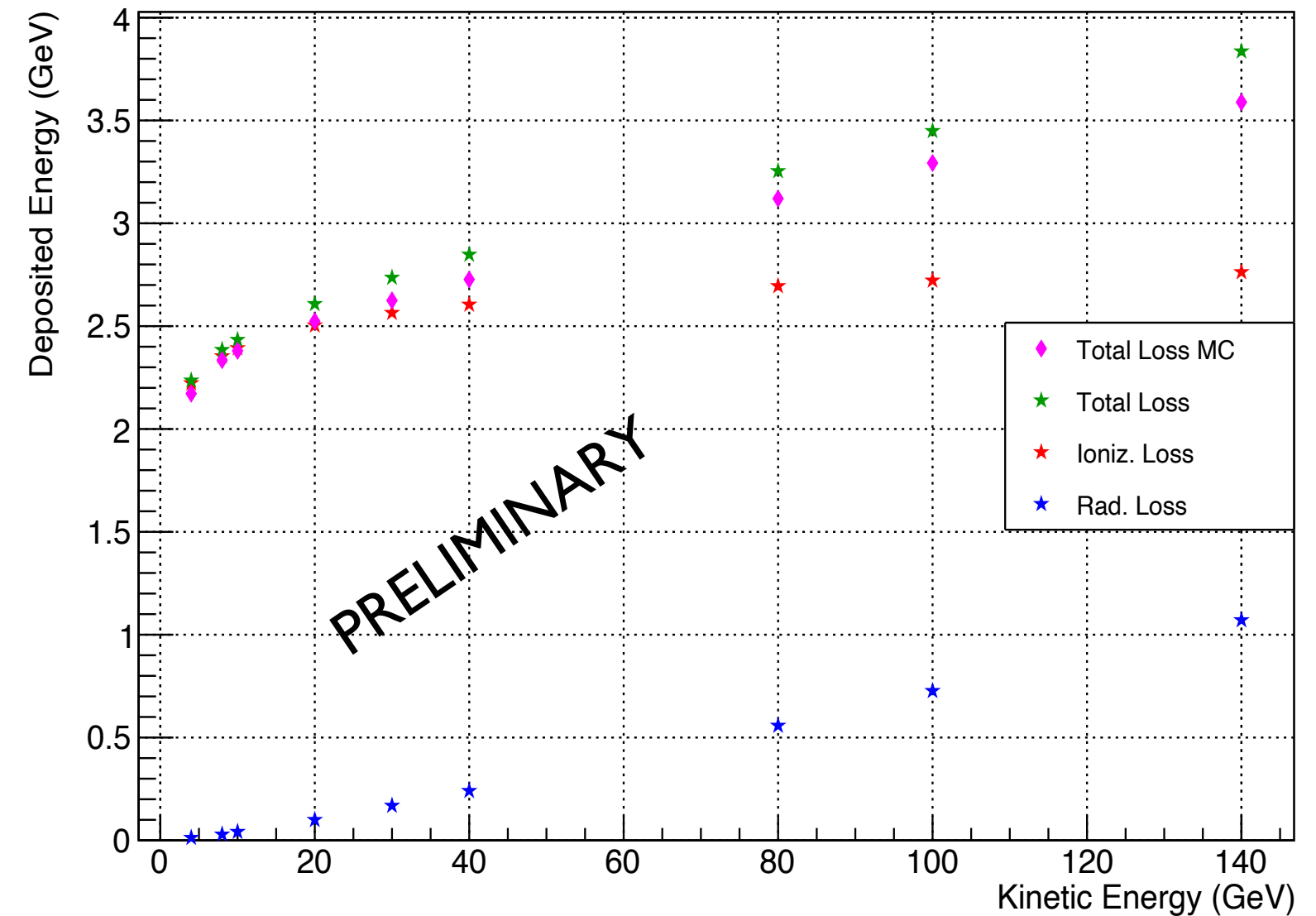
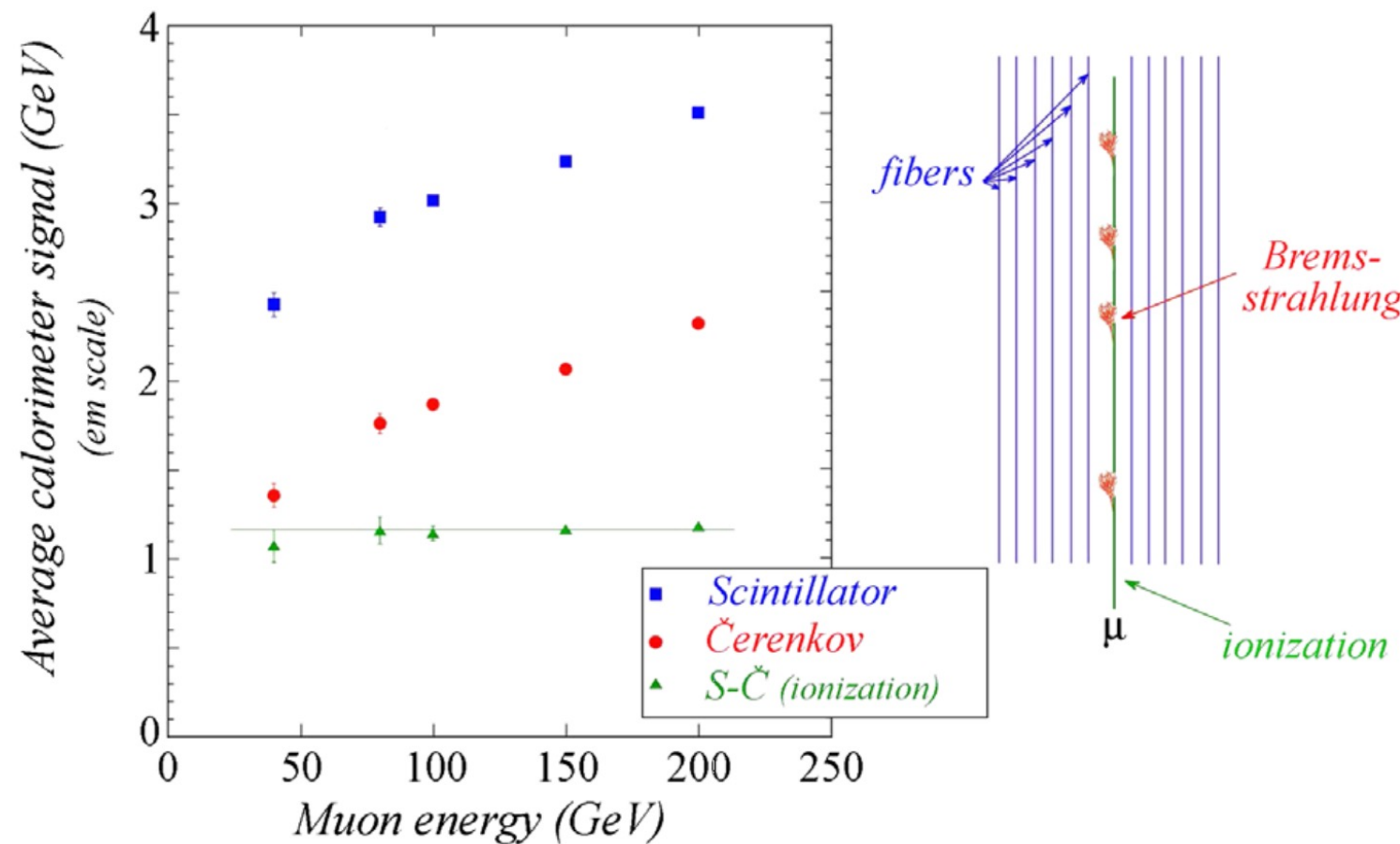
- ◆ limitation due to invisible energy fluctuation on the hadron resolution
- ◆ f_{em} and f_{inv} are anti-correlated, but still there are residual fluctuations
- ◆ to which extent having a triple readout may help?
- ◆ how to achieve it? signal shape? other media?



N. Akchurin, et al., NIM A 598 (2009) 422

MIP-like particles in the calorimeter

- ◆ Calorimeters deal with MIP particles all the time
 - ◆ muons
 - ◆ hadrons in some low energy range
- ◆ Does the DR approach correctly account for them?
 - ◆ energy lost by ionization only (mostly) detected in scintillation signals



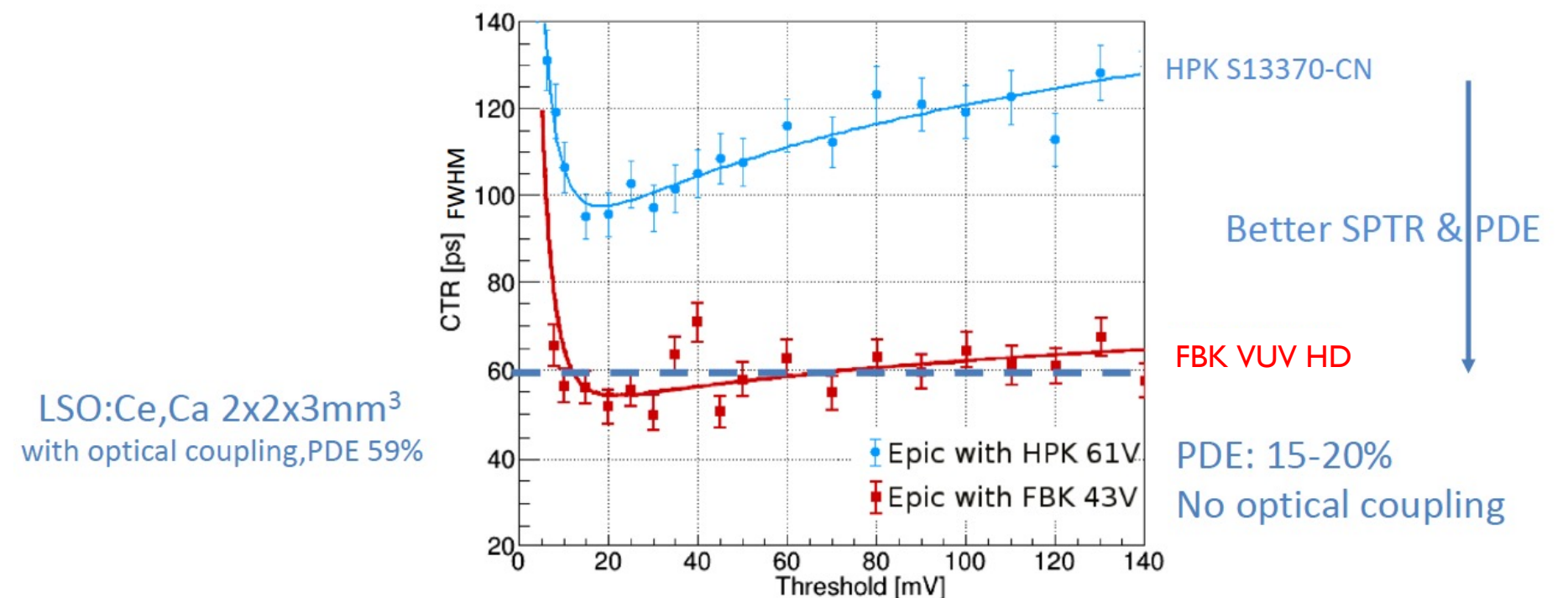
S. Lee, M. Livan, R. Wigmans, , Rev. Mod. Phys. 90 (2018) 025002

The Cherenkov component

- ◆ (So far) Cherenkov photostatistics is more limited than scintillation one
- ◆ Improving Cherenkov photostatistics
 - ◆ currently used PMMA, using other materials?
 - ◆ Improve numerical aperture?
 - ◆ larger diameter of the fibres?
 - ◆ improve SiPM readout in the UV region?
 - ◆ Development on going on VUV SiPM
 - ◆ Hamamatsu HPK SI3370-CN
 - ◆ FBK VUV HD

C fibres: SK-40
Mitsubishi
core: PMMA,
cladding: Fluorinated Polymer
 $n_{\text{core}} = 1.49$
 $N_A = 0.5$

Time resolution measured @CERN with BaF₂ (2x2x3mm³) pixels @511keV



Dedicated optimisation needed

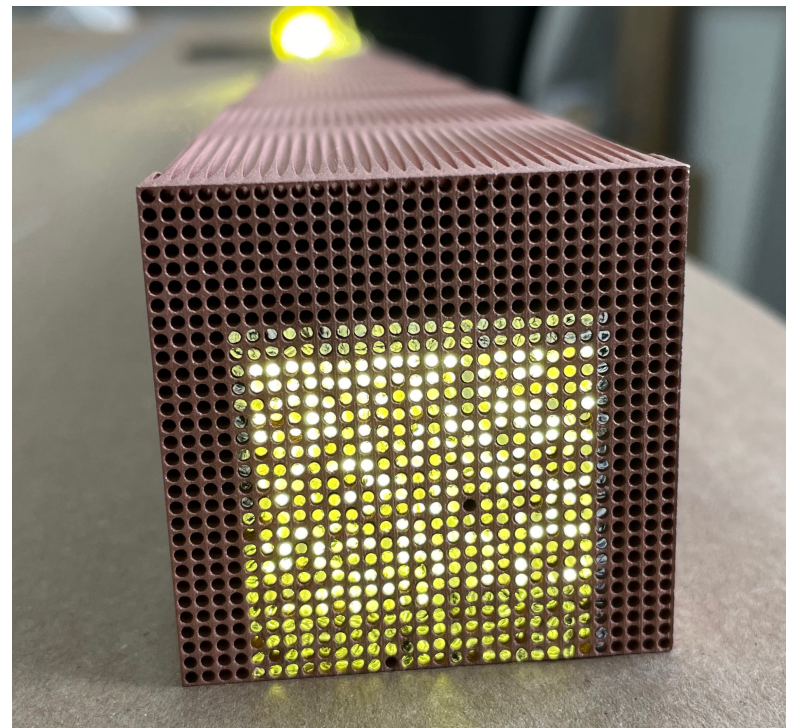
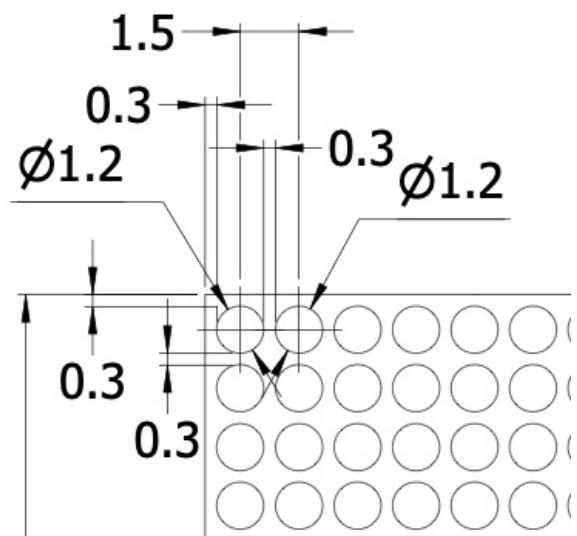
R. Pots et al, Front. Phys. | doi: 10.3389/fphy.2020.592875

S. Gundacker et al., 2021 Phys. Med. Biol. in press <https://doi.org/10.1088/1361-6560/abf476>

How to shape the absorber

- ◆ so far, mostly **grooved plates**: very high initial cost, assembly technique not easily scalable
- ◆ **capillary** option: good quality at affordable price, many channel to assembly
- ◆ **3D-printing** option: innovative but high cost, good quality reachable

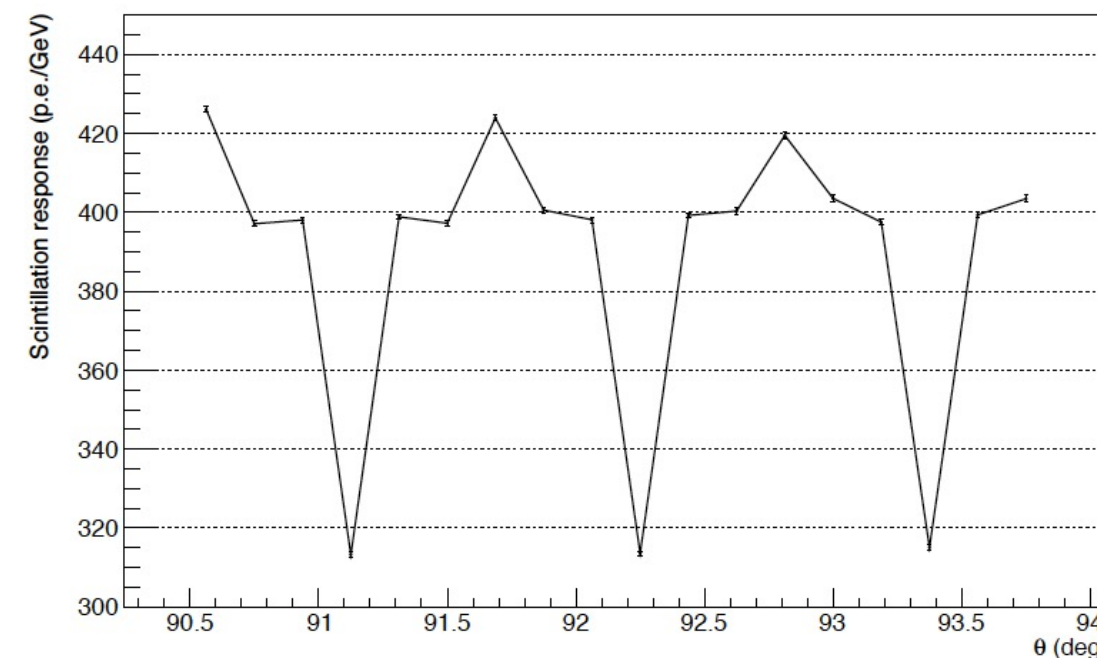
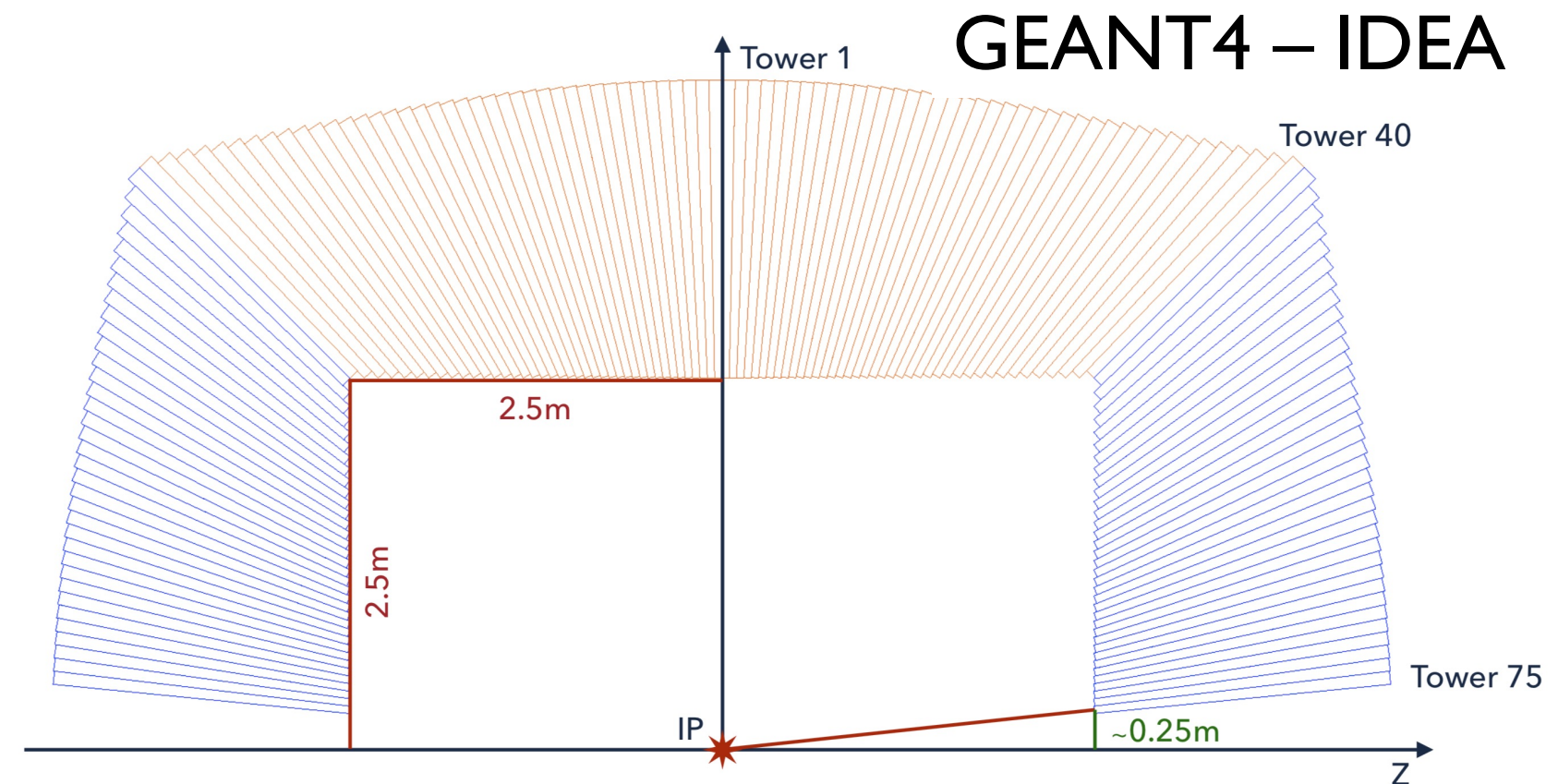
preliminary study on calorimetric performance ongoing to choose **optimal and usable material**



Copper 3D-printed projective geometry
20x20 holes front
30x30 holes rear
5 pieces 100 mm long

Non-uniformity effect on calibration and performance

- ◆ G4 Simulation uses perfect geometry (so far)
- ◆ Study effects of non-uniformity on calibration and performance
 - ◆ mechanical support
 - ◆ ID services crack
 - ◆ projectivity: border effect
 - ◆ tower-to-tower non-uniformity
- ◆ Calibration at EM scale
 - ◆ known e^- energy at testbeam
 - ◆ $Z \rightarrow ee, J/\psi \rightarrow ee$ on the experiment
 - ◆ electron showers only “scan” the first ~ 20 cm of the detectors
 - ◆ non-uniformities can add contributions to the constant term. How to measure?



Border effects:
40 GeV e^-
change in
sampling
fraction at
tower junction

Longitudinal segmentation... or not?

DR fibre calorimeter is meant to be a high resolution ECAL + HCAL in one detector

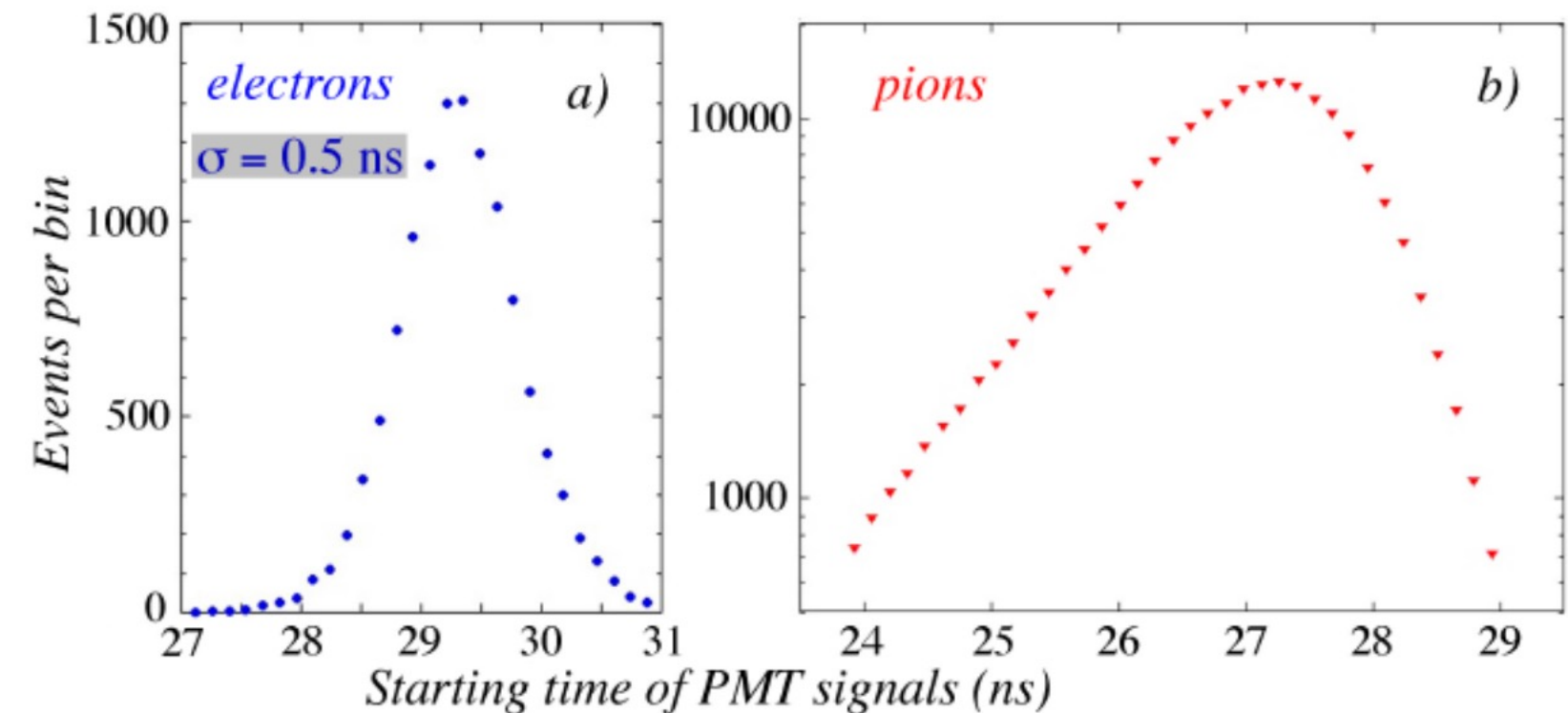
- ◆ Common features
 - ◆ calibrated at EM scale with electrons (“one calibration constant to rule them all”)
 - ◆ DR method does the rest
 - ◆ no fluctuation due to hadronic shower starting point
- ◆ ECAL performance
 - ◆ sampling calorimeter with high sampling fraction and frequency
 - ◆ high granularity and angular/position resolution
- ◆ HCAL performance
 - ◆ DR method to eliminate fluctuations in f_{em}
 - ◆ high granularity for jet reconstruction (PFA-friendly)

Longitudinal segmentation... or not?

There are several methods to achieve an efficient PID in a longitudinally unsegmented calorimeter, among which

- ◆ shower shape (high granularity)
- ◆ timing information (starting point of the signal)

They can be combined in cut-based algorithm or in machine learning approach



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DRS (Domino Ring Sampler) chip
based in SCA (Switched Capacitor Array)
1-5 GSPS sampling frequency
1– 0.2 ns sampling period
1024 storage cells

AARDVARC V3@Naluscientific
waveform digitiser
2 GHz analog bandwidth
4-6 ps timing resolution
10-14 GSPS sampling rate

see Nural's talk

The DR crystal calorimeter option

Homogeneous calorimeter allows to eliminate contribution due to sampling fraction $\frac{\sigma}{E} = 2.7\% \frac{\sqrt{d/f_{samp}}}{\sqrt{E}}$

but

Large e/h difference spoils the hadronic resolution of the rear compartment

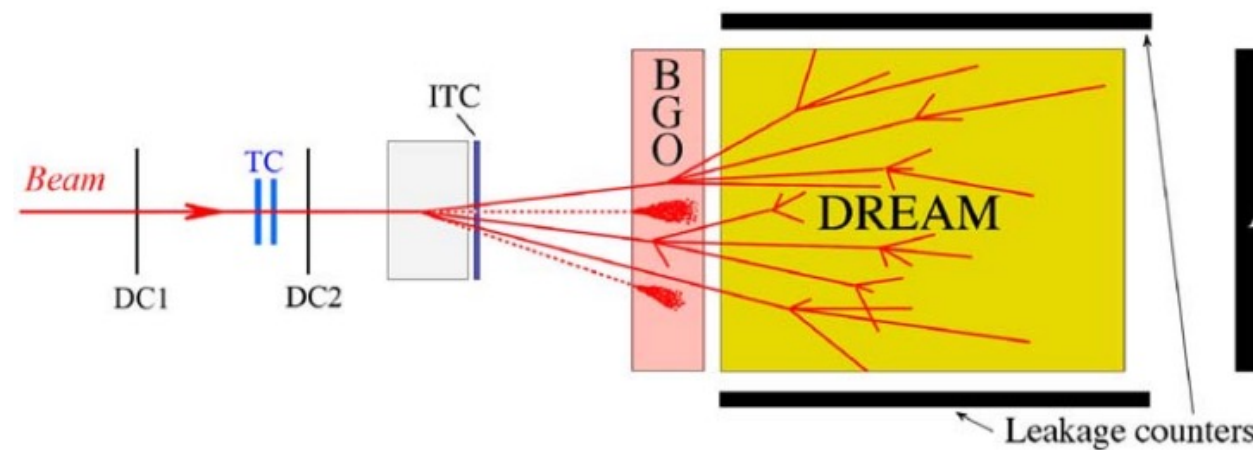
unless using DR approach in the crystals as well

Working principle demonstrated by RD52 collaboration

Drawback:

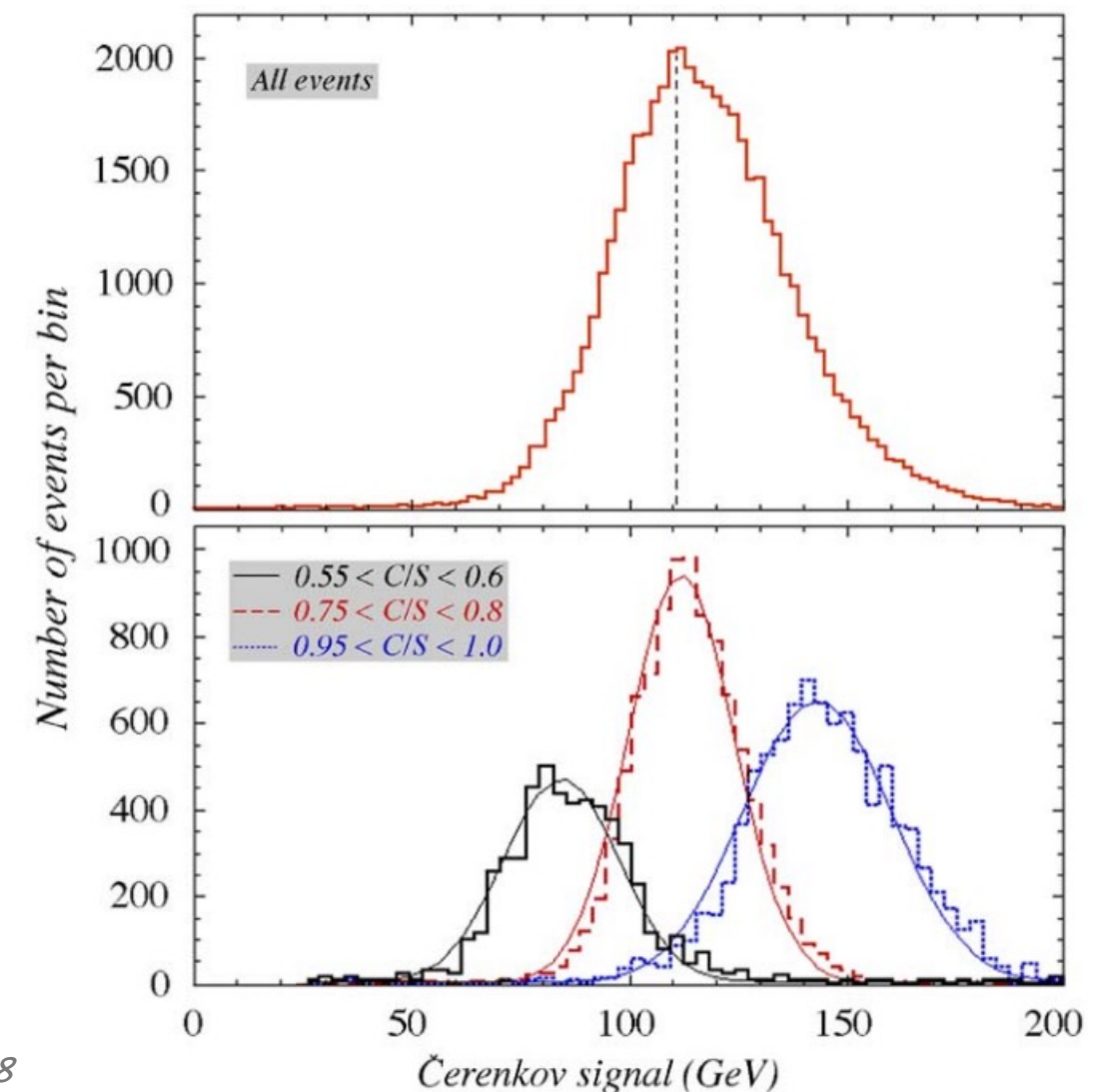
poor EM resolution in BGO (PWO₄) matrix due to technique to extract C and S from the same media

- time structure
- filtering

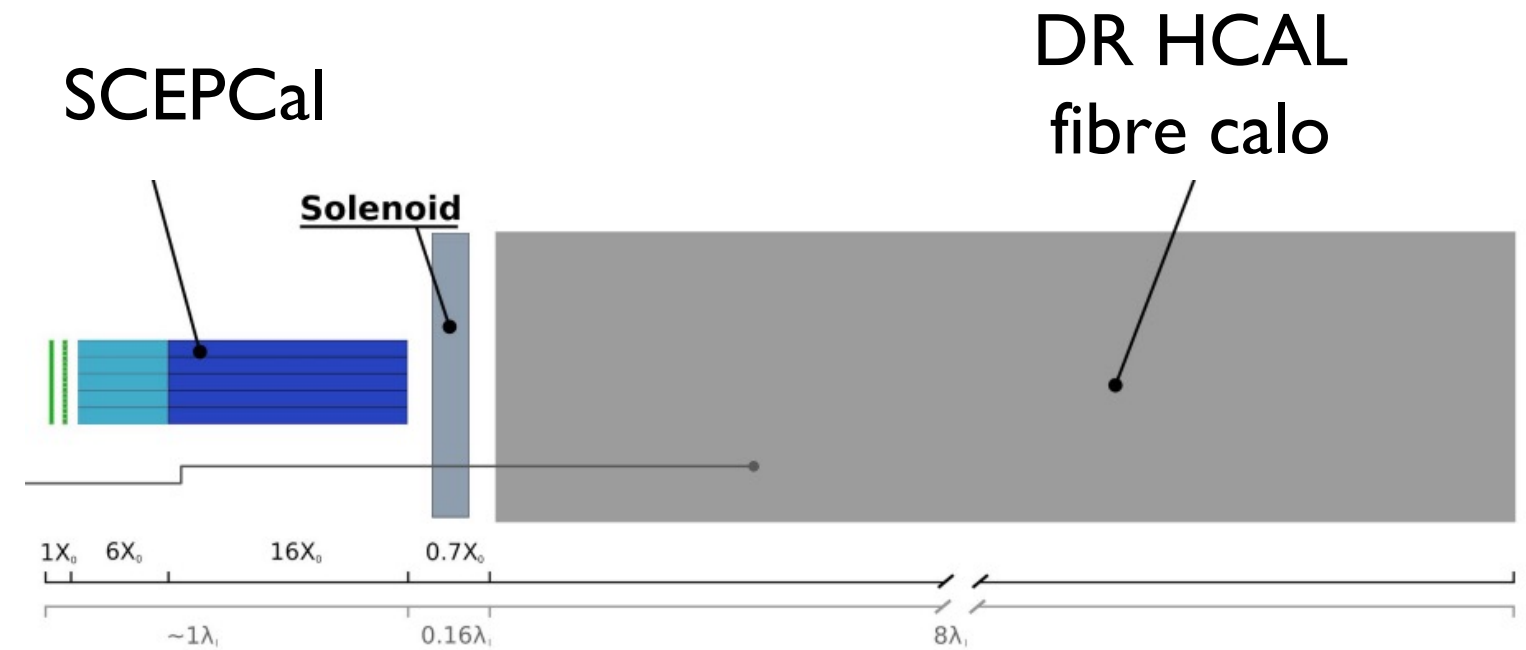
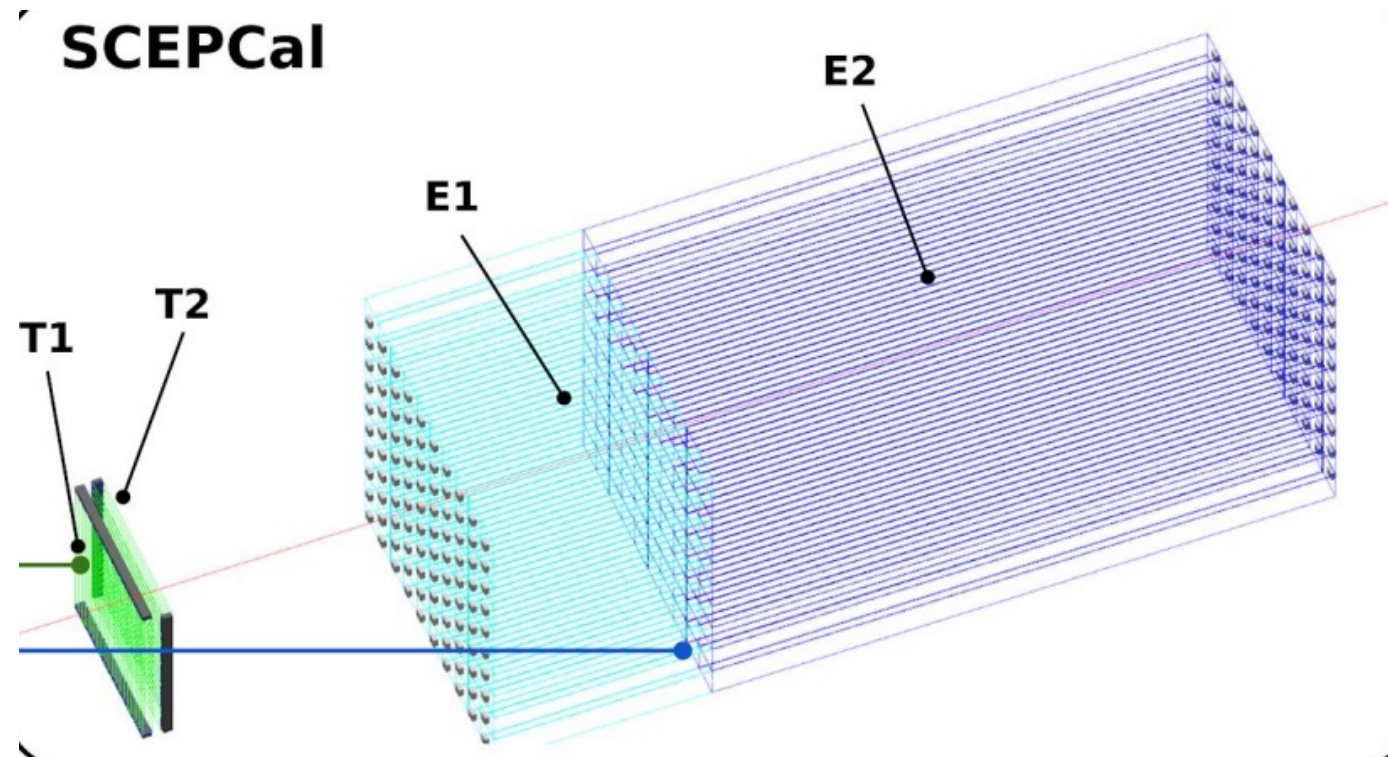


N. Akchurin, et al., NIM A 610 (2009) 488

BGO+fiber C signal



Crystal option revisited



ECAL layers • $\sigma_{E}^{EM}/E \sim 3\%/\sqrt{E}$

- PWO crystals
- Front segment ($\sim 6X_0$)
- Rear segment ($\sim 16X_0$)
- 10x10x200 mm³ crystal
- 5x5 mm² SiPMs (10-15 um)

other options are BGO/BSO

Majority of the energy deposit from hadron is in the rear ECAL section (E2) → apply DR here

Use two SiPMs to optimise independently C and S readout from each crystal

Sensitivity in both the UV and infrared regions with SiPM

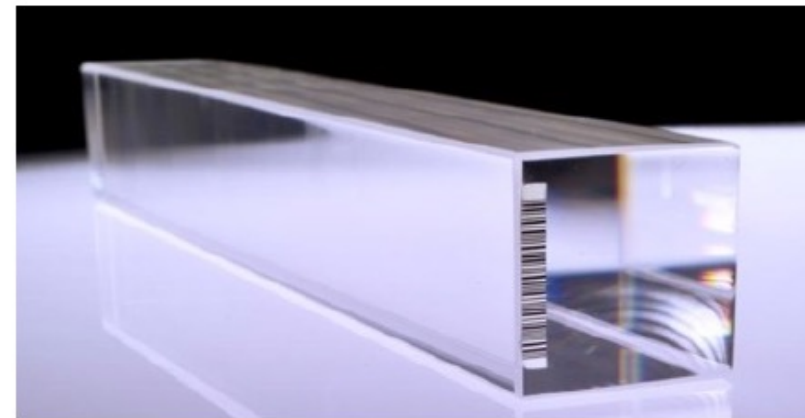
HOMOGENEOUS CALO

high density
increased granularity

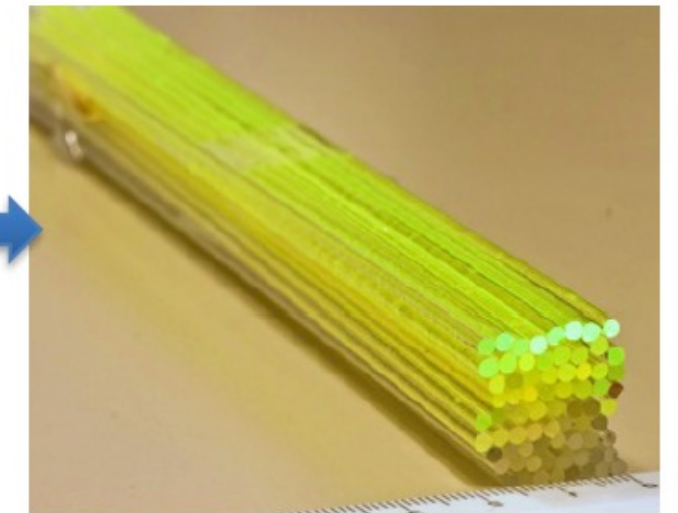
SAMPLING CALO

adapt granularity at need
choose proper crystal
(e.g C and S optimised)

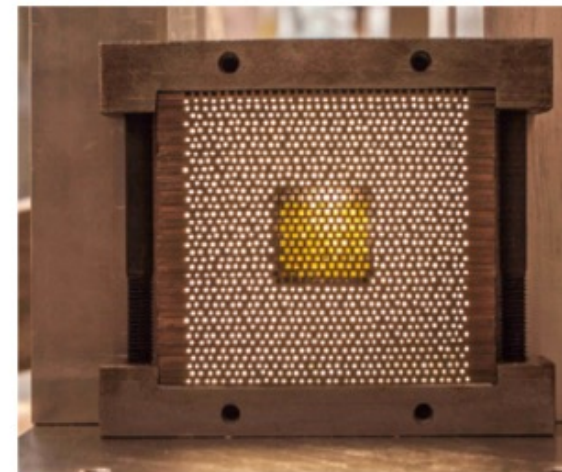
From bulk crystal



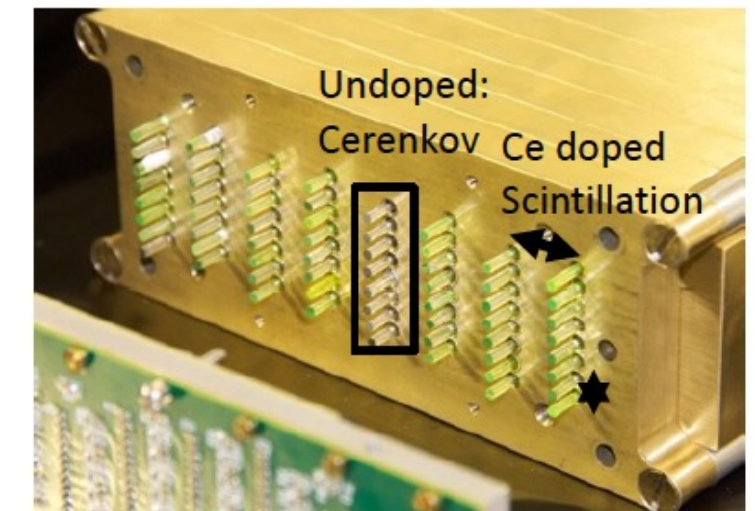
To bloc of fibers



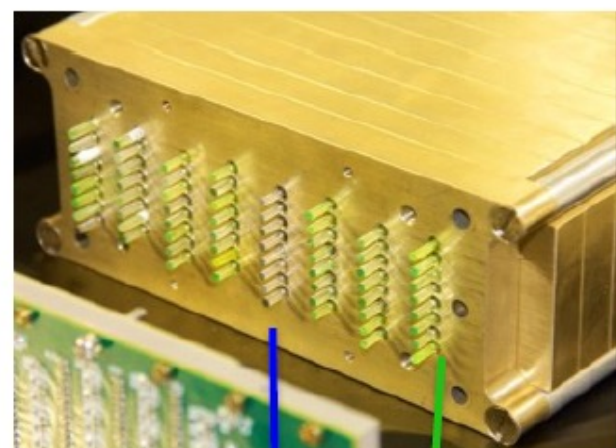
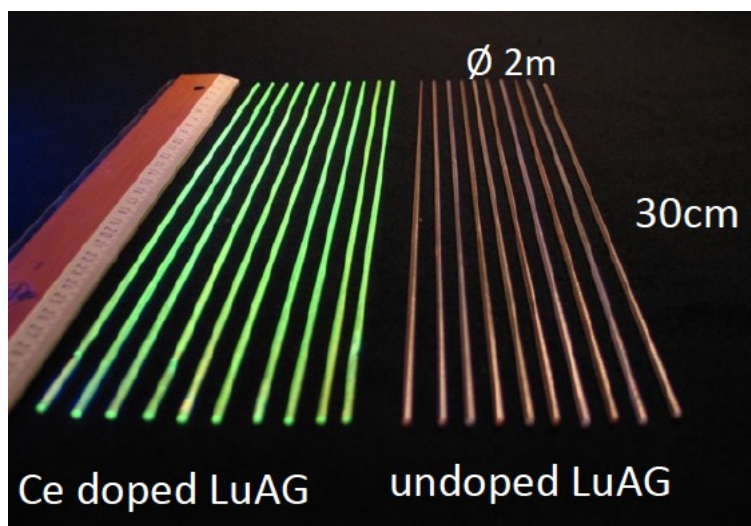
Pointing Fibers : SPACAL



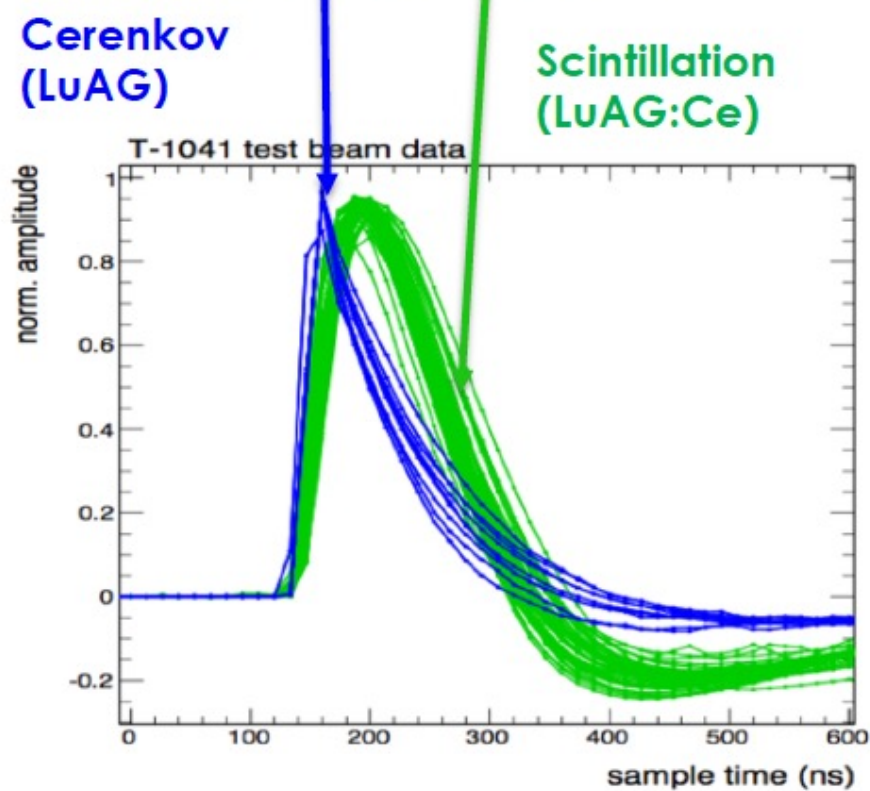
Layers of crystal fibers



see Marco's talk

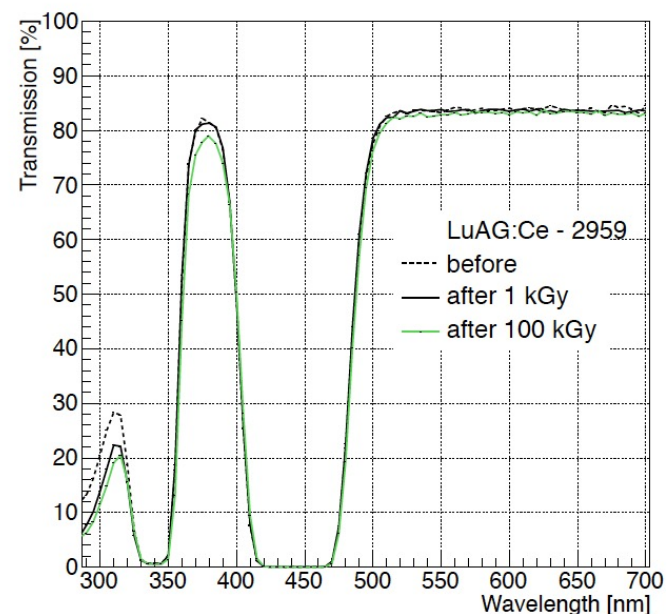
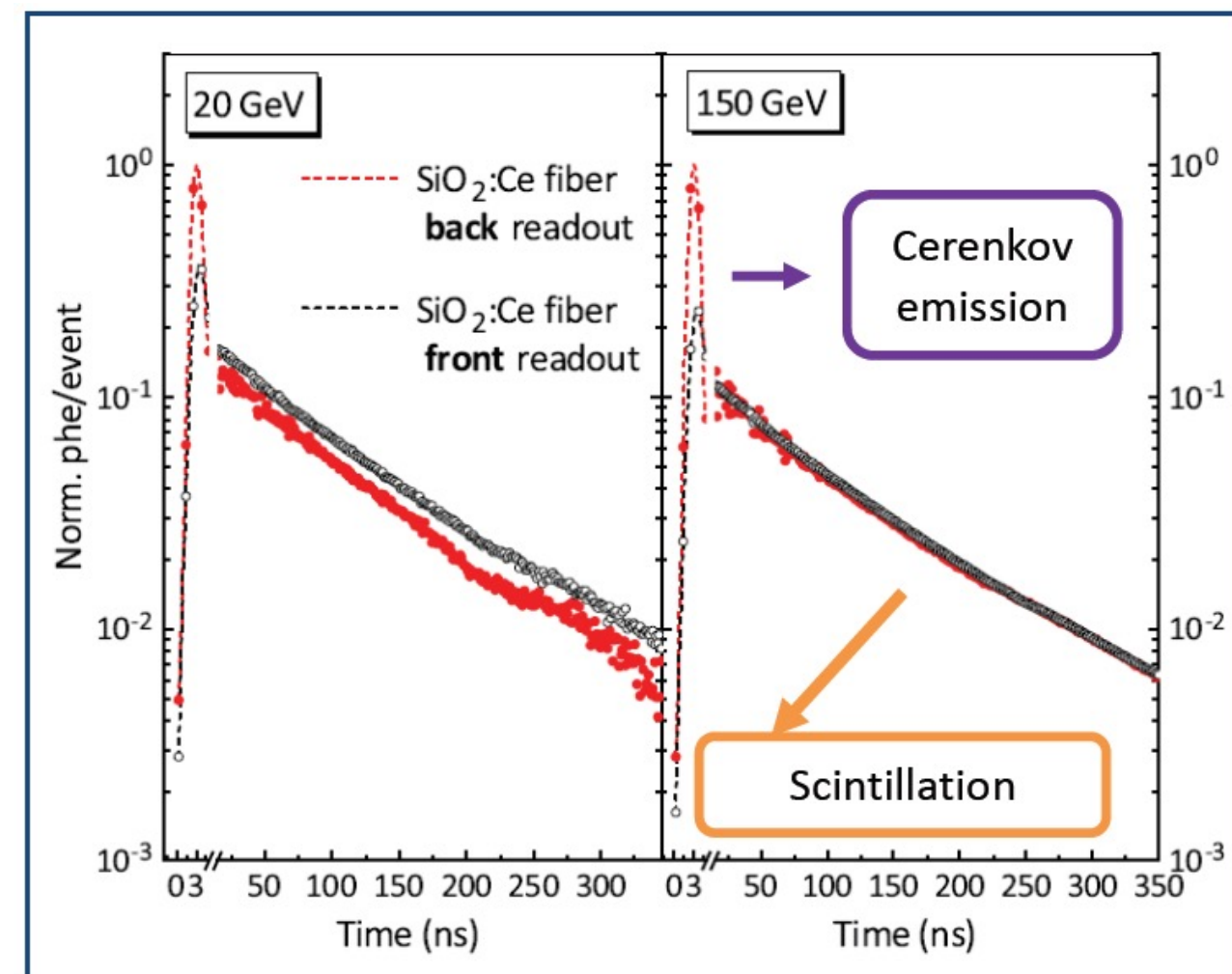


Beam



Good separation of Scintillation & Cerenkov

Dual readout of Cherenkov and scintillation light with the same silica doped ($\text{SiO}_2\text{:Ce}$) fibres



radiation tolerant

M. T. Lucchini, et al., IEEE Transactions on Nuclear Science (2016), 63, 2
 E. Auffray, et al, Rad. Phys.Chem. (2019), 164, 108365
 V. Alenkov, et a., NIM A (2019), 916, 418 226{229

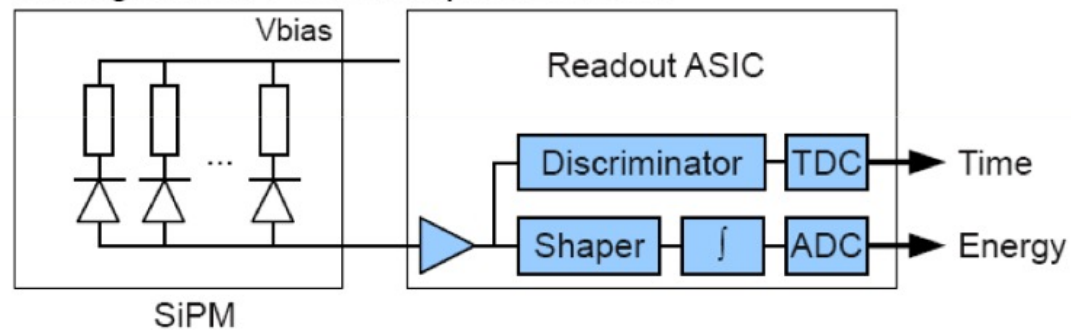
P. Lecoq CALOR 2008 [J PHYS 160 (2009) p12016]
 K. Pauwels et al., JINST428 (2013), 8, P09019
 A. Benaglia et al, JINST 11(5) 05004 (2016)
 B. F. Cova et al., Phys. Rev. Appl. 11 (2), 024036 (2019)

SiPM and dSiPM

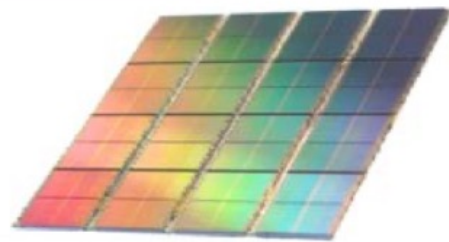
Analog SiPM



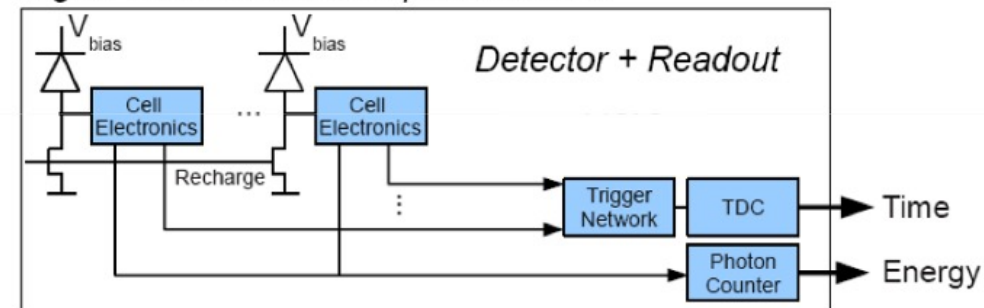
Analog Silicon Photomultiplier Detector



Digital Photon Counter



Digital Silicon Photomultiplier Detector



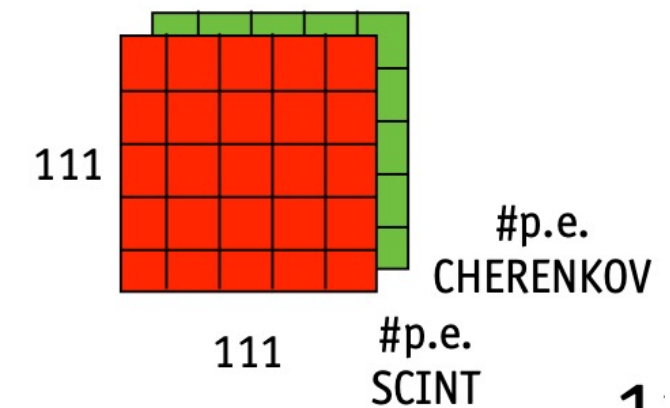
- ◆ dSiPM technology not yet consolidated, and performance is not yet at the level of the SiPMs.
- ◆ Nevertheless, they are rapidly improving
- ◆ This R&D could bring to a series of advantages:
 - ◆ Custom sensor design with reduced cost for mass production
 - ◆ Simplified readout system
 - ◆ Improved timing performance
 - ◆ The non-linearity could be corrected before merging the information from different sensors
- ◆ Interesting for other fields as well
 - ◆ Medical application
 - ◆ Biological studies

see Nural's talk

Sensor readout

- Two main options for the sensor readout under evaluation
 - charge integrator + timing information → ADC + TDC
 - waveform sampler + feature extraction → Digital Signal Processing + FPGA
- Signal characteristics we use for PID
 - C/S, Time of Arrival, Time over Thresholds, R95 (radius of cylinder with 95% of total signal), peak, ...
- Machine-learning approach for reconstruction and particle identification

3-class label	8-class label	
0	0	$\tau \rightarrow \mu\nu$
0	1	$\tau \rightarrow e\nu$
1	2	$\tau \rightarrow \pi\nu$
1	3	$\tau \rightarrow \pi\pi^0\nu$
1	4	$\tau \rightarrow \pi\pi^0\pi^0\nu$
1	5	$\tau \rightarrow \pi\pi\pi\nu$
1	6	$\tau \rightarrow \pi\pi\pi\pi^0\nu$
2	7	$Z \rightarrow qq \text{ jets}$

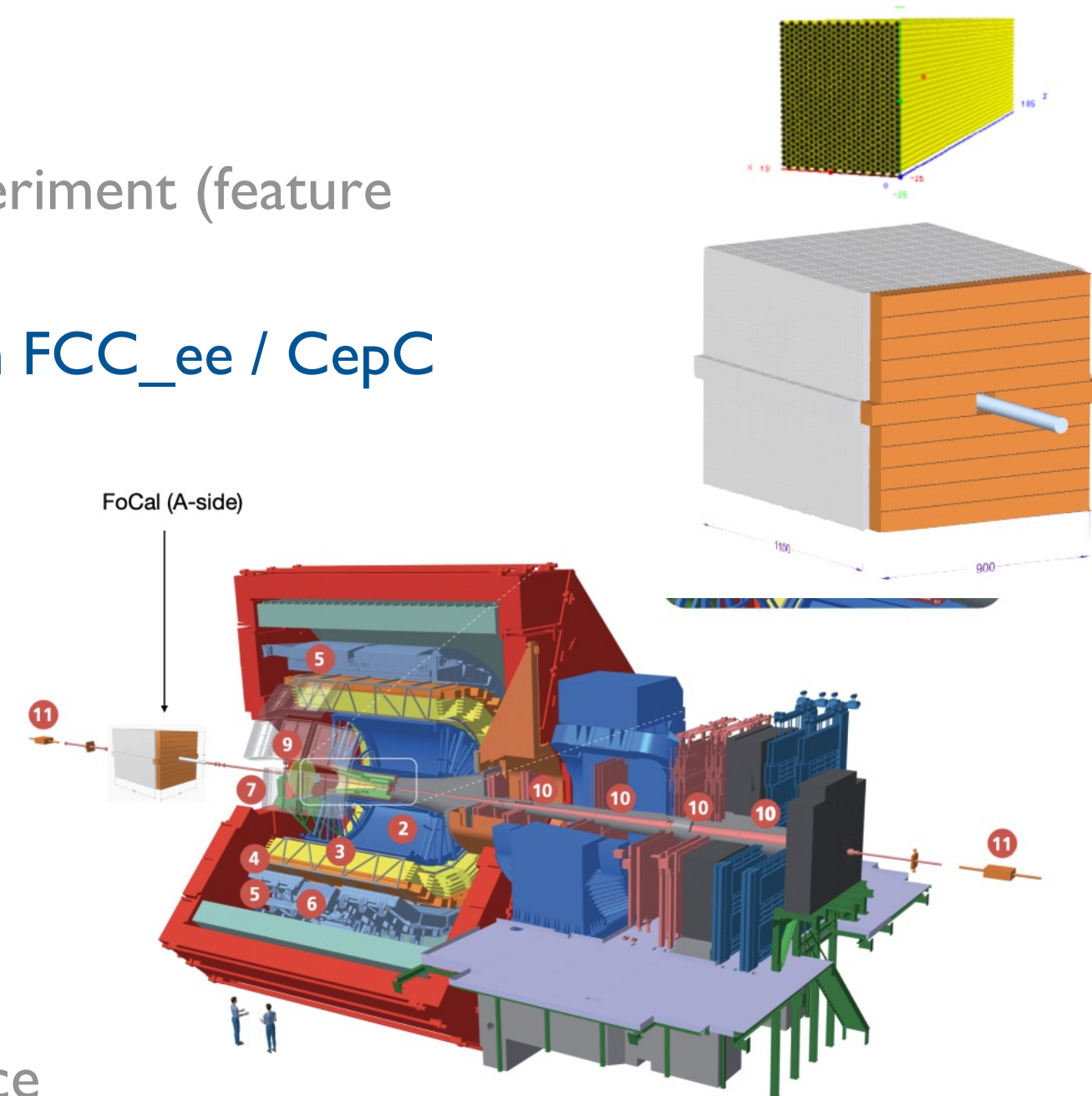


Truth BR	$\tau \rightarrow \mu\nu$	$\tau \rightarrow e\nu$	$\tau \rightarrow \pi\nu$	$\tau \rightarrow \pi\pi^0\nu$	$\tau \rightarrow \pi\pi^0\pi^0\nu$	$\tau \rightarrow \pi\pi\pi\nu$	$\tau \rightarrow \pi\pi\pi\pi^0\nu$	$Z \rightarrow qq \text{ jets}$
$\tau \rightarrow \mu\nu$	97%	2%	1%					
$\tau \rightarrow e\nu$	1%	97%	1%	1%				
$\tau \rightarrow \pi\nu$	1%	3%	87%	4%	1%	2%		1%
$\tau \rightarrow \pi\pi^0\nu$		1%	5%	76%	13%	1%	3%	1%
$\tau \rightarrow \pi\pi^0\pi^0\nu$				7%	88%		4%	1%
$\tau \rightarrow \pi\pi\pi\nu$			5%	2%		75%	15%	2%
$\tau \rightarrow \pi\pi\pi\pi^0\nu$			1%	1%	4%	8%	81%	5%
$Z \rightarrow qq \text{ jets}$					1%	1%	2%	96%

Predicted BR
(B field and material)
average accuracy: 87%

Synergies among HEP/non-HEP projects and industries

- ◆ **High-granularity and timing feature**
 - ◆ common with PF calorimeter
 - ◆ similar problematics for Inner Detectors for present/future experiment (feature extraction, number of channels)
- ◆ **A DR option has been mentioned for interest in project other than FCC_ee / CepC**
 - ◆ EIC (forward) calorimeter
 - ◆ ALICE FoCal-H upgrade
 - ◆ muon collider (it was mentioned, to be confirmed)
- ◆ **Fibres, crystal and crystal fibres**
 - ◆ important role of industries and expert in matter physics
- ◆ **SiPMs**
 - ◆ synergy with **Task Force 4: Photon Detectors and PID**
 - ◆ working together with producers to obtain required performance
- ◆ **ASIC and RO boards**
 - ◆ looking at the market and co-developing of needed electronics



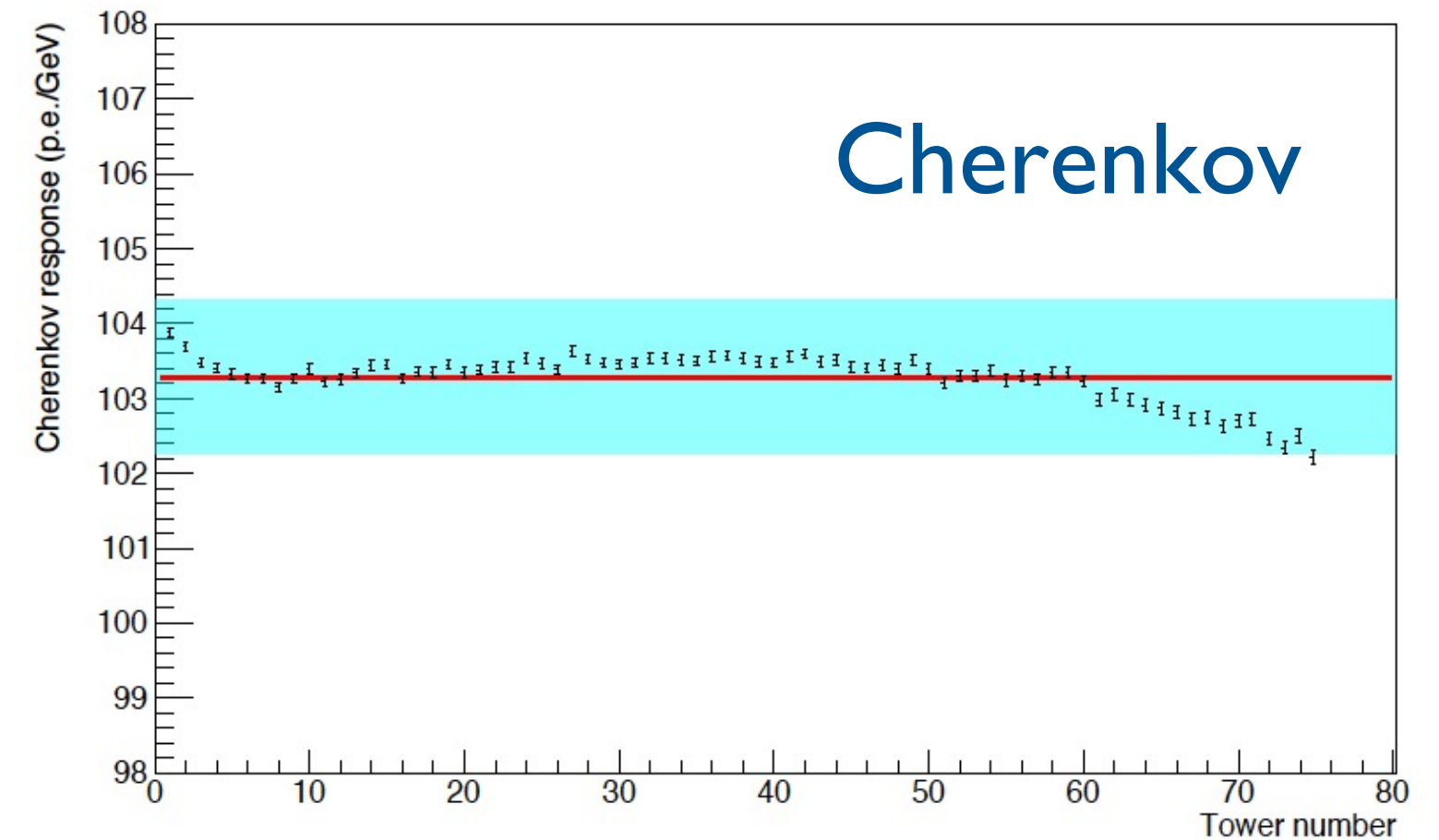
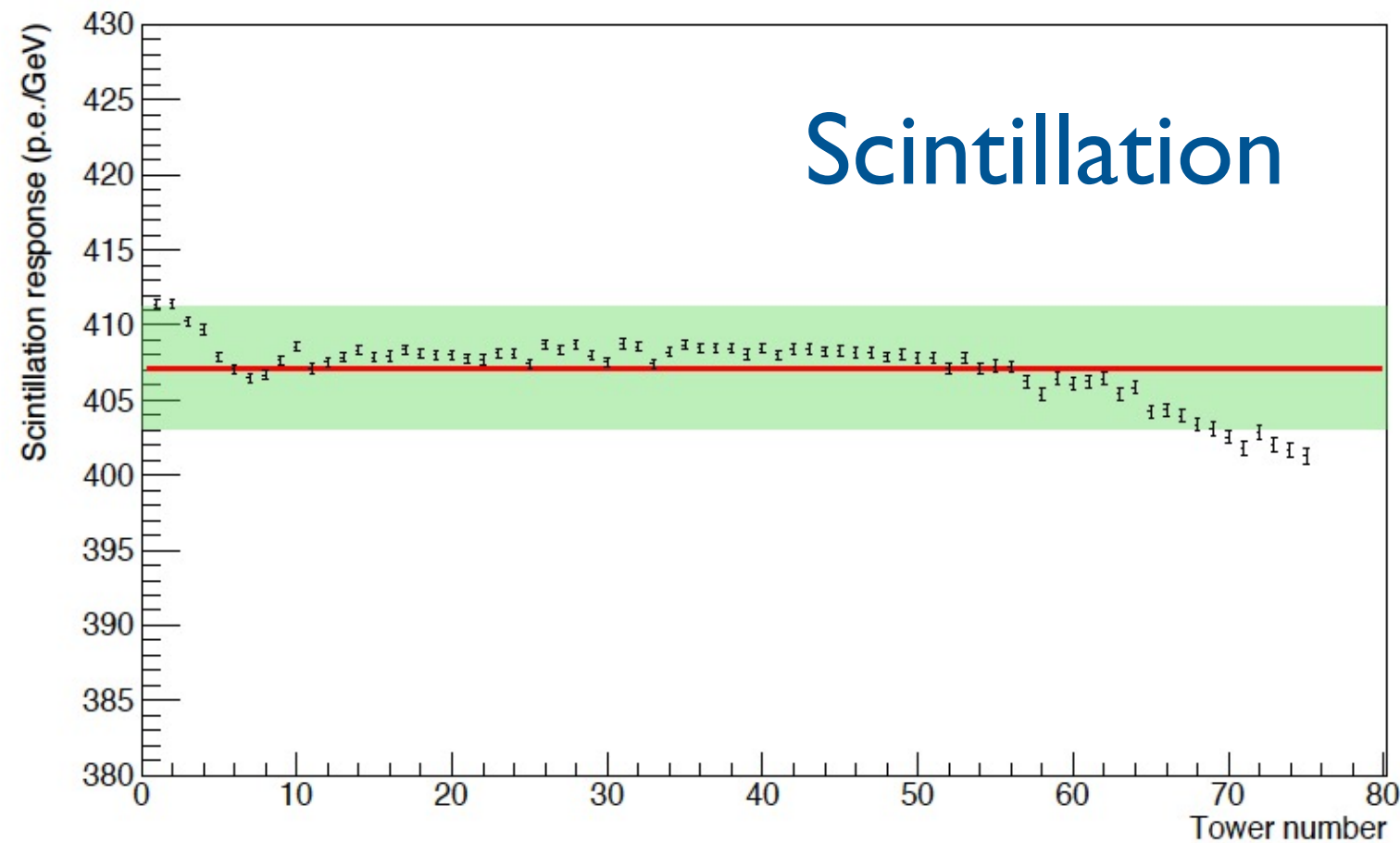
dual-readout keynote

- ◆ Dual readout development based on
 - ◆ deep understanding of calorimeter physics
 - ◆ simulation and experimental data from prototypes
- ◆ Full-containment prototype is required next step toward full-scale experiment
- ◆ Many problems common to other detector/systems: exploit synergies



Additional material

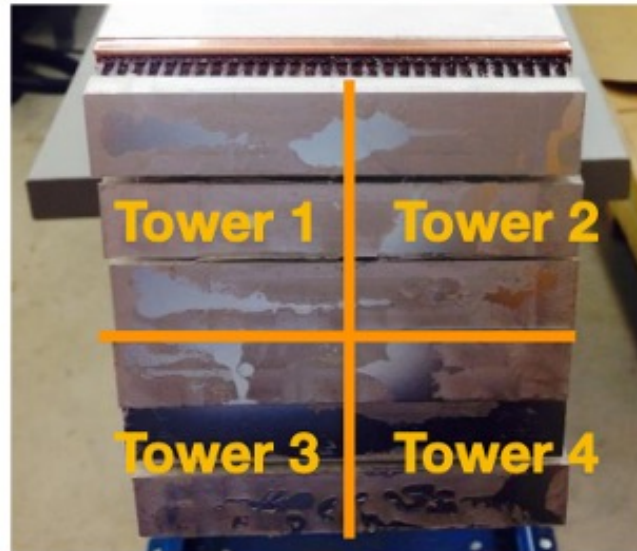
Response Uniformity



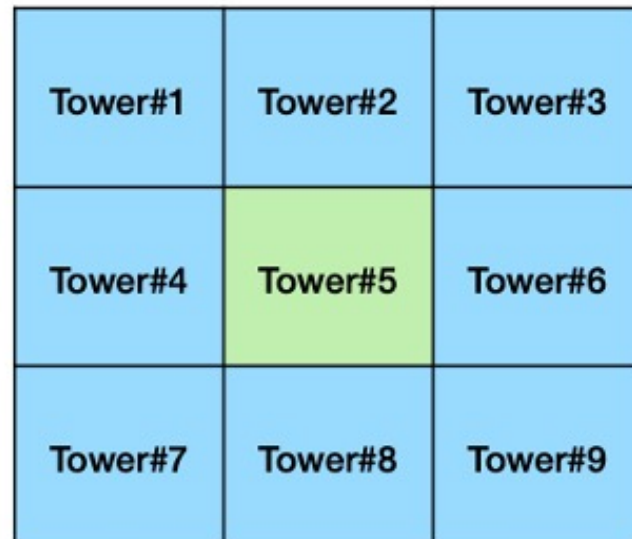
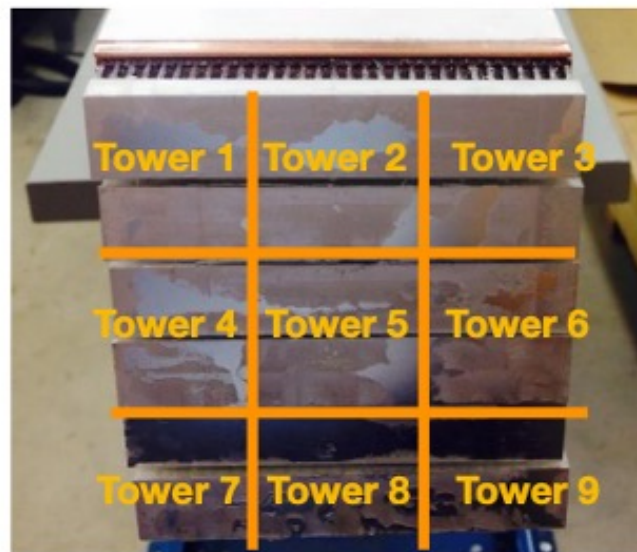
Response of the IDEA Calorimeter when 40 GeV electrons enter the calorimeter at the geometrical centre of the tower inner face, from tower 1 to tower 75.
Colour bans represents deviation of 1% to the mean response.

DR-scaling up: Copper Plates

Module #1 (2x2)

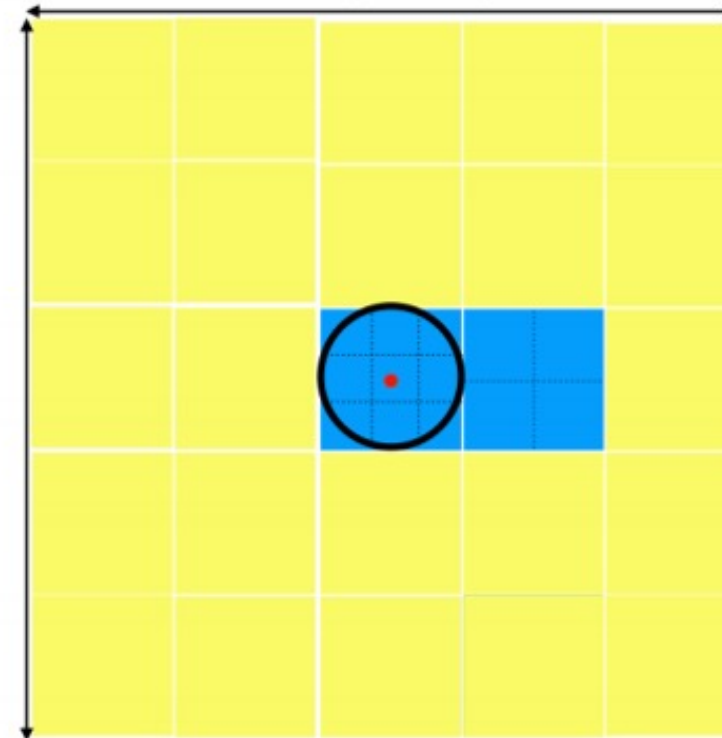


Module #2 (3x3)



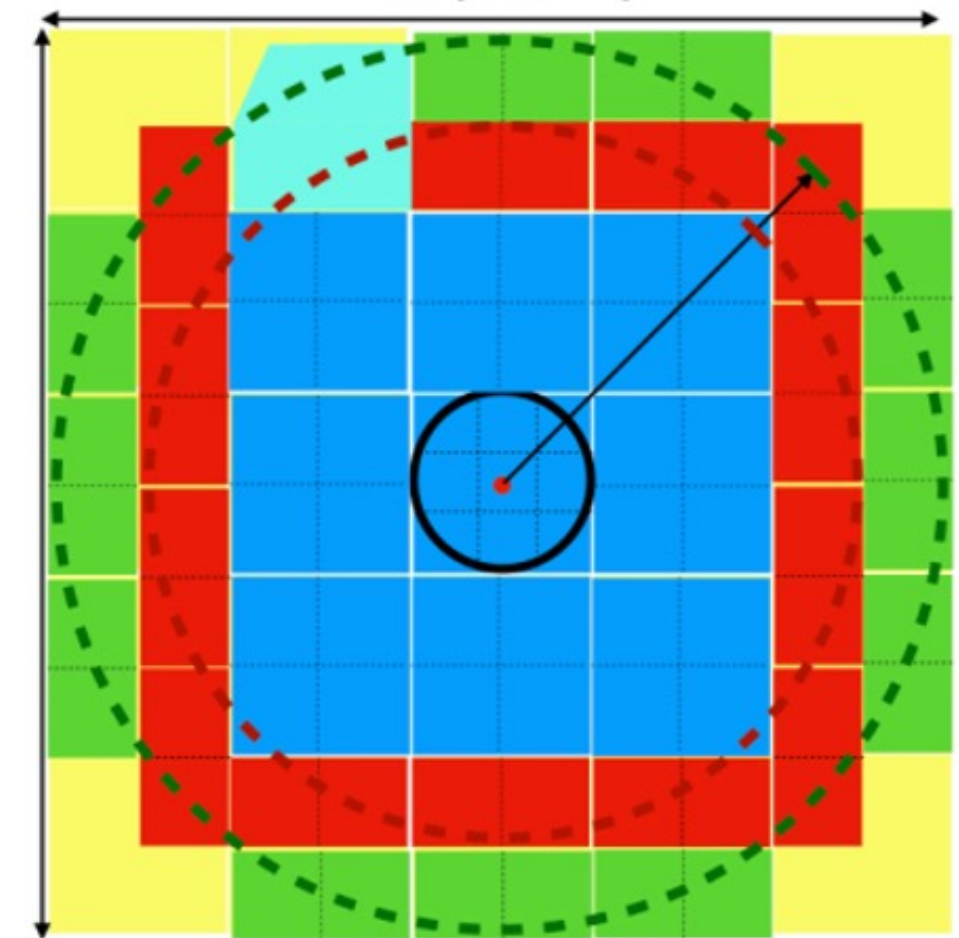
Prototype Detector (2021)

5x5 (460 mm)



Prototype Detector (2025)

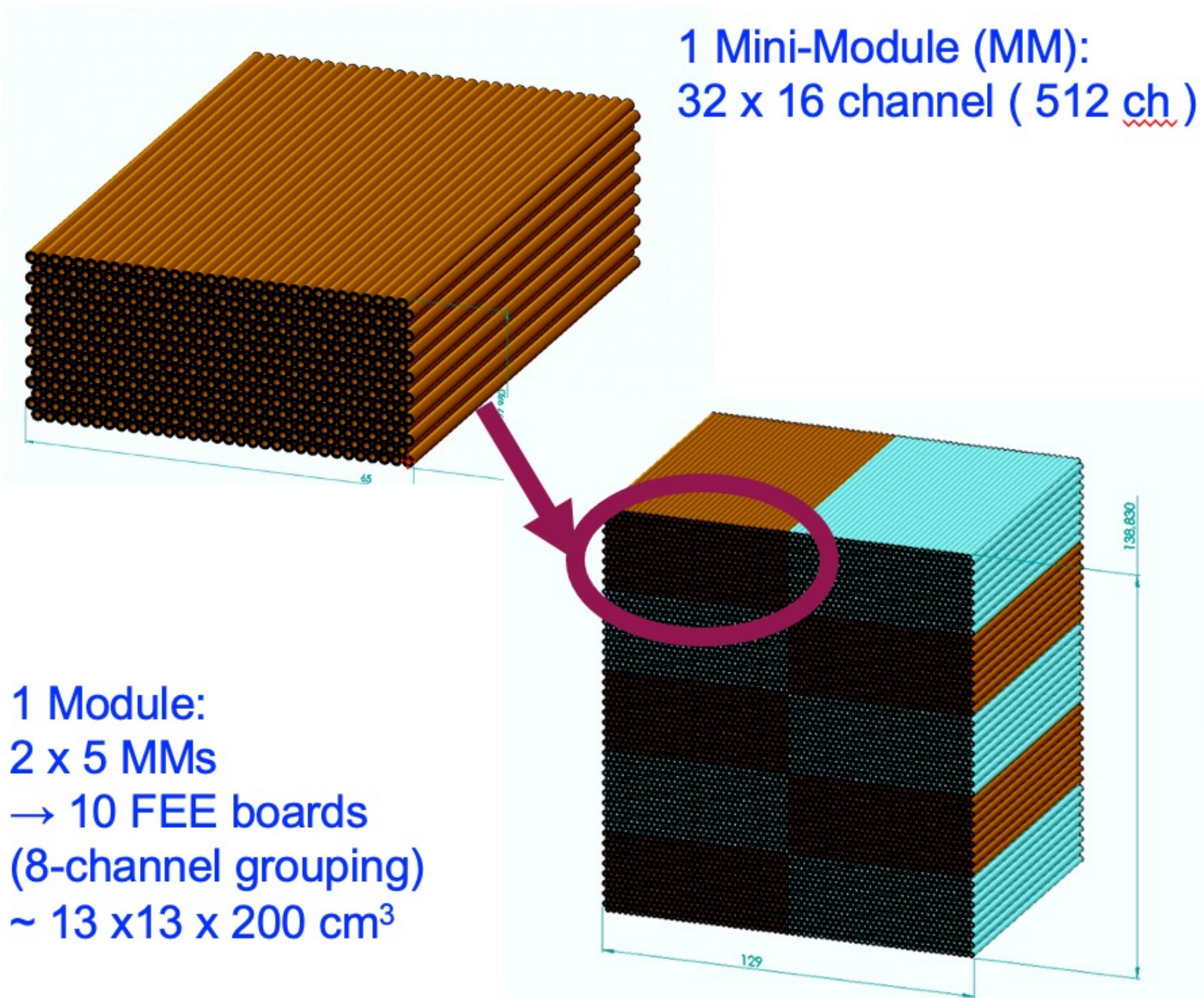
5x5 (460 mm)



Building more and more module 2022-2025

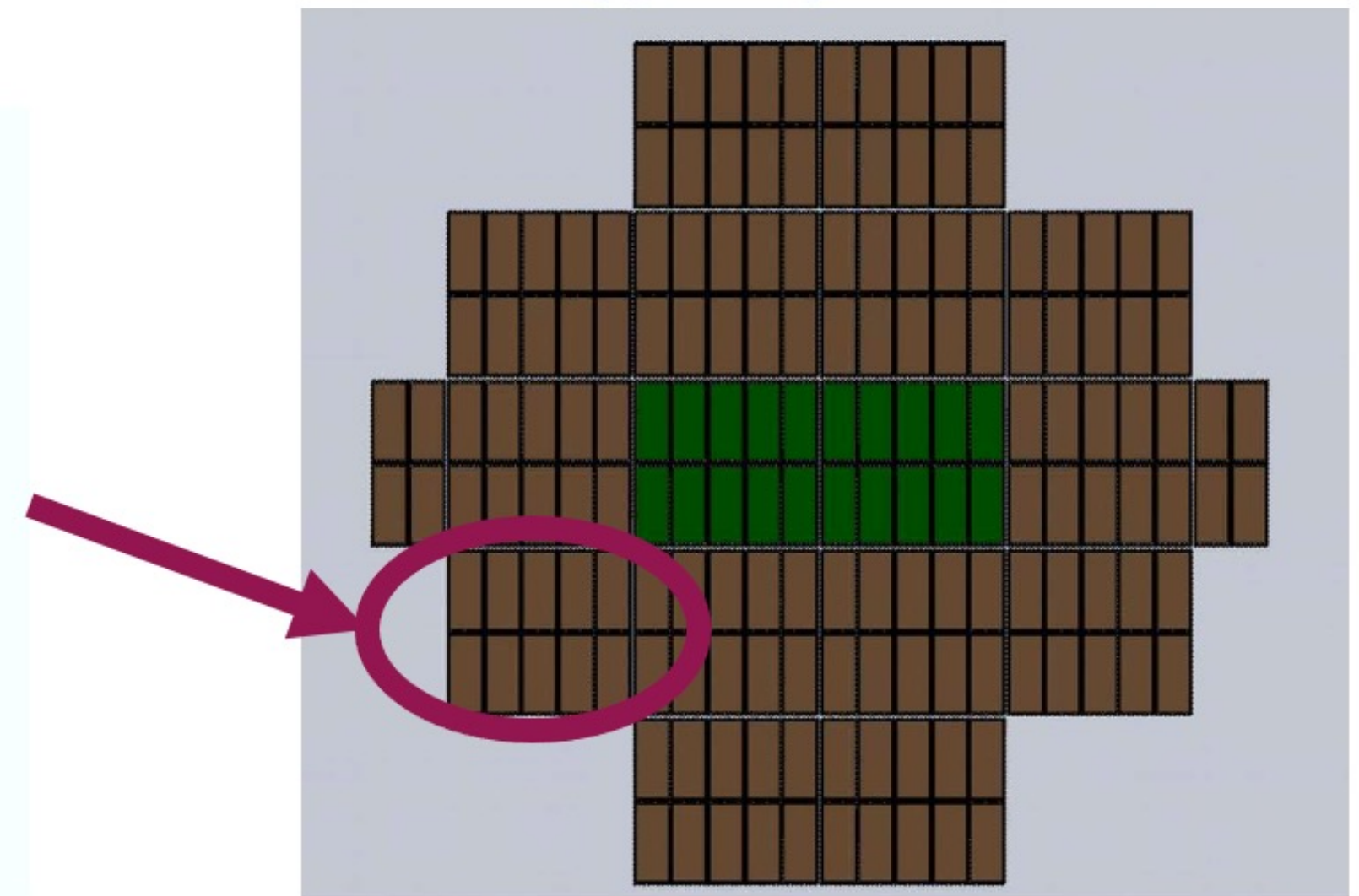
- Mechanical supporter
- 3D-printing module
- 9.2x9.2cm modules: 9
- 1/2 modules: 13 (Opt1)
- 1/2 modules: 11 (Opt2)

DR-scaling up: capillary tubes



17 modules, ~ 65 x 65 x 200 cm³

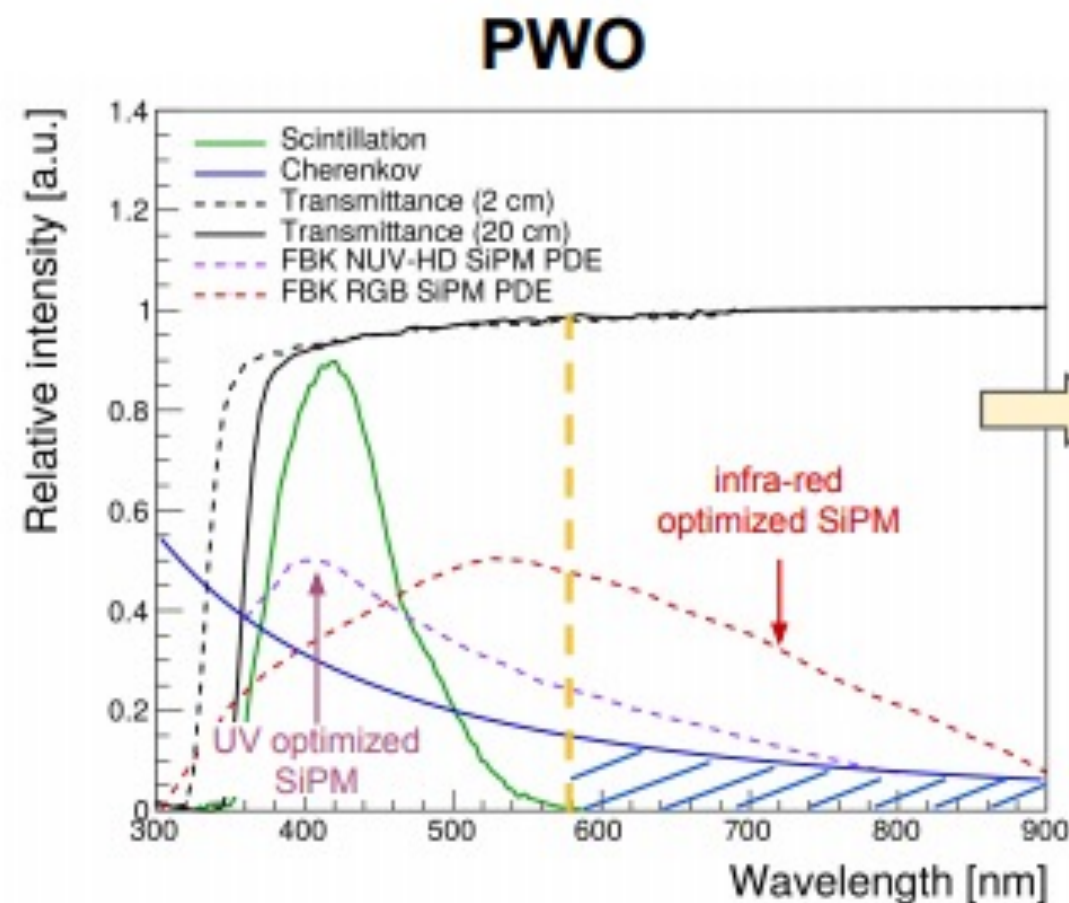
- 2 central modules with SiPMs
→ ~ 10 k SiPMs, ~ 20 FEE boards
- all others with PMTs
→ ~ 150 PMTs



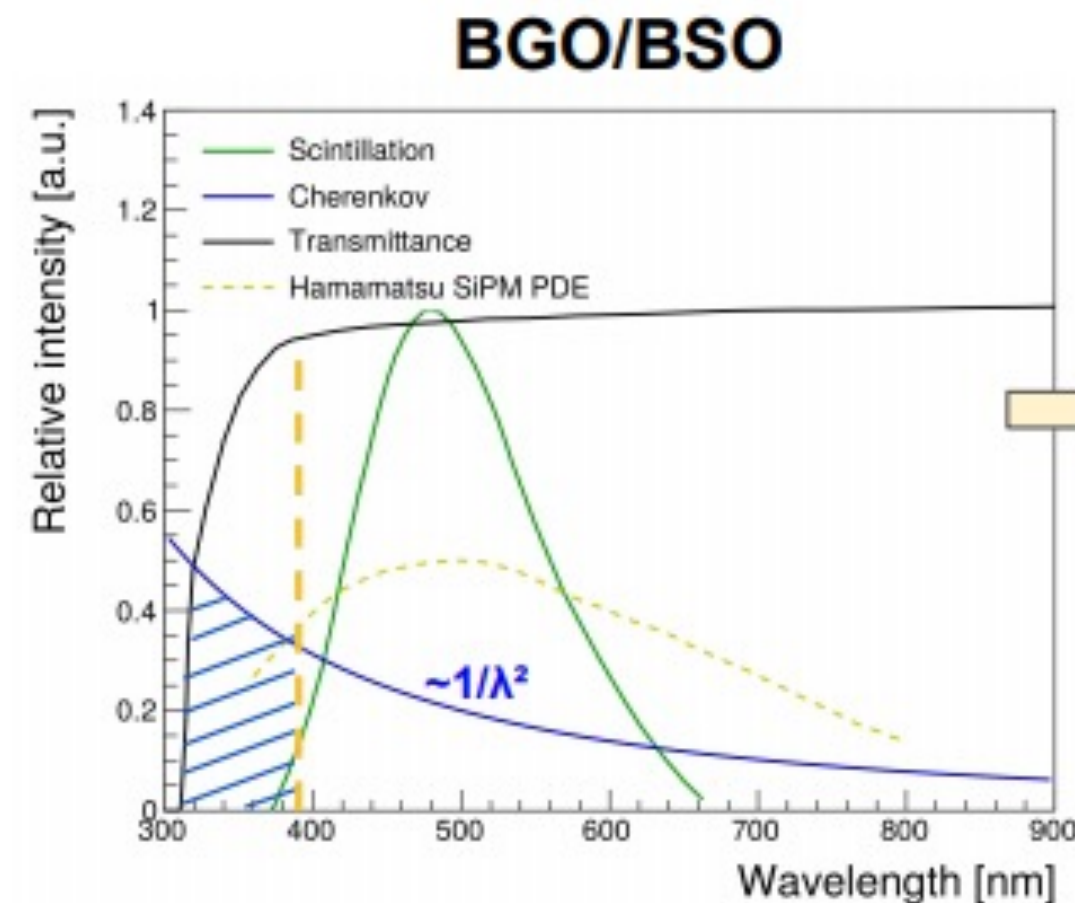
Crystal option revisited

Two SiPMs per crystal, each optimised to read out either S or C

Sensitivity in both the UV and infrared regions with SiPM



Cherenkov photons above scintillation peak are much less affected by self-absorption



BGO/BSO have larger Stokes shift, i.e. a wider range of transparency for 'UV Cherenkov'